# Structural alignment of RNA sequences with the FOLDALIGN algorithm Jakob Hull Havgaard 

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## Overview

Introduction Why is it so hard to align ncRNAs
FOLDALIGN The recursion, and the scoring scheme
Heuristics $\lambda, \delta$, and bifurcations
Pruning Method and local alignment results
Backtrack Divide and conquer
Global alignment pruning
Closing remarks

## Introduction

Compensating mutations: Base pairs can change with little effect on the structure of the molecule

The secondary structure is much more conserved

A good algorithm combines sequence similarity information with secondary structure information

A general algorithm proposed by Sankoff in 1985 is too slow for more than a few sequences

Even for two sequences the time complexity is $O\left(L_{1}^{3} L_{2}^{3}\right)$ and the memory complexity is $O\left(L_{1}^{2} L_{2}^{3}\right)$

## FOLDALIGN

FOLDALIGN is an implementation of the pairwise Sankoff algorithm

It can make a local or global structural alignment of two sequences

There is now also a global multiple alignment version called foldalignM, which is based on the PMcomp algorithm (Torarinsson et al. Bioinformatics, in press)

## Scoring scheme

- Single nucleotide substitutions
- Base pair substitutions
- Maximum energy co-folding


## Recursion

$$
\begin{align*}
& D_{(i-1)(j+1),(k-1)(l+1)}=\max \left\{D_{i j, k l}+S_{n_{i} n_{j}, n_{k} n_{l}}, D_{(i-1)(j+1),(k-1)(l+1)}\right\}  \tag{a}\\
& D_{(i-1)(j+1), k l} \quad=\max \left\{D_{i j, k l}+S_{n_{i} n_{j},--}, D_{(i-1)(j+1), k l}\right\}  \tag{b}\\
& D_{i j,(k-1)(l+1)}=\max \left\{D_{i j, k l}+S_{--, n_{k} n_{l}}, D_{i j,(k-1)(l+1)},\right\}  \tag{c}\\
& D_{(i-1) j,(k-1) l}=\max \left\{D_{i j, k l}+S_{n_{i}-, n_{k}-}, D_{(i-1) j,(k-1) l},\right\}  \tag{d}\\
& D_{i(j+1), k(l+1)} \quad=\max \left\{D_{i j, k l}+S_{-n_{j},-n_{l}}, D_{i(j+1), k(l+1)}\right\}  \tag{e}\\
& D_{(i-1) j, k l} \quad=\max \left\{D_{i j, k l}+S_{n_{i^{-},--},} D_{(i-1) j, k l}\right\}  \tag{f}\\
& D_{i(j+1), k l}=\max \left\{D_{i j, k l}+S_{-n_{j},--}, D_{i(j+1), k l}\right\}  \tag{g}\\
& D_{i j,(k-1) l}=\max \left\{D_{i j, k l}+S_{--, n_{k}}, D_{i j,(k-1) l}\right\}  \tag{h}\\
& D_{i j, k(l+1)} \quad=\max \left\{D_{i j, k l}+S_{--,-n_{l}}, D_{i j, k(l+1)}\right\}  \tag{i}\\
& D_{i m, k n}{ }^{*}=\max \left\{D_{i j, k l}^{\prime}+E_{j+1, m, l+1, n}+S_{m b l}, D_{i m, k n}\right\} \\
& \text { *: } \forall j+1<m \leq i+\lambda \\
& l+1<n \leq k+\lambda \\
& D_{i j, k l}^{\prime}= \begin{cases}D_{i j, k l} & \text { if } n_{i} \& n_{j^{\prime}} \text { and } n_{k} \& n_{l^{\prime}} \text { base pairs } \\
& \text { where } i<j^{\prime} \leq j \text { and } k<l^{\prime} \leq l \\
\varnothing & \text { Otherwise }\end{cases} \\
& E_{i j, k l}= \begin{cases}D_{i j, k l} & \text { If } n_{i} \& n_{j} \text { and } n_{k} \& n_{l} \text { base pairs } \\
\varnothing & \text { Otherwise }\end{cases}
\end{align*}
$$

## Heuristics

The full algorithm is very slow and needs huge amounts of memory

Heuristics (cheats) are used as work-arounds for these problems

FOLDALIGN uses four types of heuristics:

1. Maximum motif length $-\lambda$
2. Maximum subsequence length difference - $\delta$
3. Bifurcation constraint
4. Pruning
$\lambda$ and $\delta$


Time complexity: $O\left(L_{1}^{3} L_{2}^{3}\right) \rightarrow O\left(L_{1} L_{2} \lambda^{2} \delta^{2}\right)$

Memory complexity: $O\left(L_{1}^{2} L_{2}^{2}\right) \rightarrow O\left(L_{1} L_{2} \lambda \delta\right) \rightarrow O\left(\lambda^{3} \delta\right)$

## Bifurcation constraint



## More heuristics are needed

The algorithm is still slow

Two types of heuristics are currently very popular:

1. Pre-alignment
2. Pre-folding

We take a different approach

## Pruning

Lots of poor alignments is calculated

Don't let them waste your time

Use pruning!

A sub-alignment of a given length must have a score above a length dependent minimum score or it is removed (pruned)

## Pruning results - time



## Pruning results - time detail



## Pruning results - memory



## Pruning results - full time



## Localization

|  | No pruning |  |  |  |  | Pruning |  |  |  |  |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| Type | $P_{t}$ | $P_{f}$ | $N_{f}$ | PPV | Sens | $P_{t}$ | $P_{f}$ | $N_{f}$ | PPV | Sens |
| 5S rRNA | 2 | 0 | 0 | 1.00 | 1.00 | 2 | 0 | 0 | 1.00 | 1.00 |
| Purine | 3 | 2 | 2 | 0.60 | 0.60 | 3 | 2 | 2 | 0.60 | 0.60 |
| THI | 12 | 11 | 9 | 0.52 | 0.57 | 13 | 11 | 8 | 0.54 | 0.62 |
| U1 | 6 | 1 | 0 | 0.86 | 1.00 | 6 | 1 | 0 | 0.86 | 1.00 |
| tRNA | 171 | 75 | 72 | 0.70 | 0.70 | 165 | 72 | 78 | 0.70 | 0.68 |
| Unknown |  | 12 |  |  |  |  | 13 |  |  |  |
| Average |  |  |  | 0.74 | 0.77 |  |  |  | 0.74 | 0.78 |

## Backtrack - divide and conquer

Backtrack realignment uses more memory than the local alignment scan

1. A local alignment scan finds the coordinates of the alignment
2. A pre-backtrack realignment is used to find all the bifurcation points in the conserved structure
3. The bifurcation points are used to split the structure into (hopefully) smaller unbifurcated segments
4. Each segment is realigned with the unbranched algorithm and backtracked in a normal fashion

## Global alignment and pruning

The pruning is too effective during global alignment. Too much is lost

$$
\Theta_{\text {global }}=\Theta_{\text {local }}\left(l_{1}, l_{2}\right)-\min \left\{\operatorname{abs}\left(l_{1}-l_{2}\right), \operatorname{abs}\left(L_{1}-L_{2}\right)\right\} \times G_{E}
$$

## Global alignment - time



## Global alignment - performance



## Conclusion

FOLDALIGN is a tool for local and global alignment of RNA sequences
It is fast and memory efficient (for an implementation of the Sankoff algorithm)
It is easy to use
It can make pairwise local structural alignments
It makes good global alignments (Dowell et al. 2006, Gardner et al. 2005)
Its global structure predictions are as good as that of competing algorithms
Web-server, source code etc are available at http://foldalign.ku.dk

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