Constrained RNA Folding -New Results

Biological Motivation

RNA Structures and Shapes

Realising RNA shapes

Extended shapes

Realising Extended Shapes

Empirical Results

NPcompleteness Results

Conclusior

Constrained RNA Folding - New Results

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February 20, 2007

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Outline

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- Realizing RNA Shapes
- Extended Shapes and Realization

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RNA switch

one RNA sequence \Rightarrow different structures \Rightarrow different functions

Aptamer

Aim: Finding a RNA sequence, which can fold into a special structure

QUESTION:

On what conditions is it possible to find a single RNA sequence which is compatible to given structures?

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primary structure

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secondary structure

5-end GCGGAUUUAGCUCAGUUGGGAGAGCGCCAGACUGAAGAUCUGGAGGUCCUGUGUUCGAUCCACAGAAUUCGCACCA

tertiary structure

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Definition

A length *n* **RNA sequence** or **primary structure** is considered as a word *s* in the space $C_n = \{A, C, G, U\}^n$, i.e.

$$\mathbf{s} = \mathbf{s}_1 \dots \mathbf{s}_n \in \mathbf{C}_n = \{\mathbf{A}, \mathbf{C}, \mathbf{G}, \mathbf{U}\}^n.$$

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primary structure

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tertiary structure

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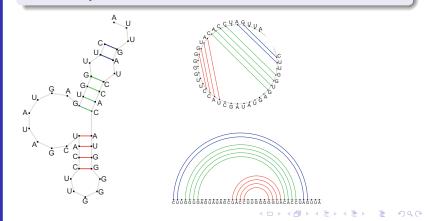
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A **shape** *S* of size *n* is a graph $S = (V_n, E)$ with set $V_n = \{v_1, ..., v_n\}$ of vertices and set *E* of independent edges such that for any two edges $\{v_i, v_j\}, \{v_k, v_l\} \in E$, where i < j and k < l, it is not the case that i < k < j < l.



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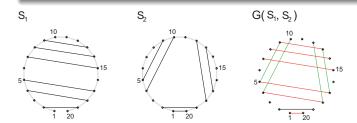
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For given shapes $S_1, ..., S_k$ of the same size *n*, we define the **graph of shapes** $G(S_1, ..., S_k)$ as $G(V_n, \bigcup_{i=1}^k E(S_i))$



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On what conditions is it possible to find a single string, which is realizing any given shapes S_1, \ldots, S_k of the same size?

Definition

A string $s = s_1 \dots s_n \in \{A, C, G, U\}^n$ realizes a shape S of size $n \Leftrightarrow \forall \{v_i, v_j\} \in E(S)$ holds:

 $s_i s_j \in \mathscr{B} = \{AU, UA, CG, GC, GU, UG\}.$

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B denotes the pairing rules.

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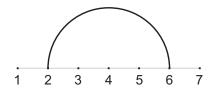
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 $s_2s_6{\in}\left\{\text{AU,UA,CG,GC,GU,UG}\right\}$

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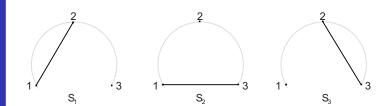
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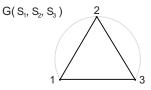
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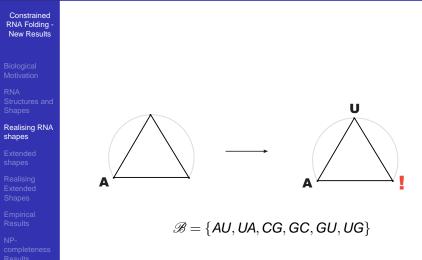
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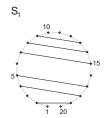
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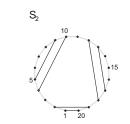
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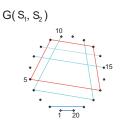
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Question:

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On what conditions is it possible to find a single string, which is realizing any given shapes S_1, \ldots, S_k of the same size? If there is a single string, which is realizing all edges in the shapes S_1, \ldots, S_k , then this string realizes all the edges in the

graph $G(S_1,...,S_k)$. Accordingly we can ask: What properties have to be fulfille the graph $G(S_1,...,S_k)$, that there exists a single string, while is realizing all these shapes $S_1,...,S_k$?

Question:

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On what conditions is it possible to find a single string, which is realizing any given shapes S_1, \ldots, S_k of the same size?

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Accordingly we can ask: What properties have to be fulfilled in the graph $G(S_1,...,S_k)$, that there exists a single string, which is realizing all these shapes $S_1,...,S_k$?

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Conclusion

• *R*(*S*) denotes the set of all sequences that are compatible with shape *S*.

