

Molekulare Grundlagen der Evolution.

Kann man die Wahrscheinlichkeit der Lebensentstehung abschätzen?

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Düsseldorf, 01.02.2007

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<http://www.tbi.univie.ac.at/~pks>

1. Was ist Leben?
2. Leben und Wahrscheinlichkeiten
3. Der Ursprung biologischer Information
4. Darwinsche Evolution mit Molekülen
5. Evolutionsexperimente
6. Die DNA + Protein Welt
7. Evolutionsmechanismen

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Tabelle 1: Kriterien zur Unterscheidung von belebter und unbelebter Materie

	Fähigkeit	Merkmal	Eigenschaft
1	Autokatalyse	Vermehrung	Wachstum von Kolonien
2	Informationskodierung	Vererbung	Selektion in Populationen
3	Kopierfehler	Variabilität	Evolutionäre Adaptation
4	Membranbildung	Zelle	Individualität
5	Selbsterhalt (Autopoiese)	Stoffwechsel	Autonomie
6	Endosymbiose	Zellorganellen	Arbeitsteilung
7	Organismische Kontrolle	Zelldifferenzierung	Soma und Keimbahn

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Eugene Wigner's or Fred Hoyle's argument applied to a bacterium:

All genomes have equal probability and all except one have no survival value or are lethal

5'-end **GCGGATTTAGCTCAGTTGGGAGAGCGCCAGACTGAAGATCTGGAGGTCCTGTGTTCGAUCCACAGAATTC.....GCACCA** 3'-end

Alphabet size: 4

Chain length: $\approx 1\,000\,000$ nucleotides

Number of possible genomes: $4^{1\,000\,000}$

Probability to find a given bacterial genome:

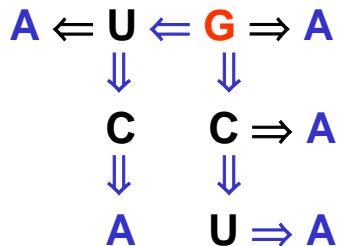
$$4^{-1\,000\,000} \approx 10^{-600\,000} = 0.000\dots001$$


600000

Eugene Wigner's and Fred Hoyle's arguments revisited:

Every single point mutation leads to an improvement and is therefore selected

5'-end **GCGGATTAGCTCAGTTGGGAGAGCGCCAGACTGAAGATCTGGAGGTCCTGTGTTCGAUCCACAGAATTC.....GCACCA** 3'-end



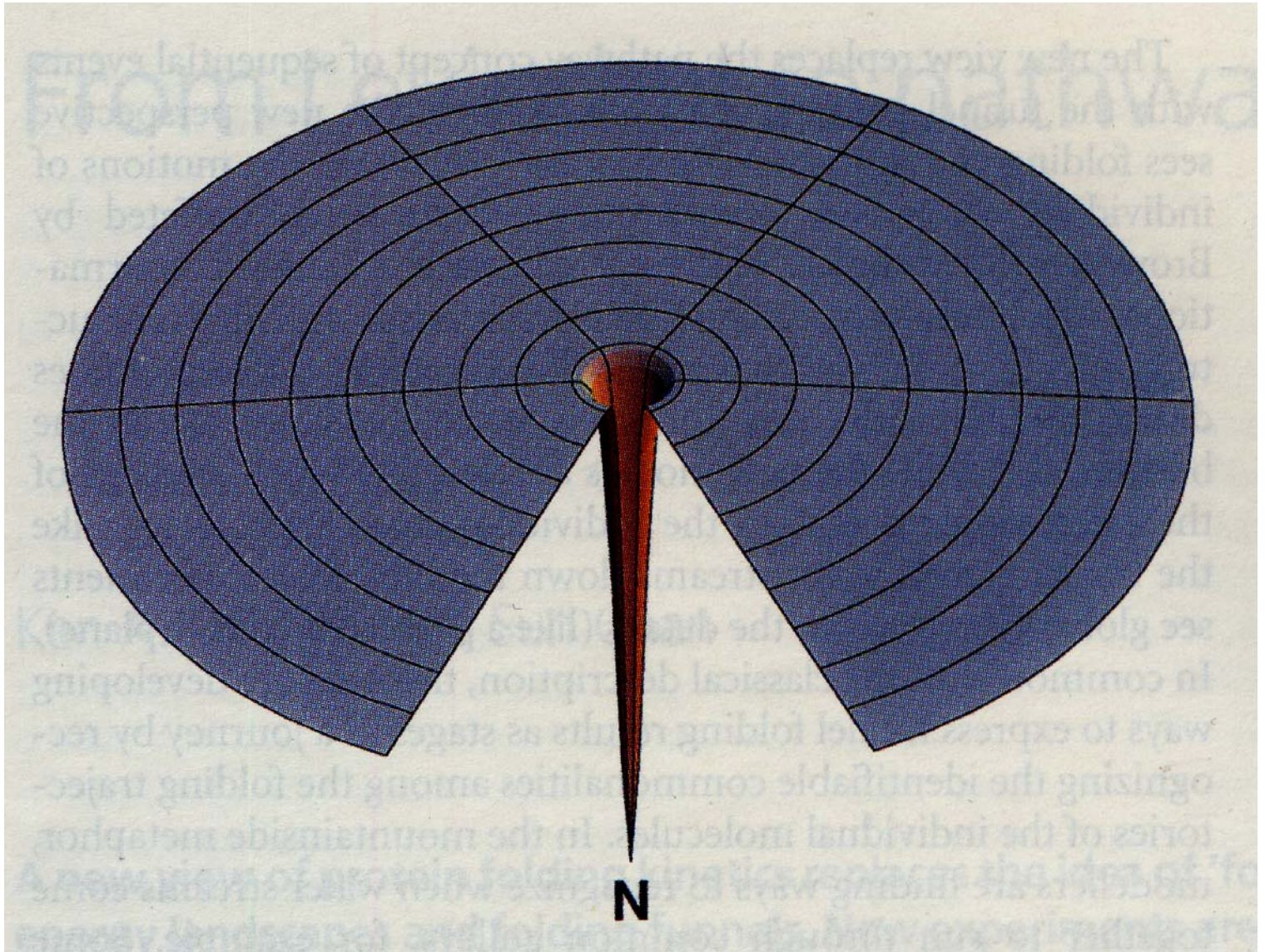
Alphabet size: 4

Chain length: $\approx 1\,000\,000$ nucleotides

Length of longest path to the optimum: $3 \times 10\,000\,000$

Probability to find the optimal bacterial genome:

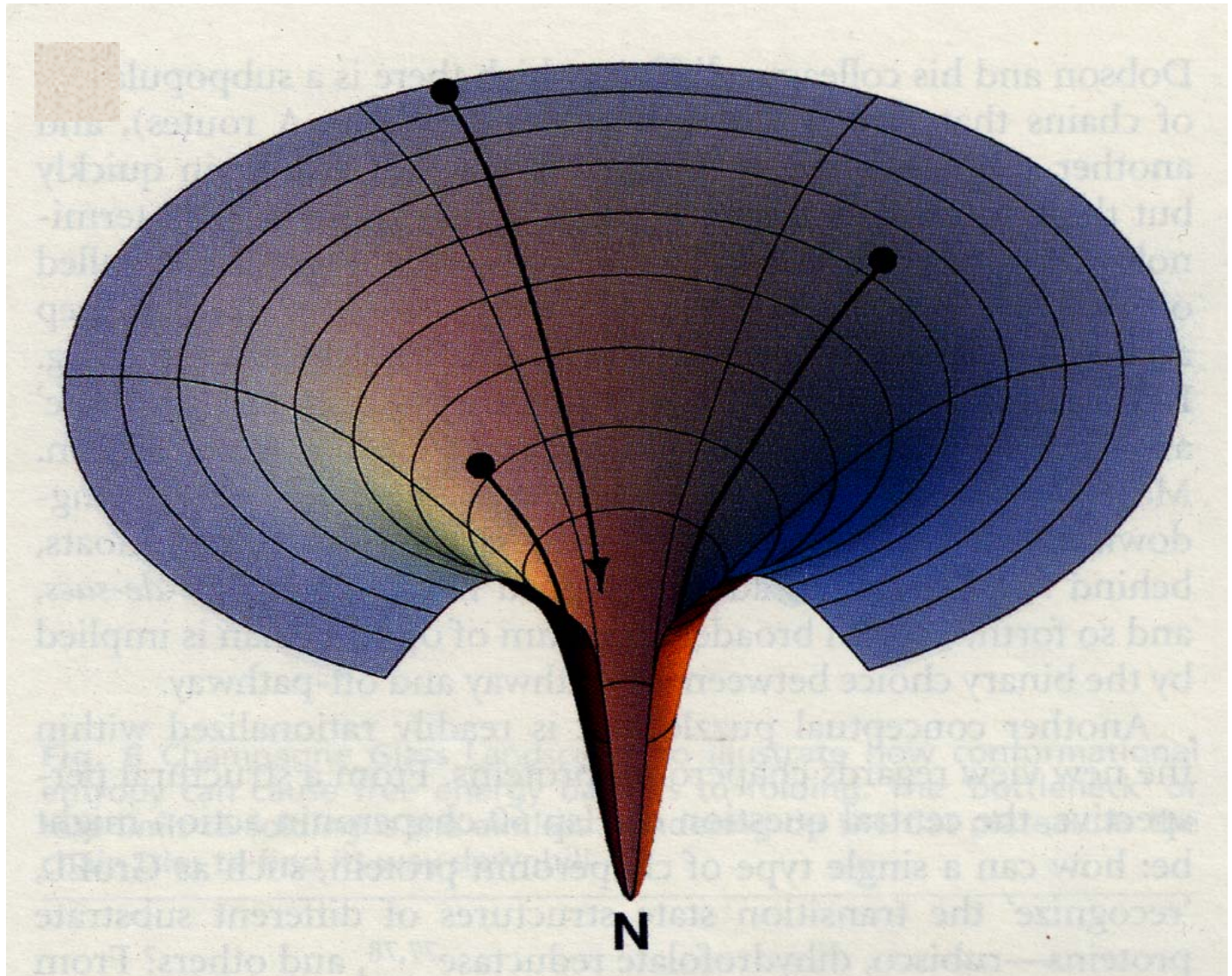
$$0.333.. \times 10^{-6} = 0.000000333..$$



Die Golfplatzlandschaft

Hoyles Paradoxon

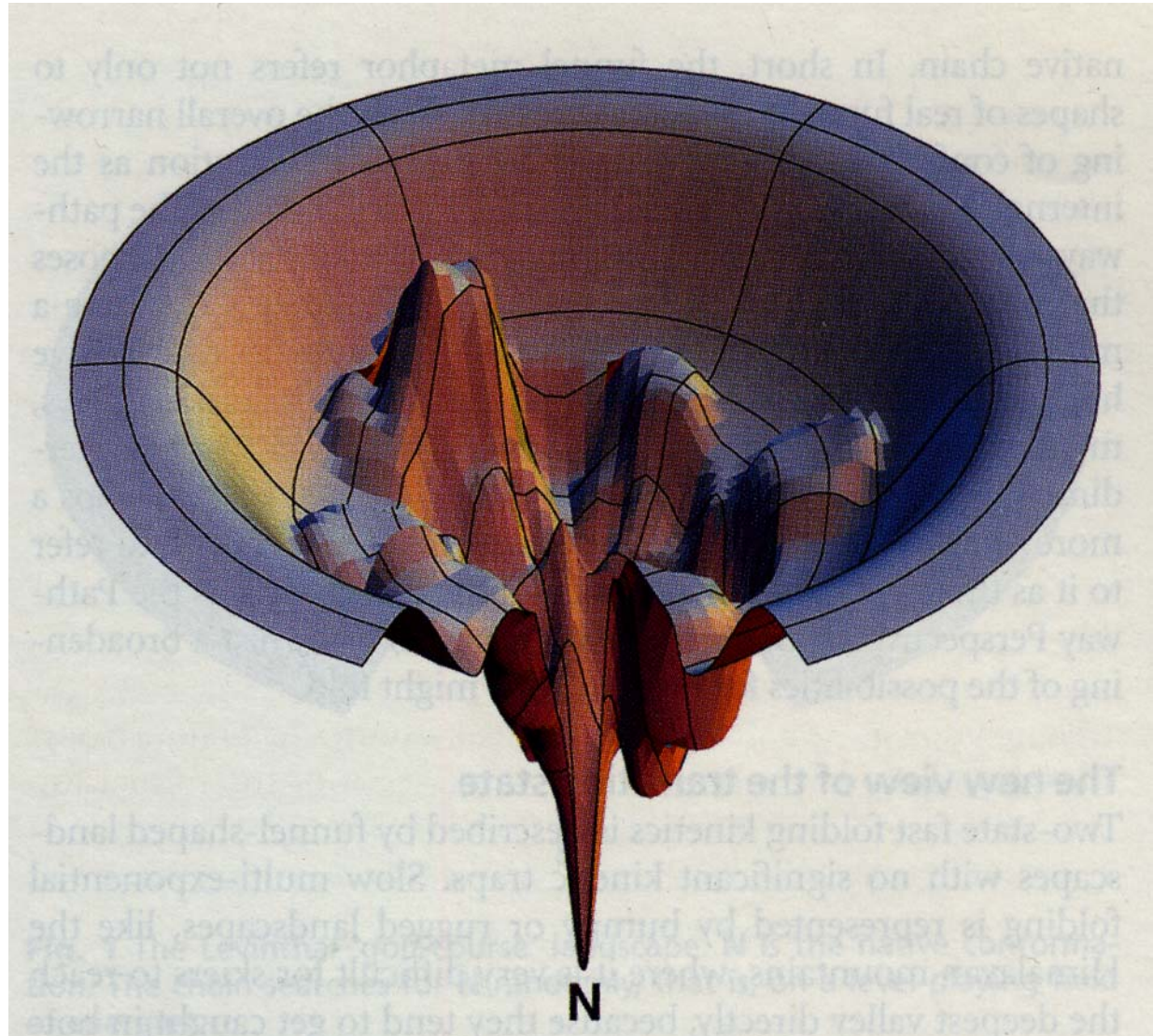
Picture: K.A. Dill, H.S. Chan, Nature Struct. Biol. 4:10-19



Die Trichterlandschaft

Die Lösung von Hoyles Paradoxon

Picture: K.A. Dill, H.S. Chan, Nature Struct. Biol. 4:10-19



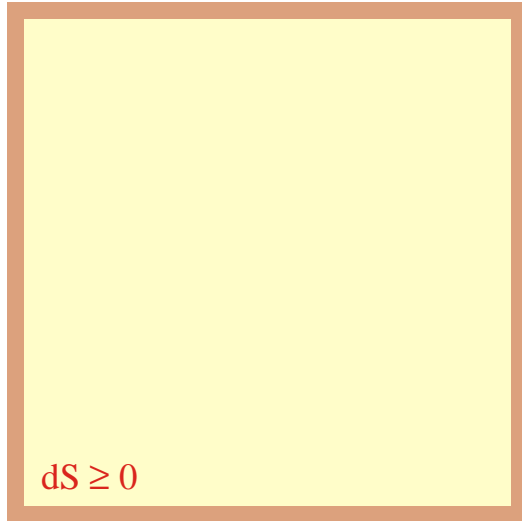
Die strukturierte Trichterlandschaft

Die Lösung von Hoyles Paradoxon

Picture: K.A. Dill, H.S. Chan, Nature Struct. Biol. 4:10-19

Aber die Landschaften, auf denen die Evolution in der Natur oder im Laborexperiment stattfindet, sind viel komplizierter als die drei hier gezeigten einfachen Beispiele !

