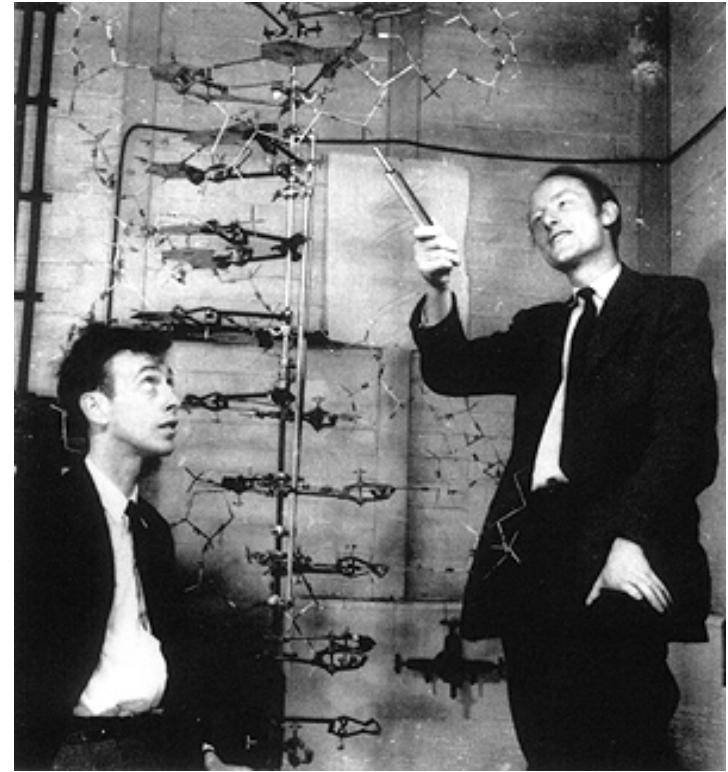
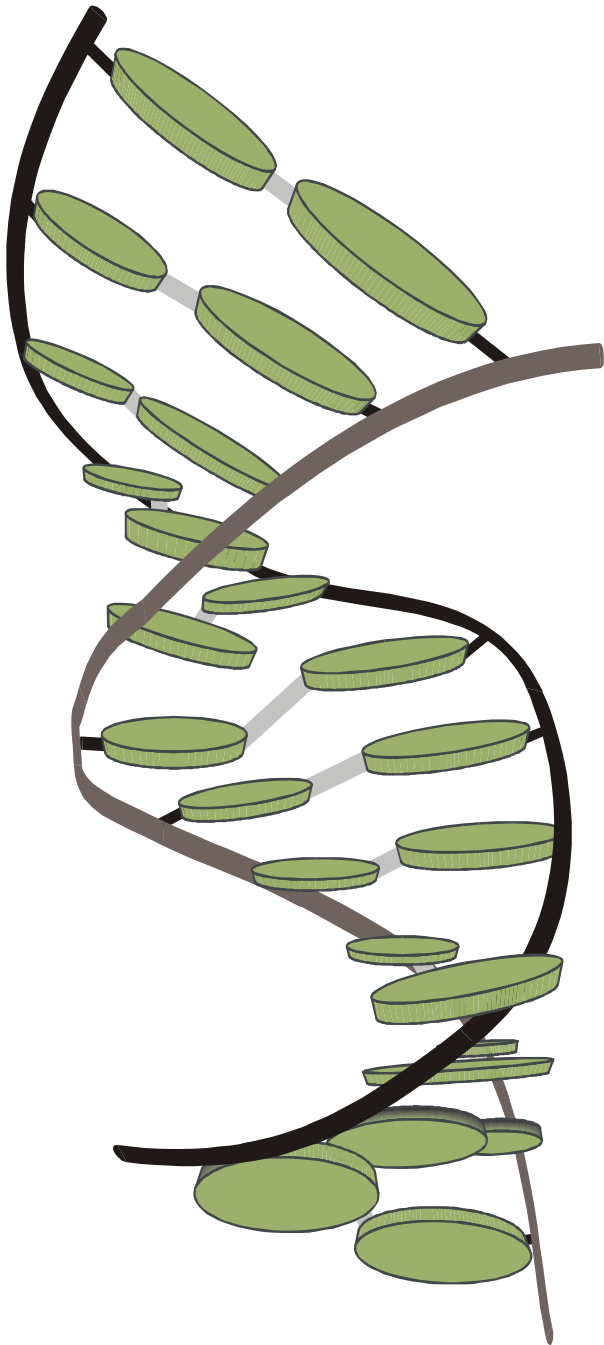


Web-Page for further information:

<http://www.tbi.univie.ac.at/~pks>

1. Replication and selection
2. Mutation, quasispecies and error thresholds
3. Sequences, structures and neutrality
4. Realistic fitness landscapes
5. Replicating networks
6. RNA structure optimization
7. Experiments with RNA

1. **Replication and selection**
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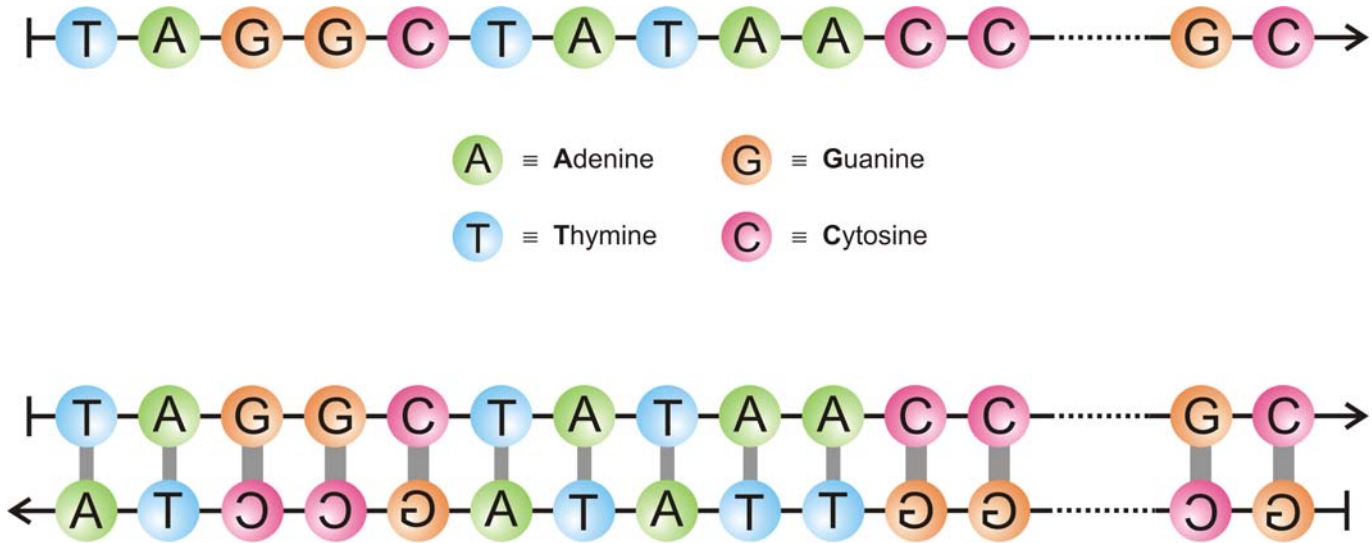


James D. Watson, 1928-, and Francis H.C. Crick, 1916-2004

Nobel prize 1962

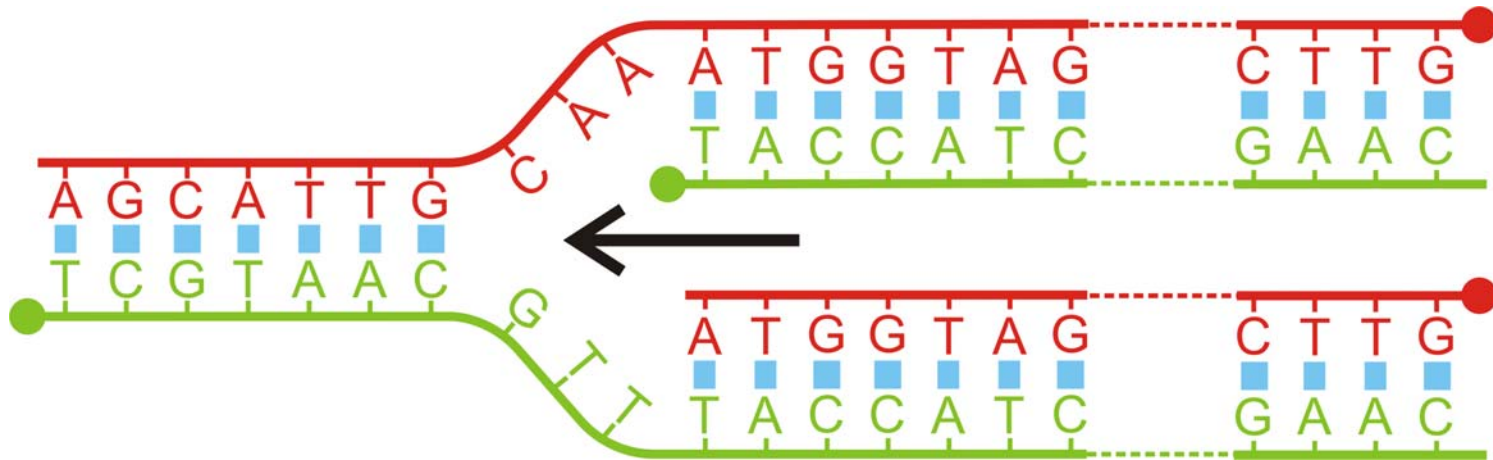
1953 – 2003 fifty years double helix

The three-dimensional structure of a short double helical stack of B-DNA



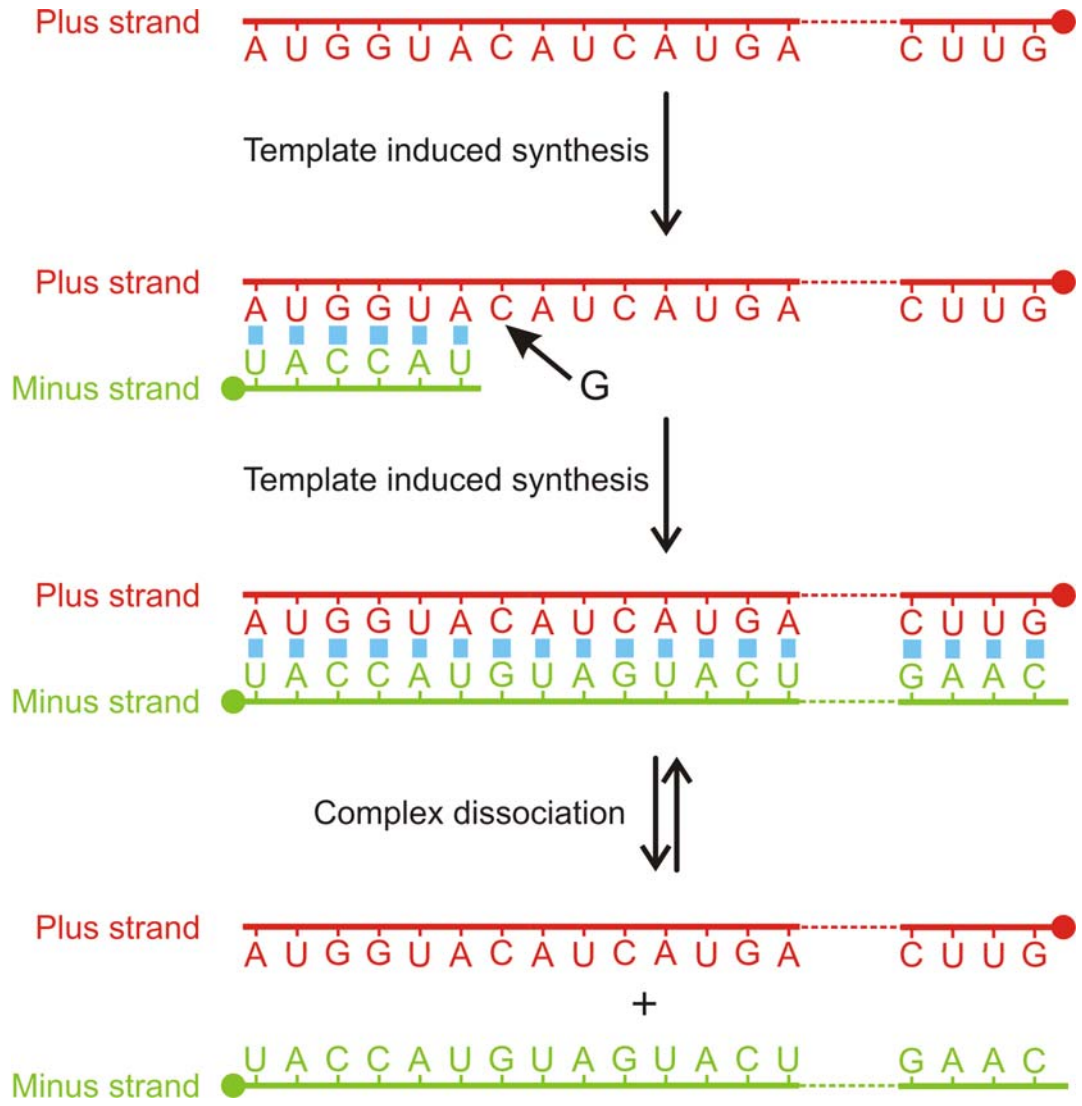
Deoxyribonucleic acid - DNA

Base complementarity and conservation of genetic information



,'Replication fork' in DNA replication

The mechanism of DNA replication is ,semi-conservative'



Complementary replication is the simplest copying mechanism of RNA.

Complementarity is determined by Watson-Crick base pairs:

G≡C and **A=U**

Selforganization of Matter and the Evolution of Biological Macromolecules

MANFRED EIGEN*

Max-Planck-Institut für Biophysikalische Chemie, Karl-Friedrich-Bonhoefer-Institut, Göttingen-Nikolausberg

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I. Introduction

I.1. „Cause and Effect“

The question about the origin of life often appears as a question about "cause and effect". Physical theories of macroscopic processes usually involve answers to such questions, even if a statistical interpretation is given to the relation between "cause" and "effect". It is mainly due to the nature of this question that many scientists believe that our present physics does not offer any obvious explanation for the existence of life.

* Partially presented at the "Robbins Lectures" at Pomona College, California, in spring 1970.

The Hypercycle

A Principle of Natural Self-Organization

Part A: Emergence of the Hypercycle

Manfred Eigen

Max-Planck-Institut für biophysikalische Chemie, D-3400 Göttingen

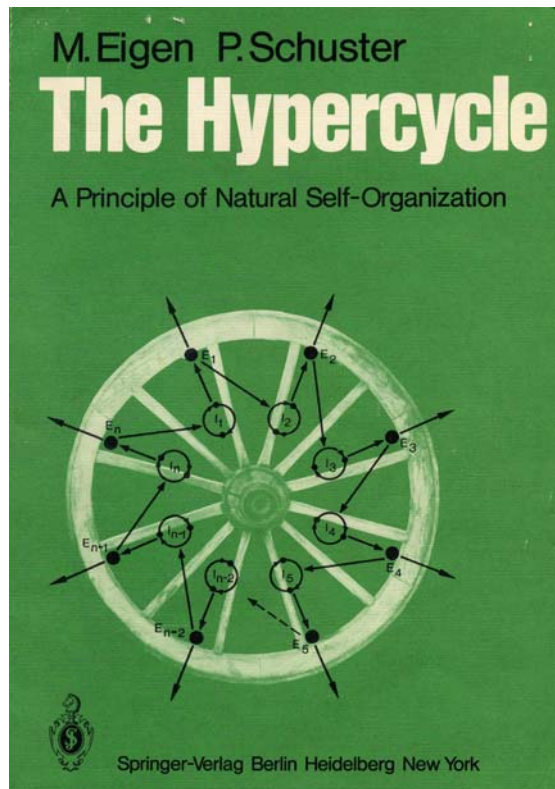
Peter Schuster

Institut für theoretische Chemie und Strahlenchemie der Universität, A-1090 Wien

This paper is the first part of a trilogy, which comprises a detailed study of a special type of functional organization and demonstrates its relevance with respect to the origin and evolution of life. Self-replicating macromolecules, such as RNA or DNA in a suitable environment exhibit a behavior, which we may call Darwinian and which can be formally represented by the concept of the quasi-species. A quasi-species is defined as a given distribution of macromolecular species with closely interrelated sequences, dominated by one or several (hypothesized) master copies. External conditions enforce the selection of the best adapted distribution, autocatalytically referred to as the wild-type. Most important for Darwinian behavior are the criteria for internal stability of the quasi-species. If these criteria are violated, the information stored in the nucleotide sequence of the master copy will disseminate irreversibly leading to an error catastrophe. As a consequence, selection and evolution of RNA or DNA molecules is limited with respect to the amount of information that can be stored in a single replicative unit. An analysis of experimental data regarding RNA and DNA replication at various levels of organization reveals, that a sufficient amount of information for the build up of a translation machinery can be gained only via integration of several different replicative units (reproduction cycles) through reciprocal linkages. A stable functional organization then will arise if the system to a low level of organization and thereby enter its information capacity spontaneously. The Hypercycle appears to be such a form of organization.

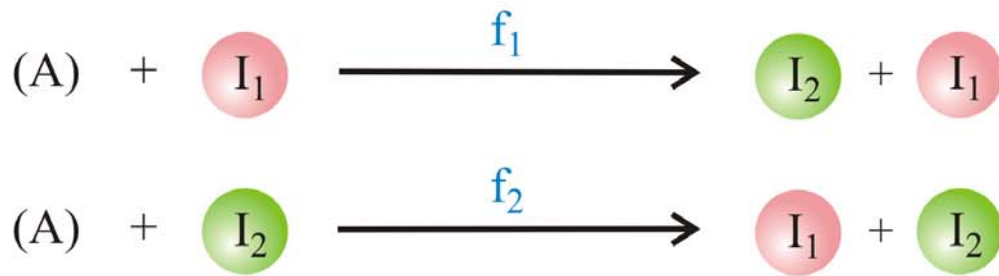
Preview on Part B: The Absolute Hypercycle

The mathematical analysis of dynamical systems using methods of differential topology yields the result that there is only one type of mechanism which fulfills the following requirements: The information stored in each single replicative unit (or reproductive cycle) must be maintained, i.e., the respective master copies must compete favorably with their error distributions. Despite their competitive behavior these units must establish a cooperation which includes all functionally integrated species. On the other hand, the cycle as a whole must continue to compete strongly with any other single entity or isolated ensemble which does not contribute to its integrated function. These requirements are crucial for a selection of the best adapted functionally linked ensemble and its evolutive optimization. Only



Chemical kinetics of molecular evolution

M. Eigen, P. Schuster, 'The Hypercycle', Springer-Verlag, Berlin 1979



$$\begin{aligned} dx_1 / dt &= f_2 x_2 - x_1 \Phi \\ dx_2 / dt &= f_1 x_1 - x_2 \Phi \end{aligned}$$

$$\Phi = \sum_i f_i x_i ; \quad \sum_i x_i = 1 ; \quad i=1,2$$

Complementary replication as the simplest molecular mechanism of reproduction

Equation for complementary replication: $[I_i] = x_i \geq 0, f_i > 0; i=1,2$

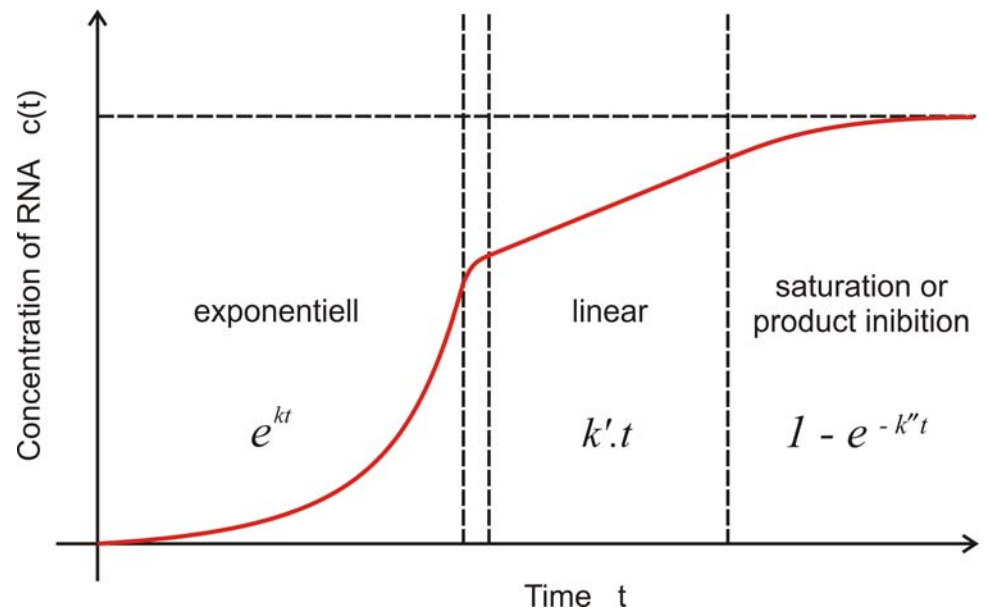
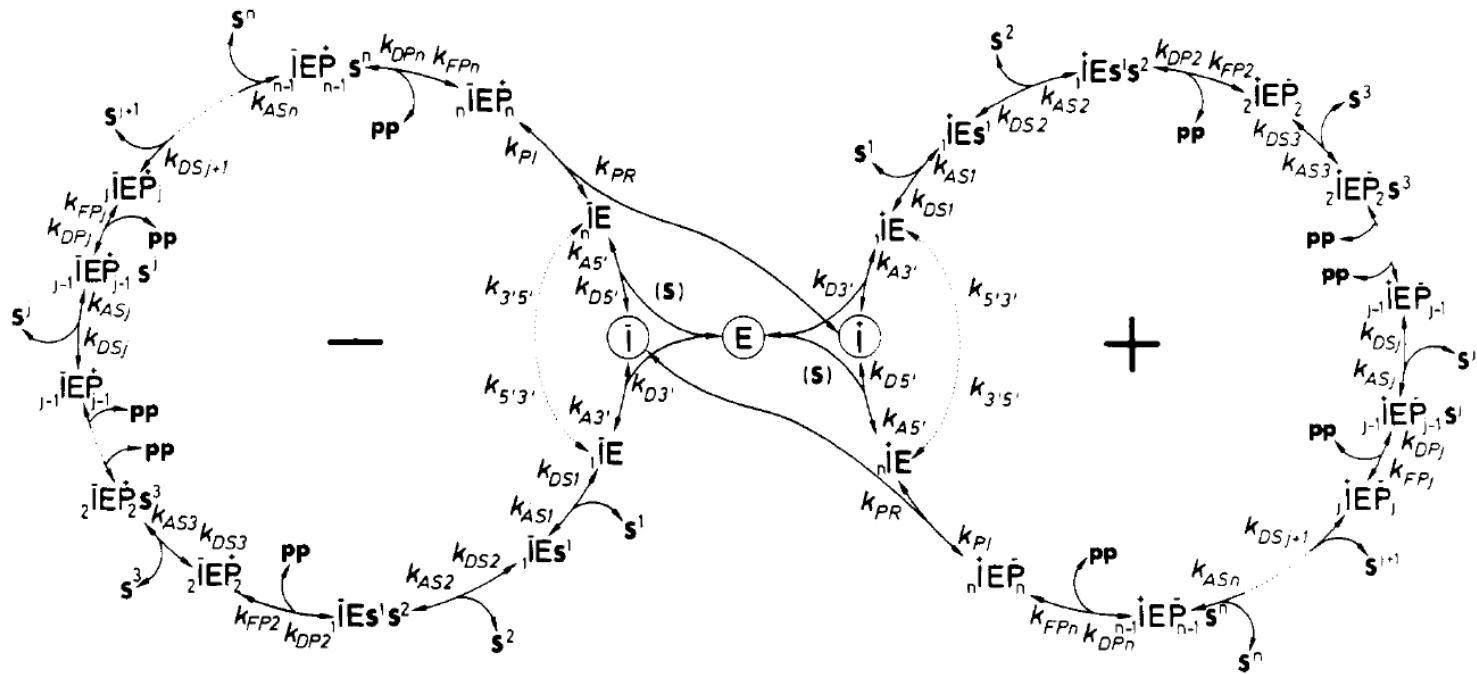
$$\frac{dx_1}{dt} = f_2 x_2 - x_1 \phi, \quad \frac{dx_2}{dt} = f_1 x_1 - x_2 \phi, \quad \phi = f_1 x_1 + f_2 x_2 = \bar{f}$$

Solutions are obtained by integrating factor transformation

$$x_{1,2}(t) = \frac{\sqrt{f_{2,1}} (\gamma_1(0) \cdot \exp(ft) + \gamma_2(0) \cdot \exp(-ft))}{(\sqrt{f_1} + \sqrt{f_2}) \gamma_1(0) \cdot \exp(ft) - (\sqrt{f_1} - \sqrt{f_2}) \gamma_2(0) \cdot \exp(-ft)}$$

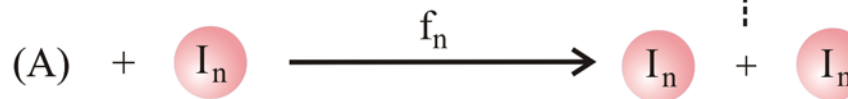
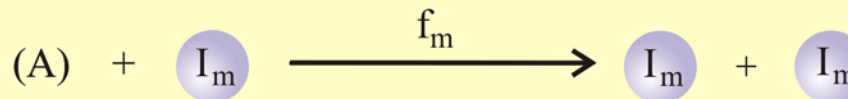
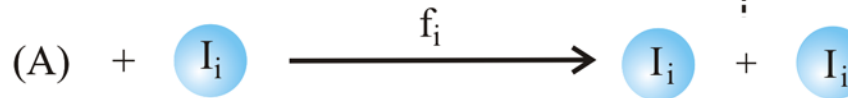
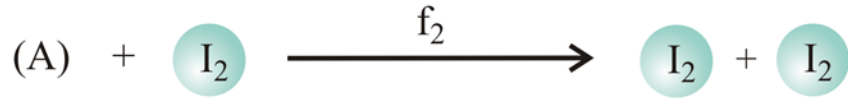
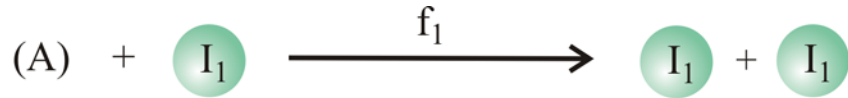
$$\gamma_1(0) = \sqrt{f_1} x_1(0) + \sqrt{f_2} x_2(0), \gamma_2(0) = \sqrt{f_1} x_1(0) - \sqrt{f_2} x_2(0), f = \sqrt{f_1 f_2}$$

$$x_1(t) \rightarrow \frac{\sqrt{f_2}}{\sqrt{f_1} + \sqrt{f_2}} \quad \text{and} \quad x_2(t) \rightarrow \frac{\sqrt{f_1}}{\sqrt{f_1} + \sqrt{f_2}} \quad \text{as} \quad \exp(-ft) \rightarrow 0$$