• Intersection Theorem [Reidys et al. 1995] For any two secondary structures S_1 and S_2 of same size holds: $R(S_1) \cap R(S_2) \neq \emptyset$

② Generalized Intersection Theorem [Flamm et al. 2001] $\bigcap_{i=1}^{k} R(S_i) \neq \emptyset \Leftrightarrow$

- $G(S_1, \ldots, S_k)$ is bipartite
- there are no odd cycles in $G(S_1, \ldots, S_k)$
- the shapes S_1, \ldots, S_k are realizable by a single binary string, i.e. $\exists s \in \{0,1\}^n \Leftrightarrow \forall \{v_i, v_j\} \in E(S_l)$ holds: $s_i \neq s_j$

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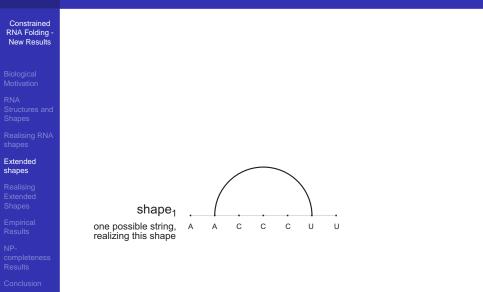
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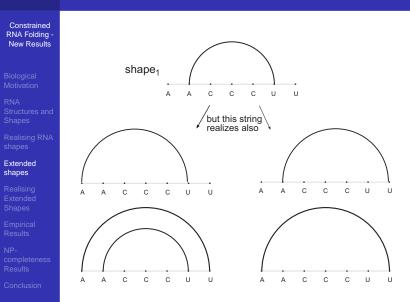
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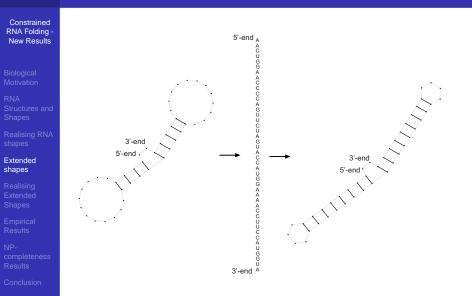
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How can we find sequences, which are realizing given shapes, but also conserving the structure even better than the current sequences, in such a way that unpaired positions are considered?

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Pseudo Edges



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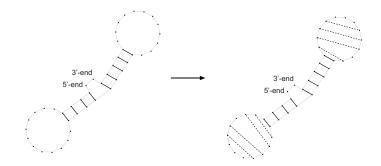
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In this case:

- insert pseudo edges
- ask for additional conditions to a string, which is realising a shape with pseudo edges

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Definition

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Let $S = G(V_n, E)$ be a shape of size *n*. The set $V'(S) = \{v_i \in V_n \mid \nexists v_j \in V_n, s.t.\{v_i, v_j\} \in E\}$ is the set of all vertices that are not paired.

- Now arbitrary vertices v_i, v_j ∈ V'(S) will get connected by new edges {v_i, v_j}.
- These new edges are called pseudo edges.
- But connecting new vertices with pseudo edges is restricted to: The characteristics of a shape have to be conserved.

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For a better understanding we are calling the 'old' edges: **regular edges**.

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Let $\hat{E}(S)$ be the set of all inserted *pseudo edges* in *S*. We call the graph $\hat{S} = (V_n, E(S), \hat{E}(S)) := (V_n, \tilde{E} := E(S) \cup \hat{E}(S), \gamma)$, that emerges from the shape *S*, **shape extension** of *S* or also **extended shape**.

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Definition

Let $\hat{S}_1 = (V_n, E(S_1), \hat{E}(S_1)), \dots, \hat{S}_k = (V_n, E(S_k), \hat{E}(S_k))$ be extended shapes.

The graph of extended shapes $G(\hat{S}_1, ..., \hat{S}_k)$ is defined by:

$$(V, E, \hat{E}) := (V_n, \tilde{E} := \cup_{i=1}^k (E(S_i) \cup \hat{E}(S_i)), \gamma)$$

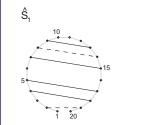
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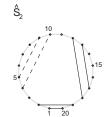


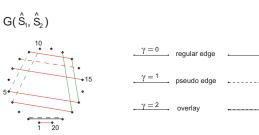
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Realizing Extended Shapes

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For the sake of convenience we define the term *realizing an extended shape* at first for a binary alphabet.

efinition

A binary string $s = s_1 \dots s_n \in \{0,1\}^n$ realizes an extended shape \hat{S} of size $n \iff$



② for all pseudo edges {v_i, v_j} ∈ Ê(S) holds: s_i = s_j, i.e. s_is_j ∈ ℬ_{PSE} := {00, 11}.

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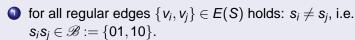
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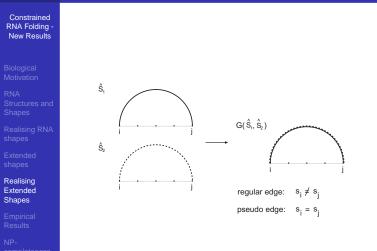
A binary string $s = s_1 \dots s_n \in \{0,1\}^n$ realizes an *extended shape* \hat{S} of size $n \iff$



for all pseudo edges { v_i, v_j } ∈ $\hat{E}(S)$ holds: $s_i = s_j$, i.e.
 $s_i s_j \in \mathscr{B}_{PSE} := \{00, 11\}.$

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Realizing Extended Shapes



Intersection Theorem isn't valid for extended shapes.