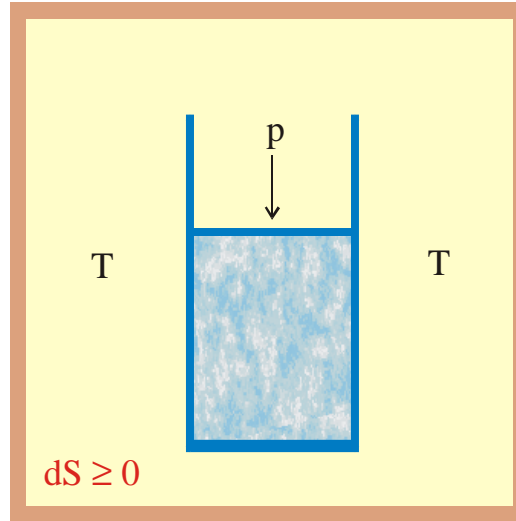
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Isolated system

$$U = \text{const.}, V = \text{const.},$$

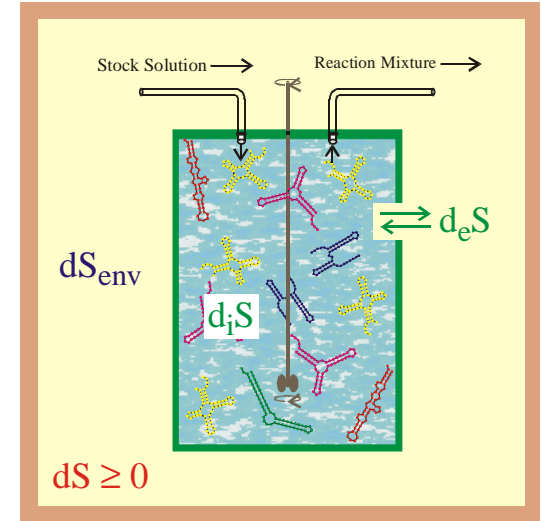
$$dS \geq 0$$



Closed system

$$T = \text{const.}, p = \text{const.},$$

$$dG = dU - pdV - TdS \leq 0$$



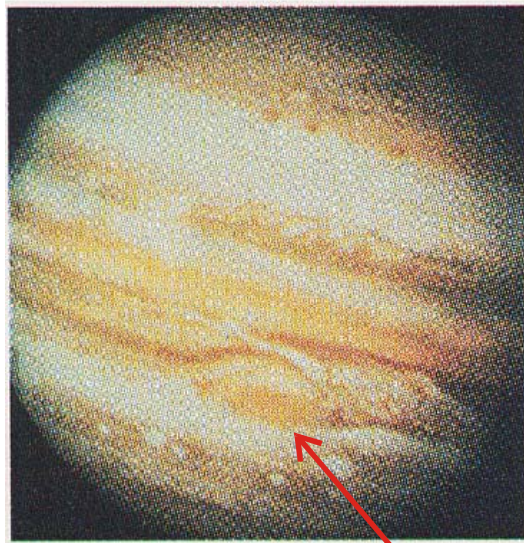
Open system

$$dS = dS_{\text{env}} + dS \geq 0$$

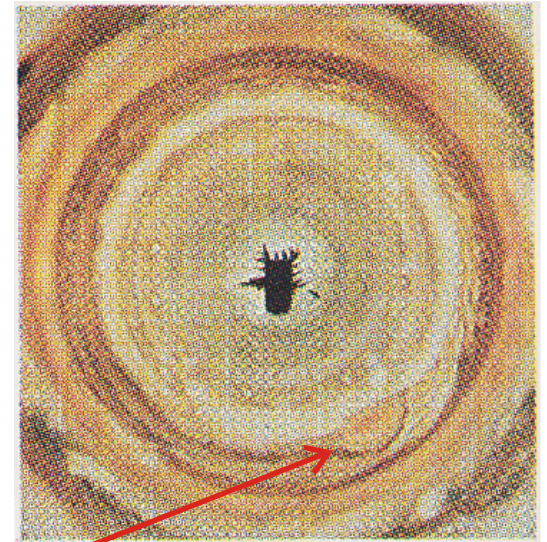
$$dS = d_i S + d_e S$$

$$d_i S \geq 0$$

Entropy changes in different thermodynamic systems

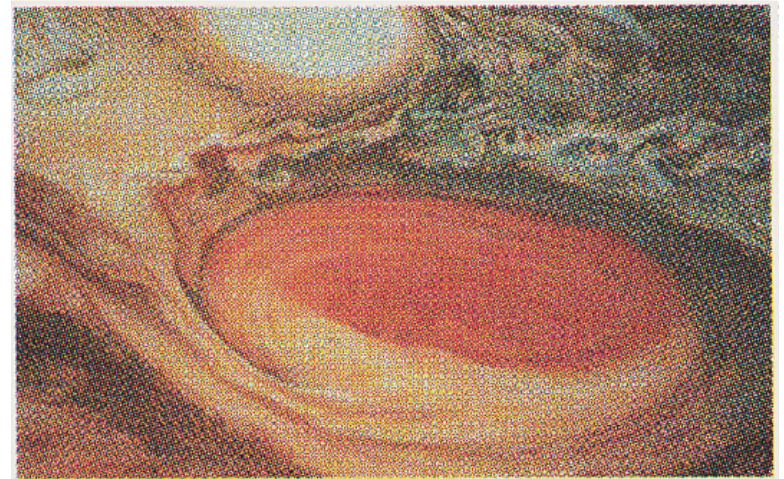


South pole



View from south pole

Red spot



Jupiter: Observation of the gigantic vortex

Picture taken from James Gleick, *Chaos*. Penguin Books, New York, 1988

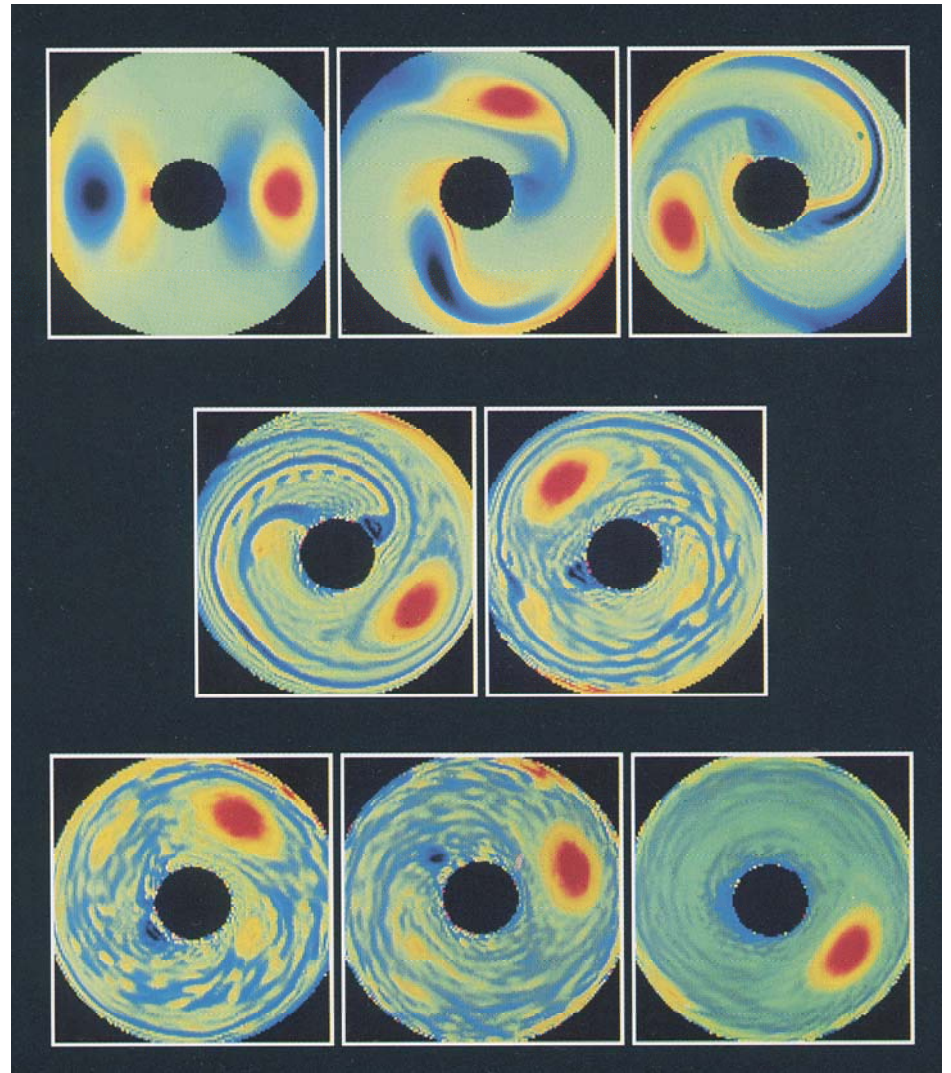
Computer simulation of the gigantic vortex on Jupiter

View from south pole

Particles turning
counterclockwise



Particles turning
clockwise

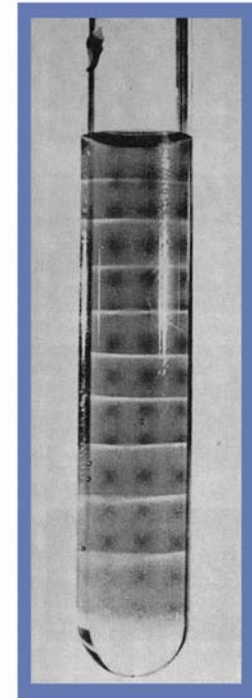
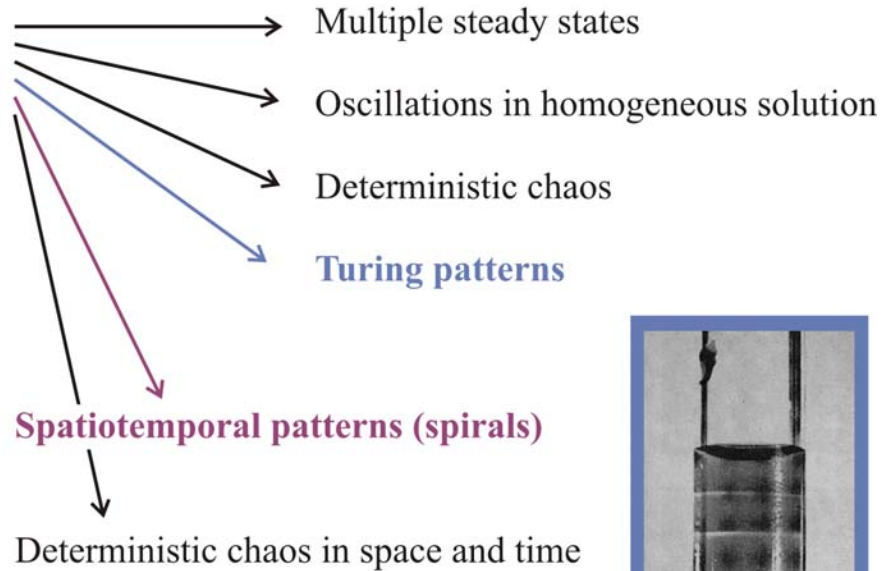
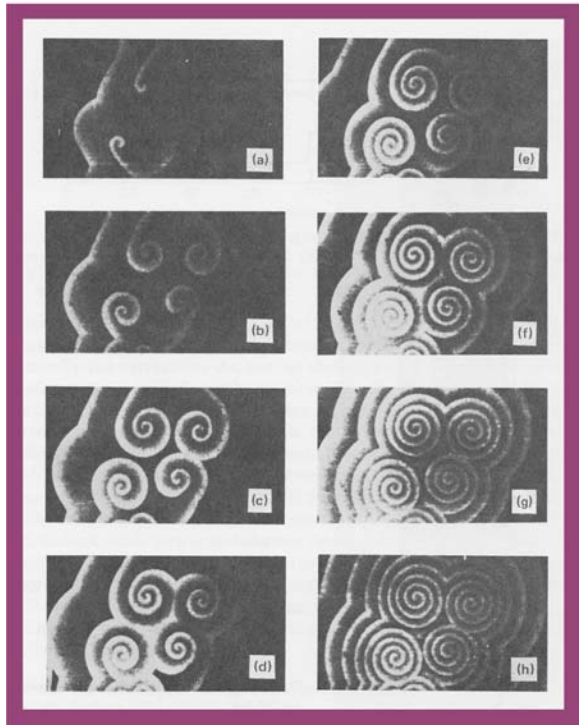


Jupiter: Computer simulation of the giant vortex

Philip Marcus, 1980. Picture taken from James Gleick, *Chaos*. Penguin Books, New York, 1988

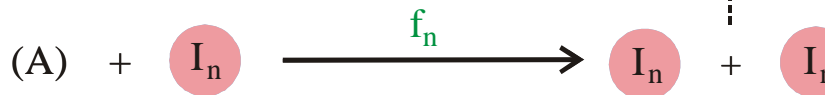
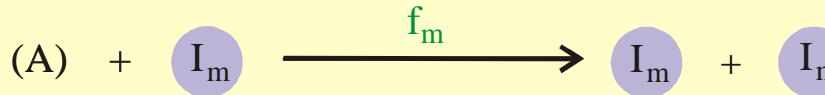
Autocatalytic chemical reactions

Direct autocatalysis or hidden in the reaction mechanism (Belousow-Zhabotinskii reaction).