Kinetics of RNA replication

C.K. Biebricher, M. Eigen, W.C. Gardiner, Jr.
Biochemistry **22**:2544-2559, 1983



$$\frac{dx_i}{dt} = f_i x_i - x_i \Phi = x_i (f_i - \Phi)$$

$$\Phi = \sum_j f_j x_j; \quad \sum_j x_j = 1; \quad i, j = 1, 2, \dots, n$$

$$[I_i] = x_i \geq 0; \quad i = 1, 2, \dots, n;$$

$$[A] = a = \text{constant}$$

$$f_m = \max \{f_j; j=1, 2, \dots, n\}$$

$$x_m(t) \rightarrow 1 \text{ for } t \rightarrow \infty$$

Reproduction of organisms or replication of molecules as the basis of selection

Selection equation: $[I_i] = x_i \geq 0, f_i > 0$

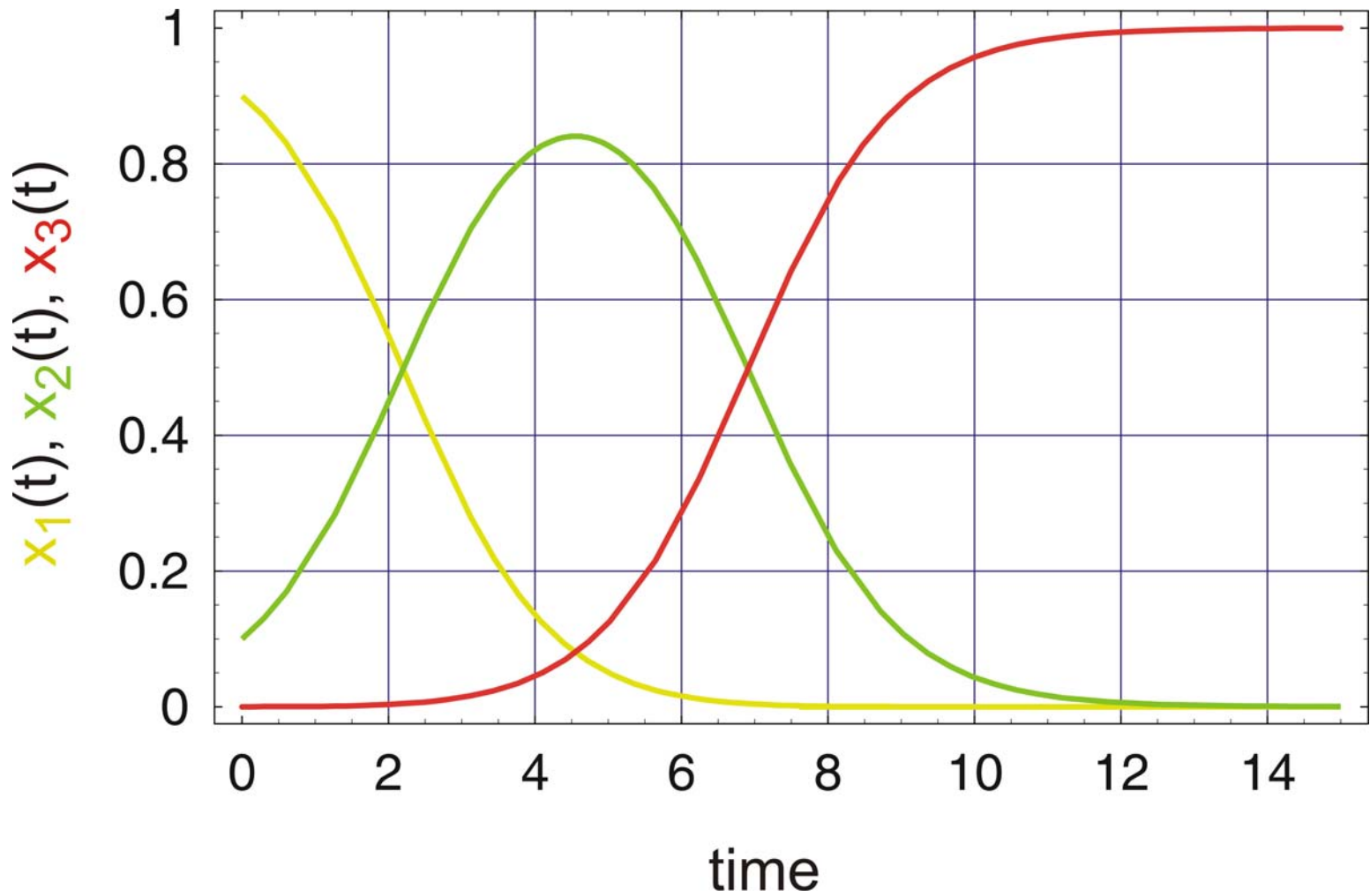
$$\frac{dx_i}{dt} = x_i (f_i - \phi), \quad i=1,2,\dots,n; \quad \sum_{i=1}^n x_i = 1; \quad \phi = \sum_{j=1}^n f_j x_j = \bar{f}$$

Mean fitness or dilution flux, $\phi(t)$, is a non-decreasing function of time,

$$\frac{d\phi}{dt} = \sum_{i=1}^n f_i \frac{dx_i}{dt} = \overline{f^2} - (\bar{f})^2 = \text{var}\{f\} \geq 0$$

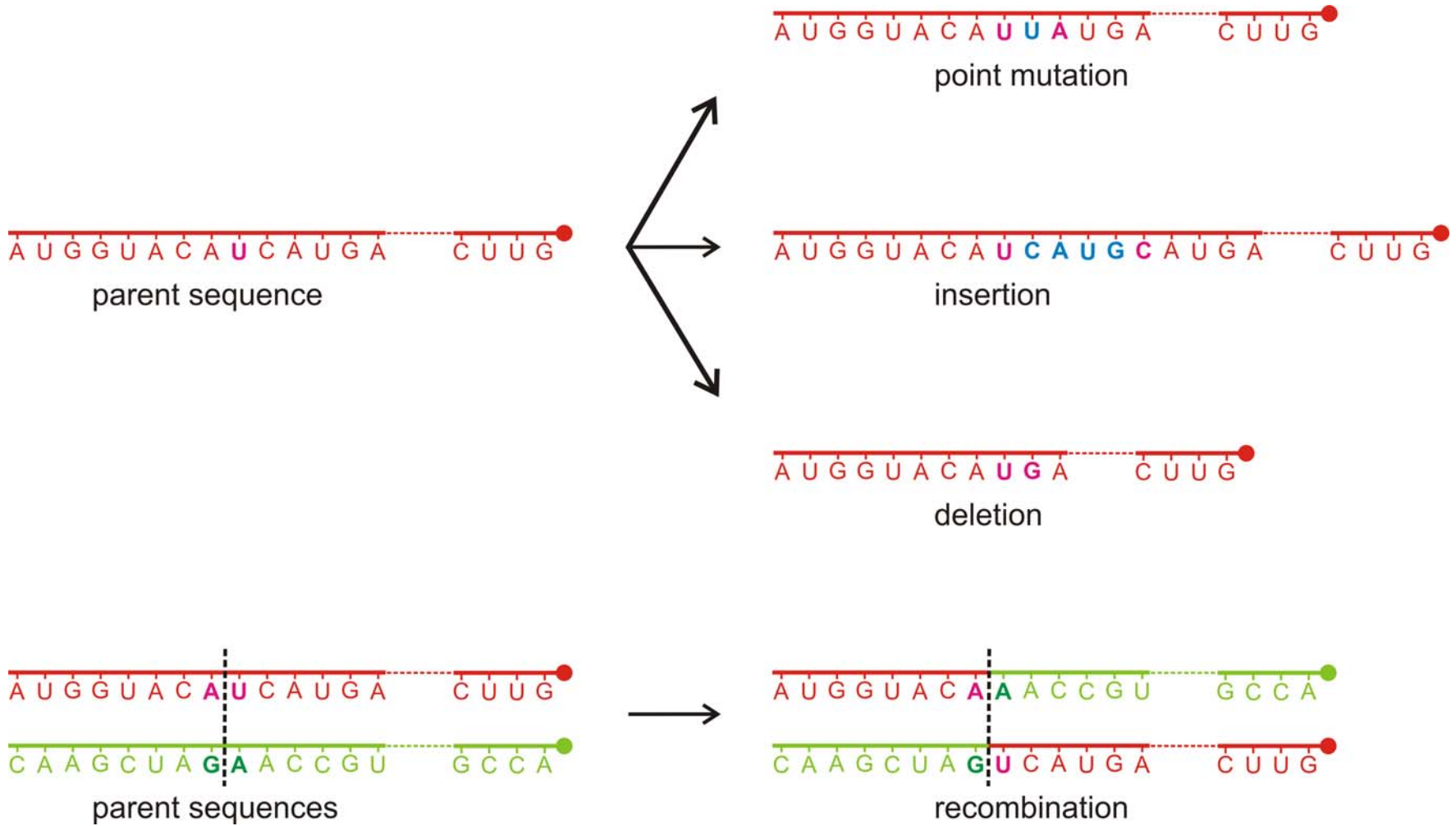
Solutions are obtained by integrating factor transformation

$$x_i(t) = \frac{x_i(0) \cdot \exp(f_i t)}{\sum_{j=1}^n x_j(0) \cdot \exp(f_j t)}; \quad i = 1, 2, \dots, n$$

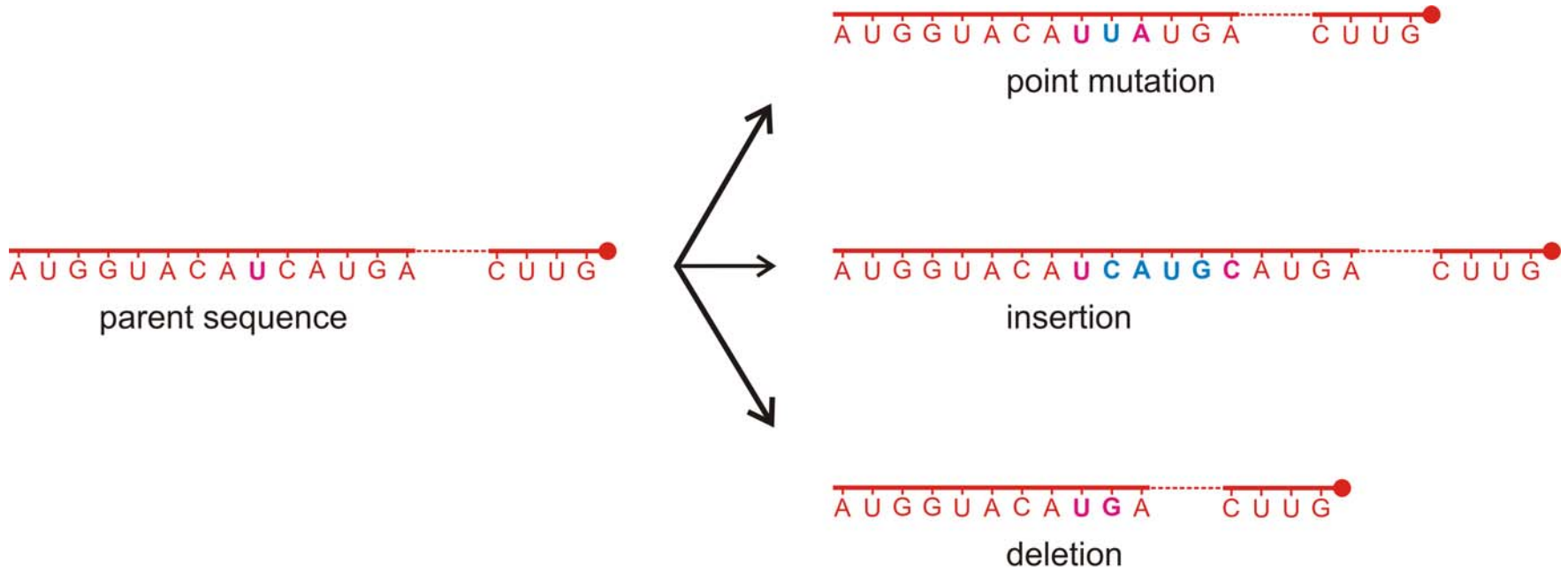


Selection between three species with $f_1 = 1$, $f_2 = 2$, and $f_3 = 3$

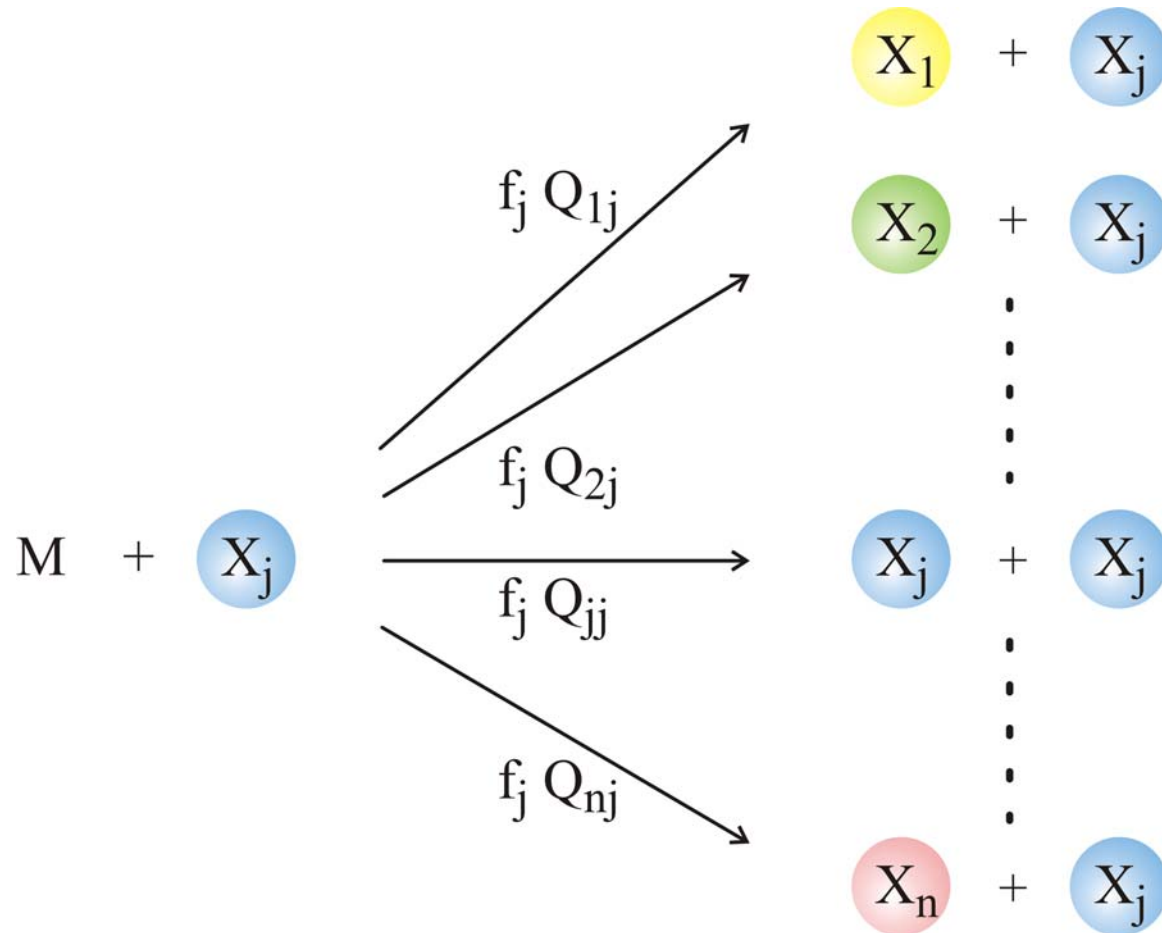
1. Replication and selection
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Variation of genotypes through mutation and recombination



Variation of genotypes through mutation



Chemical kinetics of replication and mutation as parallel reactions

$$\frac{dx_i}{dt} = \sum_{j=1}^n Q_{ij} f_j x_j - x_i \Phi$$

with $\Phi = \sum_{i=1}^n f_i x_i$ and $\sum_{i=1}^n x_i = 1$

$$\sum_{i=1}^n Q_{ij} = 1$$

The replication-mutation equation

Mutation-selection equation: $[I_i] = x_i \geq 0, f_i > 0, Q_{ij} \geq 0$

$$\frac{dx_i}{dt} = \sum_{j=1}^n Q_{ij} f_j x_j - x_i \Phi, \quad i=1,2,\dots,n; \quad \sum_{i=1}^n x_i = 1; \quad \Phi = \sum_{j=1}^n f_j x_j = \bar{f}$$

Solutions are obtained after integrating factor transformation by means of an eigenvalue problem

$$x_i(t) = \frac{\sum_{k=0}^{n-1} \ell_{ik} \cdot c_k(0) \cdot \exp(\lambda_k t)}{\sum_{j=1}^n \sum_{k=0}^{n-1} \ell_{jk} \cdot c_k(0) \cdot \exp(\lambda_k t)}; \quad i=1,2,\dots,n; \quad c_k(0) = \sum_{i=1}^n h_{ki} x_i(0)$$

$$W \doteq \{f_i Q_{ij}; i, j=1,2,\dots,n\}; \quad L = \{\ell_{ij}; i, j=1,2,\dots,n\}; \quad L^{-1} = H = \{h_{ij}; i, j=1,2,\dots,n\}$$

$$L^{-1} \cdot W \cdot L = \Lambda = \{\lambda_k; k=0,1,\dots,n-1\}$$

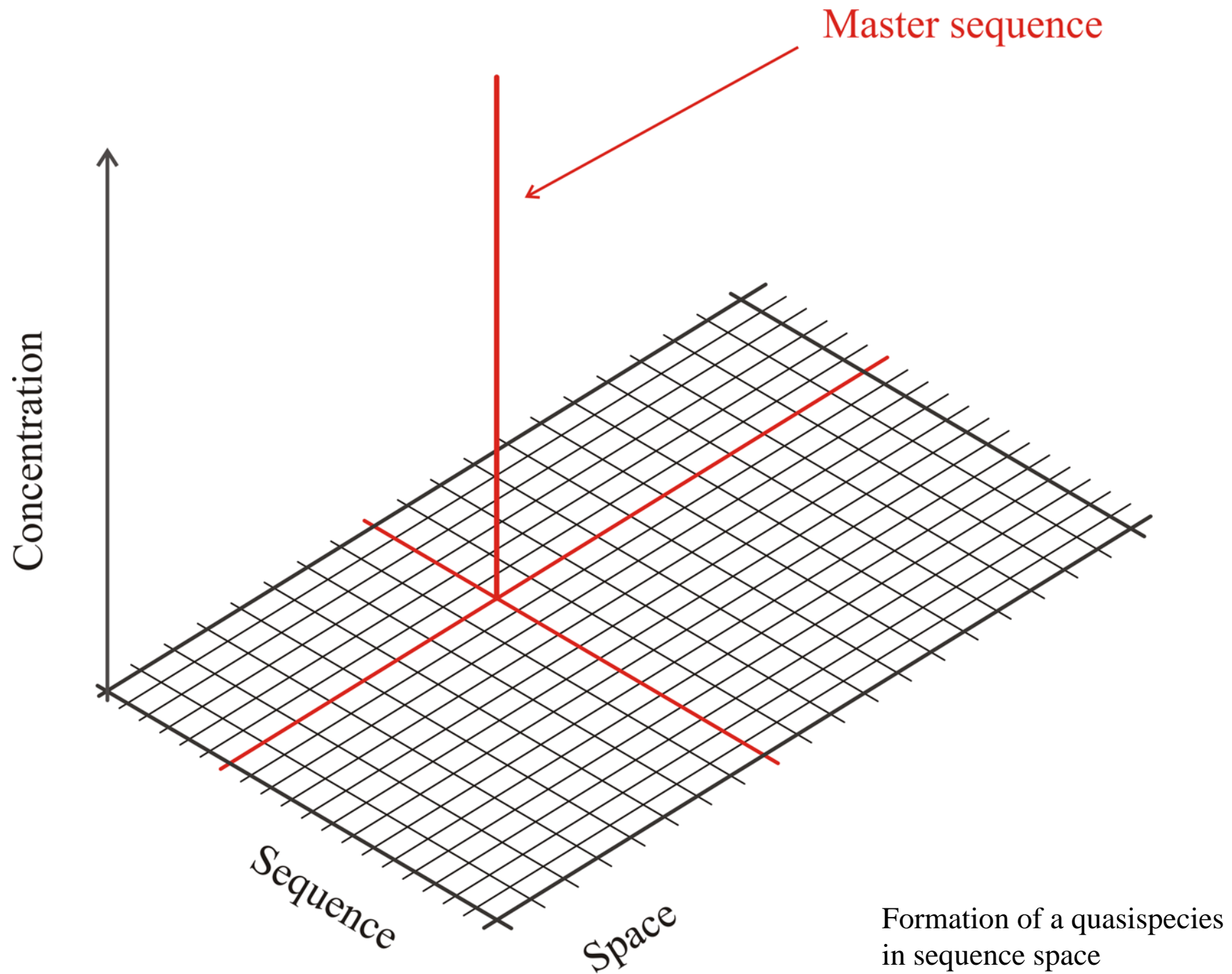


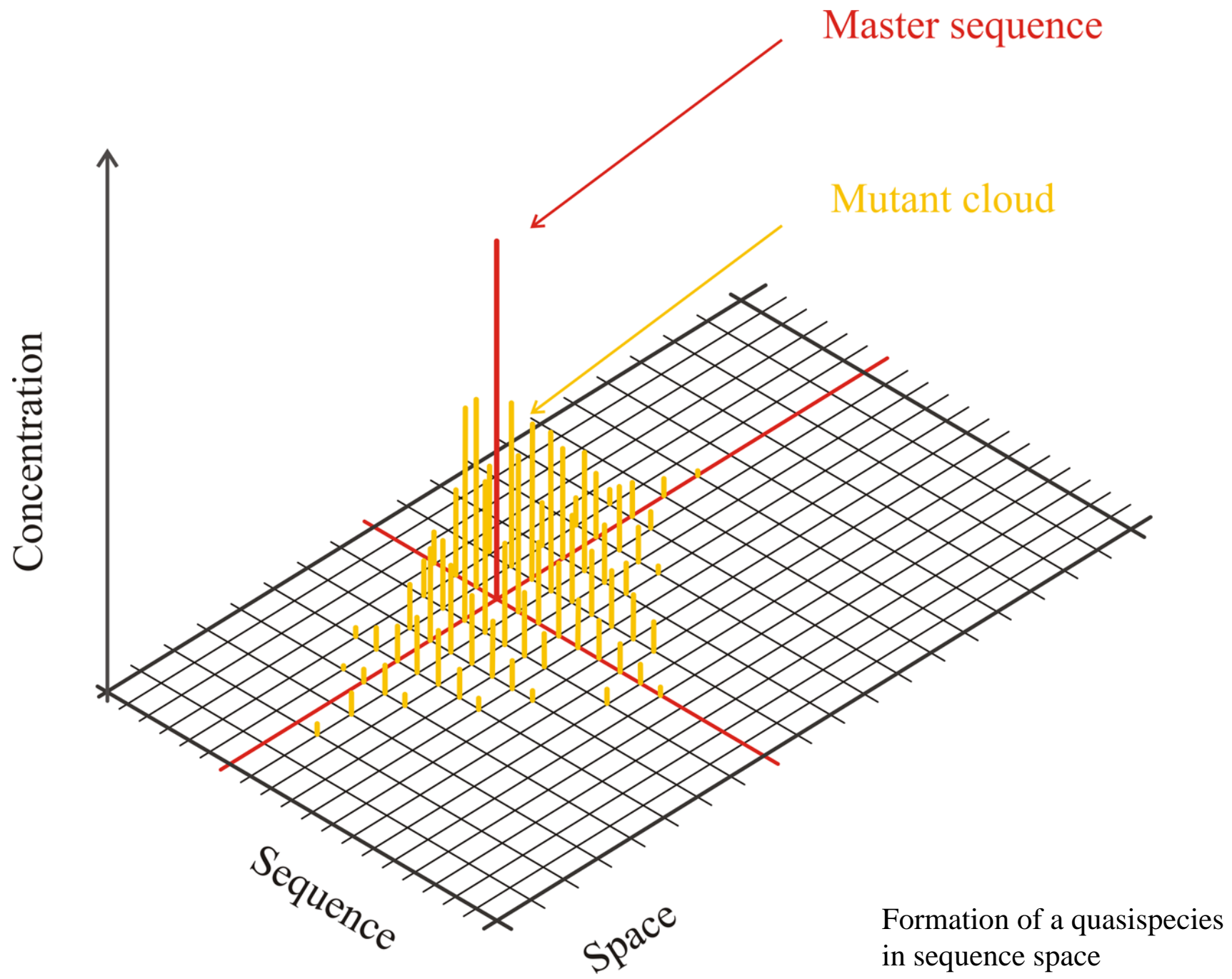
Variation of genotypes through point mutation

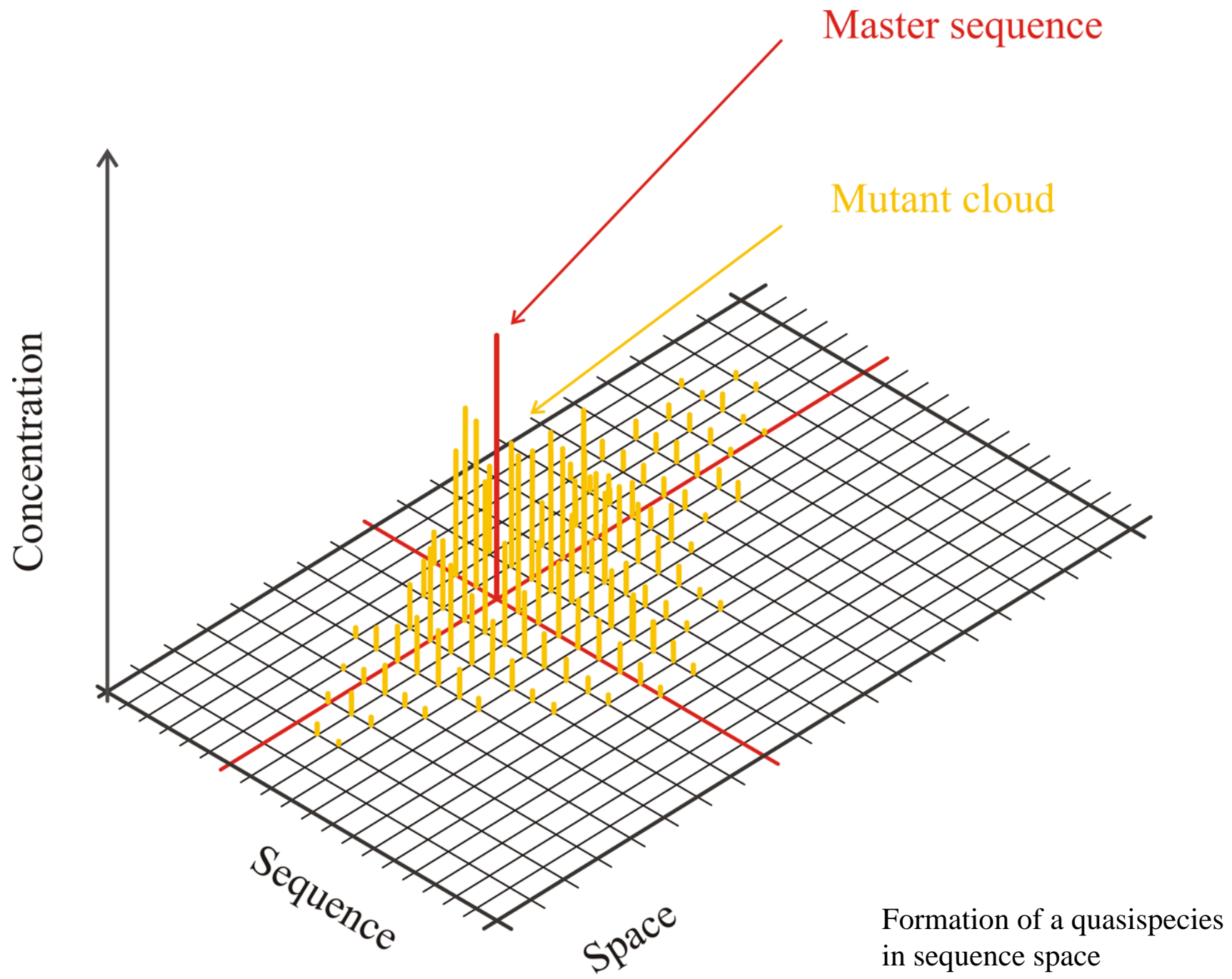
Uniform error rate model:

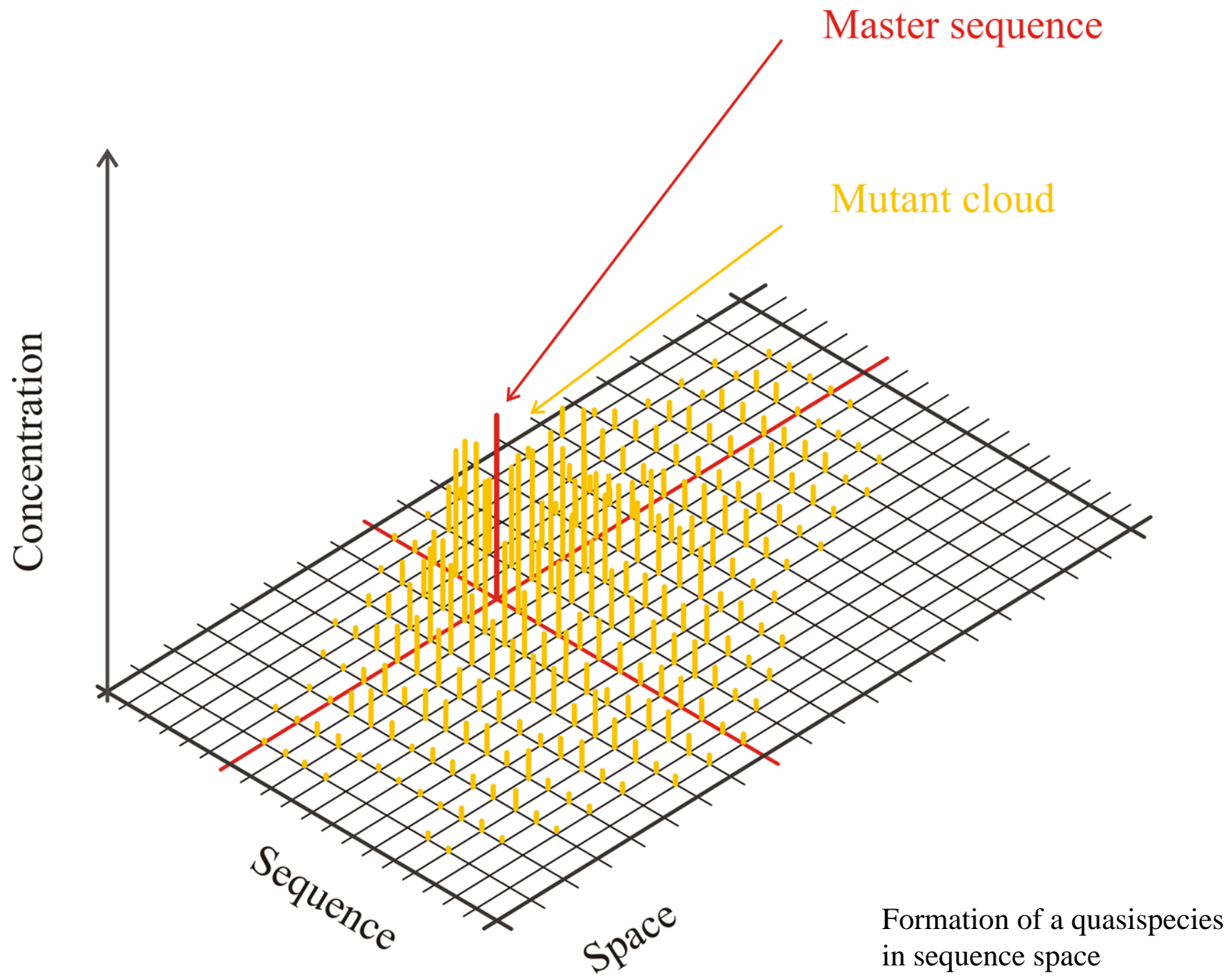
$$Q_{ij} = p^{d_H(\mathbf{x}_i, \mathbf{x}_j)} (1 - p)^{\binom{n - d_H(\mathbf{x}_i, \mathbf{x}_j)}{}}$$