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The same question, another answer

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What properties have to be fulfilled in the graph of extended shapes $G(\hat{S}_1, \ldots, \hat{S}_k)$, s.t. there exists a single binary string, which is realizing all these extended shapes $\hat{S}_1, \ldots, \hat{S}_k$

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Let $G(\hat{S}_1, \hat{S}_2)$ be the graph of two extended shapes. Furthermore let G' be the graph, that emerges from $G(\hat{S}_1, \hat{S}_2)$ by replacing all pseudo edges $e = \{u, w\}$ with two new regular edges $\{u, e\}, \{e, w\}$ with a new vertex *e* not contained in V_n , i.e. deg(e)=2.

G G' u w u e w realization: 1 1 1 0 1 0 0 0 1 0

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Lemma

Two arbitrary extended shapes \hat{S}_1, \hat{S}_2 of size *n* can be realized by a single binary string \iff The graph G' is bipartite.

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Theorem

Any extended shapes $\hat{S}_1, \dots, \hat{S}_k$ of size *n* can be realized by a single binary string \Leftrightarrow

• $E(S_I) \cap \hat{E}(S_m) = \emptyset$ mit $I \neq m \in \{1, ..., k\}$, i.e. there are no overlays and

the graph G(Ŝ₁,...,Ŝ_k) does not contain cycles with an odd number of regular edges.

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Similar conditions to the RNA alphabet:

- for all regular edges {*v_i*, *v_j*} ∈ *E*(*S*) holds:
 s_is_i ∈ {*AU*, *UA*, *CG*, *GC*, *GU*, *UG*}
- for all pseudo edges $\{v_i, v_j\} \in \hat{E}(S)$ holds: $s_i = s_i$, i.e. $s_i s_i \in \{AA, UU, GG, CC\}$

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Theorem

Any extended shapes $\hat{S}_1, \ldots, \hat{S}_k$ of size *n* can be realized by a single string $s \in \{A, C, G, U\}^n$ with the conditions above \Leftrightarrow These extended shapes $\hat{S}_1, \ldots, \hat{S}_k$ of size *n* can be realized by a single binary string

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- If we have found sequences, that realize extended shapes,
- then we have found sequences, that realize the corresponding shapes,
- BUT also conserve the structure even better, in the case that unpaired positions are considered.

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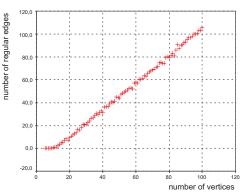
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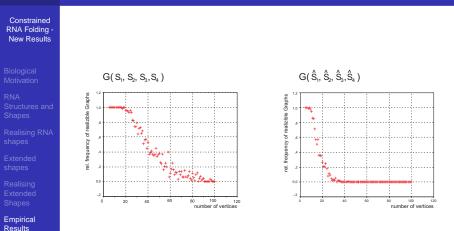
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Conclusion

 $G(S_1, S_2, S_3, S_4)$



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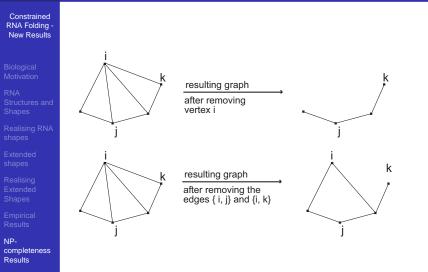
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Empirical Results

Conclusion

Problems



Conclusion

Let $S_1, ..., S_k$ and $\hat{S}_1, ..., \hat{S}_k$ be any shapes or extended shapes respectively of the same size *n*.

Constrained RNA Folding -New Results

Biological Motivation

RNA Structures and Shapes

Realising RNA shapes

Extended shapes

Realising Extended Shapes

Empirical Results

NPcompleteness Results

Conclusion

Problem

Compute the minimum number of

- VERTICES, that must be removed from the graph G(S₁,...,S_k), s.t. S₁,...,S_k are realizable by a single string.
- EDGES, that must be removed from the graph G(S₁,...,S_k), s.t. S₁,...,S_k are realizable by a single string.
- VERTICES, that must be removed from the graph G(Ŝ₁,...,Ŝ_k), s.t. Ŝ₁,...,Ŝ_k are realizable by a single string.
- REGULAR AND PSEUDO EDGES, that have to be removed from G(Ŝ₁,...,Ŝ_k), s.t. Ŝ₁,...,Ŝ_k are realizable by a single string.

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NPcompleteness Results

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- we started with "normal" shapes
- aim: finding sequences, that realizes shapes
- we extended the shapes by inserting pseudo edges
- aim: finding sequences, that realizes extended shapes.
- There are NP-complete problems, arising from the term realizing shapes and extended shapes.

Constrained RNA Folding -New Results

Biological Motivation

RNA Structures and Shapes

Realising RNA shapes

Extended shapes

Realising Extended Shapes

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NPcompleteness Results

Conclusion

Thank you for your attention.

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