Pattern formation in autocatalytic reactions

G.Nicolis, I.Prigogine. *Self-Organization in Nonequilibrium Systems. From Dissipative Structures to Order through Fluctuations*. John Wiley, New York 1977



$$dx_i / dt = f_i x_i - x_i \Phi = x_i (f_i - \Phi)$$

$$\Phi = \sum_j f_j x_j ; \quad \sum_j x_j = 1 ; \quad i, j = 1, 2, \dots, n$$

$$[I_i] = x_i \geq 0 ; \quad i = 1, 2, \dots, n ;$$

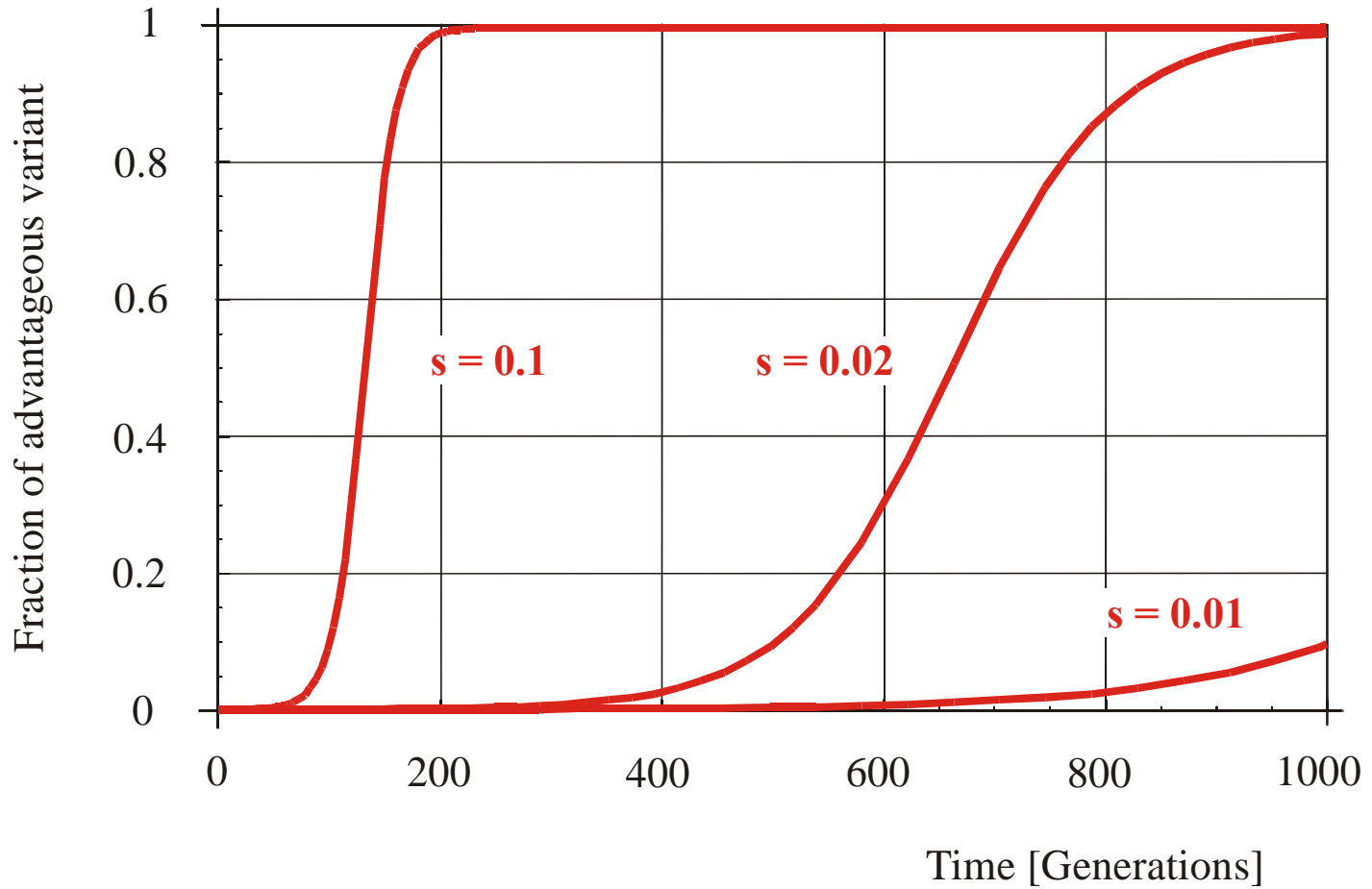
$$[A] = a = \text{constant}$$

$$f_m = \max \{f_j ; j = 1, 2, \dots, n\}$$

$$x_m(t) \rightarrow 1 \text{ for } t \rightarrow \infty$$

Reproduction of organisms or replication of molecules as the basis of selection

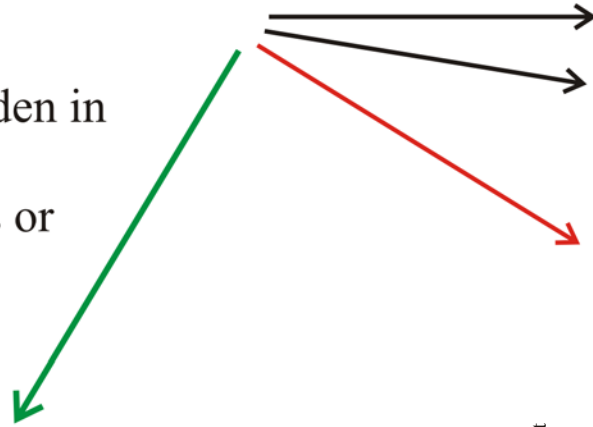
$$s = (f_2 - f_1) / f_1; f_2 > f_1; x_1(0) = 1 - 1/N; x_2(0) = 1/N$$



Selection of advantageous mutants in populations of $N = 10\ 000$ individuals

Autocatalytic reactions

Direct, $A + I \rightarrow 2 I$, or hidden in the reaction mechanism, e.g. **replication** of molecules or organisms

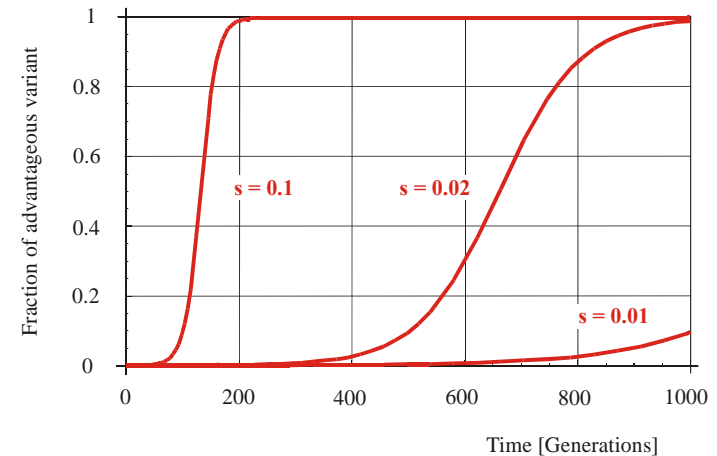


Chemical self-enhancement

Combustion and chemistry of flames

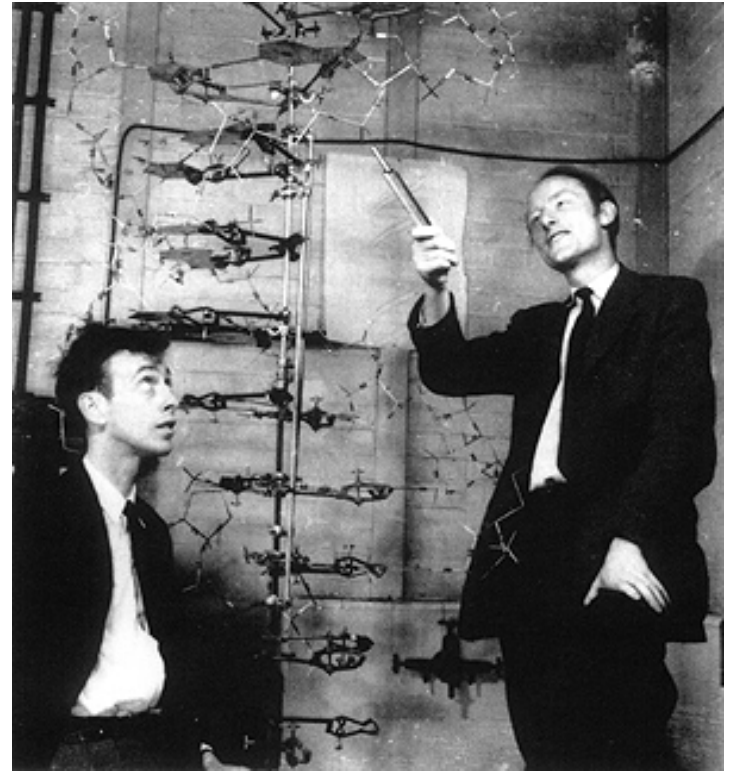
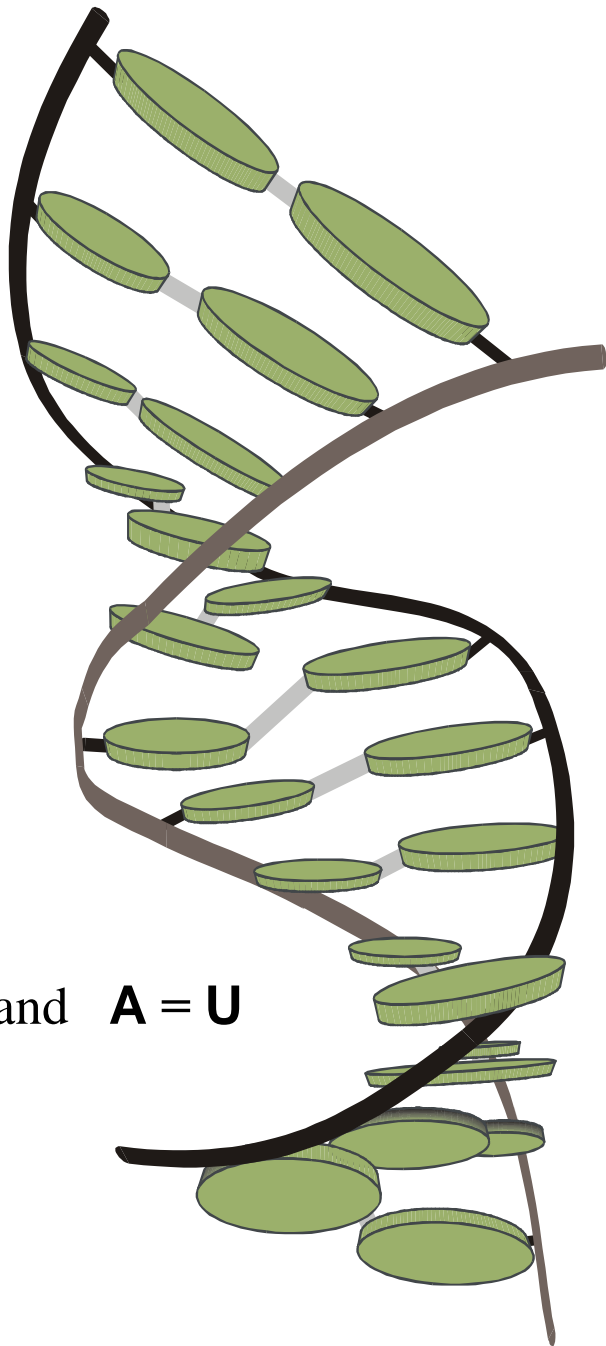
Selection of laser modes

Selection of molecular or organismic species competing for common resources



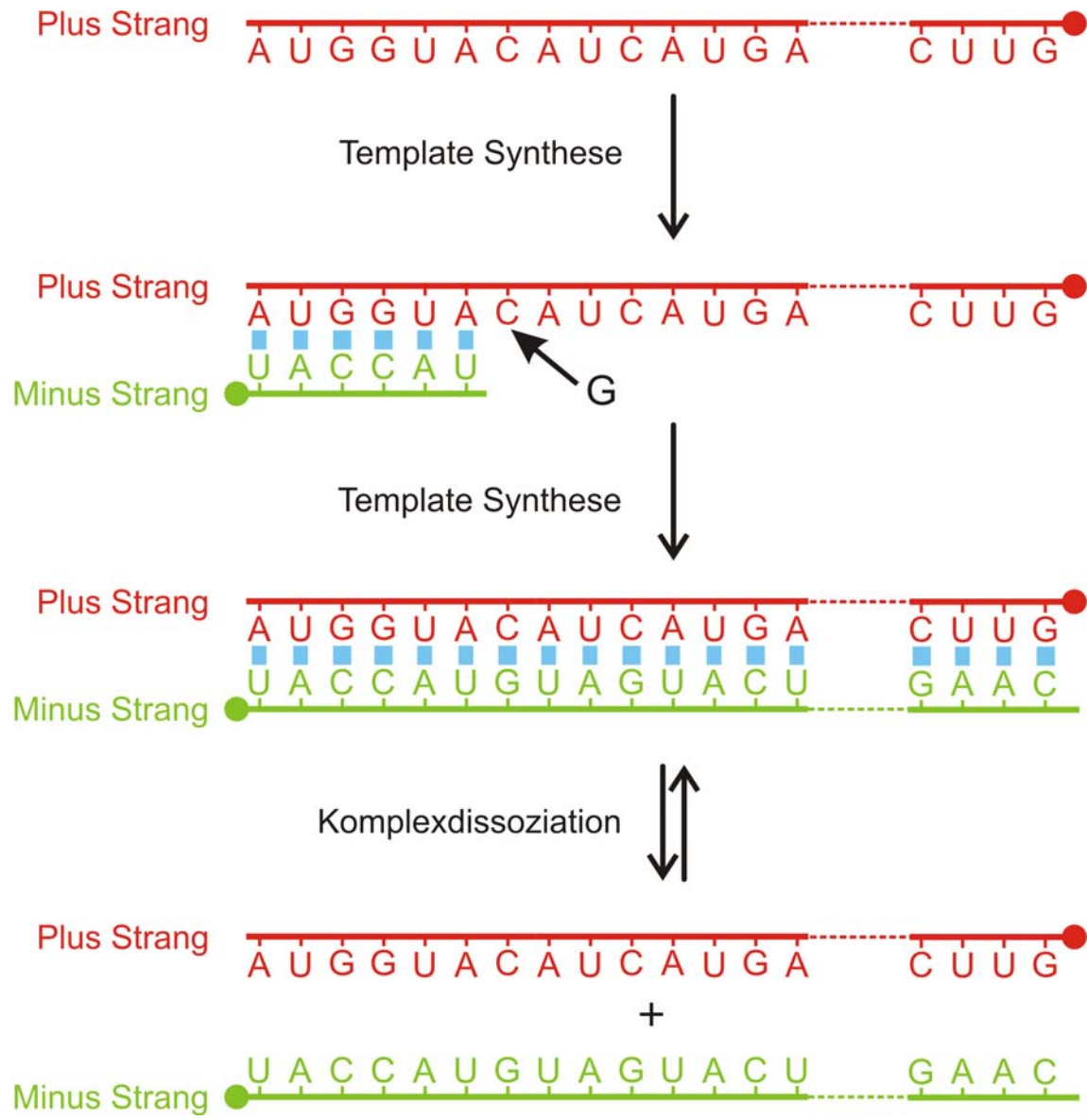
Autocatalytic reaction as the basis for selection processes.

The autocatalytic step is formally equivalent to **replication** or **reproduction**.