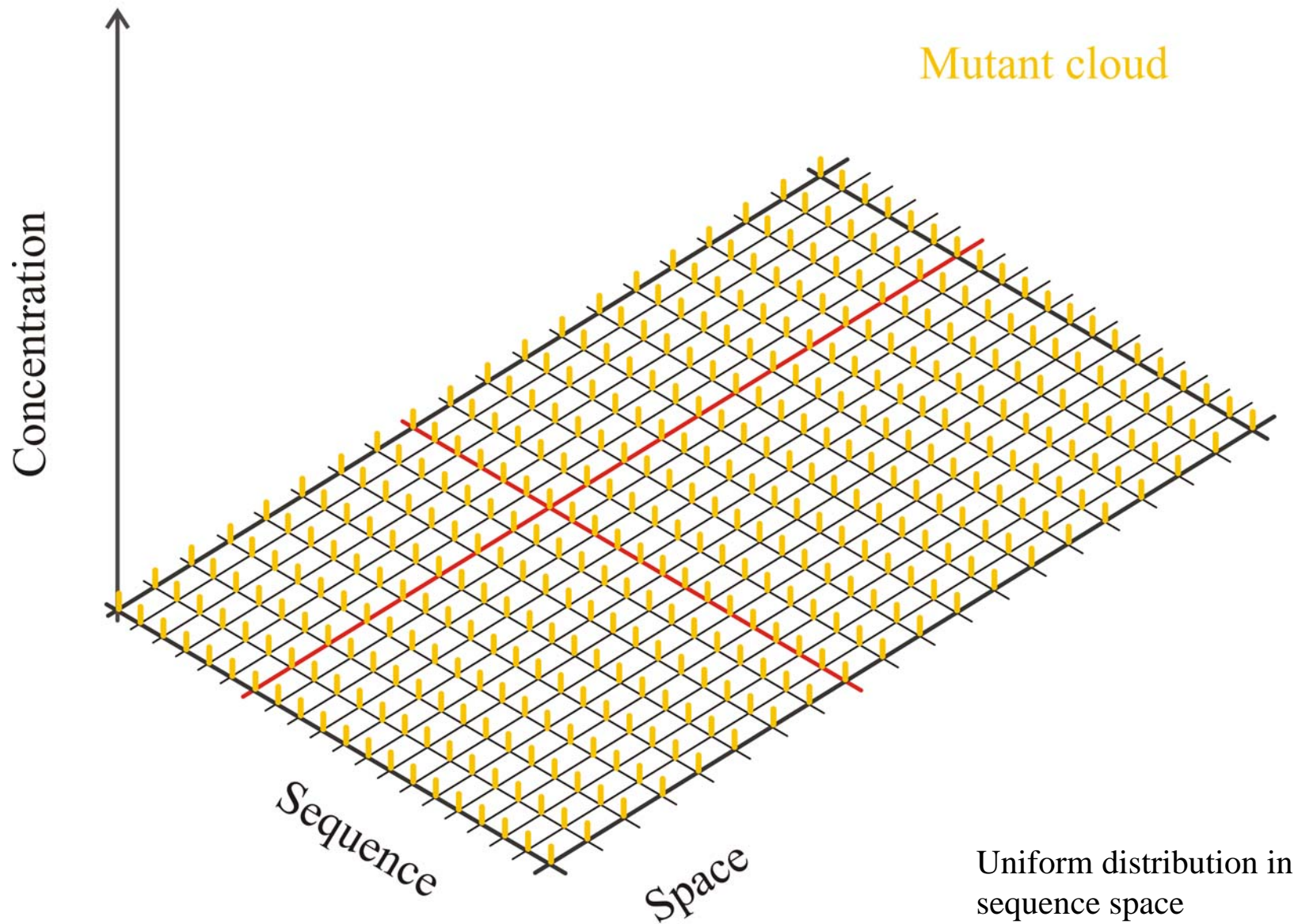
$d_H(\mathbf{x}_i, \mathbf{x}_j)$... Hamming distance

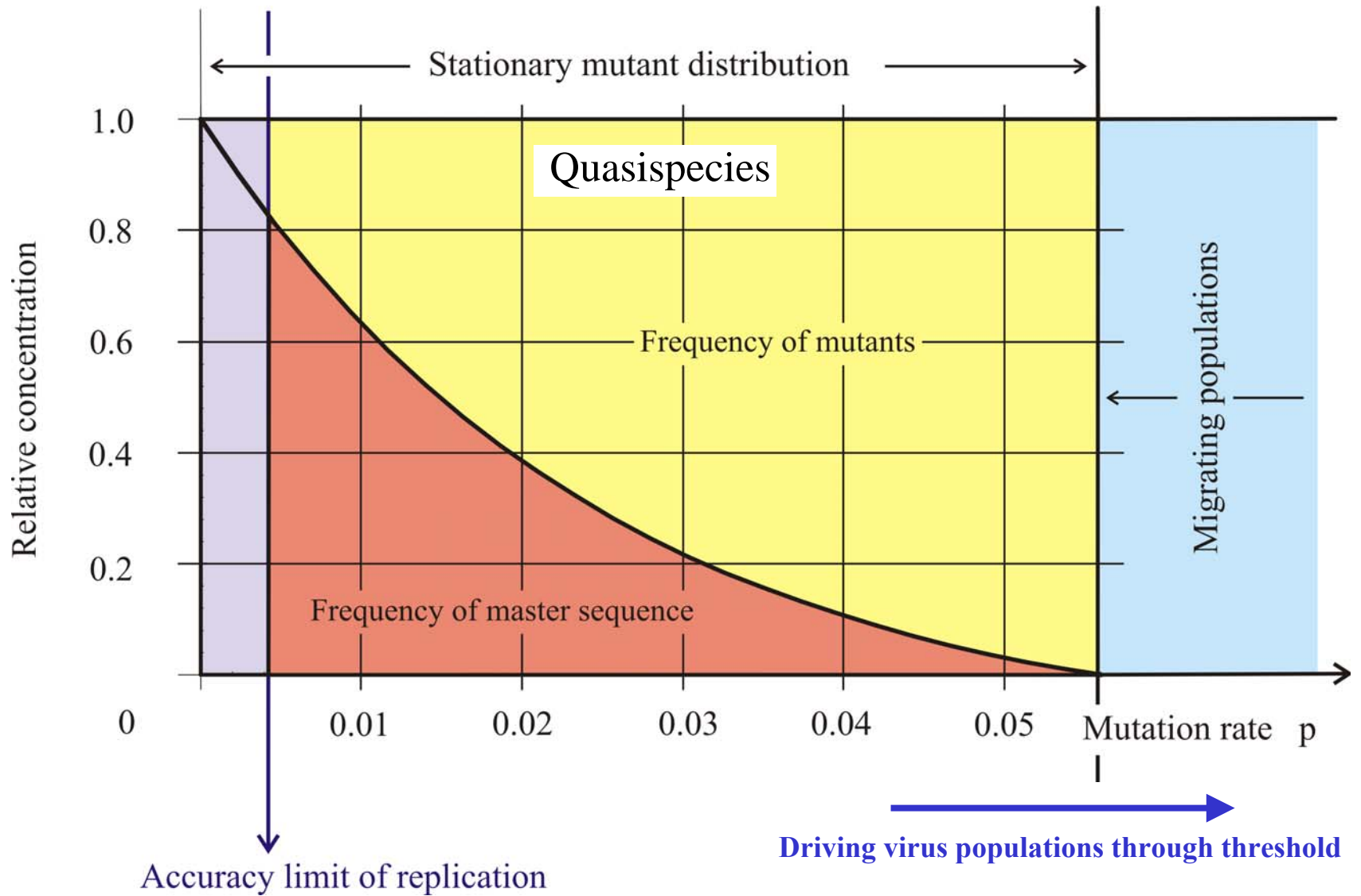












The error threshold in replication

Error catastrophe had its conceptual origins in the middle of the XXth century, when the consequences of mutations on enzymes involved in protein synthesis, as a theory of aging. In those times biological processes were generally perceived differently from today. Infectious diseases were regarded as a fleeting nuisance which would be eliminated through the use of antibiotics and antiviral agents. Microbial variation, although known in some cases, was not thought to be a significant problem for disease control. Variation in differentiated organisms was seen as resulting essentially from exchanges of genetic material associated with sexual reproduction. The problem was to unveil the mechanisms of inheritance, expression of genetic information and metabolism. Few saw that genetic change is occurring at present in all organisms, and still fewer recognized Darwinian principles as essential to the biology of pathogenic viruses and cells. Population geneticists rarely used bacteria or viruses as experimental systems to define concepts in biological evolution. The extent of genetic polymorphism among individuals of the same biological species came as a surprise when the first results on comparison of electrophoretic mobility of enzymes were obtained. With the advent of *in vitro* DNA recombination, and rapid nucleic acid sequencing techniques, molecular analyses of genomes reinforced the conclusion of extreme inter-individual genetic variation within the same species. Now, due largely to spectacular progress in comparative genomics, we see cellular DNAs, both prokaryotic and eukaryotic, as highly dynamic. Most cellular processes, including such essential information-bearing and transferring events as genome replication, transcription and translation, are increasingly perceived as inherently inaccurate. Viruses, and in particular RNA viruses, are among the most extreme examples of exploitation of replication inaccuracy for survival.

Error catastrophe, or the loss of meaningful genetic information through excess genetic variation, was formulated in quantitative terms as a consequence of quasispecies theory, which was first developed to explain self-organization and adaptability of primitive replicons in early stages of life. Recently, a conceptual extension of error catastrophe that could be defined as “induced genetic deterioration” has emerged as

a possible antiviral strategy. This is the topic of the current special issue of *Virus Research*.

Few would nowadays doubt that one of the major obstacles for the control of viral disease is short-term adaptability of viral pathogens. Adaptability of viruses follows the same Darwinian principles that have shaped biological evolution over eons, that is, repeated rounds of reproduction with genetic variation, competition and selection, often perturbed by random events such as statistical fluctuations in population size. However, with viruses the consequences of the operation of these very same Darwinian principles are felt within very short times. Short-term evolution (within hours and days) can be also observed with some cellular pathogens, with subsets of normal cells, and cancer cells. The nature of RNA viral pathogens begs for alternative antiviral strategies, and forcing the virus to cross the critical error threshold for maintenance of genetic information is one of them.

The contributions to this volume have been chosen to reflect different lines of evidence (both theoretical and experimental) on which antiviral designs based on genetic deterioration inflicted upon viruses are being constructed. Theoretical studies have explored the copying fidelity conditions that must be fulfilled by any information-bearing replication system for the essential genetic information to be transmitted to progeny. Closely related to the theoretical developments have been numerous experimental studies on quasispecies dynamics and their multiple biological manifestations. The latter can be summarized by saying that RNA viruses, by virtue of existing as mutant spectra rather than defined genetic entities, remarkably expand their potential to overcome selective pressures intended to limit their replication. Indeed, the use of antiviral inhibitors in clinical practice and the design of vaccines for a number of major RNA virus-associated diseases, are currently presided by a sense of uncertainty. Another line of growing research is the enzymology of copying fidelity by viral replicases, aimed at understanding the molecular basis of mutagenic activities. Error catastrophe as a potential new antiviral strategy received an important impulse by the observation that ribavirin (a licensed antiviral nucleoside analogue) may be exerting, in some systems, its antiviral activity through enhanced mutagenesis.

ness. This has encouraged investigations on new mutagenic base analogues, some of them used in anticancer chemotherapy. Some chapters summarize these important biochemical studies on cell entry pathways and metabolism of mutagenic agents, that may find new applications as antiviral agents.

This volume intends to be basically a progress report, an introduction to a new avenue of research, and a realistic appraisal of the many issues that remain to be investigated. In this respect, I can envisage (not without many uncertainties) at least three lines of needed research: (i) One on further understanding of quasispecies dynamics in infected individuals to learn more on how to apply combinations of virus-specific mutagens and inhibitors in an effective way, finding synergistic combinations and avoiding antagonistic ones as well as severe clinical side effects. (ii) Another on a deeper understanding of the metabolism of mutagenic agents, in particular base and nucleoside analogues. This includes identification of the transporters that carry them into cells, an understanding of their metabolic processing, intracellular stability and alterations of nucleotide pools, among other issues. (iii) Still another line of needed research is the development of new mutagenic agents specific for viruses, showing no (or limited) toxicity for cells. Some advances may come from links with anticancer research, but others should result from the designs of new molecules, based on the structures of viral polymerases. I really hope that the reader finds this issue not only to be an interesting and useful review of the current situation in the field, but also a stimulating exposure to the major problems to be faced.

The idea to prepare this special issue came as a kind invitation of Ulrich Desselberger, former Editor of *Virus Research*, and then taken enthusiastically by Luis Enjuanes, recently appointed as Editor of *Virus Research*. I take this opportunity to thank Ulrich, Luis and the Editor-in-Chief of *Virus Research*, Brian Mahy, for their continued interest and support to the research on virus evolution over the years.

My thanks go also to the 19 authors who despite their busy schedules have taken time to prepare excellent manuscripts, to Elsevier staff for their prompt responses to my requests, and, last but not least, to Ms. Lucía Horrillo from Centro de Biología Molecular “Severo Ochoa” for her patient dealing with the correspondence with authors and the final organization of the issue.

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Available online 8 December 2004

SELF-REPLICATION WITH ERRORS

A MODEL FOR POLYNUCLEOTIDE REPLICATION **

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 Revised manuscript received 23rd August 1982
 Accepted 30th August 1982

Key words: Polynucleotide replication; Quasi-species; Point mutation; Mutant class; Stochastic replication

A model for polynucleotide replication is presented and analyzed by means of perturbation theory. Two basic assumptions allow handling of sequences up to a chain length of $n = 80$ explicitly: point mutations are restricted to a two-digit model and individual sequences are subsumed into mutant classes. Perturbation theory is in excellent agreement with the exact results for long enough sequences ($n > 20$).

1. Introduction

Eigen [8] proposed a formal kinetic equation (eq. 1) which describes self-replication under the constraint of constant total population size:

$$\frac{dx_i}{dt} = x_i \sum_j w_{ij} x_j - \frac{x_i}{c} \phi; i = 1, \dots, n \quad (1)$$

By x_i we denote the population number or concentration of the self-replicating element I_i , i.e., $x_i = [I_i]$. The total population size or total concentration $c = \sum_i x_i$ is kept constant by proper adjustment of the constraint $\phi = \sum_i \sum_j w_{ij} x_j$. Characteristically, this constraint has been called 'constant organization'. The relative values of diagonal

(w_{ii}) and off-diagonal ($w_{ij}, i \neq j$) rates, as we shall see in detail in section 2, are related to the accuracy of the replication process. The specific properties of eq. 1 are essentially based on the fact that it leads to exponential growth in the absence of constraints ($\phi = 0$) and competitors ($n = 1$).

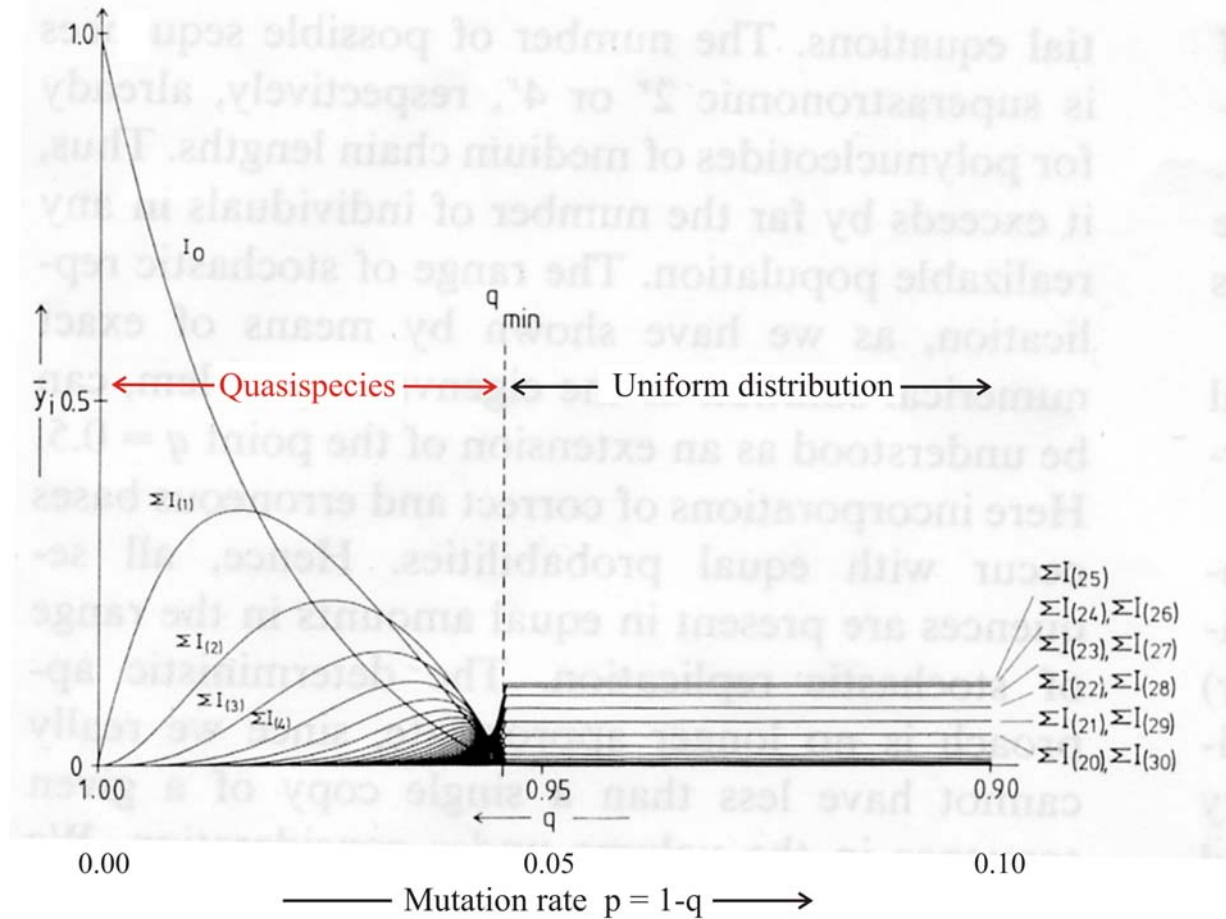
The non-linear differential equation, eq. 1 – the non-linearity is introduced by the definition of ϕ at constant organization – shows a remarkable feature: it leads to selection of a defined ensemble of self-replicating elements above a certain accuracy threshold. This ensemble of a master and its most frequent mutants is a so-called 'quasi-species' [9]. Below this threshold, however, no selection takes place and the frequencies of the individual elements are determined exclusively by their statistical weights.

Rigorous mathematical analysis has been performed on eq. 1 [7,15,24,26]. In particular, it was shown that the non-linearity of eq. 1 can be removed by an appropriate transformation. The eigenvalue problem of the linear differential equation obtained thereby may be solved approximately by the conventional perturbation technique

* Dedicated to the late Professor B.L. Jones who was among the first to do rigorous mathematical analysis on the problems described here.

** This paper is considered as part II of Model Studies on RNA replication. Part I is by Gassner and Schuster [14].

† All summations throughout this paper run from 1 to n unless specified differently: $\Sigma_i = \Sigma_{i=1}^n$ and $\Sigma_{i,j} = \Sigma_{i=1}^n + \Sigma_{j=1}^n$, respectively.



Single peak fitness landscape: $f_0 = f$ and $f_1 = f_2 = \dots = f_N = 1$

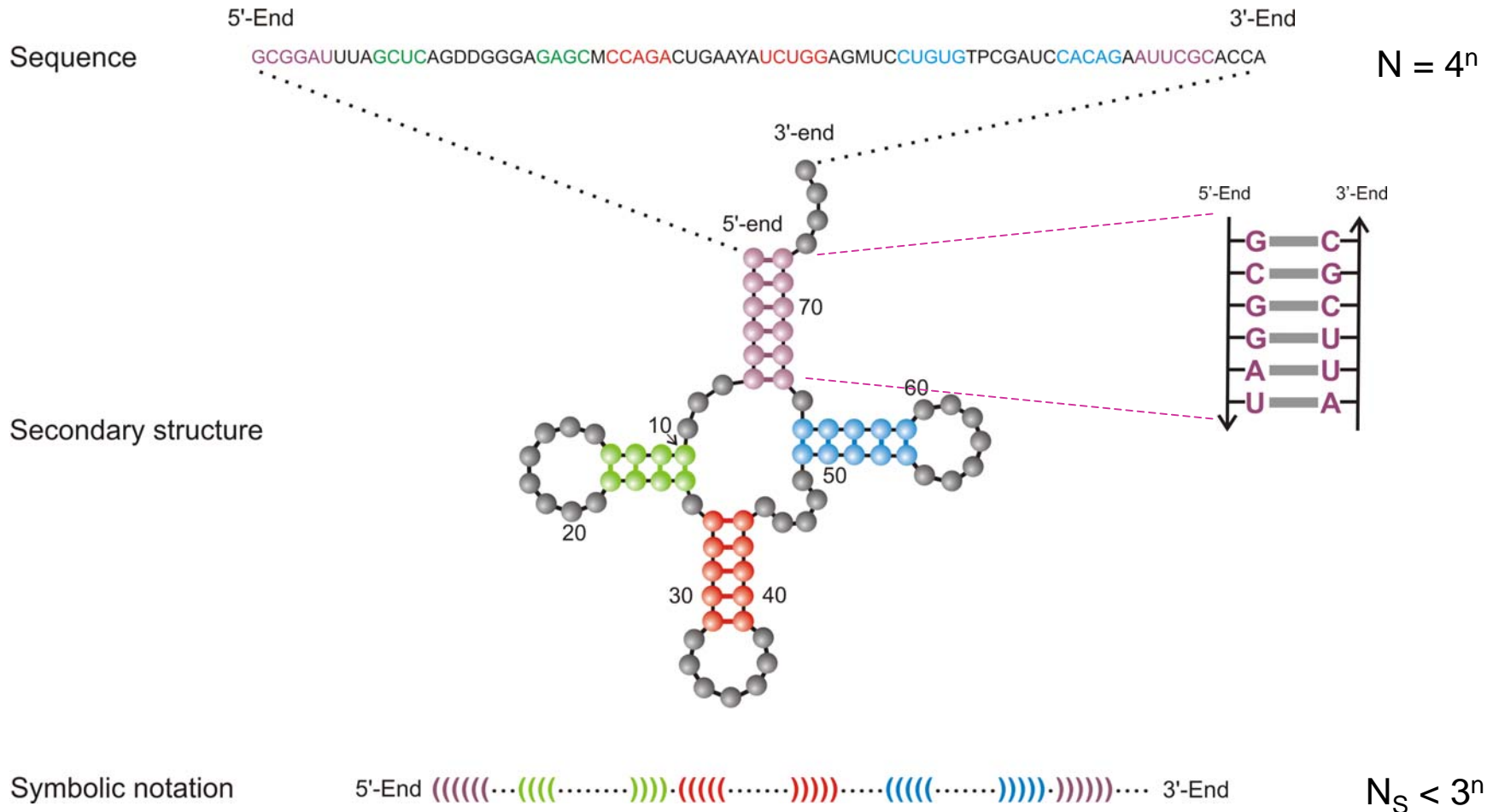
Quasispecies as a function of the mutation rate p

$$f_0 = \sigma = 10$$

$$\sigma = \frac{f_0}{(1-x_0) \sum_{i=1}^N f_i x_i}$$

$I_0 \dots$ master sequence; $N = \kappa^n$

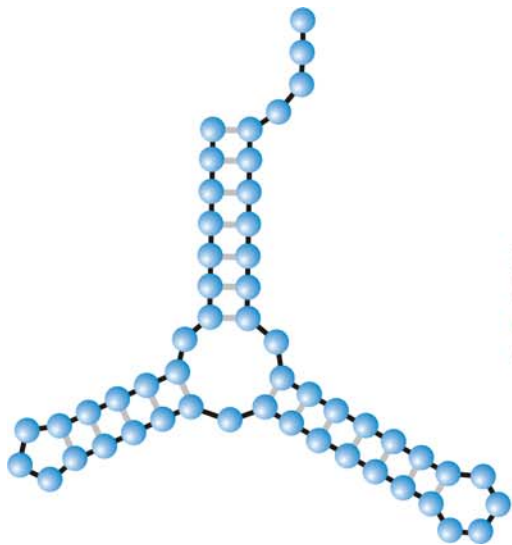
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5. Replicating networks
6. RNA structure optimization
7. Experiments with RNA



Criterion: Minimum free energy (mfe)

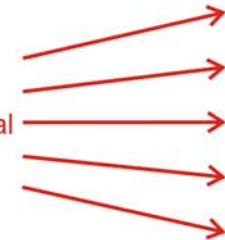
Rules: $_ (_) _ \in \{AU, CG, GC, GU, UA, UG\}$

A symbolic notation of RNA secondary structure that is equivalent to the conventional graphs



1st
2nd
3rd
4th
5th

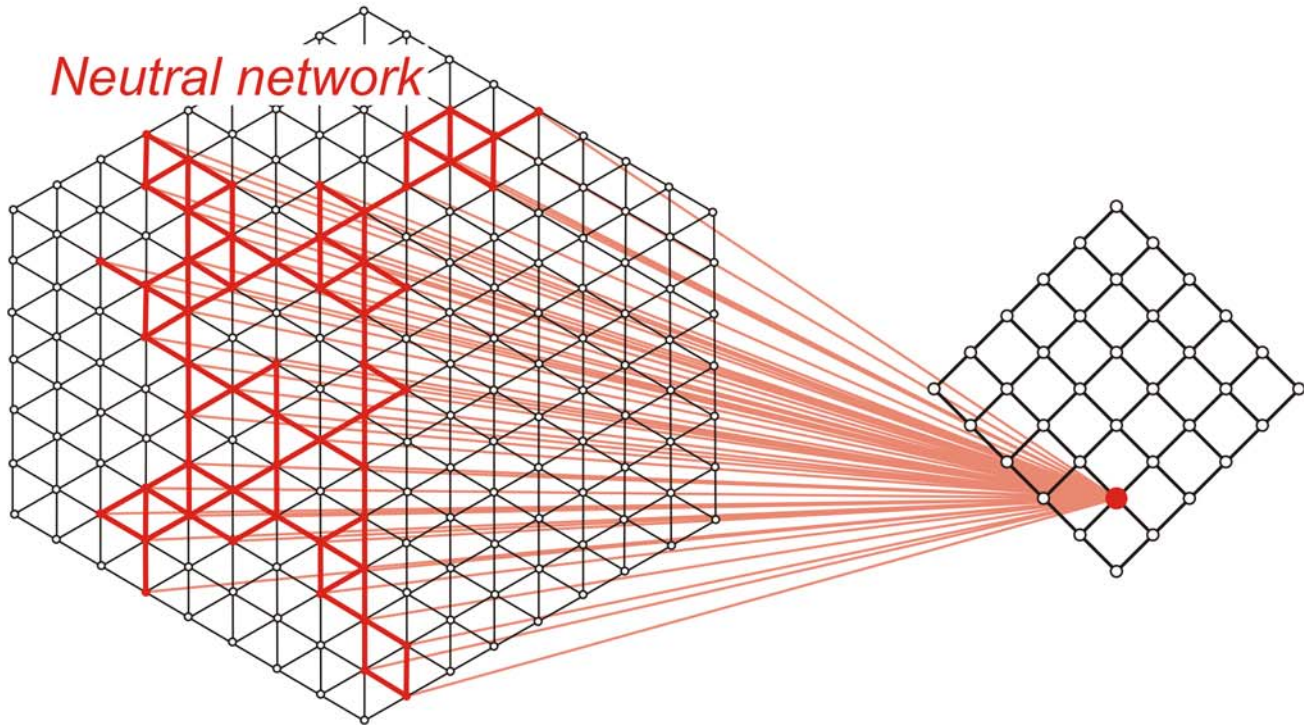
trial



Inverse folding

UUUAGCCAGCGCGAGUCGUGCGGACGGGGUUAUCUCUGUCGGGCUAGGGCGC
GUGAGCGCGGGGCACAGUUUCUCAAGGAUGUAAGUUUUUGCCGUUUUAUCUGG
UUAGCGAGAGAGAGGAGGCUUCUAGACCCAGCUCUCUGGGUCGUUGCUGAUGCG
CAUUGGUGC UAAUGAUUUAGGGCUGUAUUCUGUAUAGCGAUCAGUGUCCG
GUAGGCCCUUCUGACAUAAGAUUUUCCAAUGGUGGGAGAUGGCCAUUGCAG

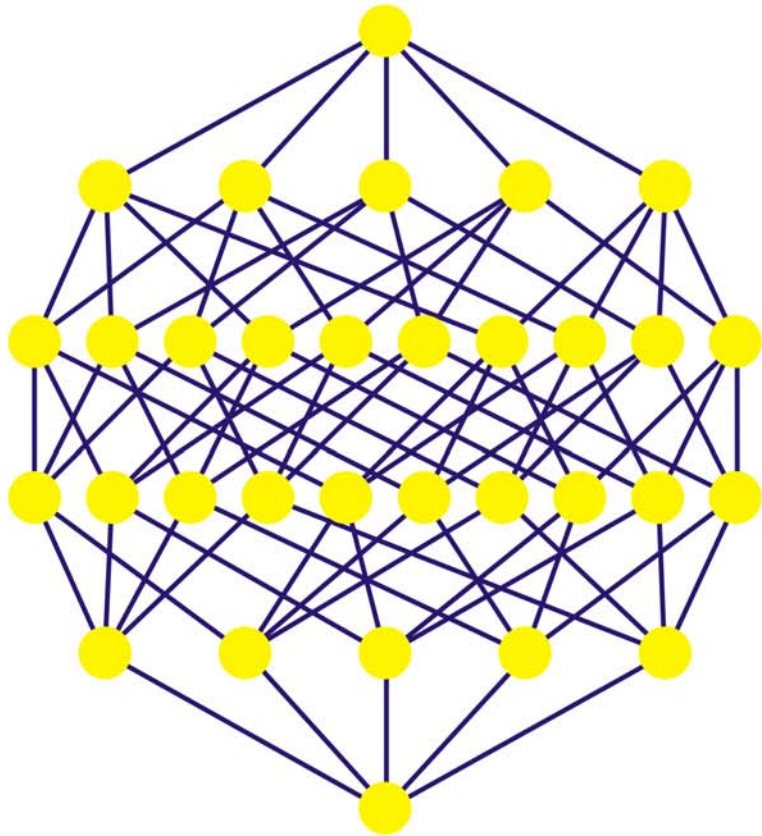
The inverse folding algorithm searches for sequences that form a given RNA structure.