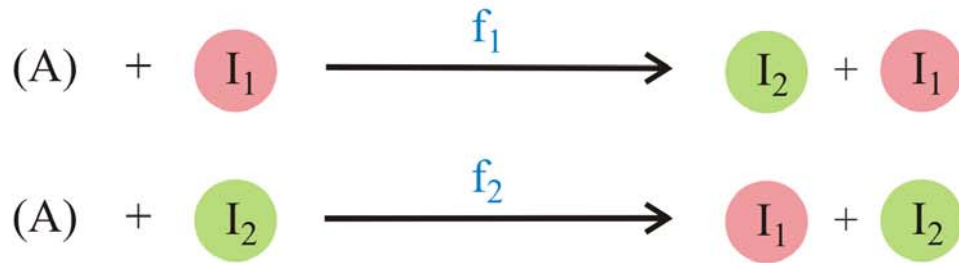


James D. Watson, 1928- , and Francis Crick, 1916-2004,
Nobel Prize 1962

The three-dimensional structure of a
short double helical stack of B-DNA



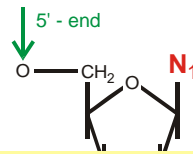
Der Mechanismus der Replikation einsträngiger RNA-Moleküle



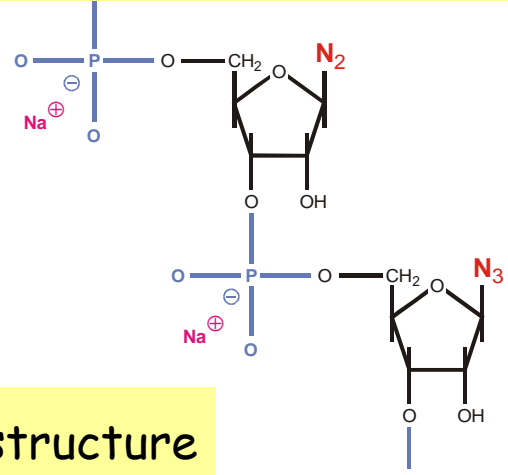
$$\begin{aligned} dx_1 / dt &= f_2 x_2 - x_1 \Phi \\ dx_2 / dt &= f_1 x_1 - x_2 \Phi \end{aligned}$$

$$\Phi = \sum_i f_i x_i ; \quad \sum_i x_i = 1 ; \quad i=1,2$$

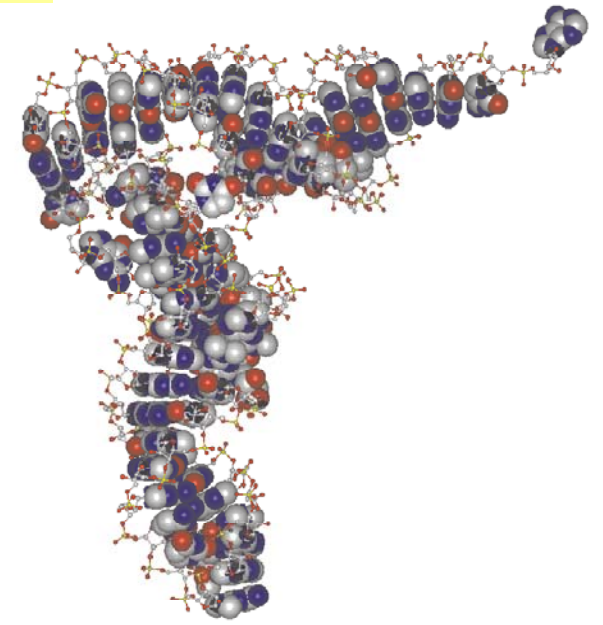
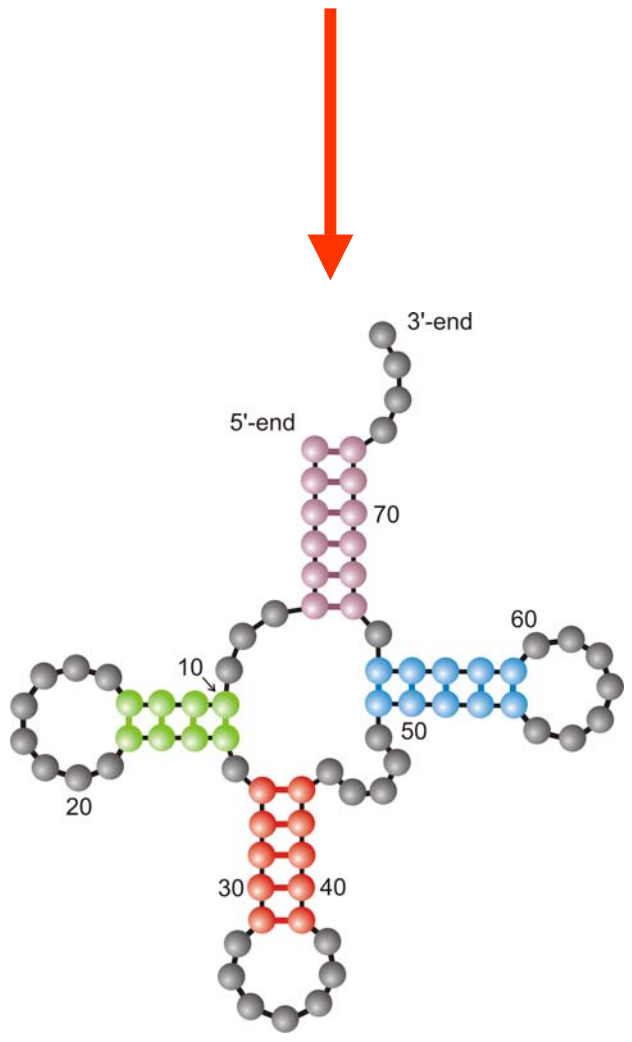
Complementary replication as the simplest molecular mechanism of reproduction



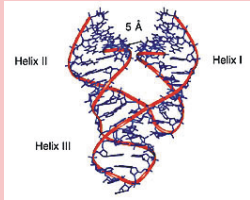
5'-end **GCGGAUUUAGCUC**AGUUGGGAGAG**CGCCAGACUGAAGAUCUGG**AGGUC**CUGUGUUCGAUCCACAGAAUUCGCACCA** 3'-end



Definition of RNA structure

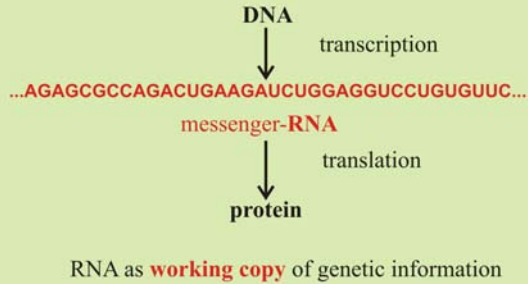


RNA as catalyst

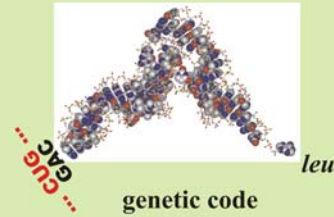


Ribozyme

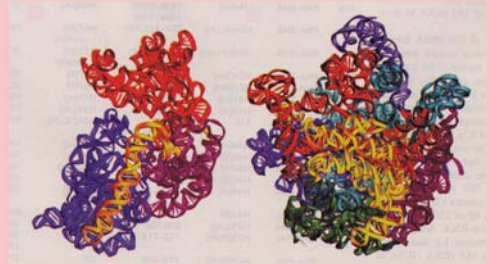
RNA as transmitter of genetic information



RNA as adapter molecule



RNA is the catalytic subunit in supramolecular complexes

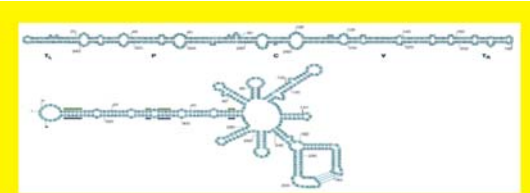


The **ribosome** is a **ribozyme** !

RNA

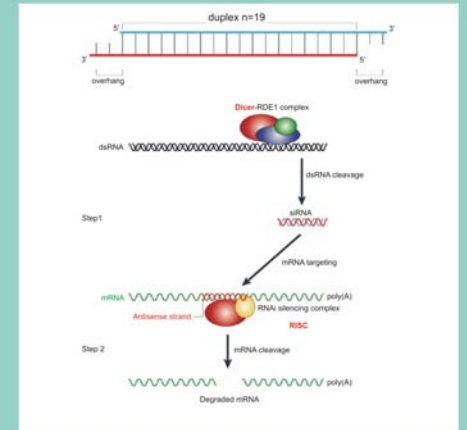
RNA is modified by epigenetic control

RNA editing, alternative splicing



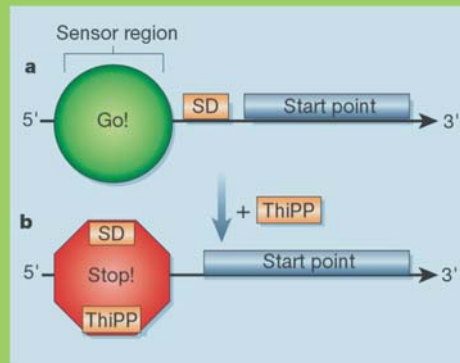
Viroids

RNA as regulator of gene expression



Gene silencing by siRNA

Allosteric control of transcribed RNA



Riboswitches controlled by metabolites

The RNA world as a precursor of the current DNA + protein biology

RNA as carrier of genetic information

RNA viruses and retroviruses

RNA evolution *in vitro*

Evolutionary biotechnology

RNA aptamers, artificial ribozymes, allosteric ribozymes

Functions of RNA molecules

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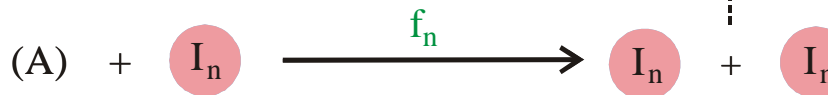
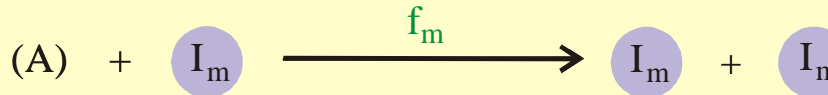
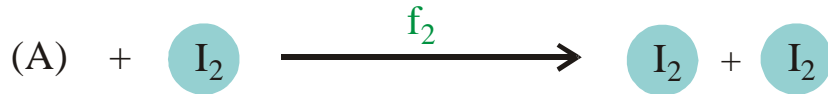
Three necessary conditions for Darwinian evolution are:

1. **Multiplication,**
2. **Variation,** and
3. **Selection.**

Variation through mutation and recombination operates on the **genotype** whereas the **phenotype** is the target of **selection**.

One important property of the Darwinian scenario is that **variations** in the form of mutations or recombination events occur **uncorrelated** with their **effects on the selection process**.

All conditions can be fulfilled not only by cellular organisms but also by **nucleic acid molecules** in suitable **cell-free experimental assays**.



$$\frac{dx_i}{dt} = f_i x_i - x_i \Phi = x_i (f_i - \Phi)$$

$$\Phi = \sum_j f_j x_j ; \quad \sum_j x_j = 1 ; \quad i, j = 1, 2, \dots, n$$

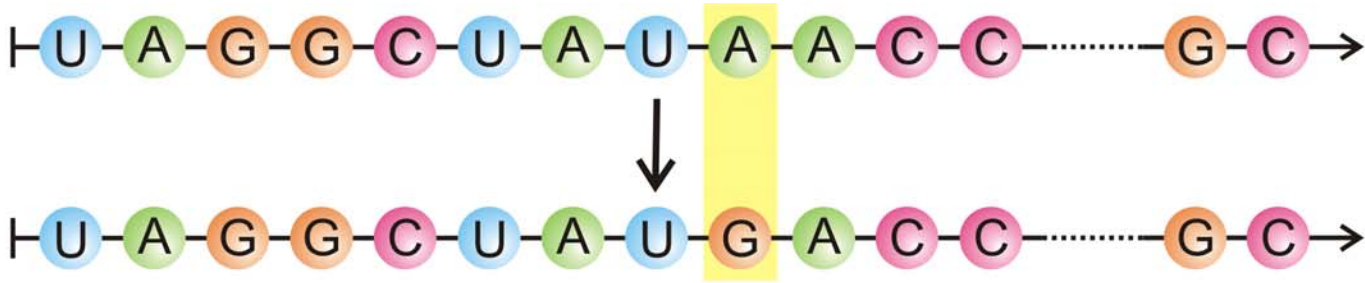
$$[I_i] = x_i \geq 0 ; \quad i = 1, 2, \dots, n ;$$

$$[A] = a = \text{constant}$$

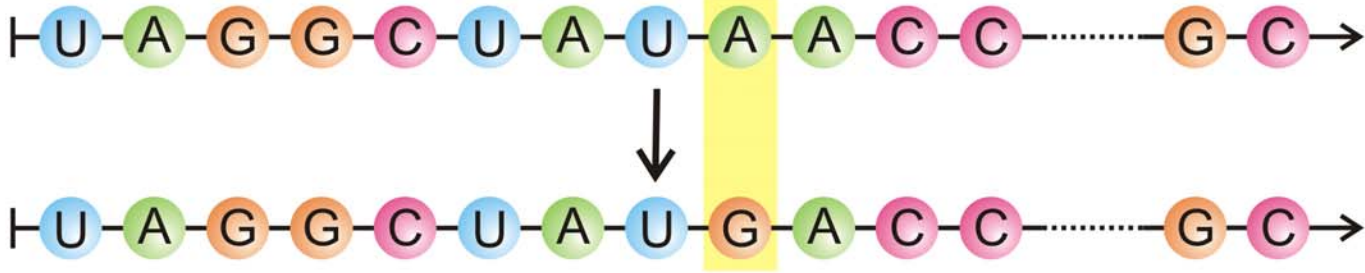
$$f_m = \max \{f_j ; j = 1, 2, \dots, n\}$$

$$x_m(t) \rightarrow 1 \text{ for } t \rightarrow \infty$$

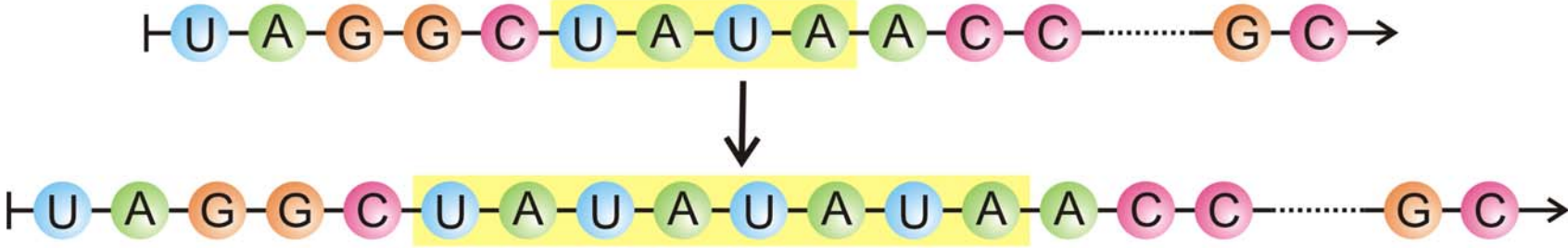
Reproduction of organisms or replication of molecules as the basis of selection