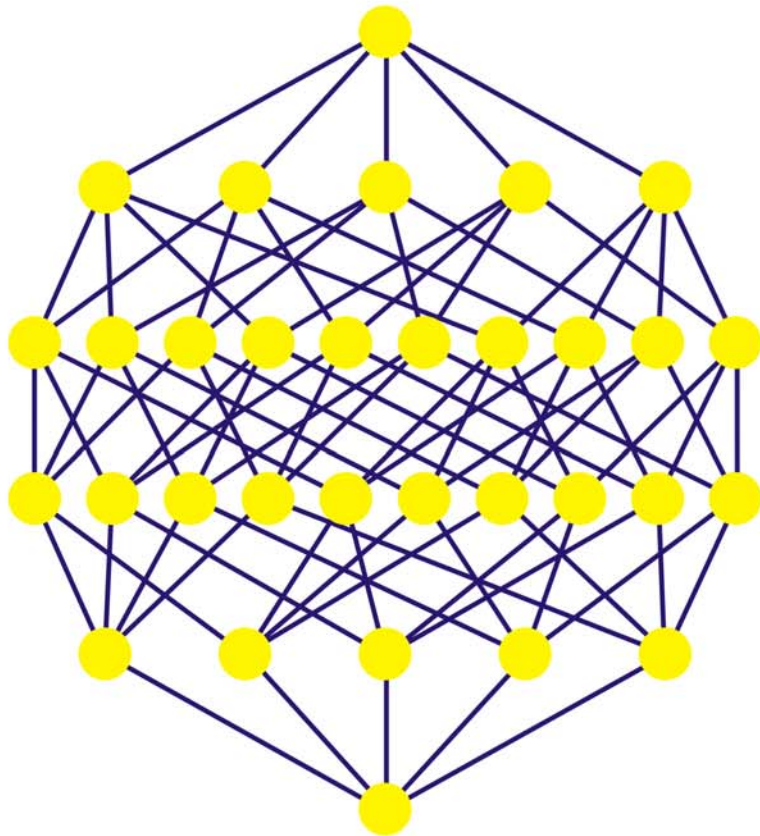
Neutral network

Sequence space

Structure space



Sequence space of binary sequences of chain length $n = 5$



Mutant class

Coding: C = 0 and G = 1

0

00000 = CCCCC

1

00001 = CCCC G ,

2

00011 = CCC GG ,

3

00111 = CC GGG ,

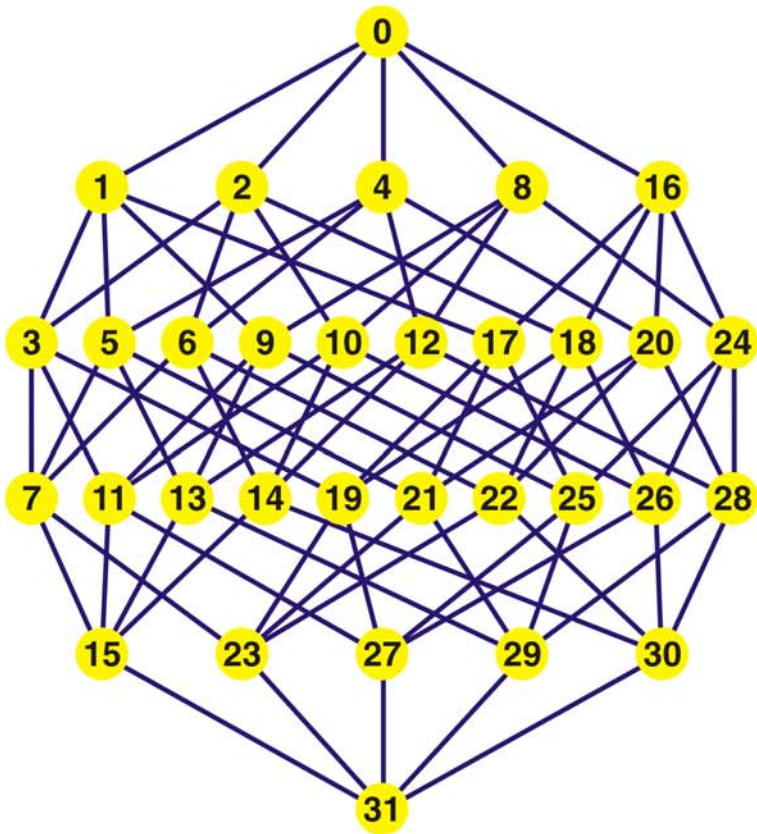
4

01111 = C GGGG ,

5

11111 = GGGGG

Sequence space of binary sequences of chain length $n = 5$



Mutant class

0

1

2

3

4

5

Binary sequences are encoded by their decimal equivalents:

C = 0 and **G** = 1

"0" \equiv 00000 = **CCCCC**,

"14" \equiv 01110 = **CGGGC**,

"29" \equiv 11101 = **GGGCG**,

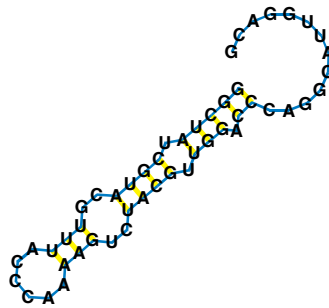
"31" \equiv 11111 = **GGGGG**, etc.

Sequence space of binary sequences of chain length $n = 5$

GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG

One error neighborhood – Surrounding of an RNA molecule in sequence and shape space

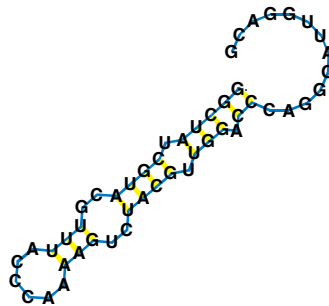
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG



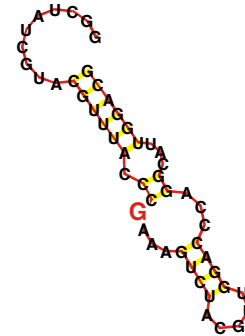
One error neighborhood – Surrounding of an RNA molecule in sequence and shape space

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GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG

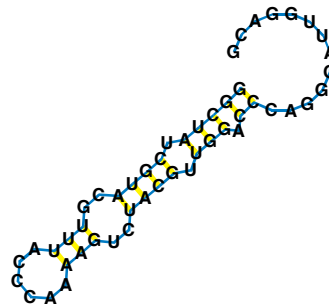


One error neighborhood – Surrounding of an RNA molecule in sequence and shape space

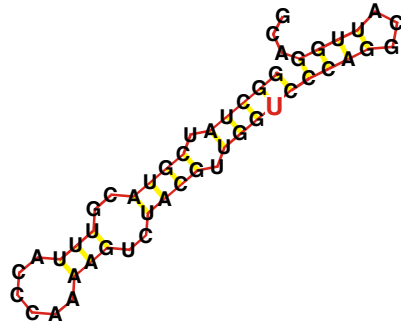


GGCUAUCGUACGUUUACCCGAAAGUCUACGUUGGACCCAGGCAUUGGACG

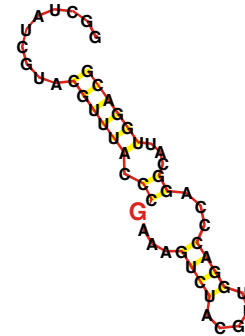
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG



One error neighborhood – Surrounding of an RNA molecule in sequence and shape space

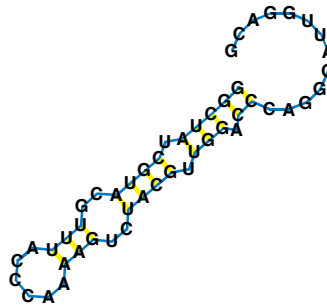


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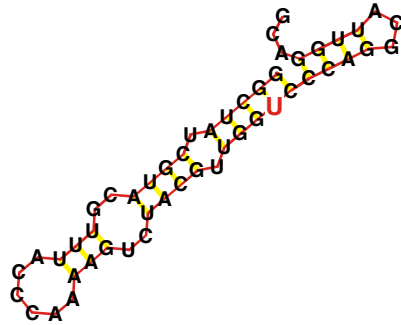


GGCUAUCGUACGUUUACCC**G**AAAGUCUACGUUGGACCCAGGCAUUGGACG

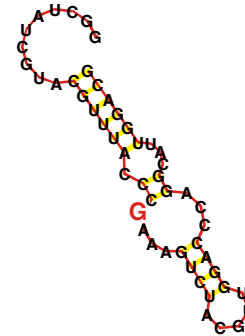
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG



One error neighborhood – Surrounding of an RNA molecule in sequence and shape space



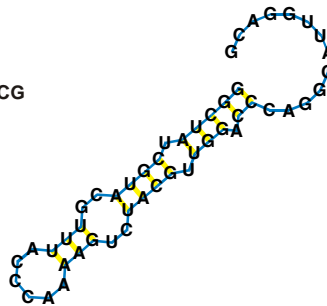
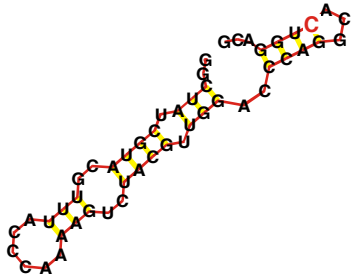
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGG**U**CCAGGCAUUGGACG



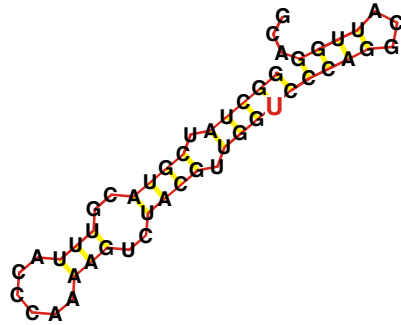
GGCUAUCGUACGUUUACCC**G**AAAGUCUACGUUGGACCCAGGCAUUGGACG

GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG

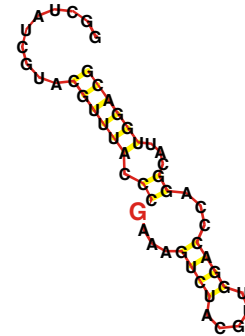
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCA**C**UGGACG



One error neighborhood – Surrounding of an RNA molecule in sequence and shape space



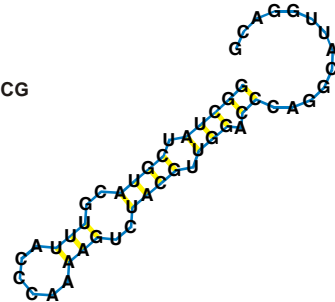
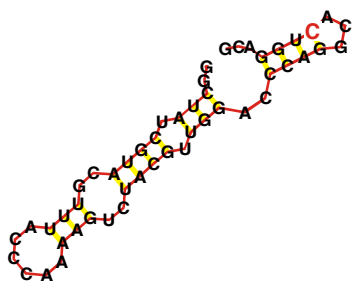
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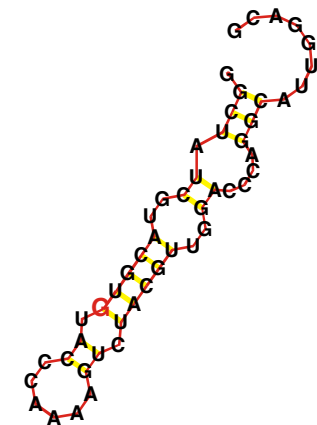
GGCUAUCGUACGUUUACCC**G**AAAGUCUACGUUGGACCCAGGCAUUGGACG

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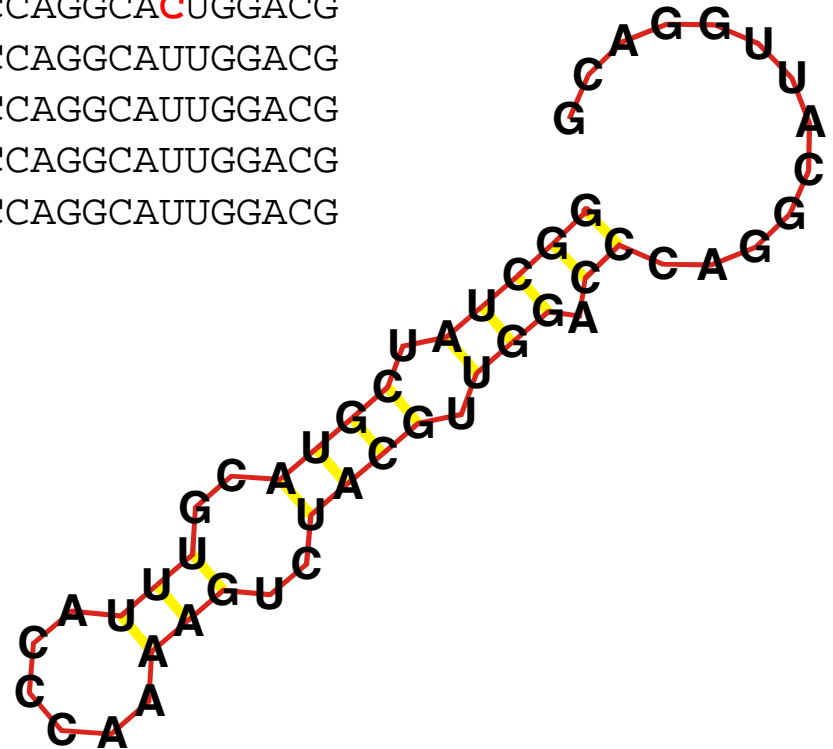


GGCUAUCGUACGU**G**UACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG



One error neighborhood – Surrounding of an RNA molecule in sequence and shape space

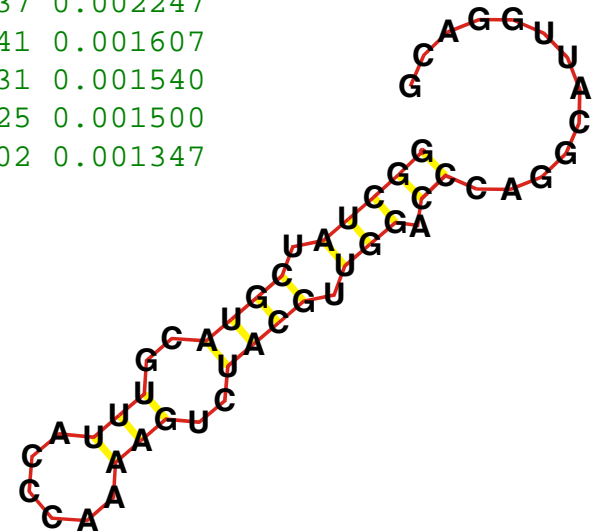
GGCUAUCGUAU**U**GUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUA**A**GACG
GGCUAUCGUACGUUUAC**U**CAAAGUCUACGUUGGACCCAGGCAUUGGACG
GGCUAUCGUACG**C**UUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG
GGC**C**AUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG
GGCUAUCGUACGU**G**UACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG
GGCUA**A**CGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCC**U**GGCAUUGGACG
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCA**C**UGGACG
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGG**U**CCCAGGCAUUGGACG
GGCUA**G**CGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG
GGCUAUCGUACGUUUACCC**G**AAAGUCUACGUUGGACCCAGGCAUUGGACG
GGCUAUCGUACGUUUACCCAAAAG**C**CUACGUUGGACCCAGGCAUUGGACG



One error neighborhood – Surrounding of an RNA molecule in sequence and shape space

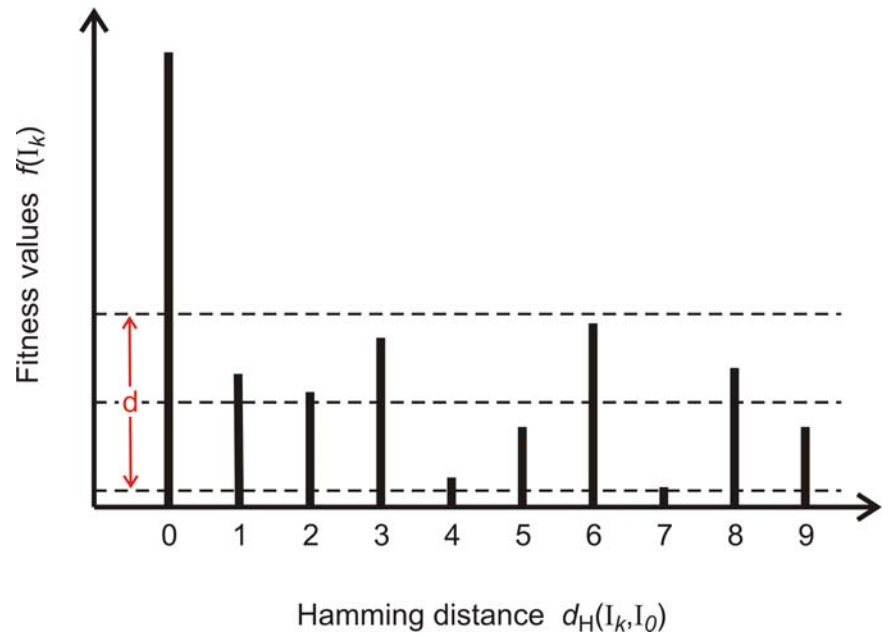
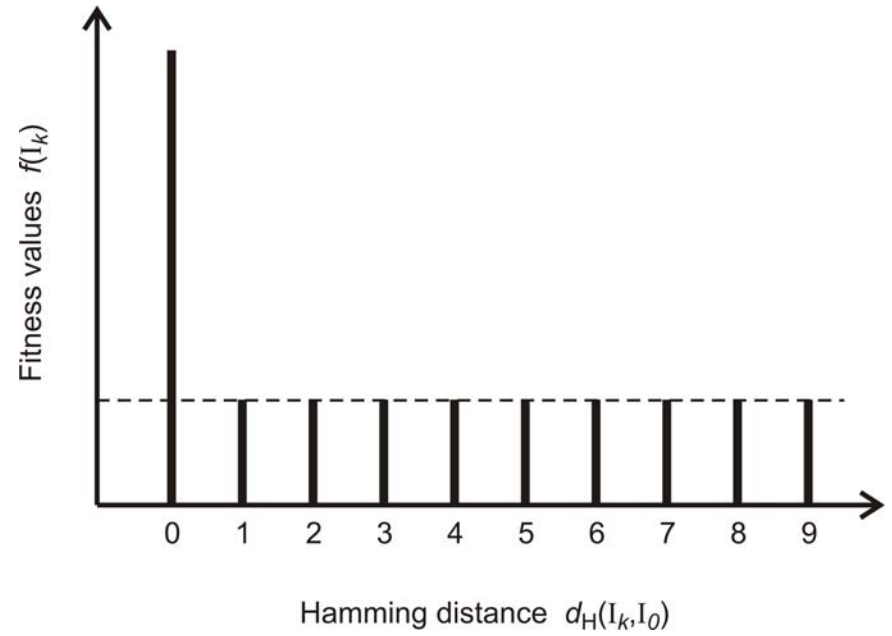
	Number	Mean Value	Variance	Std.Dev.
Total Hamming Distance:	150000	11.647973	23.140715	4.810480
Nonzero Hamming Distance:	99875	16.949991	30.757651	5.545958
Degree of Neutrality:	50125	0.334167	0.006961	0.083434
Number of Structures:	1000	52.31	85.30	9.24

1	(((((.((((..(((.....))))..))))..)))..)).....	50125	0.334167
2	..(((.((((..(((.....))))..))))..))).....	2856	0.019040
3	(((((.((((..(((.....))))..))))..))).....	2799	0.018660
4	(((((.((((..(((.....))))..))))..))).....	2417	0.016113
5	(((((.((((..(((.....))))..))))..))).....	2265	0.015100
6	(((((.((((..(((.....))))..))))..))).....	2233	0.014887
7	(((((..(((..(((.....))))..))))..))).....	1442	0.009613
8	(((((.((((..(((.....))))..))))..))).....	1081	0.007207
9	(((((..(((..(((.....))))..))))..))).....	1025	0.006833
10	(((((.((((..(((.....))))..))))..))).....	1003	0.006687
11	..(((.((((..(((.....))))..))))..))).....	963	0.006420
12	(((((.((((..(((.....))))..))))..))).....	860	0.005733
13	(((((.((((..(((.....))))..))))..))).....	800	0.005333
14	(((((.((((..(((.....))))..))))..))).....	548	0.003653
15	(((((.((((.....))))..))))..))).....	362	0.002413
16	(((((.((((..(((.....))))..))))..))).....	337	0.002247
17	(((((.((((..(((.....))))..))))..))).....	241	0.001607
18	(((((.((((..(((.....))))..))))..))).....	231	0.001540
19	(((((..(((..(((.....))))..))))..))).....	225	0.001500
20	(((((..(((..(((.....))))..))))..))).....	202	0.001347

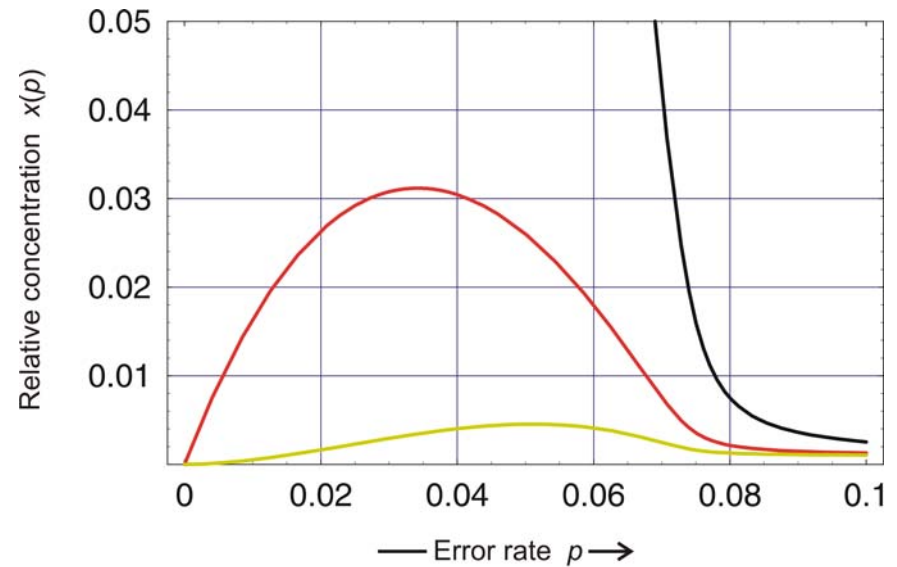
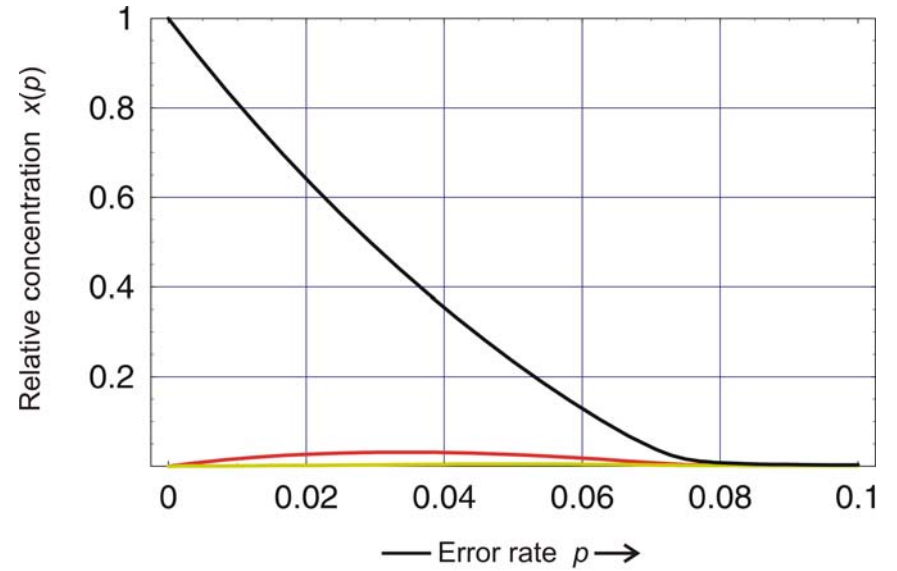
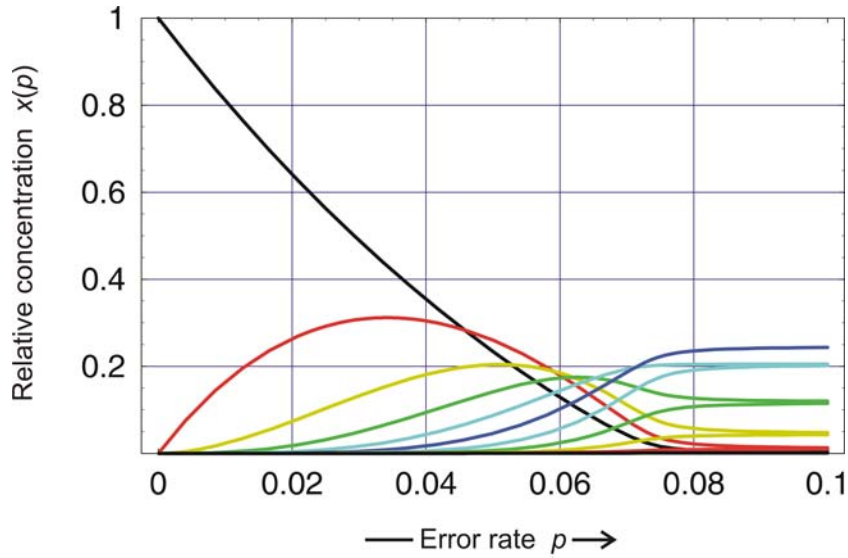