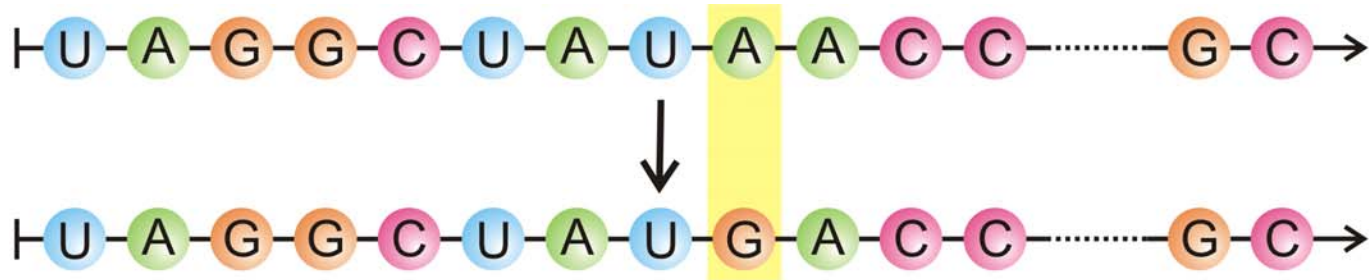
Punktmutation



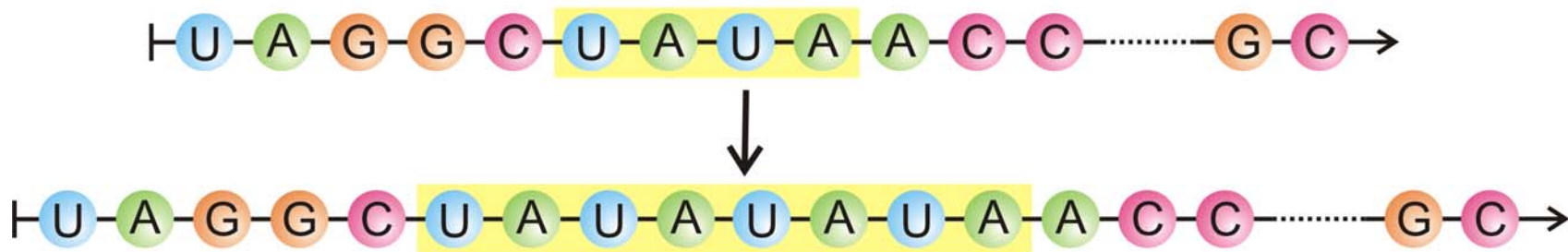
Punktmutation



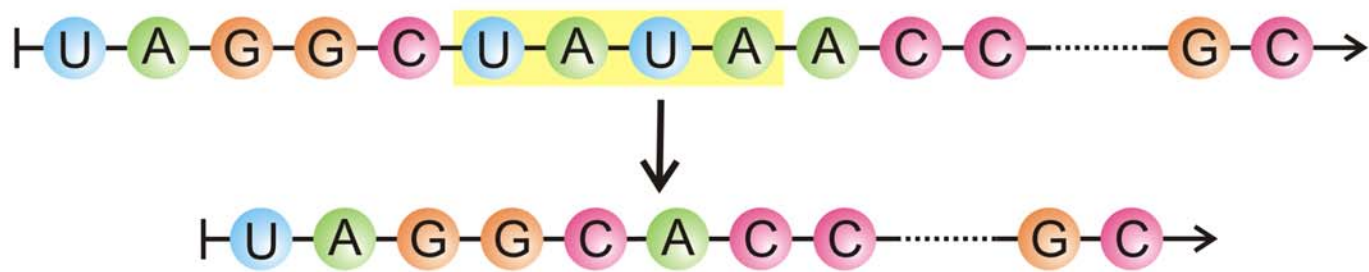
Insertion



Punktmutation



Insertion



Deletion

Selforganization of Matter and the Evolution of Biological Macromolecules

MANFRED EIGEN*

Max-Planck-Institut für Biophysikalische Chemie, Karl-Friedrich-Bonhoefer-Institut, Göttingen-Nikolausberg

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I. Introduction

I.1. „Cause and Effect“

The question about the origin of life often appears as a question about "cause and effect". Physical theories of macroscopic processes usually involve answers to such questions, even if a statistical interpretation is given to the relation between "cause" and "effect". It is mainly due to the nature of this question that many scientists believe that our present physics does not offer any obvious explanation for the existence of life.

* Partly presented at the "Robbins Lectures" at Pomona College, California, in spring 1970.

The Hypercycle

A Principle of Natural Self-Organization

Part A: Emergence of the Hypercycle

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This paper is the first part of a trilogy, which comprises a detailed study of a special type of functional organization and demonstrates its relevance with respect to the origin and evolution of life. Self-replicating macromolecules, such as RNA or DNA in a suitable environment exhibit a behavior, which we may call Darwinian and which can be formally represented by the concept of the quasi-species. A quasi-species is defined as a given distribution of macromolecular species with closely interrelated sequences, dominated by one or several (hypothesized) master copies. External conditions enforce the selection of the best adapted distribution, autocatalytically referred to as the wild-type. Most important for Darwinian behavior are the criteria for internal stability of the quasi-species. If these criteria are violated, the information stored in the nucleotide sequence of the master copy will disseminate irreversibly leading to an error catastrophe. As a consequence, selection and evolution of RNA or DNA molecules is limited with respect to the amount of information that can be stored in a single replicative unit. An analysis of experimental data regarding RNA and DNA replication at various levels of organization reveals, that a sufficient amount of information for the build up of a translation machinery can be gained only via integration of several different replicative units (reproduction cycles) through reciprocal linkages. A stable functional organization then will arise if the system to a low level of organization and thereby enter its information capacity spontaneously. The Hypercycle appears to be such a form of organization.

Preview on Part B: The Abstract Hypercycle

The mathematical analysis of dynamical systems using methods of differential topology yields the result that there is only one type of mechanism which fulfills the following requirements: The information stored in each single replicative unit (or reproductive cycle) must be maintained, i.e., the respective master copies must compete favorably with their error distributions. Despite their competitive behavior these units must establish a cooperation which includes all functionally integrated species. On the other hand, the cycle as a whole must continue to compete favorably with any other single entity or isolated ensemble which does not contribute to its integrated function. These requirements are crucial for a selection of the best adapted functionally linked ensemble and its evolutive optimization. Only

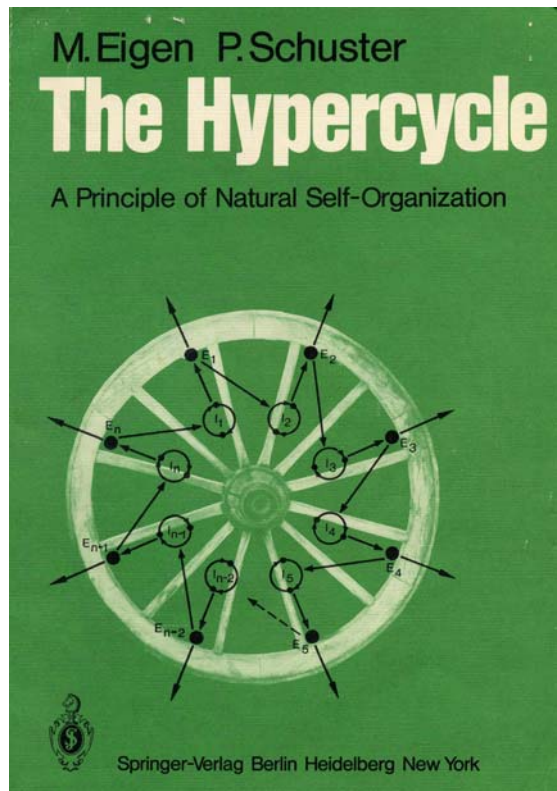
hypercyclic organizations are able to fulfil these requirements. Non-cyclic linkages among the autonomous reproduction cycles, such as chains or branched, tree-like networks are devoid of such properties. The mathematical methods used for proving these assertions are fixed-point, Lyapunov and trajectory analysis in high-dimensional phase space, spanned by the concentration coordinates of the cooperating partners. The self-organizing properties of hypercycles are elucidated, using analytical as well as numerical techniques.

Preview on Part C: The Abstract Hypercycle

A realistic model of a hypercycle relevant with respect to the origin of the genetic code and the translation machinery is presented. It includes the following features referring to natural systems: 1) The hypercycle has a sufficiently simple structure to admit an organization with finite probability under prebiotic conditions. 2) It permits a continuous emergence from closely interrelated (in RNA-Shell) precursors, originally being members of a stable RNA quasi-species and having been amplified to a level of higher abundance. 3) The organizational structure and the properties of single functional units of this hypercycle are well reflected in the present genetic code in the translation apparatus of the prokaryotic cell, as well as in certain bacterial viruses.

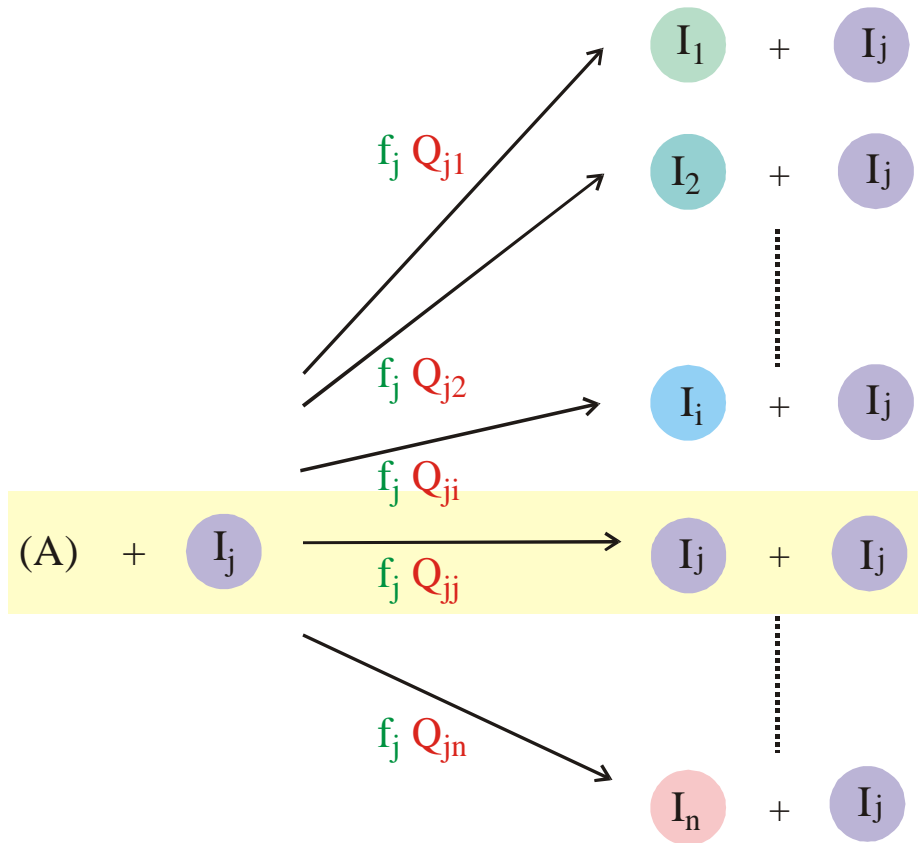
I. The Paradigm of Unity and Diversity in Evolution

Why do millions of species, plants and animals, exist, while there is only one basic molecular machinery of the cell, one universal genetic code and unique chiralities of the macromolecules? The geneticists of our day would not hesitate to give an immediate answer to the first part of this question. Diversity of species is the outcome of the tremendous branching process of evolution with its myriads of single steps of reproduction and mutation. It in-



Chemical kinetics of molecular evolution

M. Eigen, P. Schuster, 'The Hypercycle', Springer-Verlag, Berlin 1979



$$dx_i / dt = \sum_j f_j Q_{ji} x_j - x_i \Phi$$

$$\Phi = \sum_j f_j x_j ; \quad \sum_j x_j = 1 ; \quad \sum_i Q_{ij} = 1$$

$$[I_i] = x_i \geq 0 ; \quad i = 1, 2, \dots, n ;$$

$$[A] = a = \text{constant}$$

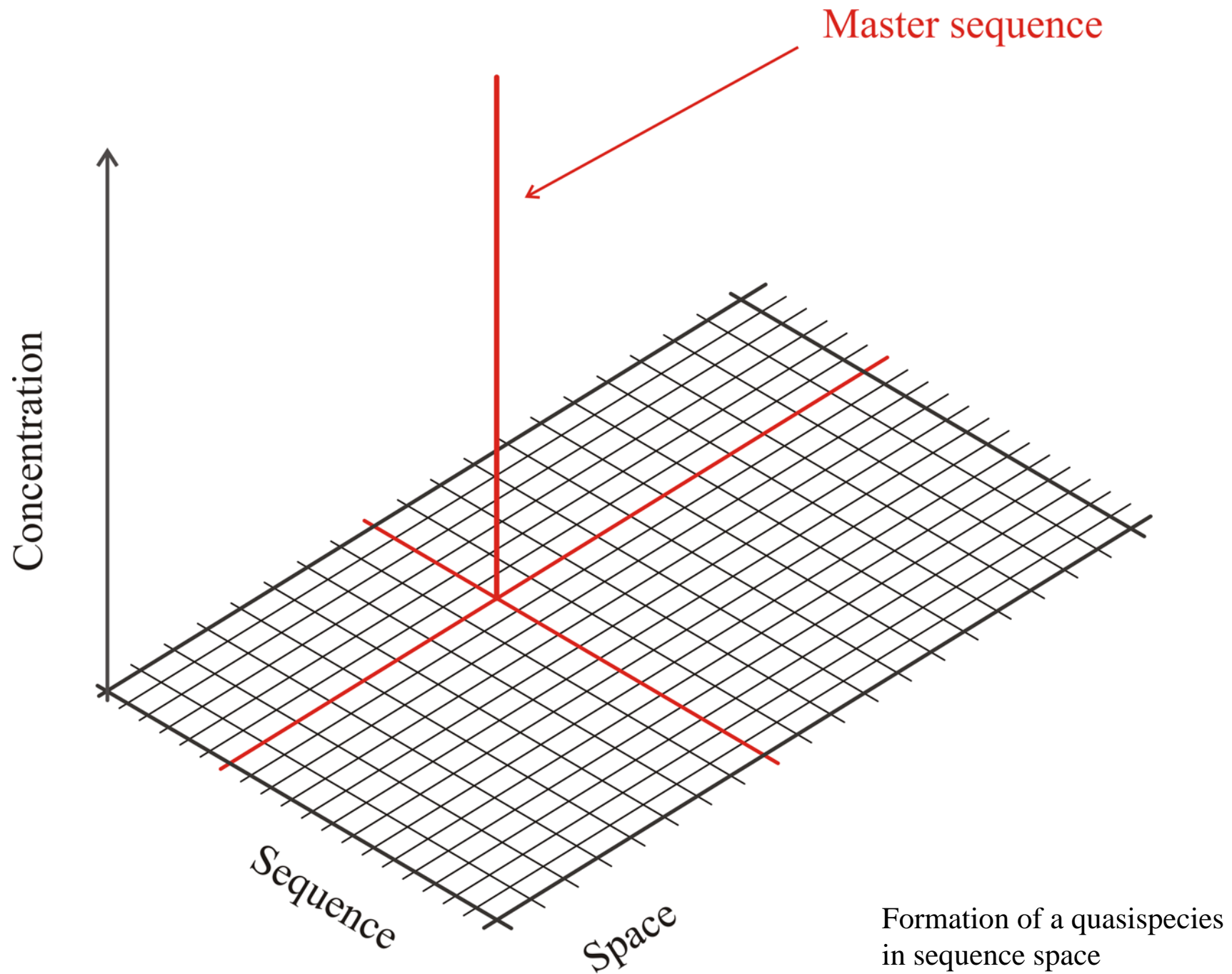
$$Q_{ij} = (1-p)^{\ell-d(i,j)} p^{d(i,j)}$$