Shadow – Surrounding of an RNA structure in shape space – **AUGC** alphabet

1. Replication and selection
2. Mutation, quasispecies and error thresholds
3. Sequences, structures and neutrality
- 4. Realistic fitness landscapes**
5. Replicating networks
6. RNA structure optimization
7. Experiments with RNA



Fitness landscapes showing error thresholds

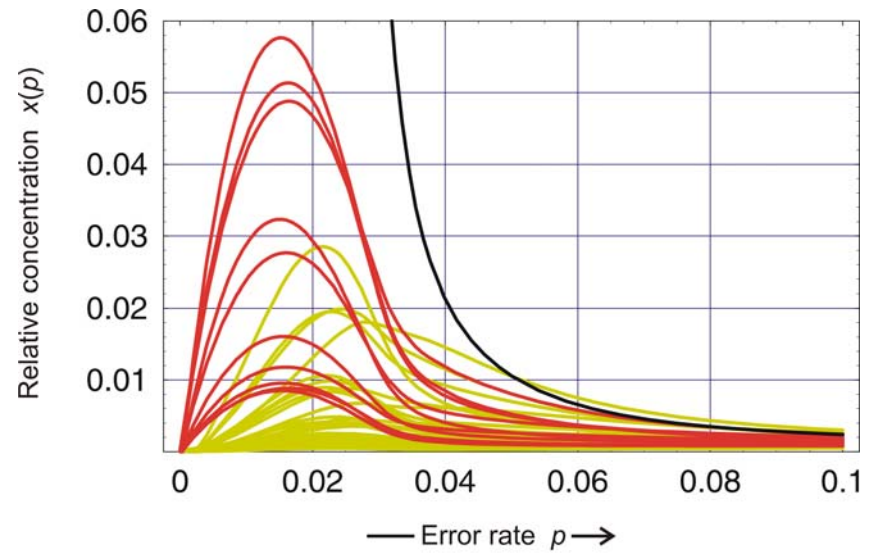
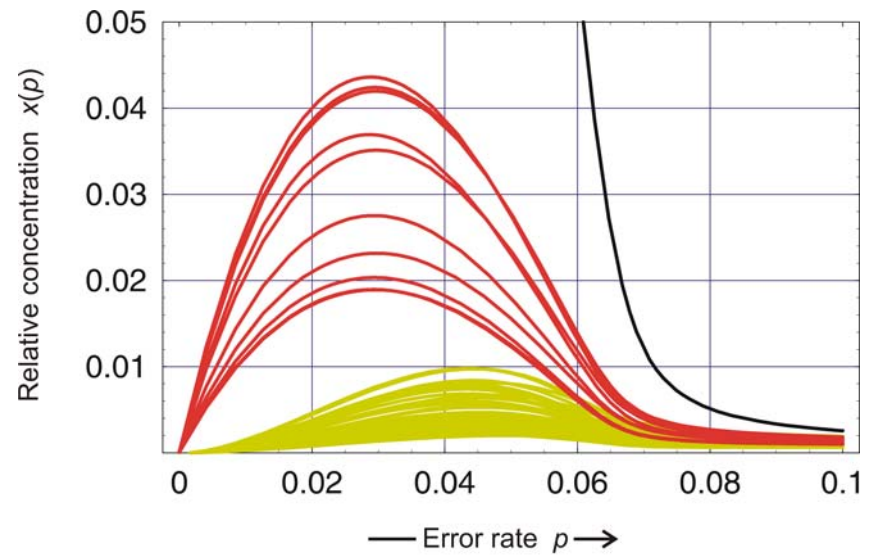
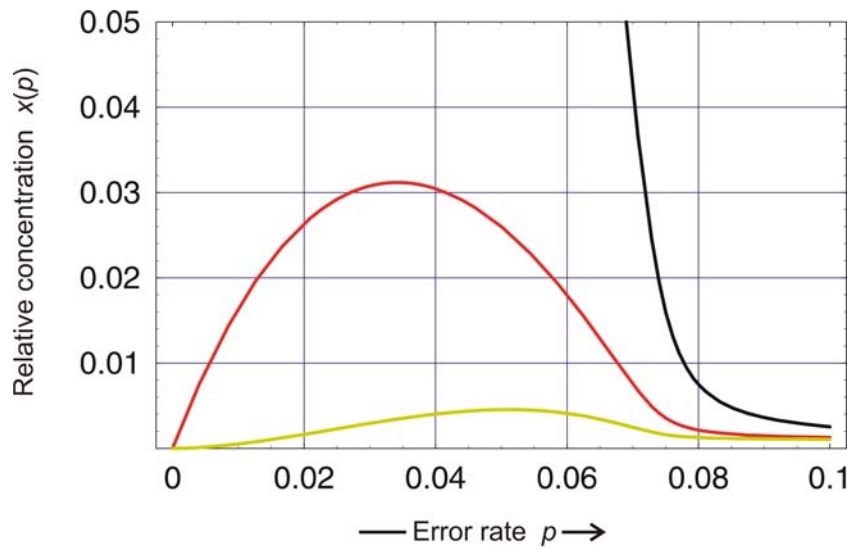


$$\sigma = \frac{f_0}{(1-x_0) \sum_{i=1}^N f_i x_i}$$

$I_0 \dots$ master sequence; $N = \kappa^n$

Error threshold: Error classes and individual sequences

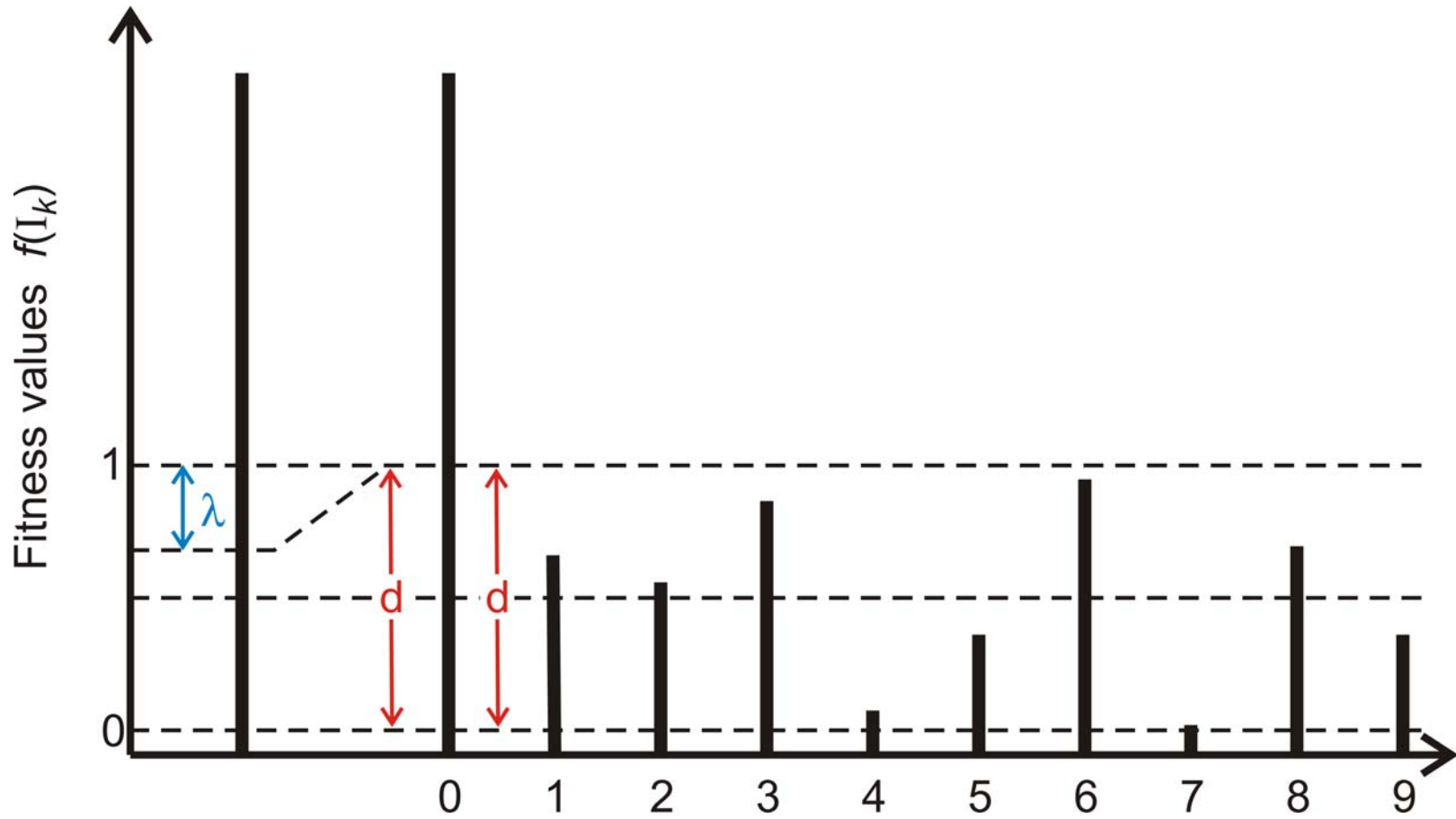
$n = 10$ and $\sigma = 2$



Error threshold: Individual sequences

$n = 10$, $\sigma = 2$ and $d = 0, 1.0, 1.85$

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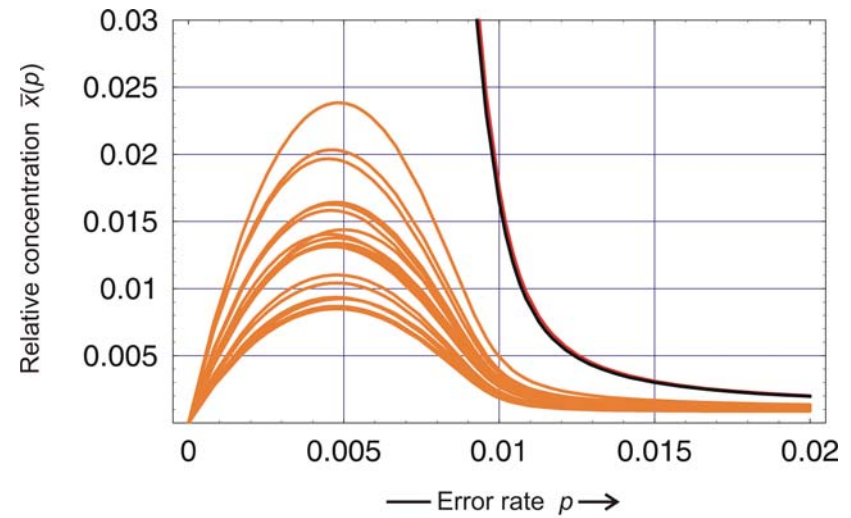
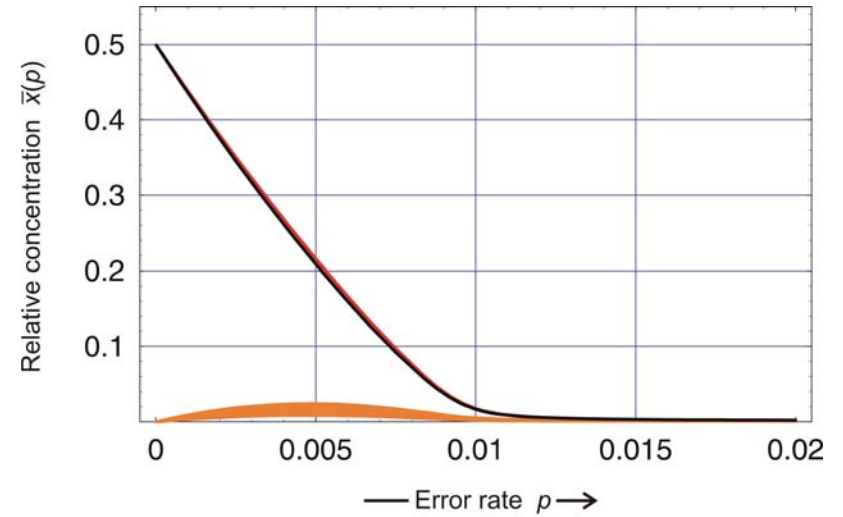


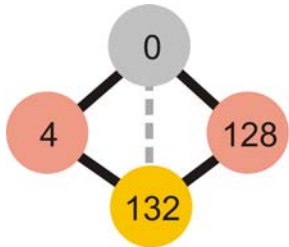
Neutral network

$\lambda = 0.01$, $s = 367$

Error threshold: Individual sequences

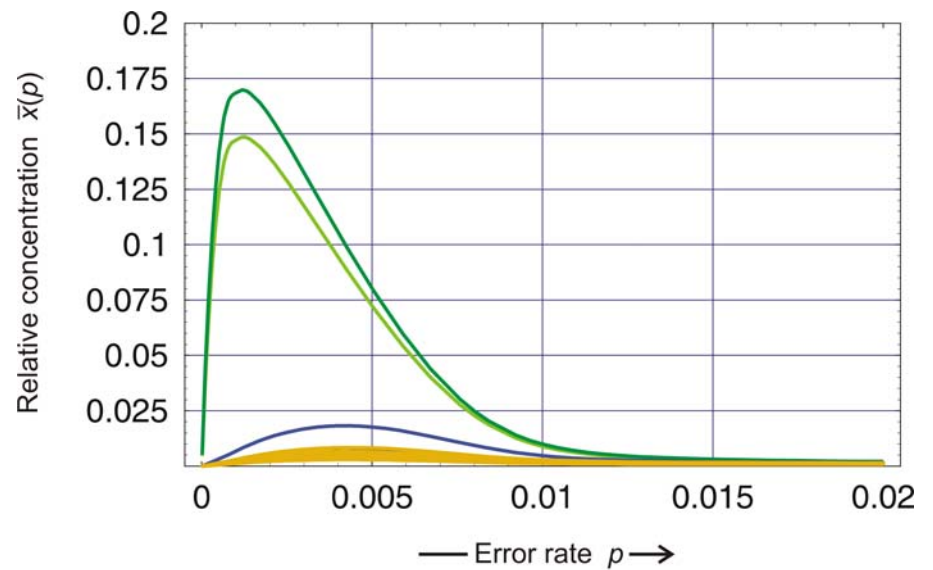
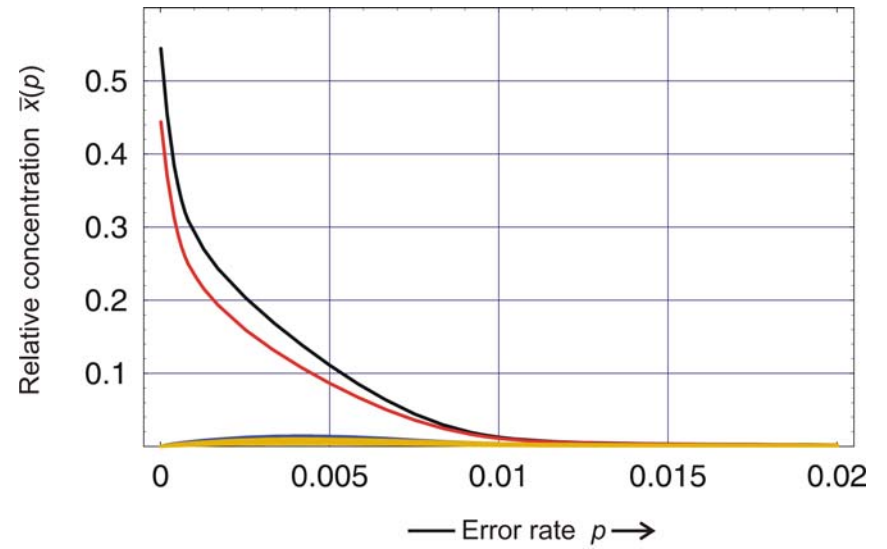
$n = 10$, $\sigma = 1.1$, $d = 1.0$





Neutral networks

$\lambda = 0.01$, $s = 877$



Error threshold: Individual sequences

$n = 10$, $\sigma = 1.1$, $d = 1.0$

STATIONARY MUTANT DISTRIBUTIONS AND EVOLUTIONARY OPTIMIZATION

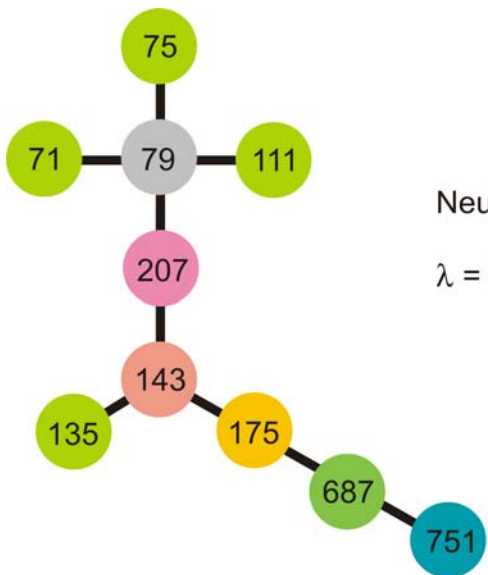
■ PETER SCHUSTER and JÖRG SWETINA
Institut für theoretische Chemie
und Strahlenchemie der Universität Wien,
Währingerstraße 17,
A 1090 Wien,
Austria

Molecular evolution is modelled by erroneous replication of binary sequences. We show how the selection of two species of equal or almost equal selective value is influenced by its nearest neighbours in sequence space. In the case of perfect neutrality and sufficiently small error rates we find that the Hamming distance between the species determines selection. As the error rate increases the fitness parameters of neighbouring species become more and more important. In the case of almost neutral sequences we observe a critical replication accuracy at which a drastic change in the "quasispecies", in the stationary mutant distribution occurs. Thus, in frequently mutating populations fitness turns out to be an ensemble property rather than an attribute of the individual.

In addition we investigate the time dependence of the mean excess production as a function of initial conditions. Although it is optimized under most conditions, cases can be found which are characterized by decrease or non-monotonous change in mean excess productions.

1. Introduction. Recent data from populations of RNA viruses provided direct evidence for vast sequence heterogeneity (Domingo *et al.*, 1987). The origin of this diversity is not yet completely known. It may be caused by the low replication accuracy of the polymerizing enzyme, commonly a virus specific, RNA dependent RNA synthetase, or it may be the result of a high degree of selective neutrality of polynucleotide sequences. Eventually, both factors contribute to the heterogeneity observed. Indeed, mutations occur much more frequently than previously assumed in microbiology. They are by no means rare events and hence, neither the methods of conventional population genetics (Ewens, 1979) nor the neutral theory (Kimura, 1983) can be applied to these virus populations. Selectively neutral variants may be close with respect to Hamming distance and then the commonly made assumption that the mutation backflow from the mutants to the wilde type is negligible does not apply.

A kinetic theory of polynucleotide evolution which was developed during the past 15 years (Eigen, 1971; 1985; Eigen and Schuster, 1979; Eigen *et al.*, 1987; Schuster, 1986); Schuster and Sigmund, 1985) treats correct replication and mutation as parallel reactions within one and the same reaction network

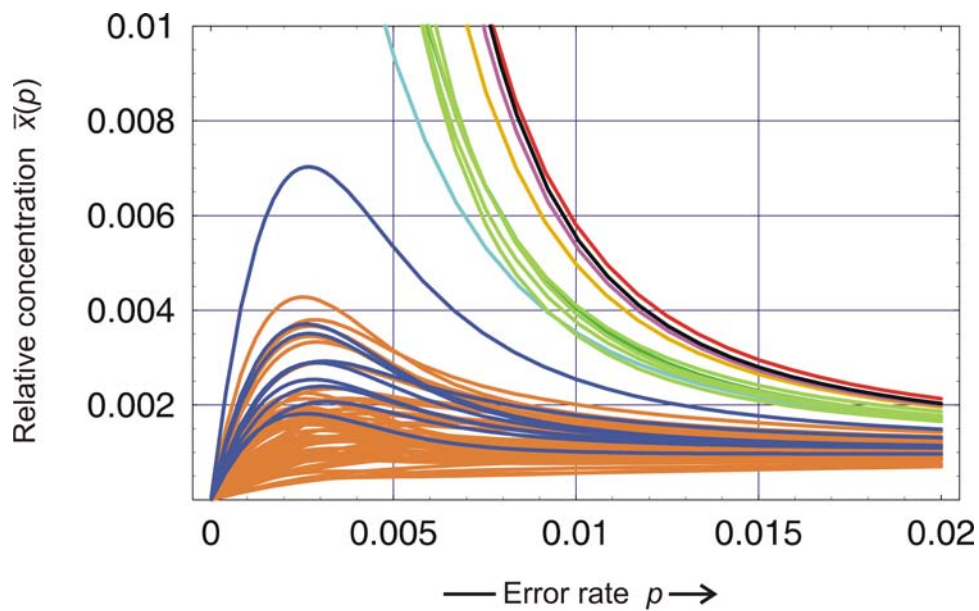
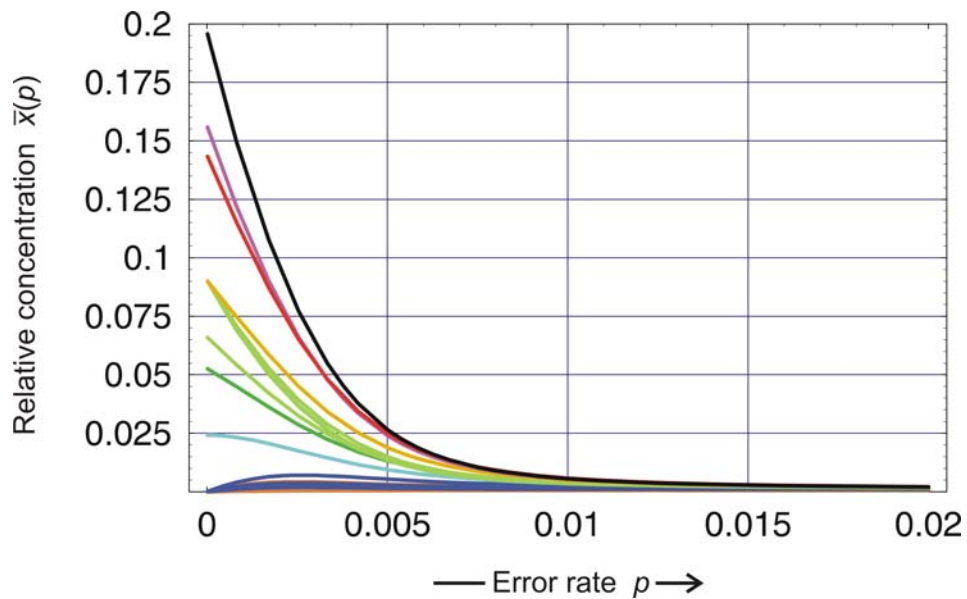


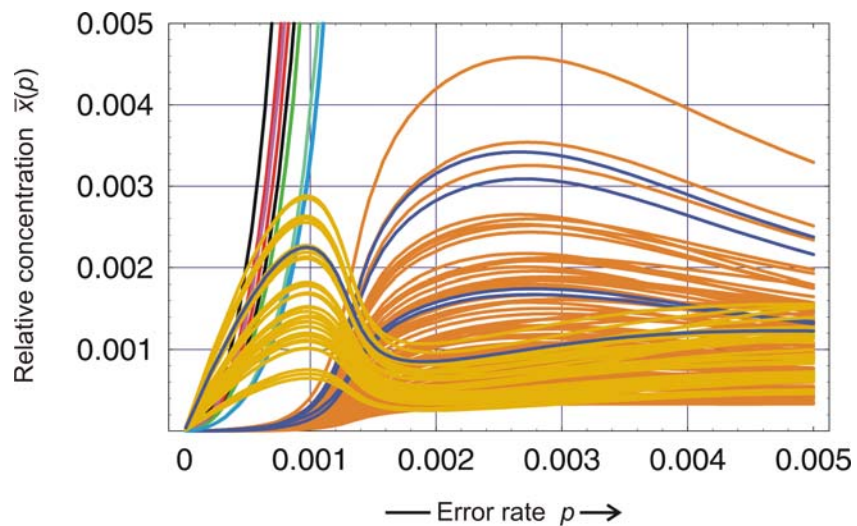
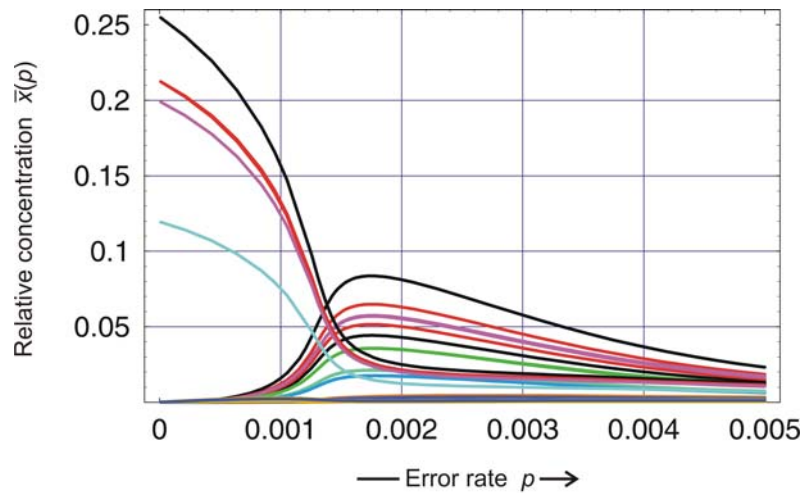
Neutral network

$\lambda = 0.10, s = 367$

Error threshold: Individual sequences

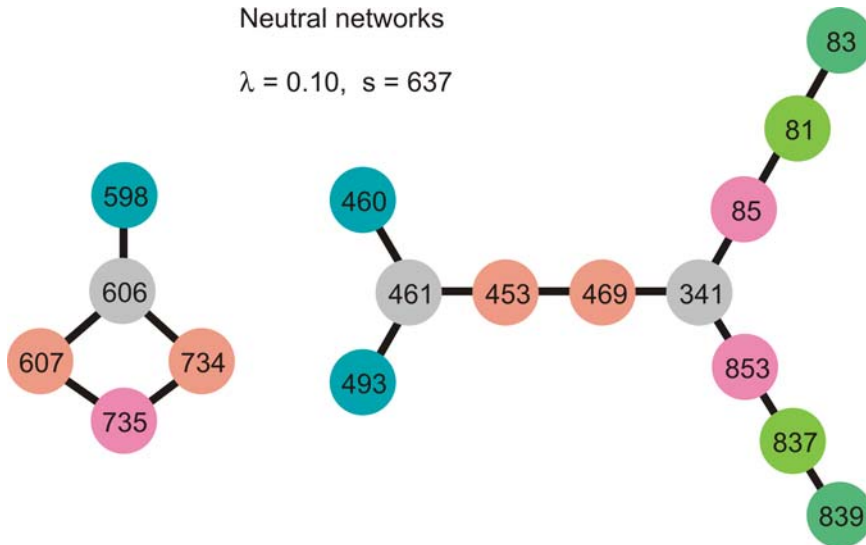
$n = 10, \sigma = 1.1, d = 1.0$





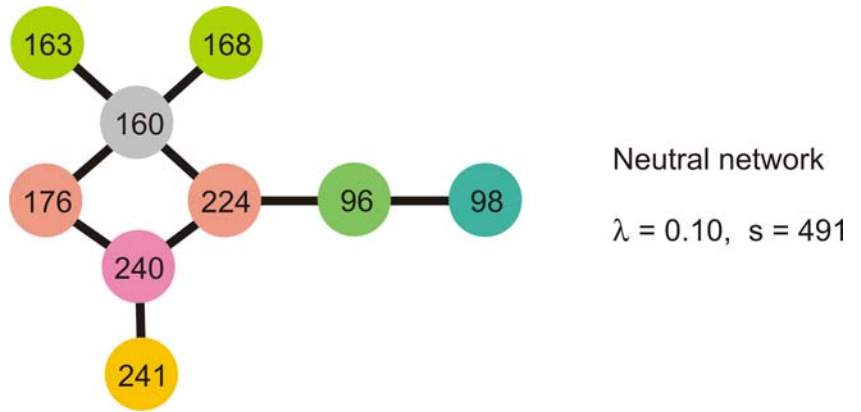
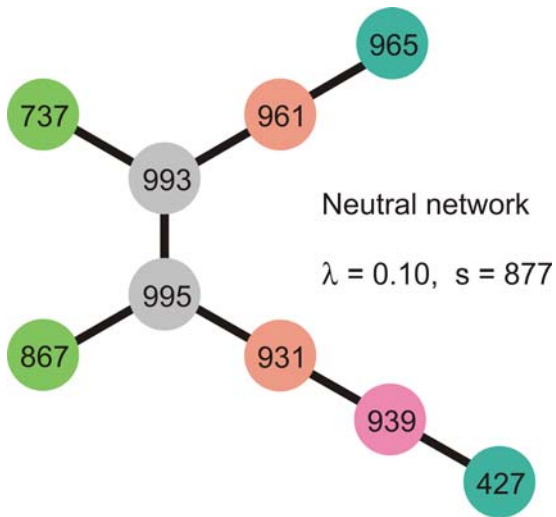
Neutral networks