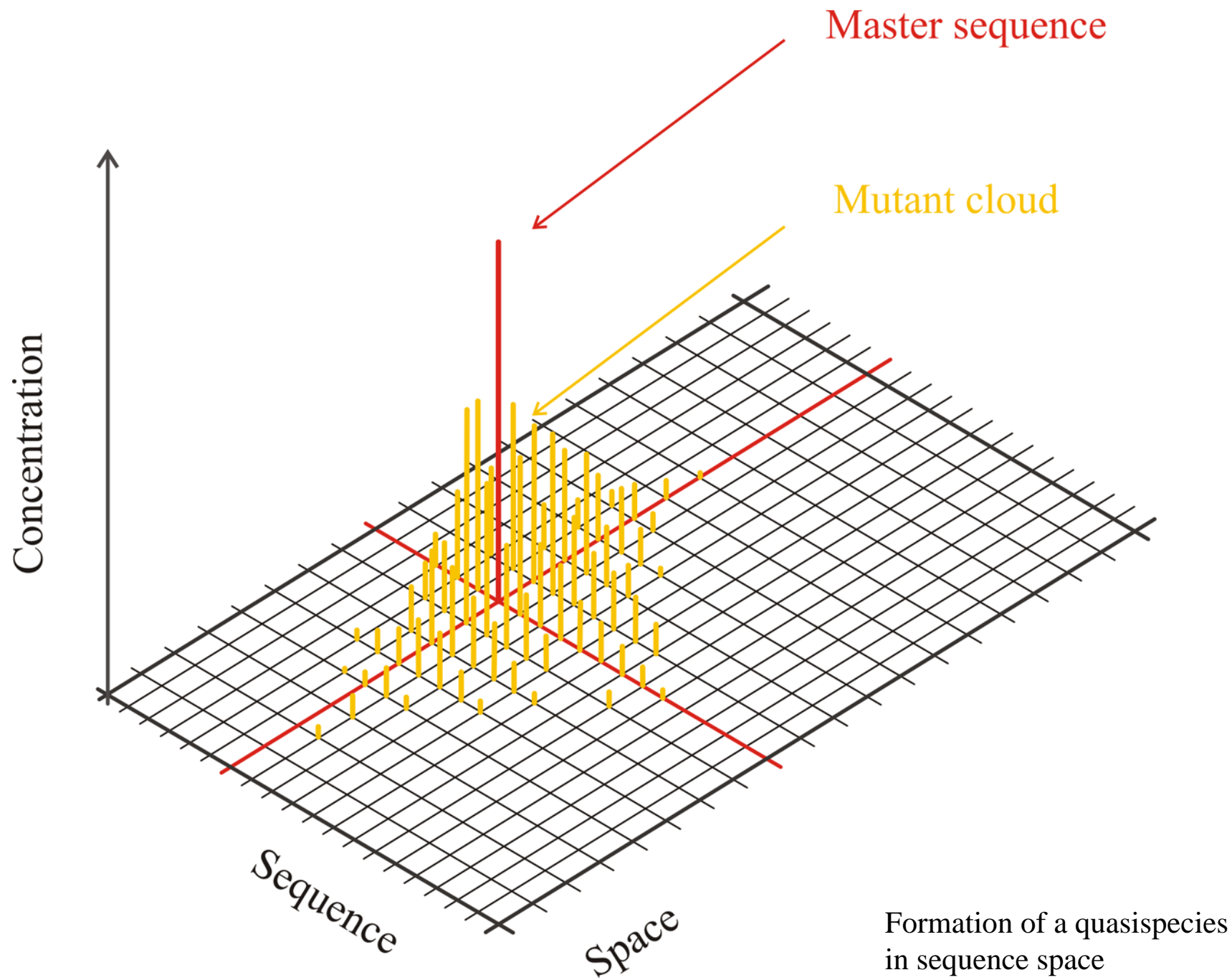
p Error rate per digit

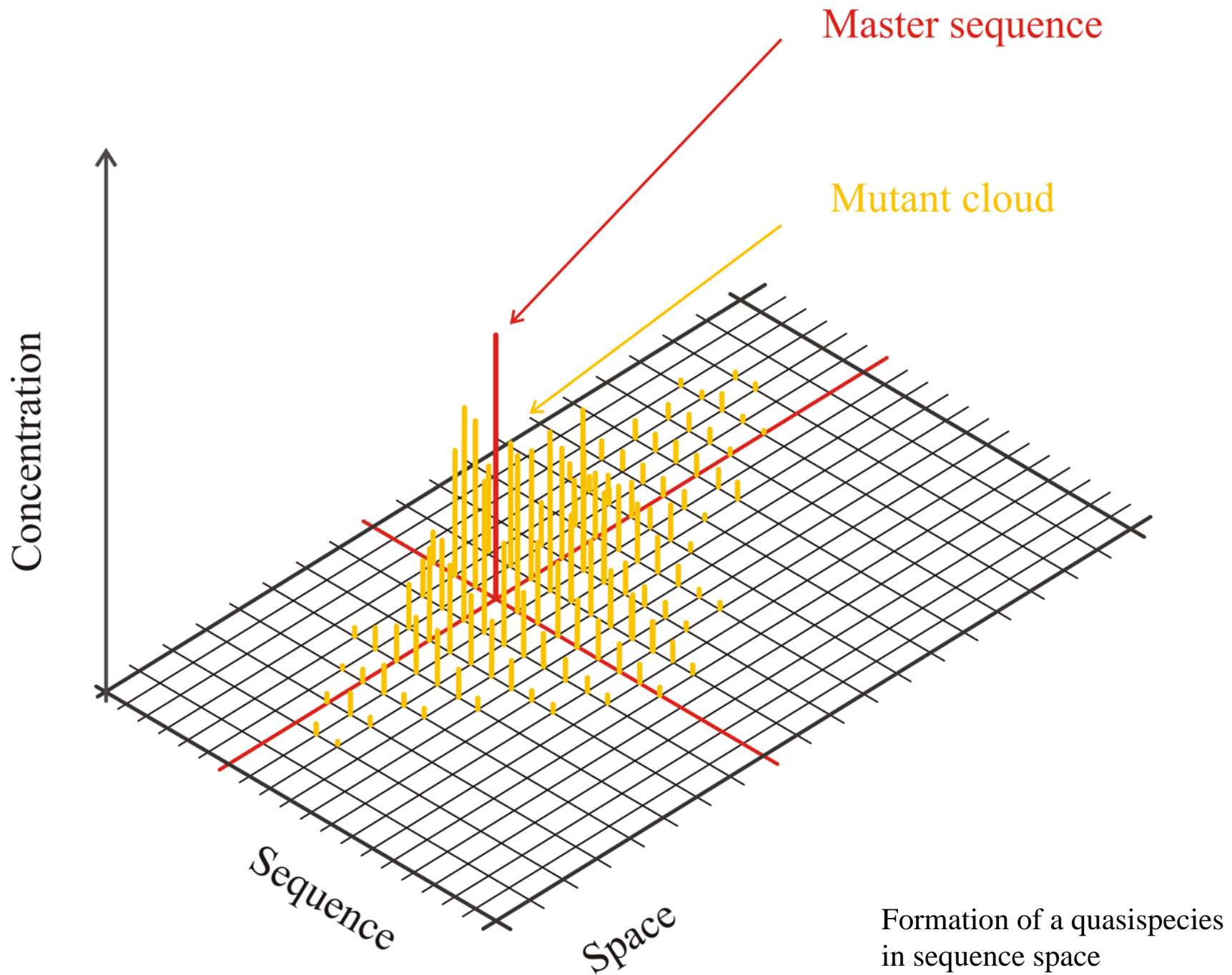
ℓ Chain length of the polynucleotide

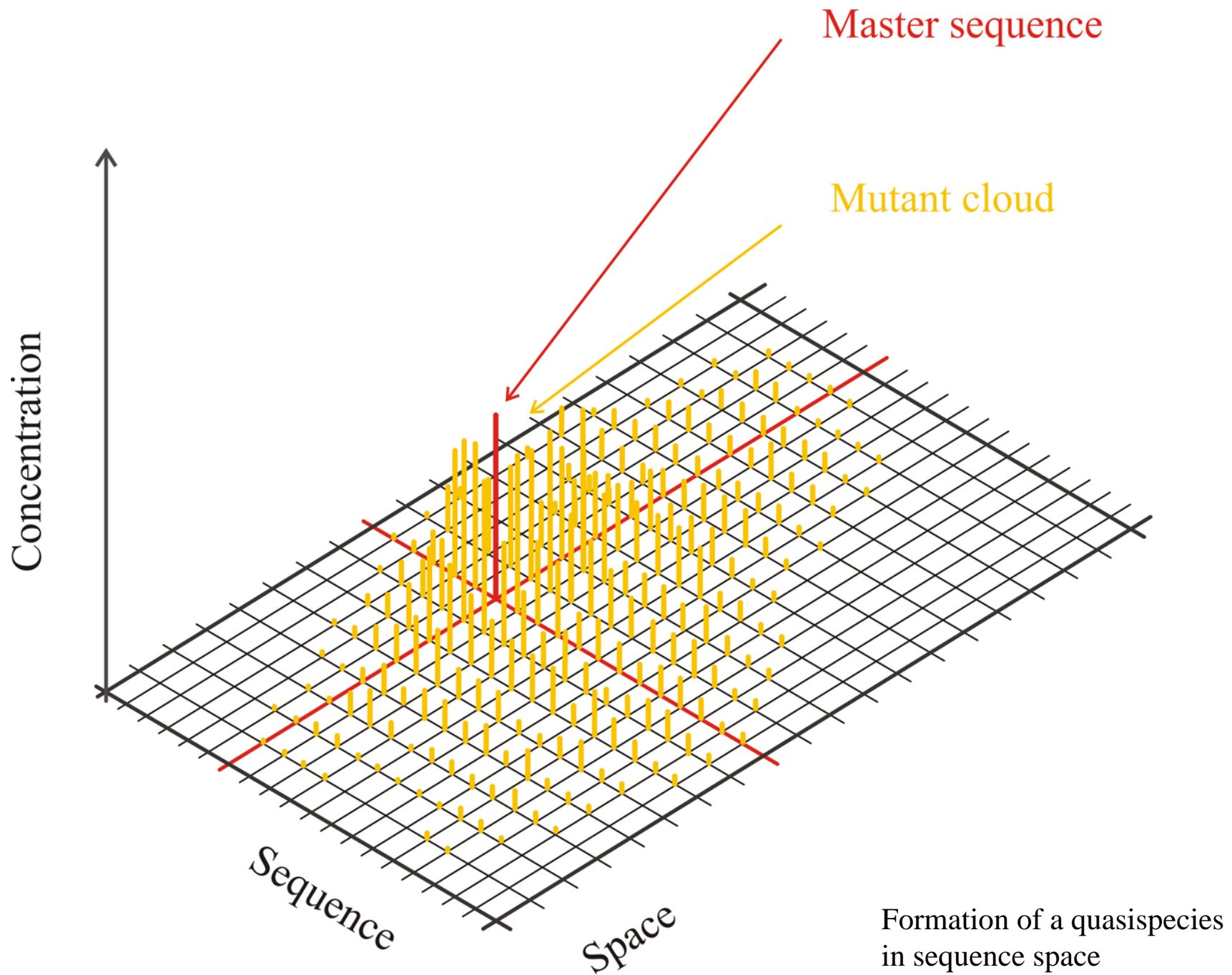
$d(i,j)$ Hamming distance between I_i and I_j

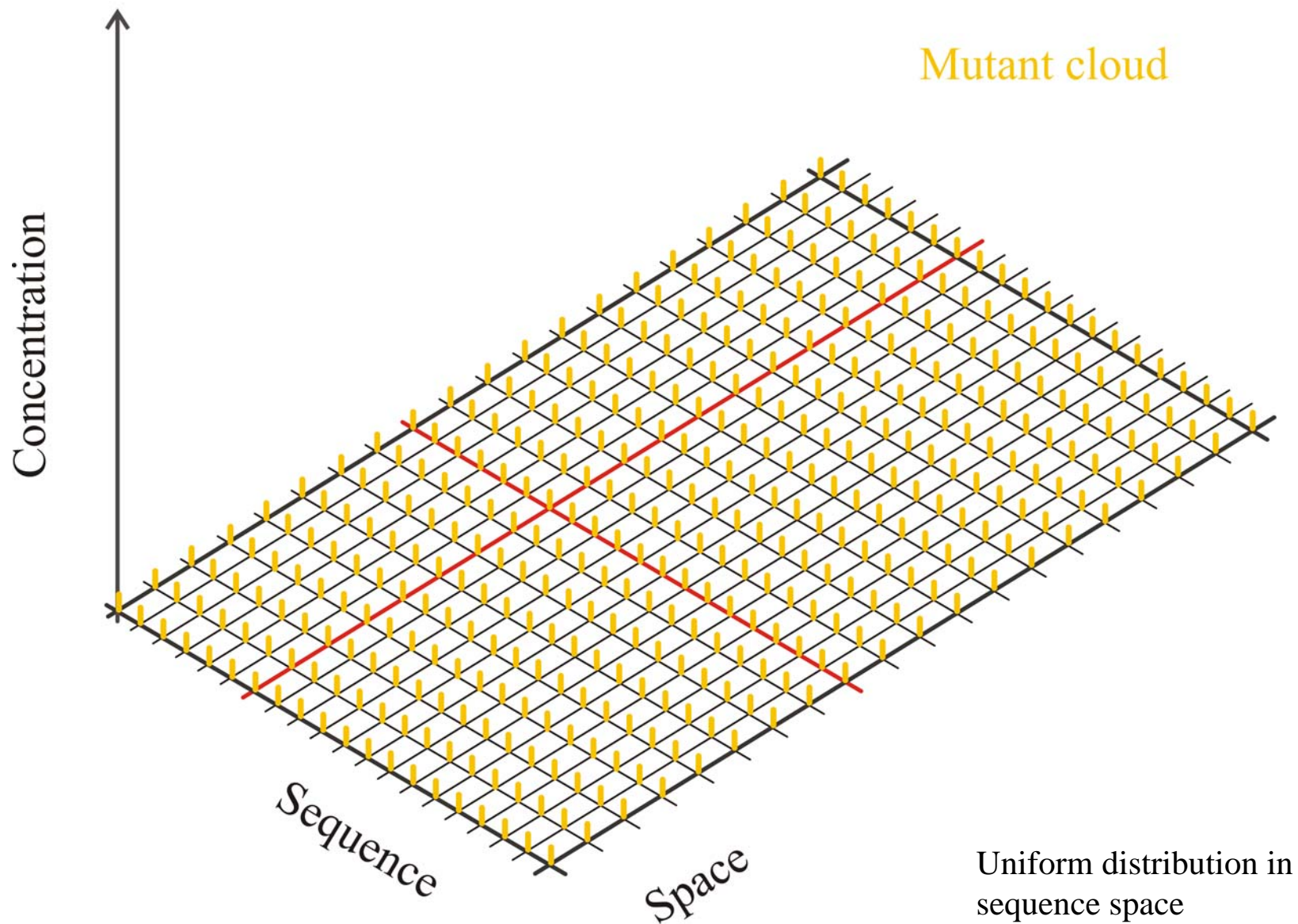
Chemical kinetics of replication and mutation as parallel reactions