$\lambda = 0.10, s = 637$

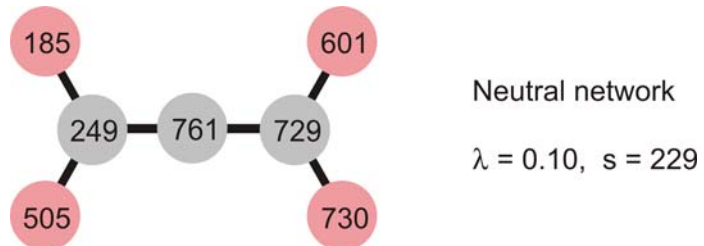
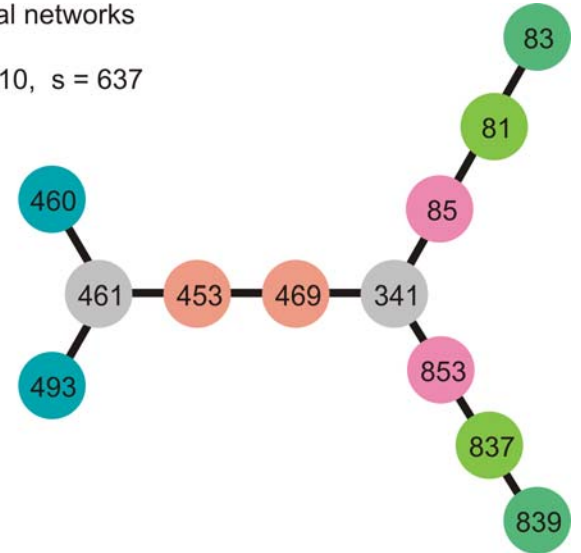
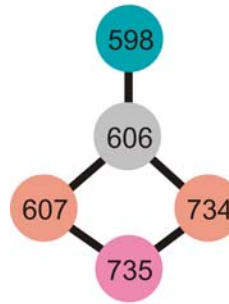
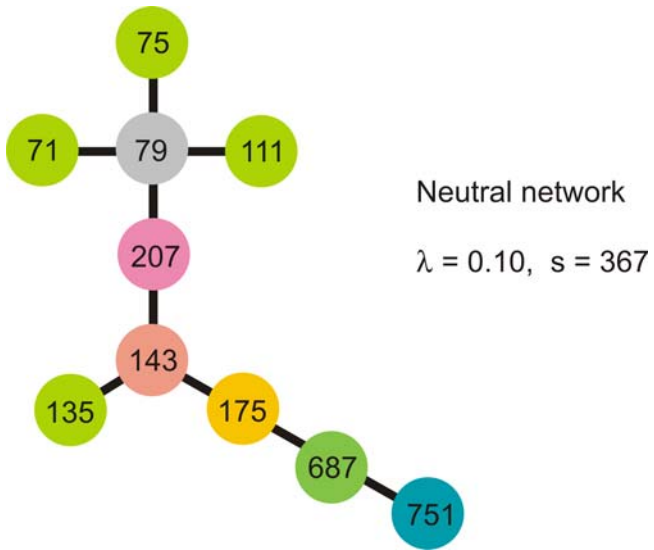


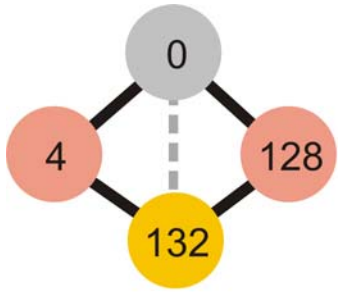
Error threshold: Individual sequences

$n = 10, \sigma = 1.1, d = 1.0$



Neutral networks
 $\lambda = 0.10, s = 637$





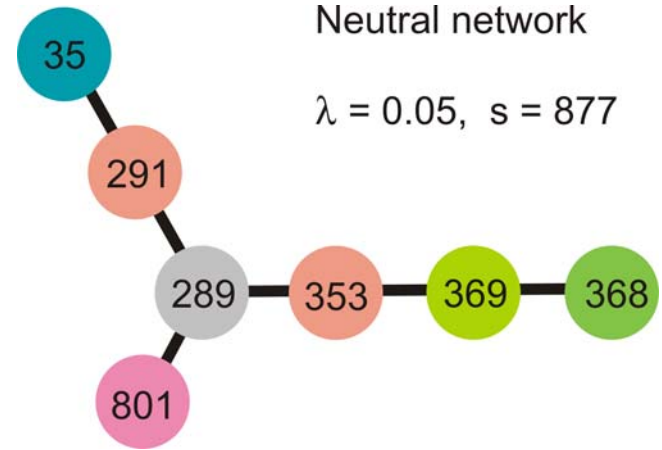
Neutral networks

$\lambda = 0.01, s = 877$



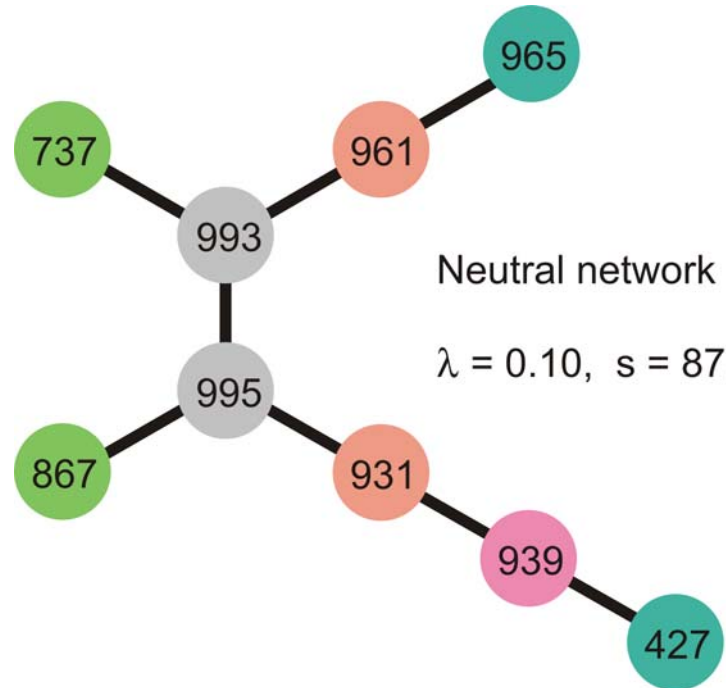
Neutral networks with increasing λ

$n = 10, \sigma = 1.1, d = 1.0$



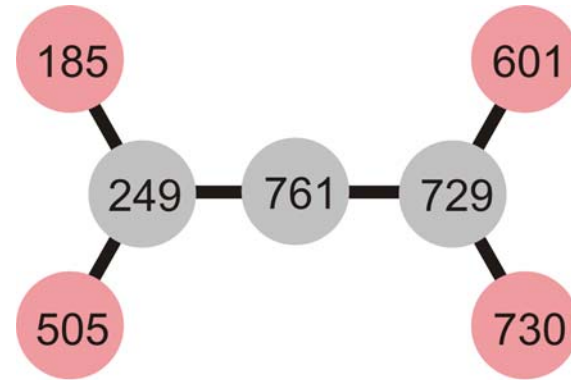
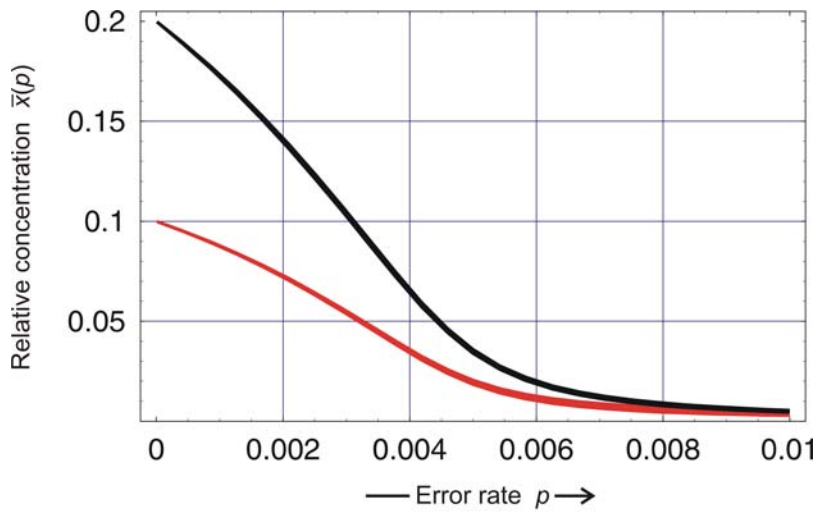
Neutral network

$\lambda = 0.05, s = 877$



Neutral network

$\lambda = 0.10, s = 877$

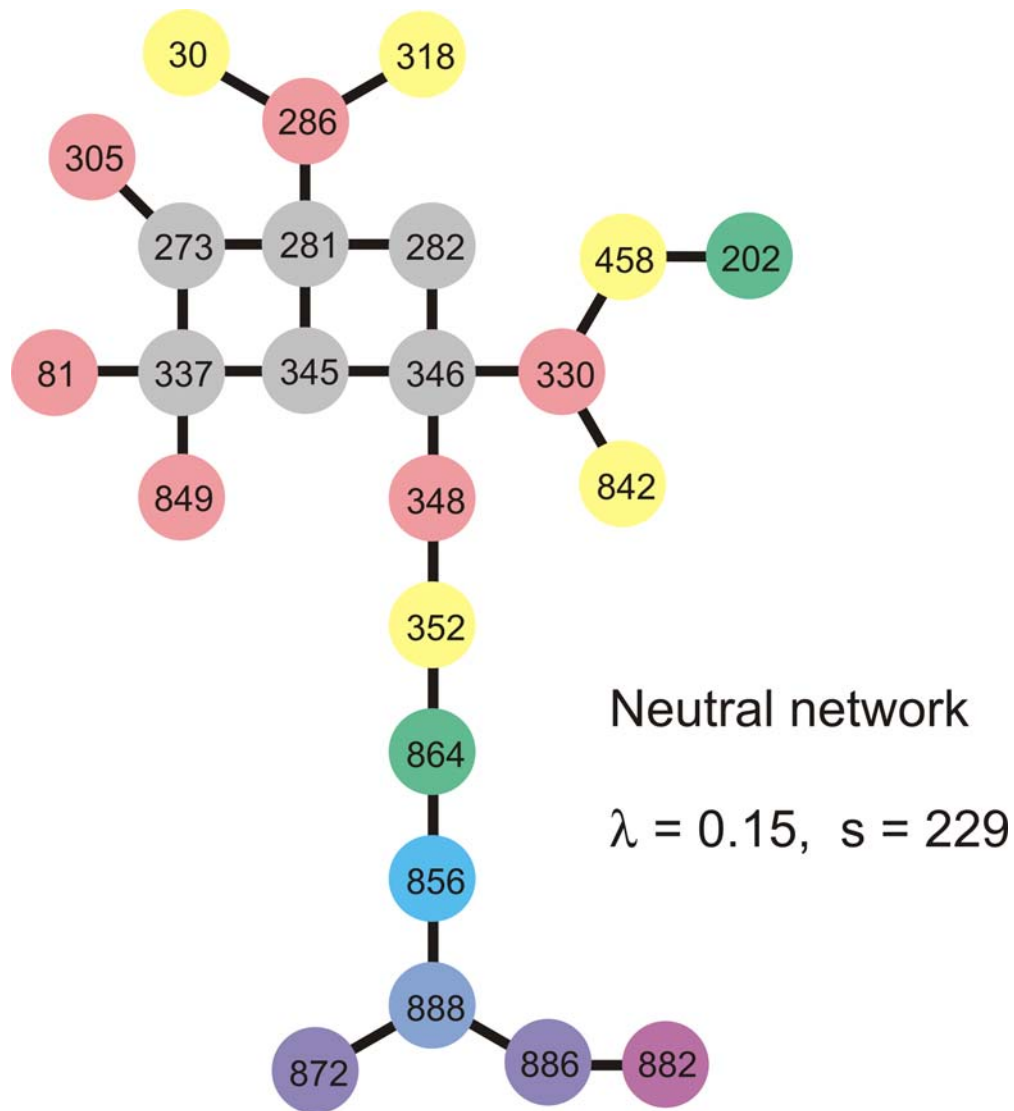
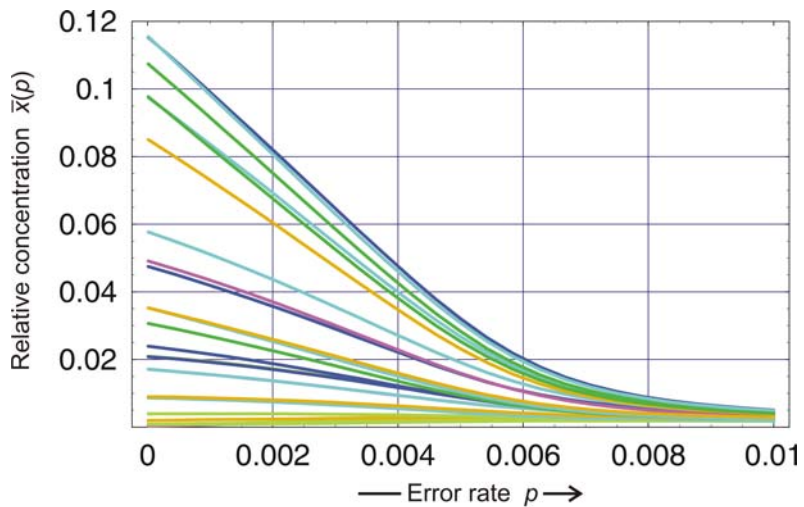


Neutral network

$$\lambda = 0.10, s = 229$$

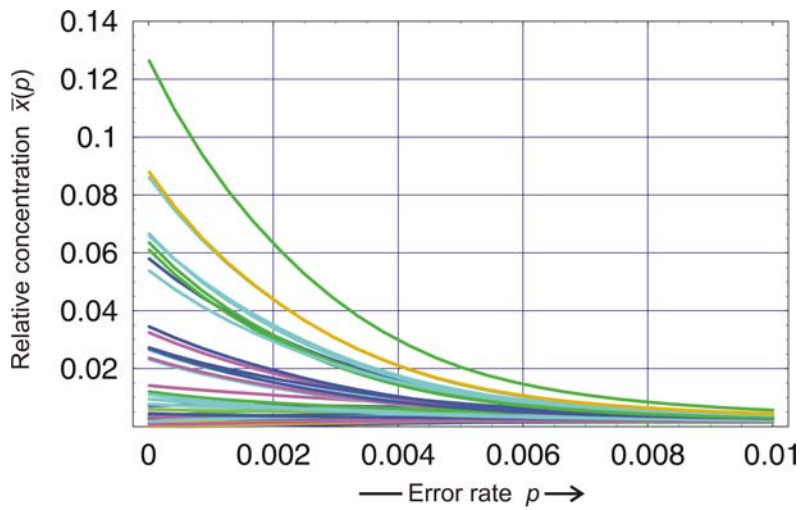
$$N = 7$$

Neutral networks with
increasing λ



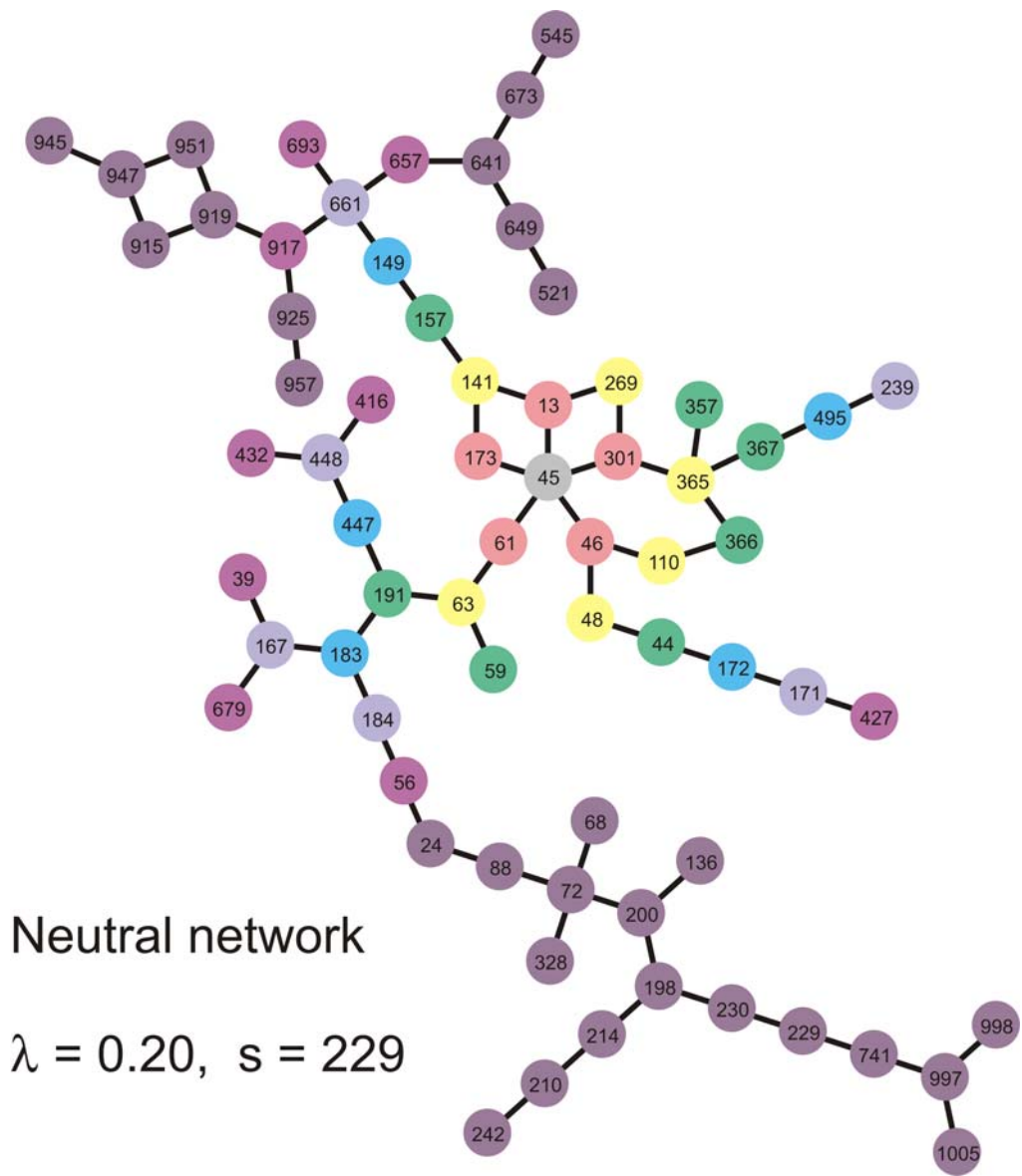
$N = 24$

Neutral networks with increasing λ



$N = 68$

Neutral networks with increasing λ



1. Replication and selection
2. Mutation, quasispecies and error thresholds
3. Sequences, structures and neutrality
4. Realistic fitness landscapes
5. Replicating networks
- 6. RNA structure optimization**
7. Experiments with RNA

random individuals. The primer pair used for genomic DNA amplification is 5'-TCTCGCTGGATTCCTCATTTA-3' (forward) and 5'-TCTTTGTCTTCTGTTCGACG-3' (reverse). Reactions were performed in 25 μ l using 1 unit of Taq DNA polymerase with each primer at 0.4 μ M, 200 μ M each dATP, dTTP, dGTP, and dCTP; and PCR buffer [10 mM Tris-HCl (pH 8.3), 50 mM KCl, 1.5 mM MgCl₂] in a cycle condition of 94°C for 1 min and then 35 cycles of 94°C for 30 s, 55°C for 30 s, and 72°C for 90 s followed by 72°C for 5 min. PCR products were purified (Qiagen), digested with Xmn I, and separated in a 2% agarose gel.

32. A nonsense mutation may affect mRNA stability and result in degradation of the transcript [L. Maquat, *Am. J. Hum. Genet.* 59, 279 (1996)].

33. Data not shown; a dot blot with poly (A)⁺ RNA from 50 human tissues (The Human RNA Master Blot, 7770-1, Clontech Laboratories) was hybridized with a probe from exons 29 to 47 of MYO15 using the same conditions as Northern blot analysis (13).

34. Smith-Magenis syndrome (SMS) is due to deletions of 17p11.2 of various sizes, the smallest of which includes MYO15 and perhaps 20 other genes [S; K.-S. Chen, L. Polakoff, J. R. Lupski, *MRC Genet.* 16, 2, 122 (1996)]. MYO15 expression is easily detected in the pituitary gland (data not shown). Haploinsufficiency for MYO15 may explain a portion of the SMS

phenotype such as short stature. Moreover, a few SMS patients have sensorineural hearing loss, possibly because of a point mutation in MYO15 in trans to the SMS 17p11.2 deletion.

35. R. A. Fridal, data not shown.

36. K. B. Avraham et al., *Nature Genet.* 11, 390 (1995); X.Z. Liu et al., *Hum. Mol. Genet.* 17, 288 (1997); F. Gibson et al., *Nature* 374, 62 (1996); D. Wall et al., *Hum. Mol. Genet.* 17, 288 (1997).

37. RNA was extracted from cochlea (membranes labyrinthis) obtained from human fetuses at 18 to 22 weeks of development in accordance with guidelines established by the Human Research Committee at the Brigham and Women's Hospital. Only samples without evidence of degradation were pooled for poly (A)⁺ selection over oligo(dT) columns. First-strand cDNA was prepared using an Advantage RT-PCR kit (Clontech Laboratories). A portion of the first-strand cDNA (4%) was amplified by PCR with Advantage cDNA polymerase mix (Clontech Laboratories) using human MYO15-specific oligonucleotide primers (forward, 5'-GGATGACCTGGCGTAAATGGG-3'; reverse, 5'-CTCAGGGCTTGTGATGGTGGCTGGCTGGG-3'). Cycling conditions were 40 s at 94°C, 40 s at 55°C (5 cycles), 50°C (5 cycles), and 55°C (23 cycles), and 45 s at 68°C. PCR products were visualized by ethidium bromide staining after fractionation in a 1% agarose gel. A 588-bp PCR

product is expected from amplification of the human MYO15 cDNA. Amplification of human genomic DNA with this primer pair would result in a 2003-bp fragment.

38. We are grateful to the people of Bengalia, Bali, and the two families from India. We thank J. R. Lupski and K.-S. Chen for providing the human chromosome 17 cosmid library. For technical and computational assistance, we thank N. Dietrich, M. Ferguson, A. Gupta, E. Sorbello, R. Torkazad, C. Varnier, M. Walker, G. Bourlart, and S. Backstrom-Stenberg (National Institutes of Health Intramural Sequencing Center). We thank J. T. Hinman, L. N. Arhys, and S. Winita for assistance in Bali, and T. Barber, S. Sullivan, E. Green, D. Dayna, and J. Batty for helpful comments on this manuscript. Supported by the National Institute on Deafness and Other Communication Disorders (NIDCD) (ZD1 DC 00395-01 and ZD1 DC 00398-01 to T.B.F. and E.R.W. and R01 DC 03402 to C.C.M.), the National Institute of Child Health and Human Development (R01 HD30428 to S.A.C.) and a National Science Foundation Graduate Research Fellowship to F.J.F. This paper is dedicated to J. B. Snow Jr. on his retirement as the Director of the NIDCD.

9 March 1998; accepted 17 April 1998

Continuity in Evolution: On the Nature of Transitions

Walter Fontana and Peter Schuster

To distinguish continuous from discontinuous evolutionary change, a relation of nearness between phenotypes is needed. Such a relation is based on the probability of one phenotype being accessible from another through changes in the genotype. This nearness relation is exemplified by calculating the shape neighborhood of a transfer RNA secondary structure and provides a characterization of discontinuous shape transformations in RNA. The simulation of replicating and mutating RNA populations under selection shows that sudden adaptive progress coincides mostly, but not always, with discontinuous shape transformations. The nature of these transformations illuminates the key role of neutral genetic drift in their realization.

A much-debated issue in evolutionary biology concerns the extent to which the history of life has proceeded gradually or has been punctuated by discontinuous transitions at the level of phenotypes (1). Our goal is to make the notion of a discontinuous transition more precise and to understand how it arises in a model of evolutionary adaptation.

We focus on the narrow domain of RNA secondary structure, which is currently the simplest computationally tractable, yet realistic phenotype (2). This choice enables the definition and exploration of concepts that may prove useful in a wider context. RNA secondary structures represent a coarse level of analysis compared with the three-dimensional structure at atomic resolution. Yet, secondary structures are empir-

ically well defined and obtain their biophysical and biochemical importance from being a scaffold for the tertiary structure. For the sake of brevity, we shall refer to secondary structures as "shapes." RNA combines in a single molecule both genotype (replicable sequence) and phenotype (selectable shape), making it ideally suited for in vitro evolution experiments (3, 4).

To generate evolutionary histories, we used a stochastic continuous time model of an RNA population replicating and mutating in a capacity-constrained flow reactor under selection (5, 6). In the laboratory, a goal might be to find an RNA aptamer binding specifically to a molecule (4). Although in the experiment the evolutionary end product was unknown, we thought of its shape as being specified implicitly by the imposed selection criterion. Because our intent is to study evolutionary histories rather than end products, we defined a target shape in advance and assumed the replication rate of a sequence to be a function of

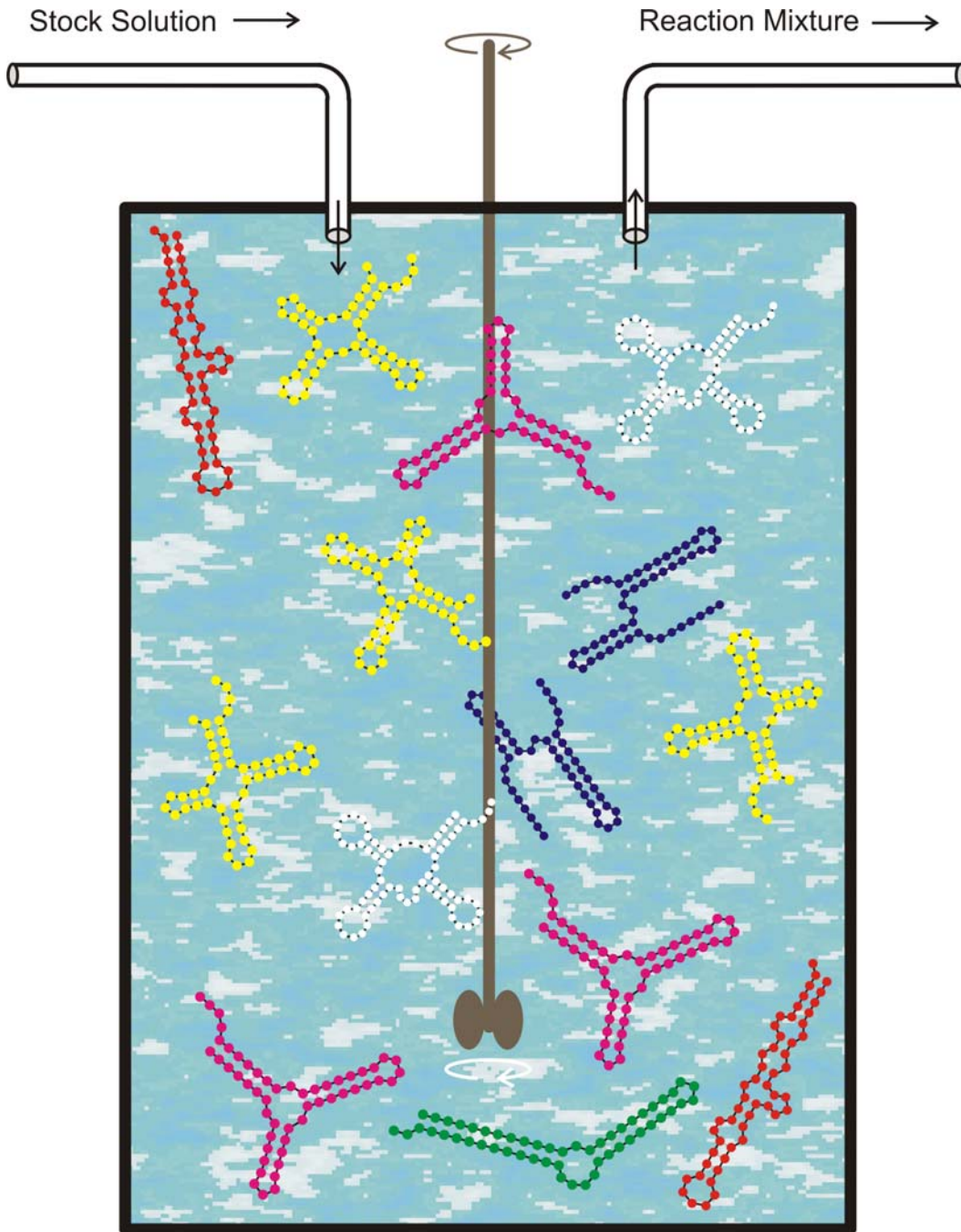
the similarity between its shape and the target. An actual situation may involve more than one best shape, but this does not affect our conclusions.

An instance representing in its qualitative features all the simulations we performed is shown in Fig. 1A. Starting with identical sequences folding into a random shape, the simulation was stopped when the population became dominated by the target, here a canonical tRNA shape. The black curve traces the average distance to the target (inversely related to fitness) in the population against time. Aside from a short initial phase, the entire history is dominated by steps, that is, flat periods of no apparent adaptive progress, interrupted by sudden approaches toward the target structure (7). However, the dominant shapes in the population not only change at these marked events but undergo several fitness-neutral transformations during the periods of no apparent progress. Although discontinuities in the fitness trace are evident, it is entirely unclear when and on the basis of what the series of successive phenotypes itself can be called continuous or discontinuous.

A set of entities is organized into a (topological) space by assigning to each entity a system of neighborhoods. In the present case, there are two kinds of entities: sequences and shapes, which are related by a thermodynamic folding procedure. The set of possible sequences (of fixed length) is naturally organized into a space because point mutations induce a canonical neighborhood. The neighborhood of a sequence consists of all its one-error mutants. The problem is how to organize the set of possible shapes into a space. The issue arises because, in contrast to sequences, there are

Stochastic simulation of evolution of RNA molecules

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Replication rate constant:

$$f_k = \gamma / [\alpha + \Delta d_S^{(k)}]$$

$$\Delta d_S^{(k)} = d_H(S_k, S_\tau)$$

Selection constraint:

Population size, $N = \#$ RNA molecules, is controlled by the flow

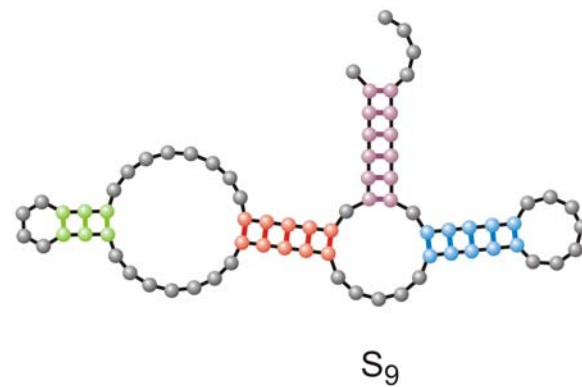
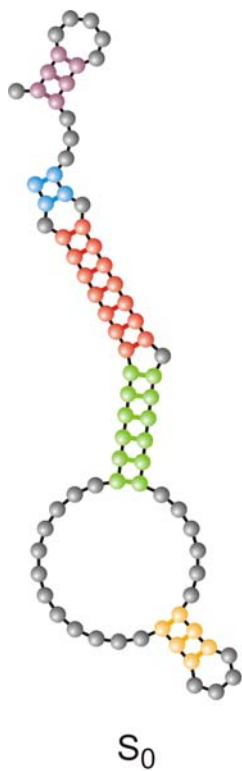
$$N(t) \approx \bar{N} \pm \sqrt{\bar{N}}$$

Mutation rate:

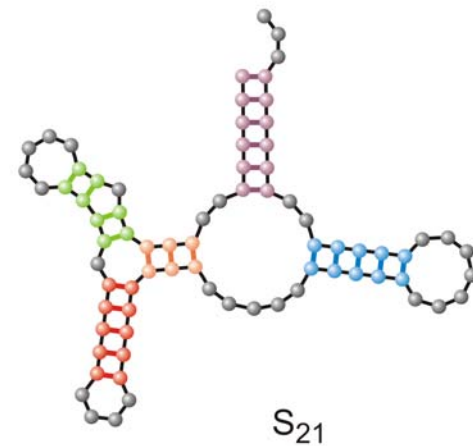
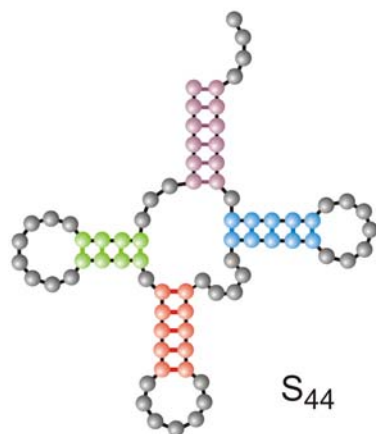
$$p = 0.001 / \text{site} \times \text{replication}$$

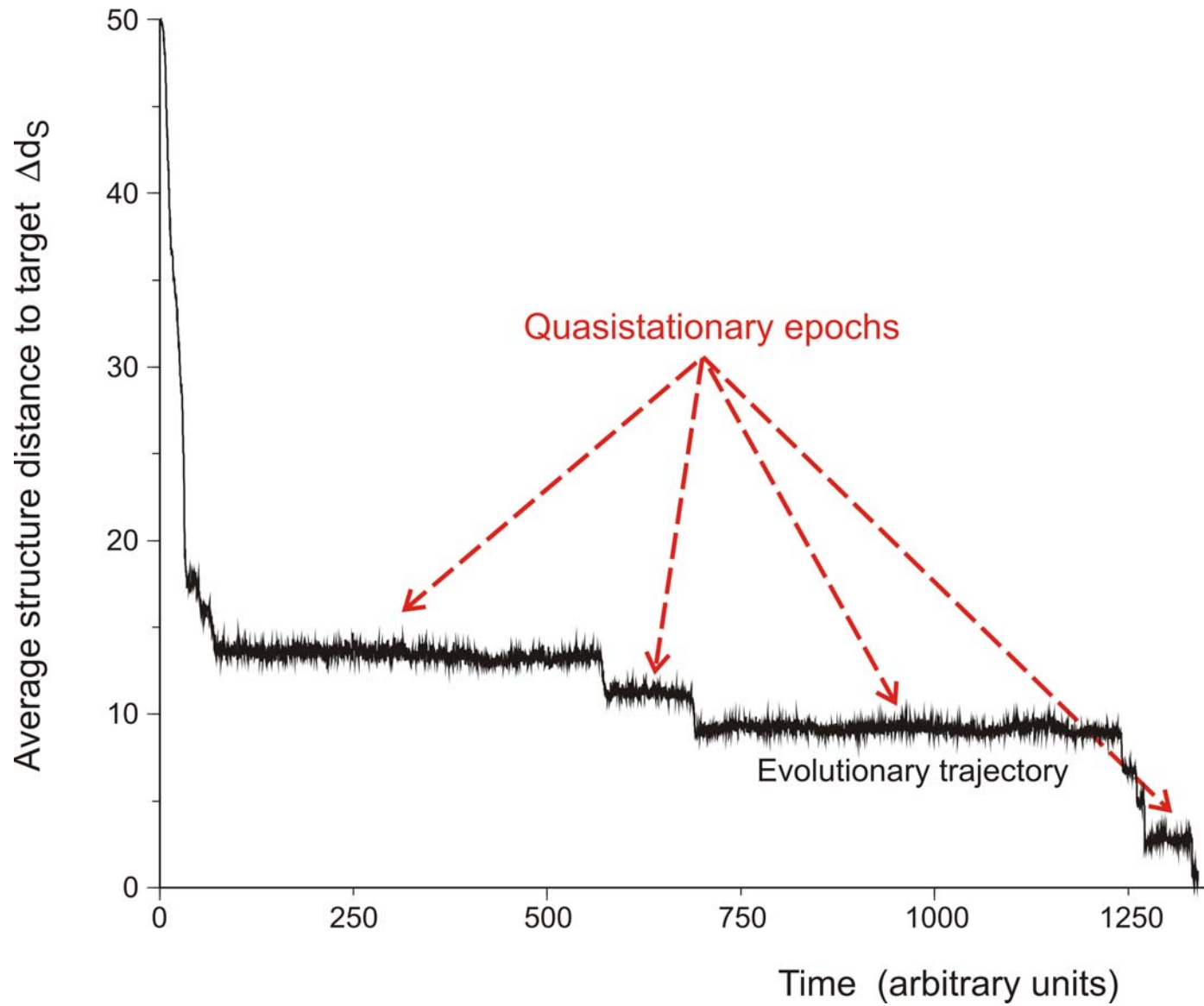
The flowreactor as a device for studies of evolution *in vitro* and *in silico*

Randomly chosen
initial structure



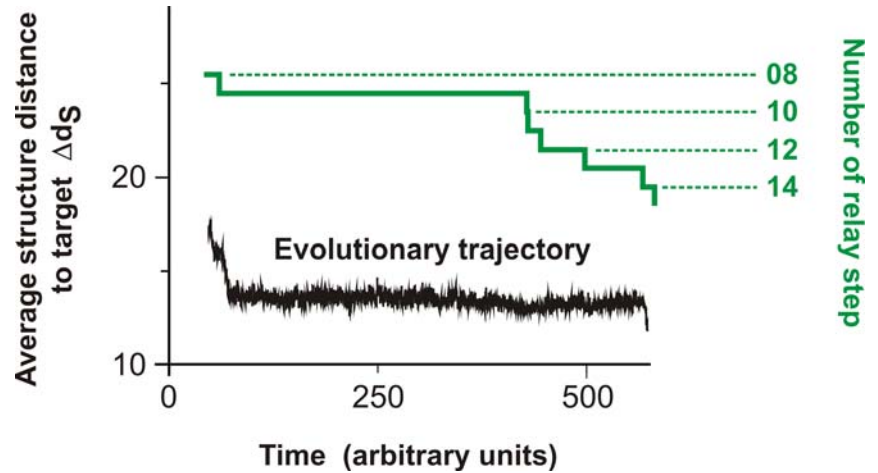
Phenylalanyl-tRNA
as target structure





In silico optimization in the flow reactor: Evolutionary Trajectory

28 neutral point mutations during a long quasi-stationary epoch



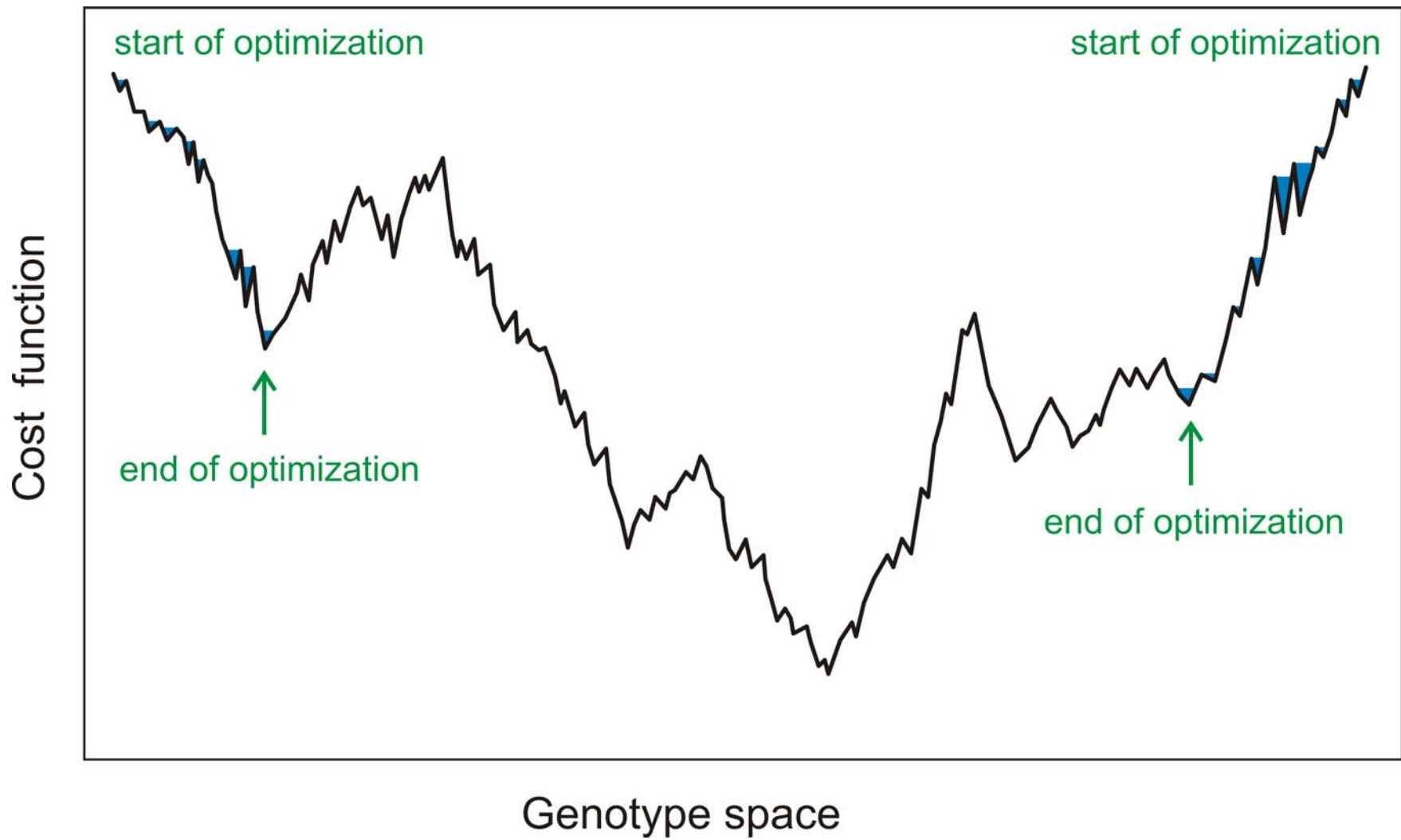
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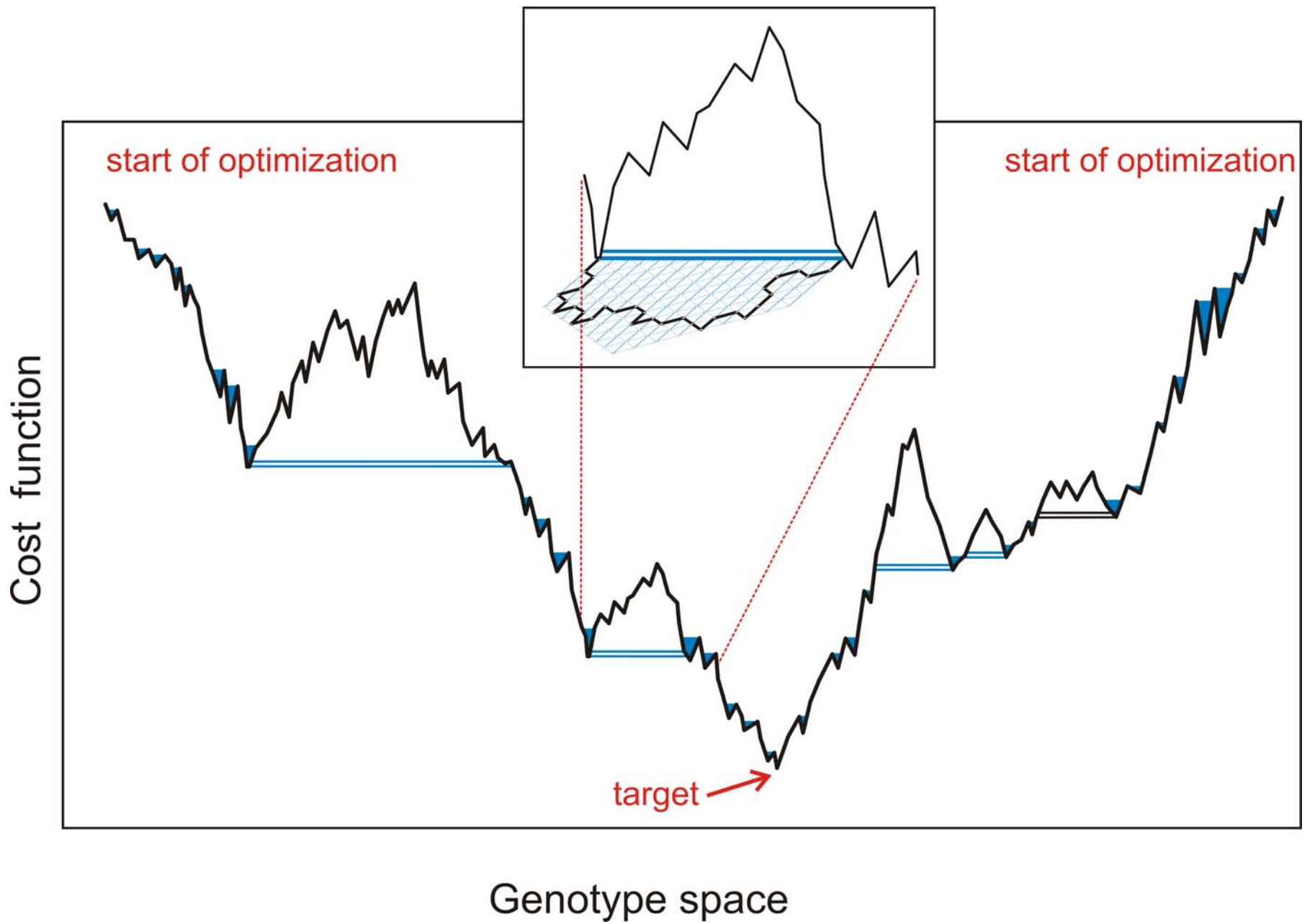
entry  GGUAUGGGCGUUGAAUAGG G U U U A A A C C A A U C G G C A A C G A U C U C G U G U G C G C A U U U C A U A U C C C G U A C A G A A
8      .(((((((((((((. . . . . (((. . . . .)))) . . . . .)))))) . . . . .(((((. . . . .))))))))) . . . .
exit   GGUAUGGGCGUUGAAU A A U A G G G U U U A A A C C A A U C G G C C A A C G A U C U C G U G U G C G C A U U U C A U A U C C C A U A C A G A A
entry  GGUAUGGGCGUUGAAU A A U A G G G U U U A A A C C A A U C G G C C A A C G A U C U C G U G U G C G C A U U U C A U A U A C C A U A C A G A A
9      .(((((( . (((((. . . . . (((. . . . .)))) . . . . .)))) . . . . .(((((. . . . .)))) . ))))) . . . .
exit   U G G A U G G A C G U U G A A U A A C A A G G U A U C G A C C A A A C A A C C A A C G A G U A A G U G U G U A C G C C C C A C A C A C G U C C C A A G
entry  U G G A U G G A C G U U G A A U A A C A A G G U A U C G A C C A A A C A A C C A A C G A G U A A G U G U G U A C G C C C C A C A C A C G U C C C A A G
10     .(((((. . (((((. . . . . (((. . . . .)))) . . . . .)))) . . . . .(((((. . . . .)))) . ))))) . . . .
exit   U G G A U G G A C G U U G A A U A A C A A G G U A U C G A C C A A A C A A C C A A C G A G U A A G U G U G U A C G C C C C A C A C A C G U C C C A A G
  
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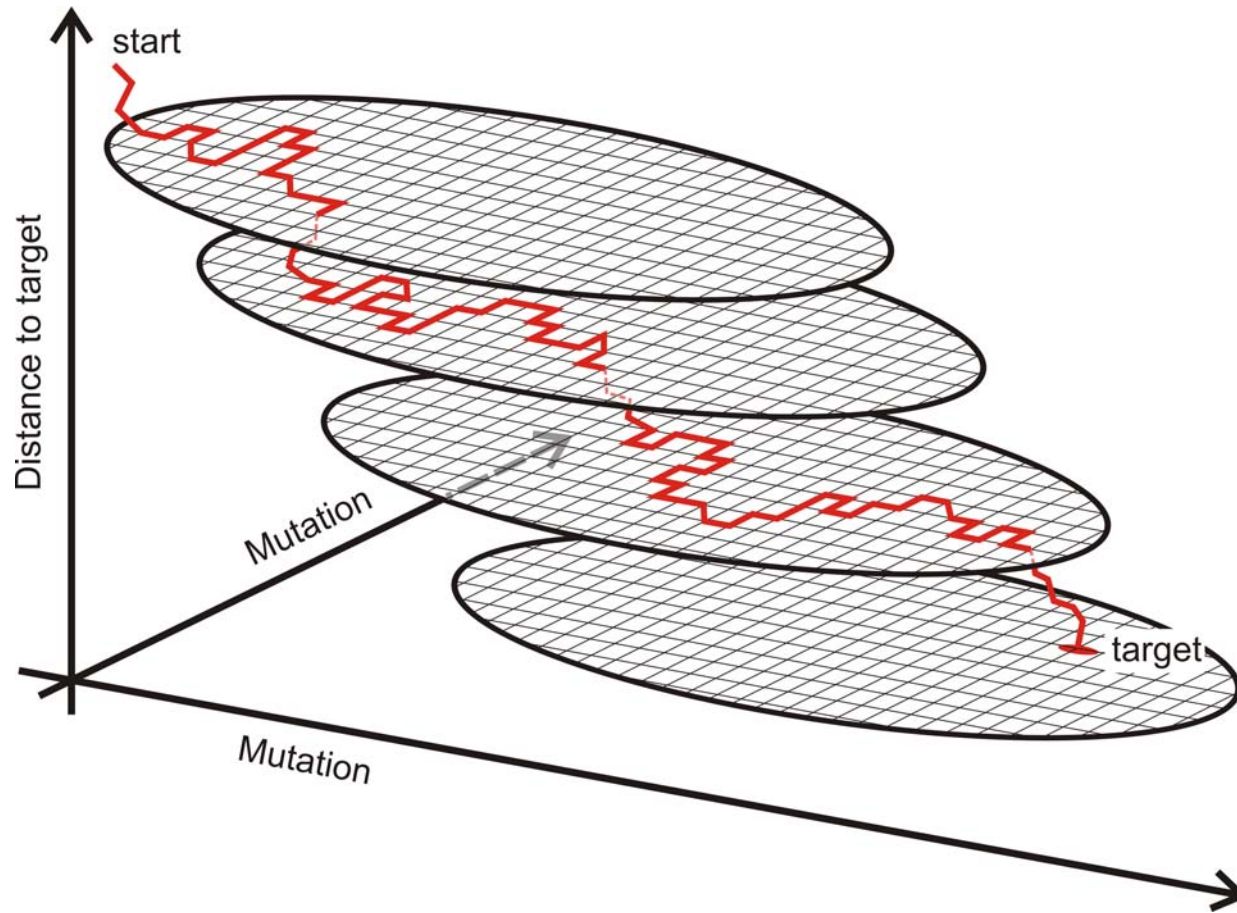
Transition inducing point mutations
change the molecular structure

Neutral point mutations leave the
molecular structure unchanged

Neutral genotype evolution during phenotypic stasis







A sketch of optimization on neutral networks

1. Replication and selection
2. Mutation, quasispecies and error thresholds
3. Sequences, structures and neutrality
4. Realistic fitness landscapes
5. Replicating networks
6. RNA structure optimization
7. **Experiments with RNA**

Evolutionary design of RNA molecules

D.B.Bartel, J.W.Szostak, *In vitro selection of RNA molecules that bind specific ligands*. Nature **346** (1990), 818-822

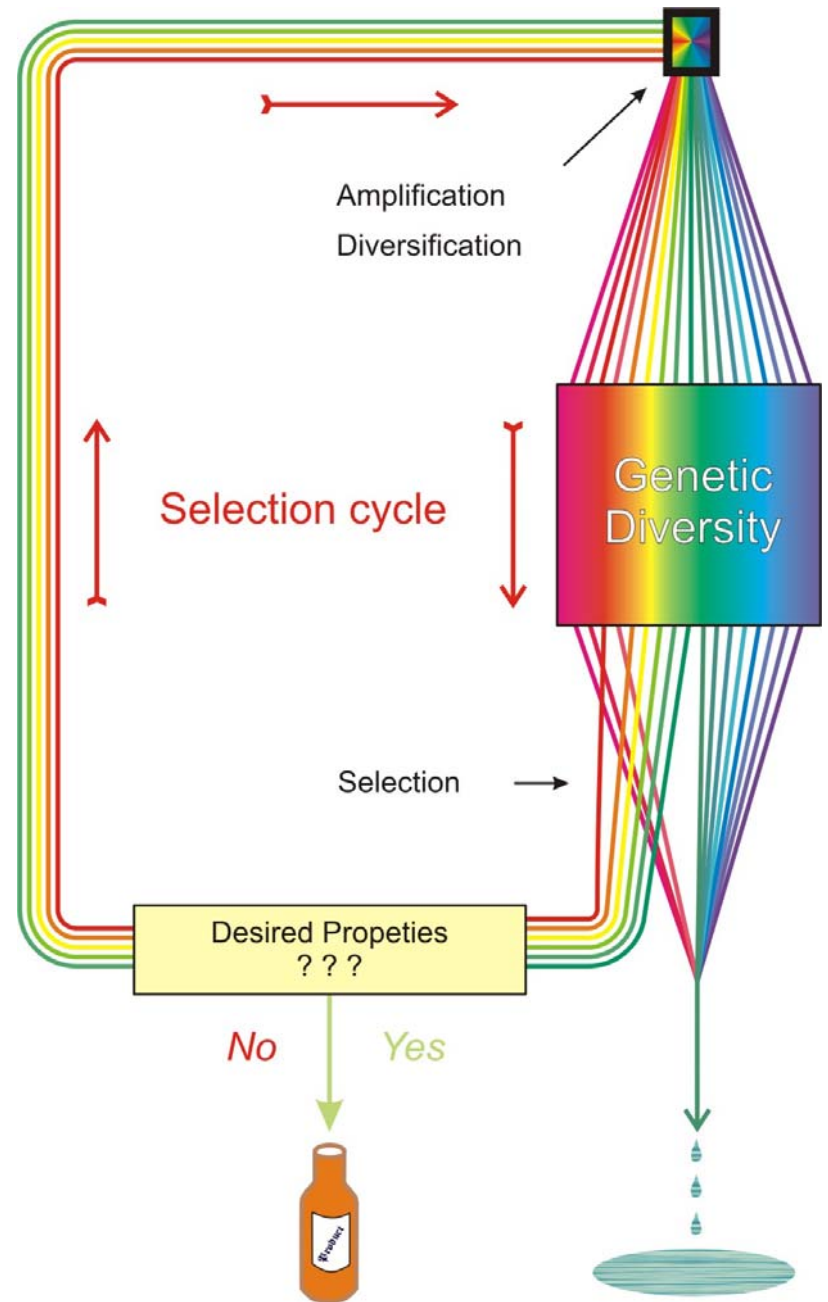
C.Tuerk, L.Gold, *SELEX - Systematic evolution of ligands by exponential enrichment: RNA ligands to bacteriophage T4 DNA polymerase*. Science **249** (1990), 505-510

D.P.Bartel, J.W.Szostak, *Isolation of new ribozymes from a large pool of random sequences*. Science **261** (1993), 1411-1418

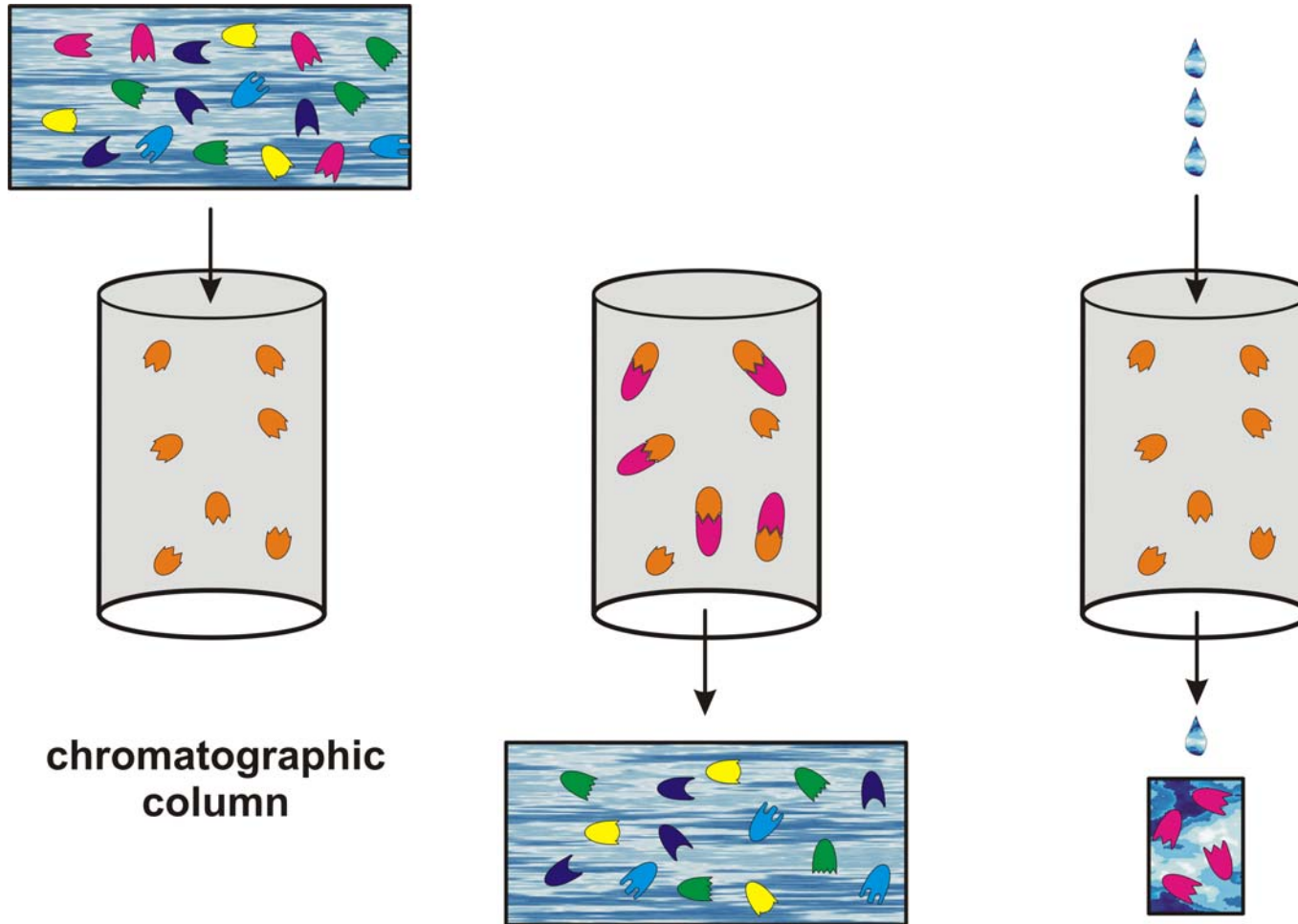
R.D.Jenison, S.C.Gill, A.Pardi, B.Poliski, *High-resolution molecular discrimination by RNA*. Science **263** (1994), 1425-1429