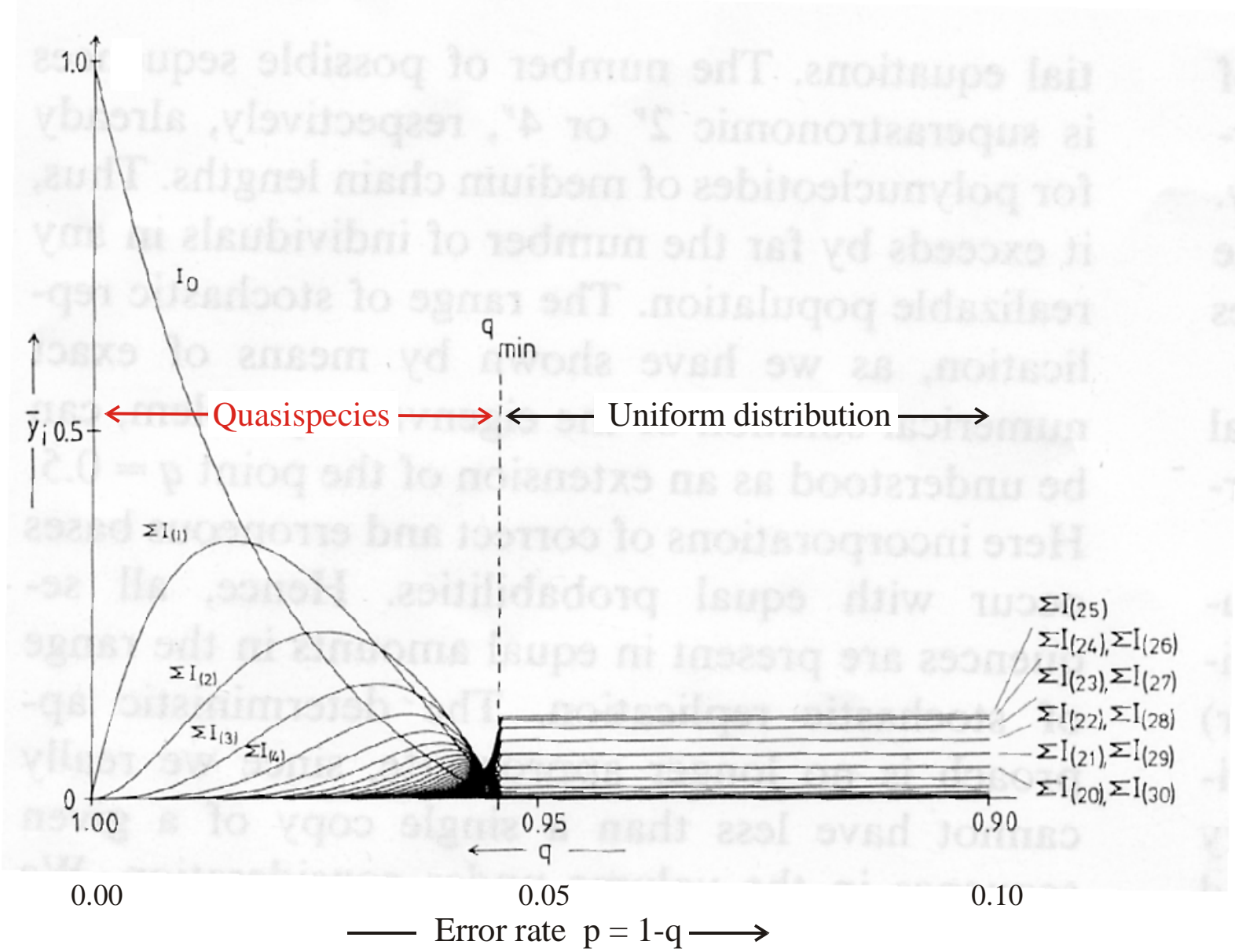




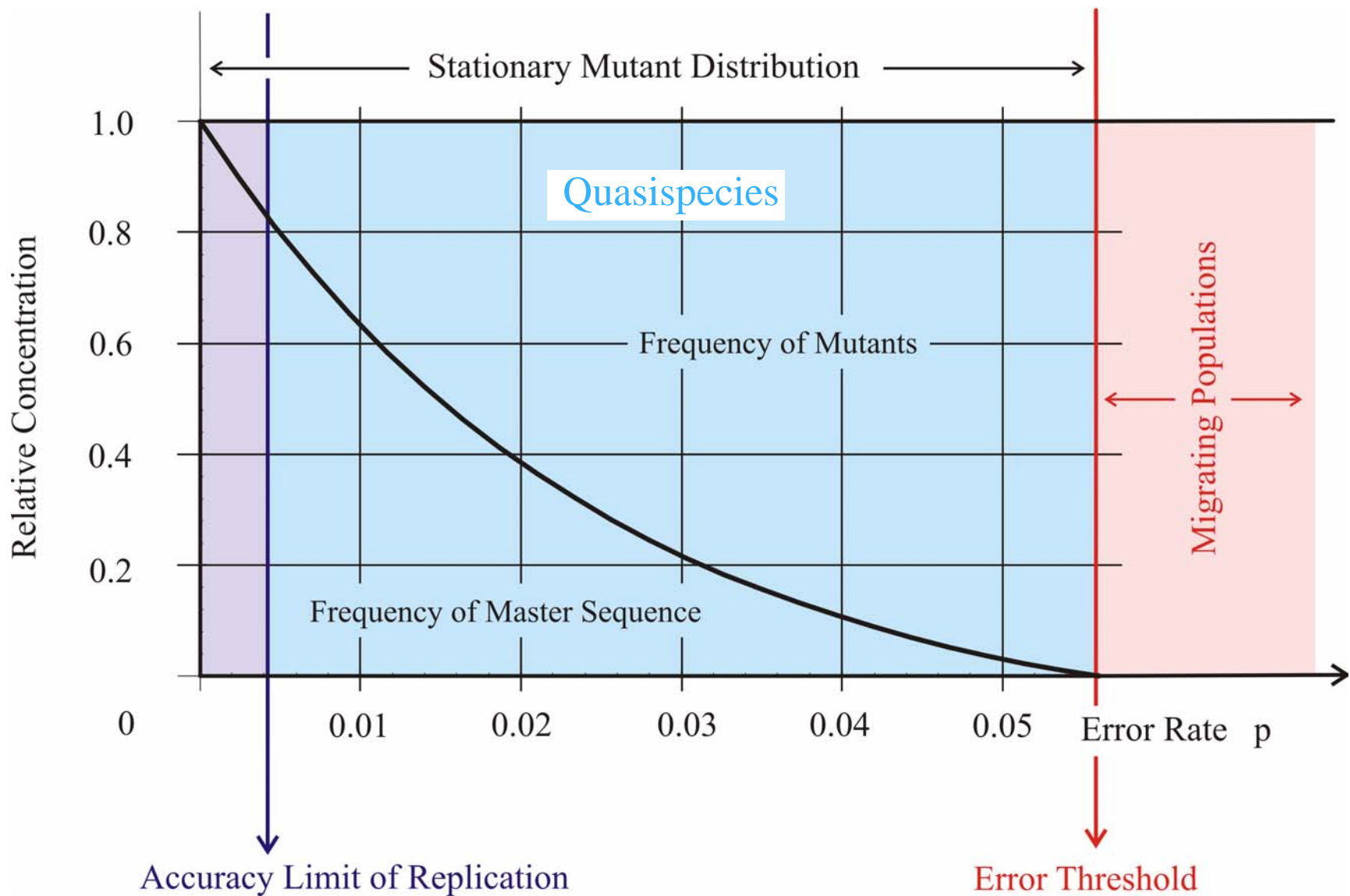








Quasispecies as a function of the replication accuracy q



The error threshold in replication

random individuals. The primer pair used for genomic DNA amplification is 5'-TCTCCCTGGATTCT-CATTTA-3' (forward) and 5'-TCTTTGTCTTCTGT-TGCACC-3' (reverse). Reactions were performed in 25 μ l using 1 unit of Taq DNA polymerase with each primer at 0.4 μ M, 200 μ M each dATP, dTTP, dCTP, and dGTP, and PCR buffer [10 mM Tris-HCl (pH 8.3), 50 mM KCl, 1.5 mM MgCl₂] in a cycle condition of 94°C for 1 min and then 35 cycles of 94°C for 30 s, 55°C for 30 s, and 72°C for 30 s followed by 72°C for 6 min. PCR products were purified (Qiagen), digested with Xmn I, and separated in a 2% agarose gel.

32. A nonsense mutation may affect mRNA stability and result in degradation of the transcript [L. Maquat, *Am. J. Hum. Genet.* **59**, 279 (1996)].

33. Data not shown; a dot blot with poly (A)⁺ RNA from 50 human tissues (The Human RNA Master Blot, 7770-1, Clontech Laboratories) was hybridized with a probe from exons 29 to 47 of *MYO15* using the same condition as Northern blot analysis (13).

34. Smith-Magenis syndrome (SMS) is due to deletions of 17p11.2 of various sizes, the smallest of which includes *MYO15* and perhaps 20 other genes [6]; K-S Chen, L. Potocki, J. R. Lupski, *MROD Res. Rev.* **2**, 122 (1996)]. *MYO15* expression is easily detected in the pituitary gland (data not shown). Haploinsufficiency for *MYO15* may explain a portion of the SMS

phenotype such as short stature. Moreover, a few SMS patients have sensorineural hearing loss, possibly because of a point mutation in *MYO15* in trans to the SMS 17p11.2 deletion.

35. R. A. Fiedel, data not shown.

36. K. B. Avraham *et al.*, *Nature Genet.* **11**, 369 (1995); X-Z. Liu *et al.*, *ibid.* **17**, 268 (1997); F. Gibson *et al.*, *Nature* **374**, 62 (1995); D. Weil *et al.*, *ibid.*, p. 60.

37. RNA was extracted from cochlea (membranous labyrinth) obtained from human fetuses at 18 to 22 weeks of development in accordance with guidelines established by the Human Research Committee at the Brigham and Women's Hospital. Only samples without evidence of degradation were pooled for poly (A)⁺ selection over oligo(dT) columns. First-strand cDNA was prepared using an Advantage RT-for-PCR kit (Clontech Laboratories). A portion of the first-strand cDNA (4%) was amplified by PCR with Advantage cDNA polymerase mix (Clontech Laboratories) using human *MYO15*-specific oligonucleotide primers (forward, 5'-GCATGACCTGCGGGTAAT-GCG-3'; reverse, 5'-CTCAAGGCTTCTGGCATGGT-GCTCGCTGCG-3'). Cycling conditions were 40 s at 94°C, 40 s at 66°C (3 cycles), 60°C (5 cycles), and 55°C (29 cycles); and 45 s at 68°C. PCR products were visualized by ethidium bromide staining after fractionation in a 1% agarose gel. A 688-bp PCR

product is expected from amplification of the human *MYO15* cDNA. Amplification of human genomic DNA with this primer pair would result in a 2903-bp fragment.

38. We are grateful to the people of Bengkala, Bali, and the two families from India. We thank J. R. Lupski and K.-S. Chen for providing the human chromosome 17 cosmid library. For technical and computational assistance, we thank N. Dietrich, M. Ferguson, A. Gupta, E. Sorbello, R. Torzkadsh, C. Varner, M. Walker, G. Bouffard, and S. Beckstrom-Stenberg (National Institutes of Health Intramural Sequencing Center). We thank J. T. Hinnant, I. N. Arhya, and S. Winata for assistance in Bali, and J. Barber, S. Sullivan, E. Green, D. Drayna, and T. Battey for helpful comments on this manuscript. Supported by the National Institute on Deafness and Other Communication Disorders (NIDCD) (Z01 DC 00335-01 and Z01 DC 00338-01 to T.B.F. and E.R.W. and R01 DC 03402 to C.G.M.), the National Institute of Child Health and Human Development (R01 HD30428 to S.A.C.) and a National Science Foundation Graduate Research Fellowship to F.J.P. This paper is dedicated to J. B. Snow Jr. on his retirement as the Director of the NIDCD.

9 March 1998; accepted 17 April 1998

Continuity in Evolution: On the Nature of Transitions

Walter Fontana and Peter Schuster

To distinguish continuous from discontinuous evolutionary change, a relation of nearness between phenotypes is needed. Such a relation is based on the probability of one phenotype being accessible from another through changes in the genotype. This nearness relation is exemplified by calculating the shape neighborhood of a transfer RNA secondary structure and provides a characterization of discontinuous shape transformations in RNA. The simulation of replicating and mutating RNA populations under selection shows that sudden adaptive progress coincides mostly, but not always, with discontinuous shape transformations. The nature of these transformations illuminates the key role of neutral genetic drift in their realization.

A much-debated issue in evolutionary biology concerns the extent to which the history of life has proceeded gradually or has been punctuated by discontinuous transitions at the level of phenotypes (1). Our goal is to make the notion of a discontinuous transition more precise and to understand how it arises in a model of evolutionary adaptation.

We focus on the narrow domain of RNA secondary structure, which is currently the simplest computationally tractable, yet realistic phenotype (2). This choice enables the definition and exploration of concepts that may prove useful in a wider context. RNA secondary structures represent a coarse level of analysis compared with the three-dimensional structure at atomic resolution. Yet, secondary structures are empir-

ically well defined and obtain their biophysical and biochemical importance from being a scaffold for the tertiary structure. For the sake of brevity, we shall refer to secondary structures as "shapes." RNA combines in a single molecule both genotype (replicable sequence) and phenotype (selectable shape), making it ideally suited for *in vitro* evolution experiments (3, 4).