Y. Wang, R.R.Rando, *Specific binding of aminoglycoside antibiotics to RNA*. Chemistry & Biology **2** (1995), 281-290

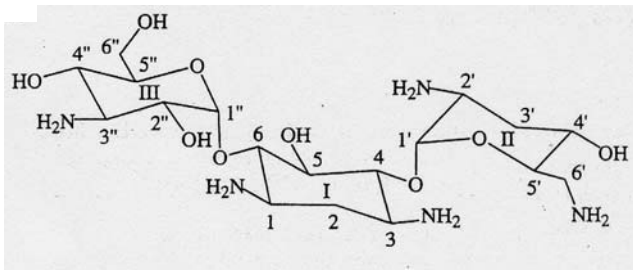
Jiang, A. K. Suri, R. Fiala, D. J. Patel, *Saccharide-RNA recognition in an aminoglycoside antibiotic-RNA aptamer complex*. Chemistry & Biology **4** (1997), 35-50



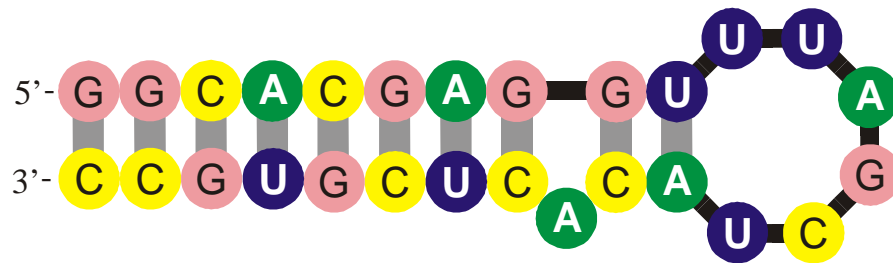
An example of selection of molecules with predefined properties in laboratory experiments



The SELEX-technique for evolutionary design of strongly binding molecules called aptamers



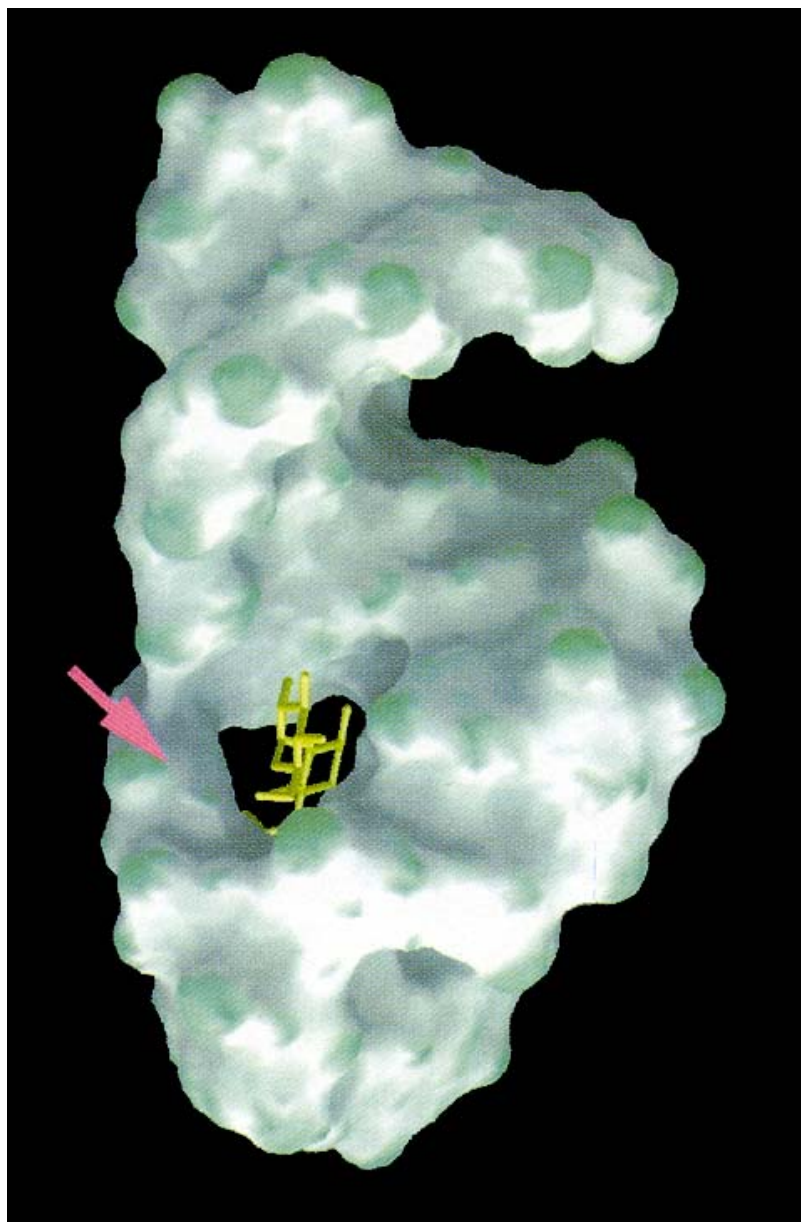
tobramycin



RNA aptamer

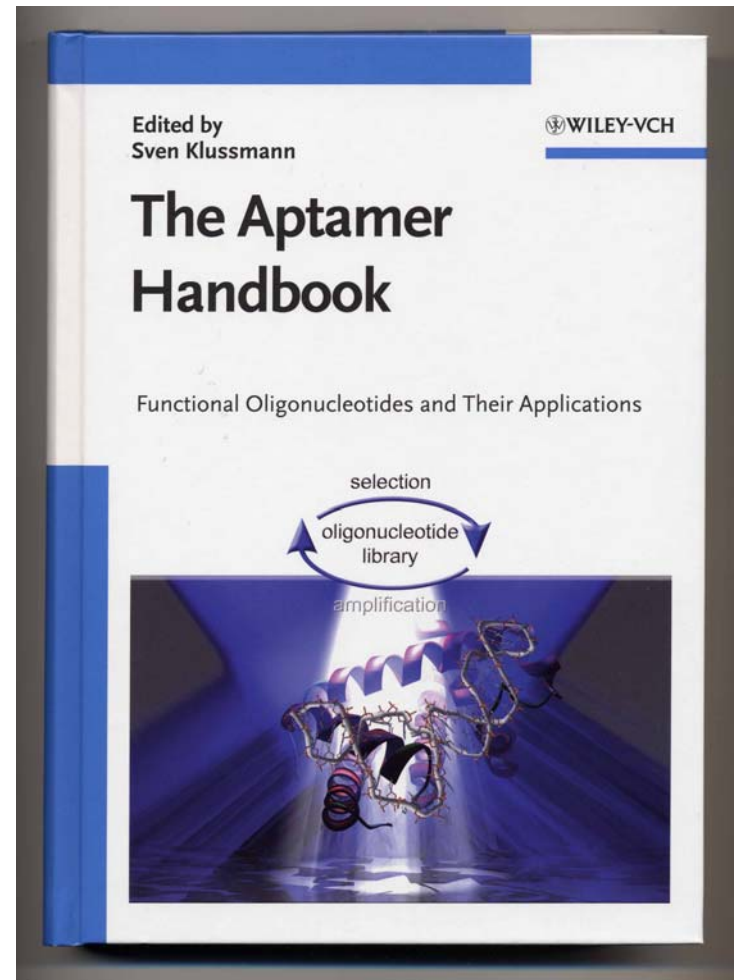
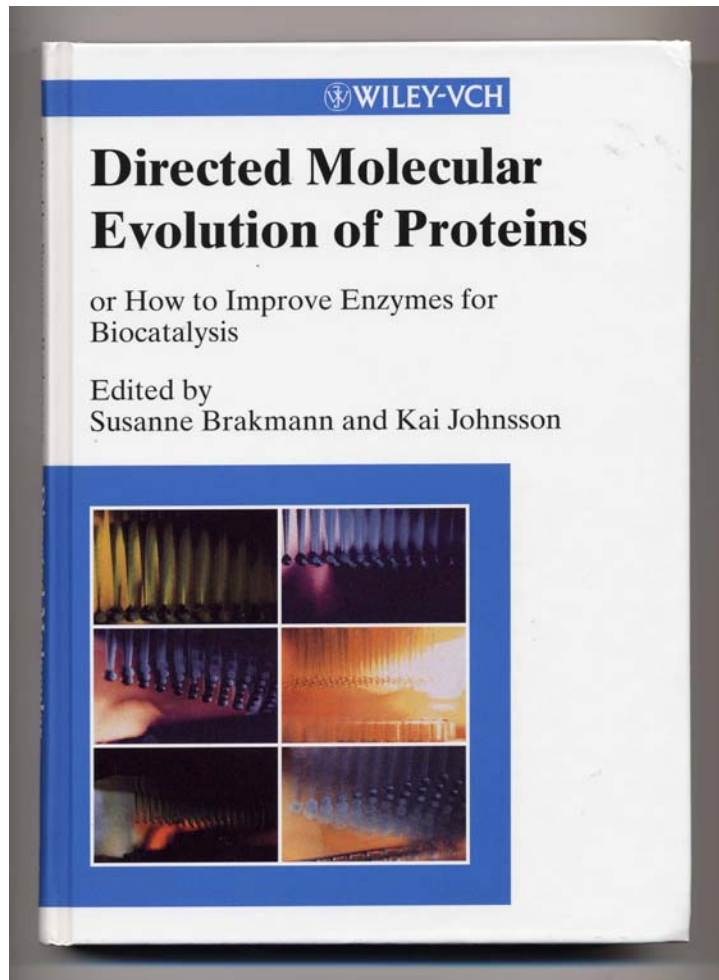
Formation of secondary structure of the tobramycin binding RNA aptamer with $K_D = 9 \text{ nM}$

L. Jiang, A. K. Suri, R. Fiala, D. J. Patel, *Saccharide-RNA recognition in an aminoglycoside antibiotic-RNA aptamer complex*. *Chemistry & Biology* 4:35-50 (1997)



The three-dimensional structure of the
tobramycin aptamer complex

L. Jiang, A. K. Suri, R. Fiala, D. J. Patel,
Chemistry & Biology 4:35-50 (1997)



Application of molecular evolution to problems in biotechnology

- minus the background levels observed in the HSP in the control (Sar1-GDP-containing) incubation that prevents COPII vesicle formation. In the microsome control, the level of p115-SNARE associations was less than 0.1%.
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 50. GST-SNARE proteins were expressed in bacteria and purified on glutathione-Sepharose beads using standard methods. Immobilized GST-SNARE protein (0.5 μ M) was incubated with rat liver cytosol (20 mg) or purified recombinant p115 (0.5 μ M) in 1 ml of NS buffer containing 1% BSA for 2 hours at 4°C with rotation. Beads were briefly spun (3000 rpm for 10 s) and sequentially washed three times with NS buffer and three times with NS buffer supplemented with 150 mM NaCl. Bound proteins were eluted three times in 50 μ l of 50 mM tris-HCl (pH 8.5), 50 mM reduced glutathione, 150 mM NaCl, and 0.1% Triton X-100 for 15 min at 4°C with intermittent mixing, and elutes were pooled. Proteins were precipitated by MeOH/CH₂Cl₂ and separated by SDS-polyacrylamide gel electrophoresis (PAGE) followed by immunoblotting using p115 mAb 13F12.
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 69. We thank G. Waters for p115 cDNA and p115 mAbs; G. Warren for p97 and p47 antibodies; R. Scheller for rbt1, membrin, and sec22 cDNAs; H. Plutner for excellent technical assistance; and P. Tan for help during the initial phase of this work. Supported by NIH grants GM 33301 and GM42336 and National Cancer Institute grant CA58689 (W.E.B.), a NIH National Research Service Award (B.D.M.), and a Wellcome Trust International Traveling Fellowship (B.B.A.).

20 March 2000; accepted 22 May 2000

One Sequence, Two Ribozymes: Implications for the Emergence of New Ribozyme Folds

Erik A. Schultes and David P. Bartel*

We describe a single RNA sequence that can assume either of two ribozyme folds and catalyze the two respective reactions. The two ribozyme folds share no evolutionary history and are completely different, with no base pairs (and probably no hydrogen bonds) in common. Minor variants of this sequence are highly active for one or the other reaction, and can be accessed from prototype ribozymes through a series of neutral mutations. Thus, in the course of evolution, new RNA folds could arise from preexisting folds, without the need to carry inactive intermediate sequences. This raises the possibility that biological RNAs having no structural or functional similarity might share a common ancestry. Furthermore, functional and structural divergence might, in some cases, precede rather than follow gene duplication.

Related protein or RNA sequences with the same folded conformation can often perform very different biochemical functions, indicating that new biochemical functions can arise from preexisting folds. But what evolutionary mechanisms give rise to sequences with new macromolecular folds? When considering the origin of new folds, it is useful to picture, among all sequence possibilities, the distribution of sequences with a particular fold and function. This distribution can range very far in sequence space (1). For example, only seven nucleotides are strictly conserved among the group I self-splicing introns, yet secondary (and presumably tertiary) structure within the core of the ribozyme is preserved (2). Because these dispar-

ate isolates have the same fold and function, it is thought that they descended from a common ancestor through a series of mutational variants that were each functional. Hence, sequence heterogeneity among divergent isolates implies the existence of paths through sequence space that have allowed neutral drift from the ancestral sequence to each isolate. The set of all possible neutral paths composes a "neutral network," connecting in sequence space those widely dispersed sequences sharing a particular fold and activity, such that any sequence on the network can potentially access very distant sequences by neutral mutations (3-5).

Theoretical analyses using algorithms for predicting RNA secondary structure have suggested that different neutral networks are interwoven and can approach each other very closely (3, 5-8). Of particular interest is whether ribozyme neutral networks approach each other so closely that they intersect. If so, a single sequence would be capable of folding into two different conformations, would

have two different catalytic activities, and could access by neutral drift every sequence on both networks. With intersecting networks, RNAs with novel structures and activities could arise from previously existing ribozymes, without the need to carry non-functional sequences as evolutionary intermediates. Here, we explore the proximity of neutral networks experimentally, at the level of RNA function. We describe a close apposition of the neutral networks for the hepatitis delta virus (HDV) self-cleaving ribozyme and the class III self-ligating ribozyme.

In choosing the two ribozymes for this investigation, an important criterion was that they share no evolutionary history that might confound the evolutionary interpretations of our results. Choosing at least one artificial ribozyme ensured independent evolutionary histories. The class III ligase is a synthetic ribozyme isolated previously from a pool of random RNA sequences (9). It joins an oligonucleotide substrate to its 5' terminus. The prototype ligase sequence (Fig. 1A) is a shortened version of the most active class III variant isolated after 10 cycles of *in vitro* selection and evolution. This minimal construct retains the activity of the full-length isolate (10). The HDV ribozyme carries out the site-specific self-cleavage reactions needed during the life cycle of HDV, a satellite virus of hepatitis B with a circular, single-stranded RNA genome (11). The prototype HDV construct for our study (Fig. 1B) is a shortened version of the antigenomic HDV ribozyme (12), which undergoes self-cleavage at a rate similar to that reported for other antigenomic constructs (13, 14).

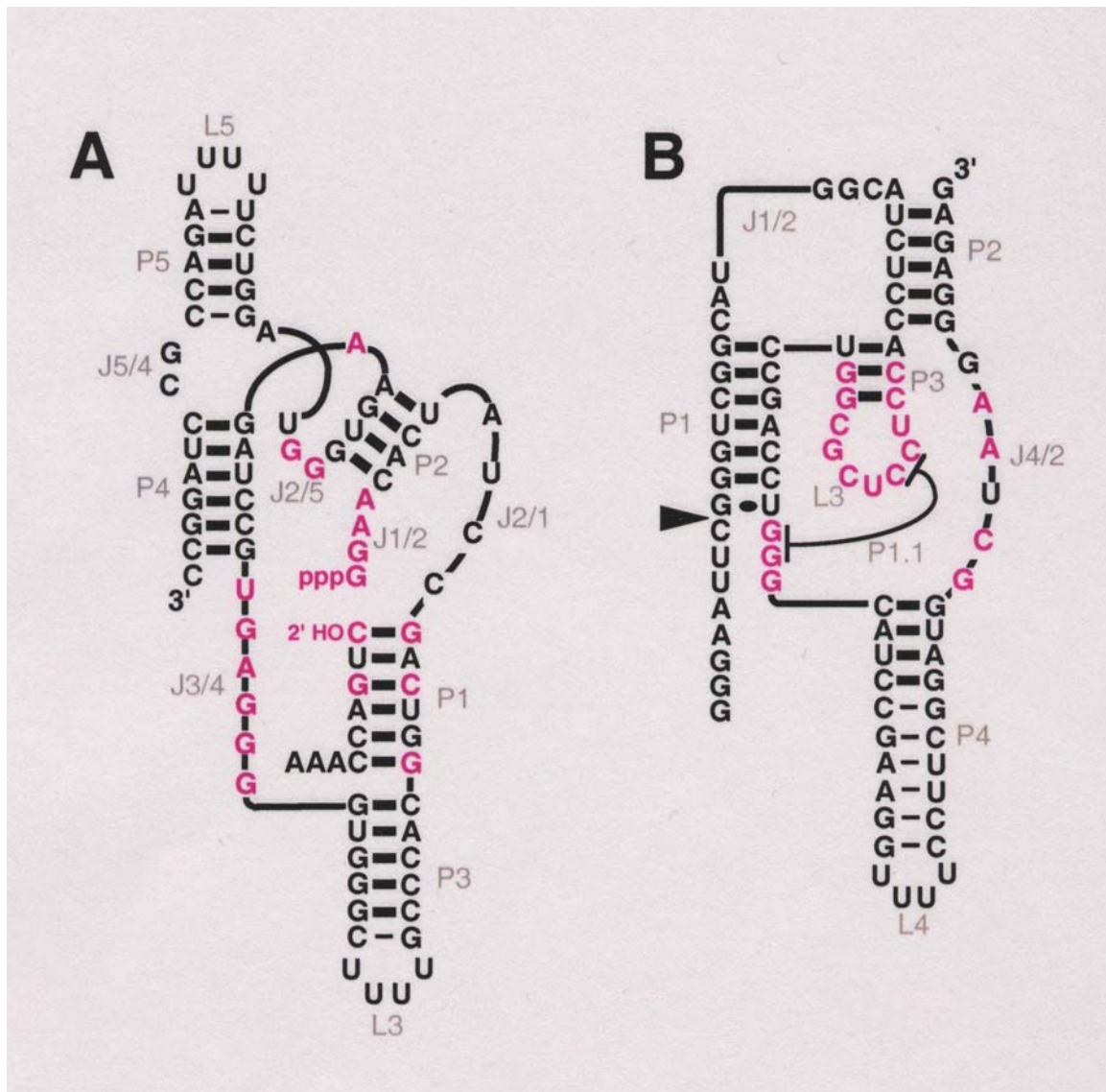
The prototype class III and HDV ribozymes have no more than the 25% sequence identity expected by chance and no fortuitous structural similarities that might favor an intersection of their two neutral networks. Nevertheless, sequences can be designed that simultaneously satisfy the base-pairing requirements

A ribozyme switch

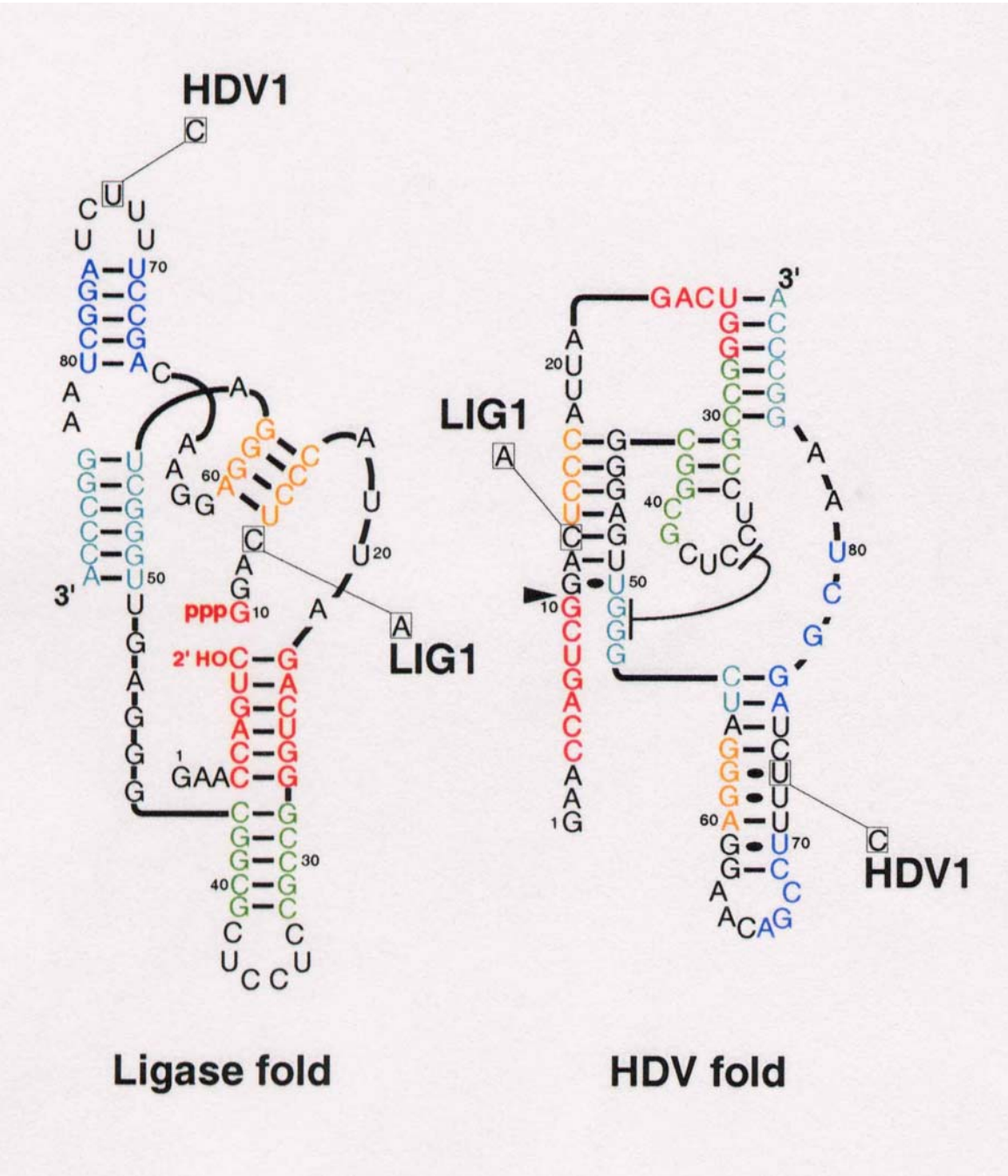
E.A.Schultes, D.B.Bartel, *Science*
289 (2000), 448-452

Whitehead Institute for Biomedical Research and Department of Biology, Massachusetts Institute of Technology, 9 Cambridge Center, Cambridge, MA 02142, USA.

*To whom correspondence should be addressed. E-mail: dbartel@wi.mit.edu

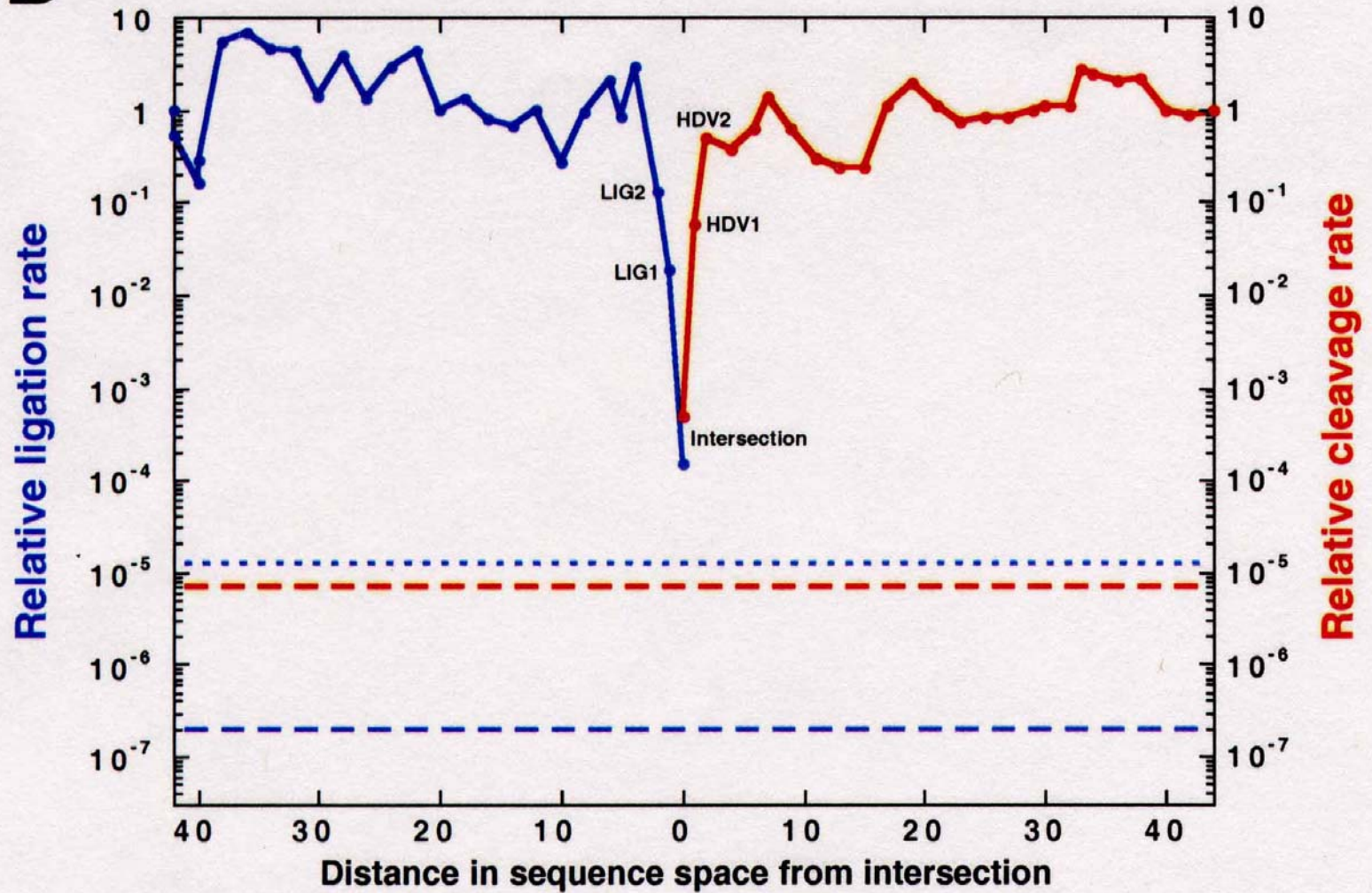


Two ribozymes of chain lengths $n = 88$ nucleotides: An artificial ligase (A) and a natural cleavage ribozyme of hepatitis- δ -virus (B)



The sequence at the intersection:

An RNA molecules which is 88 nucleotides long and can form both structures

B

Two neutral walks through sequence space with conservation of structure and catalytic activity

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13887, and 14898

Wiener Wissenschafts-, Forschungs- und Technologiefonds (WWTF)
Project No. Mat05

Jubiläumsfonds der Österreichischen Nationalbank
Project No. Nat-7813

European Commission: Contracts No. 98-0189, 12835 (NEST)

Austrian Genome Research Program – GEN-AU: Bioinformatics
Network (BIN)

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