To generate evolutionary histories, we used a stochastic continuous time model of an RNA population replicating and mutating in a capacity-constrained flow reactor under selection (5, 6). In the laboratory, a goal might be to find an RNA aptamer binding specifically to a molecule (4). Although in the experiment the evolutionary end product was unknown, we thought of its shape as being specified implicitly by the imposed selection criterion. Because our intent is to study evolutionary histories rather than end products, we defined a target shape in advance and assumed the replication rate of a sequence to be a function of

the similarity between its shape and the target. An actual situation may involve more than one best shape, but this does not affect our conclusions.

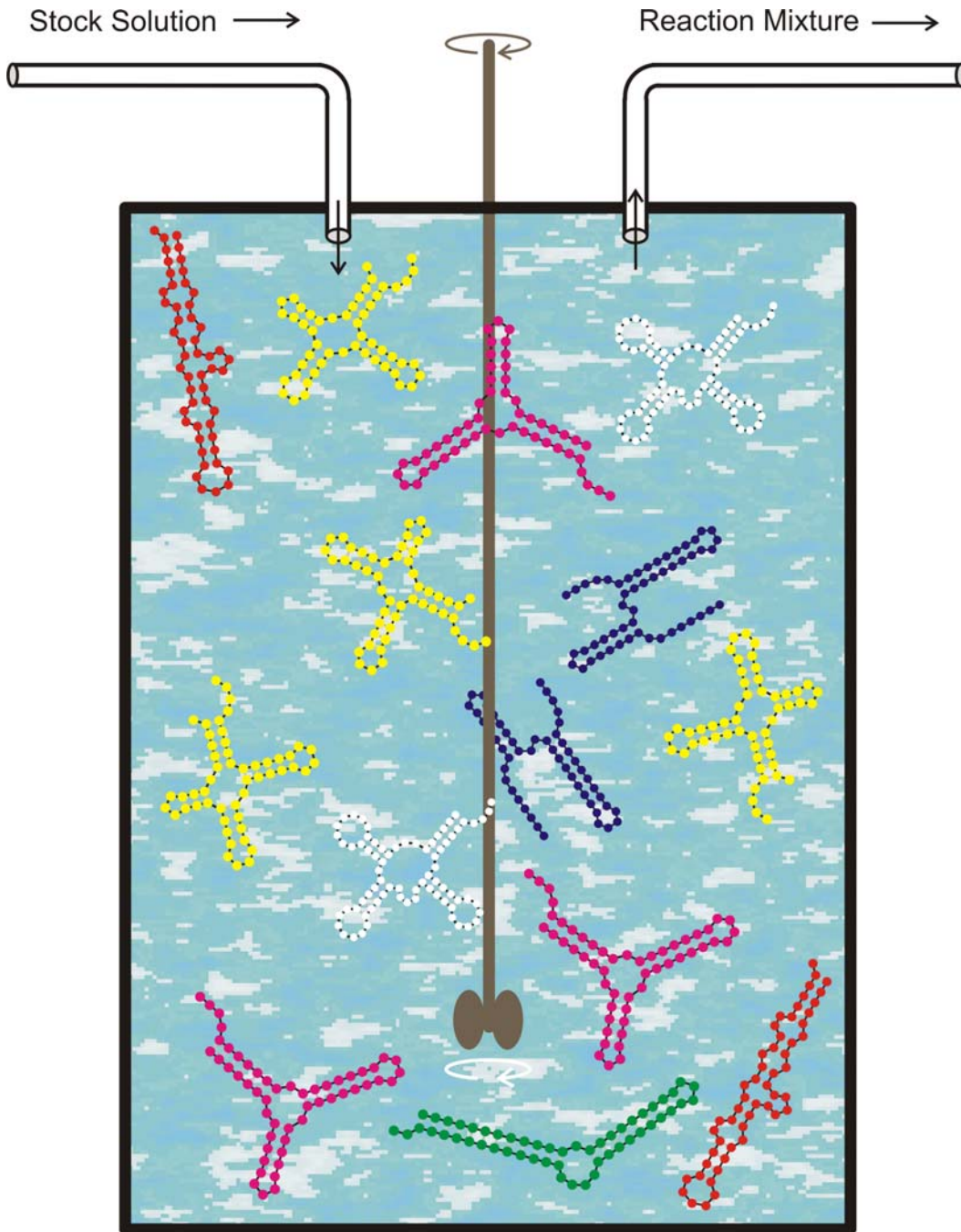
An instance representing in its qualitative features all the simulations we performed is shown in Fig. 1A. Starting with identical sequences folding into a random shape, the simulation was stopped when the population became dominated by the target, here a canonical tRNA shape. The black curve traces the average distance to the target (inversely related to fitness) in the population against time. Aside from a short initial phase, the entire history is dominated by steps, that is, flat periods of no apparent adaptive progress, interrupted by sudden approaches toward the target structure (7). However, the dominant shapes in the population not only change at these marked events but undergo several fitness-neutral transformations during the periods of no apparent progress. Although discontinuities in the fitness trace are evident, it is entirely unclear when and on the basis of what the series of successive phenotypes itself can be called continuous or discontinuous.

A set of entities is organized into a (topological) space by assigning to each entity a system of neighborhoods. In the present case, there are two kinds of entities: sequences and shapes, which are related by a thermodynamic folding procedure. The set of possible sequences (of fixed length) is naturally organized into a space because point mutations induce a canonical neighborhood. The neighborhood of a sequence consists of all its one-error mutants. The problem is how to organize the set of possible shapes into a space. The issue arises because, in contrast to sequences, there are

Evolution *in silico*

W. Fontana, P. Schuster,
Science **280** (1998), 1451-1455

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Replication rate constant:

$$f_k = \gamma / [\alpha + \Delta d_S^{(k)}]$$

$$\Delta d_S^{(k)} = d_H(S_k, S_\tau)$$

Selection constraint:

Population size, $N = \#$ RNA molecules, is controlled by the flow

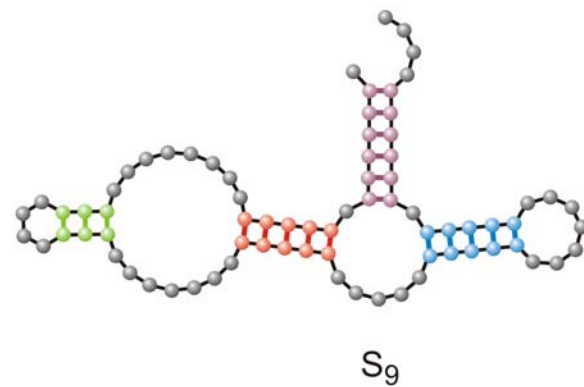
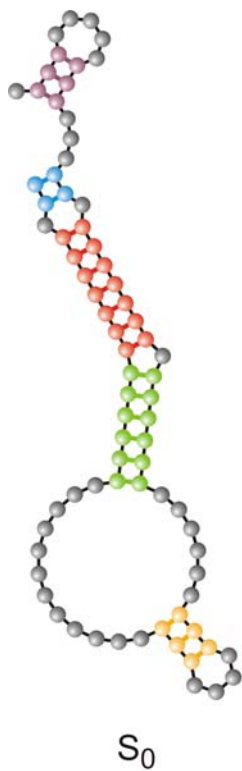
$$N(t) \approx \bar{N} \pm \sqrt{\bar{N}}$$

Mutation rate:

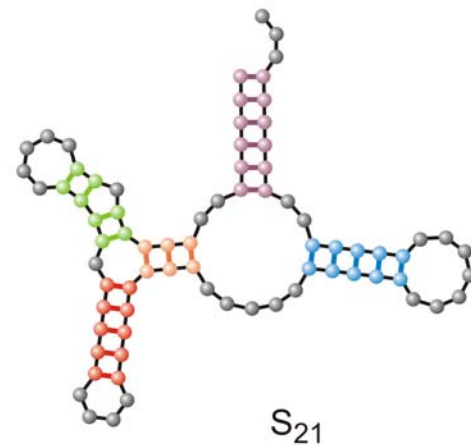
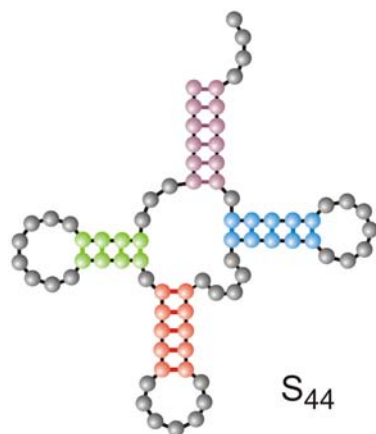
$$p = 0.001 / \text{site} \times \text{replication}$$

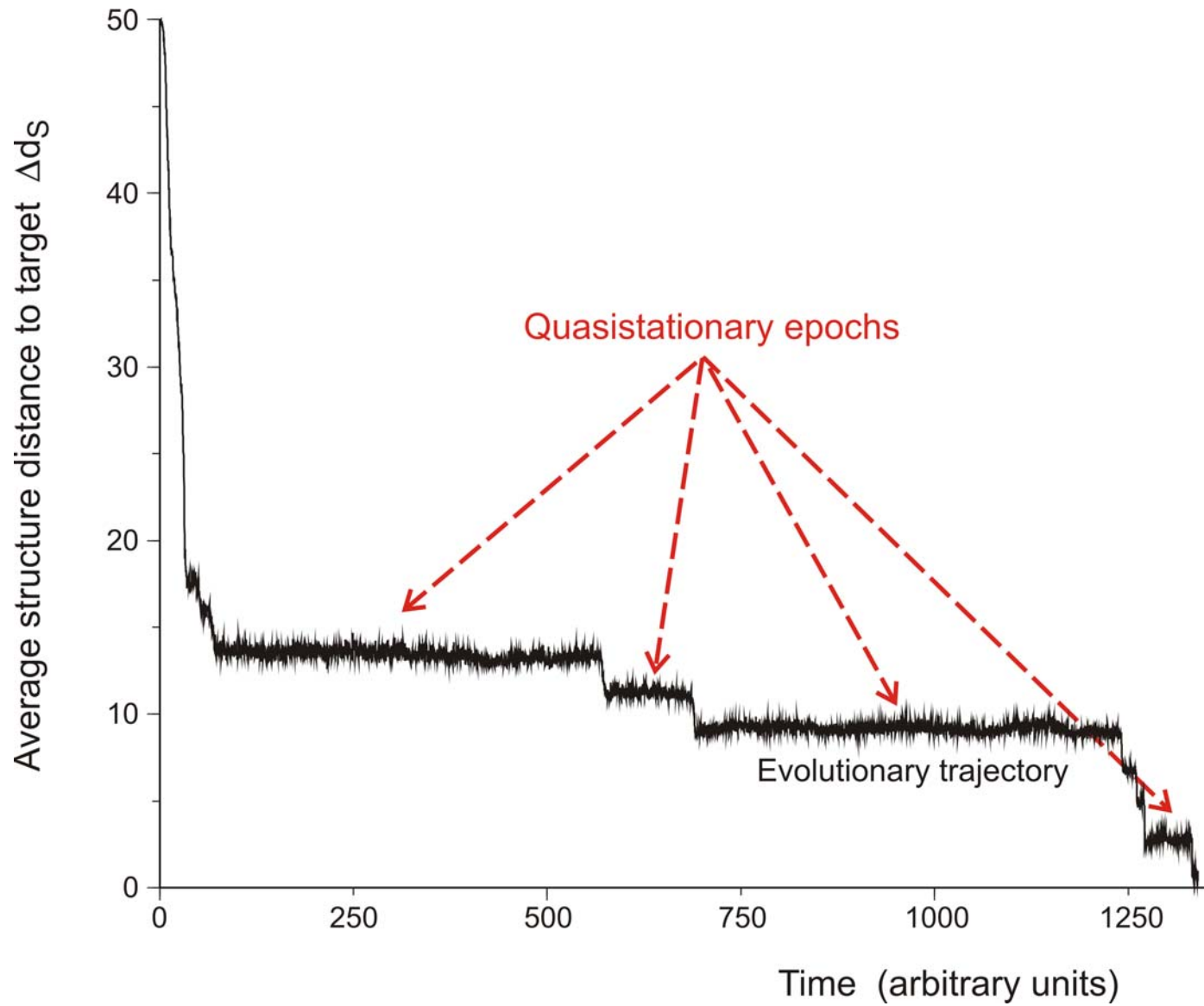
The flowreactor as a device for **studies** of evolution *in vitro* and *in silico*

Randomly chosen
initial structure



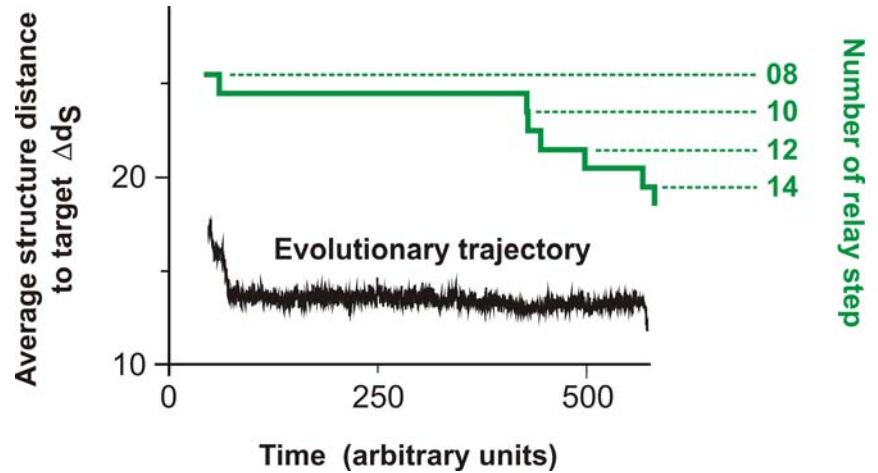
Phenylalanyl-tRNA
as target structure





In silico optimization in the flow reactor: Evolutionary Trajectory

28 neutral point mutations during a long quasi-stationary epoch



entry GGUAUGGGCGUUGAAUAGGGUUAACCAUCGGCCAACGAUCUCGUGUGCGCAUUUCAUAUCCCGUACAGAA
 8 .(((((((((((((. (((.))))))))))(((((.)))))))))
 exit GGUAUGGGCGUUGAAUAAUAGGGUUAACCAUCGGCCAACGAUCUCGUGUGCGCAUUUCAUAUCCAAUACAGAA
 entry GGUAUGGGCGUUGAAUAAUAGGGUUAACCAUCGGCCAACGAUCUCGUGUGCGCAUUUCAUAUACCAUAACAGAA
 9 .((((((.(((((.))))))))(((((.))))))
 exit UGGAUGGACGUUGAAUAACAAGGUAUCGACCAAACAACCAACGAGUAAGUGUGUACGCCCCACACACGUCCCAAG
 entry UGGAUGGACGUUGAAUAACAAGGUAUCGACCAAACAACCAACGAGUAAGUGUGUACGCCCCACACACGUCCCAAG
 10 .(((((.(((((.))))))))(((((.))))))
 exit UGGAUGGACGUUGAAUAACAAGGUAUCGACCAAACAACCAACGAGUAAGUGUGUACGCCCCACACAGCGUCCCAAG

Transition inducing point mutations change the molecular structure

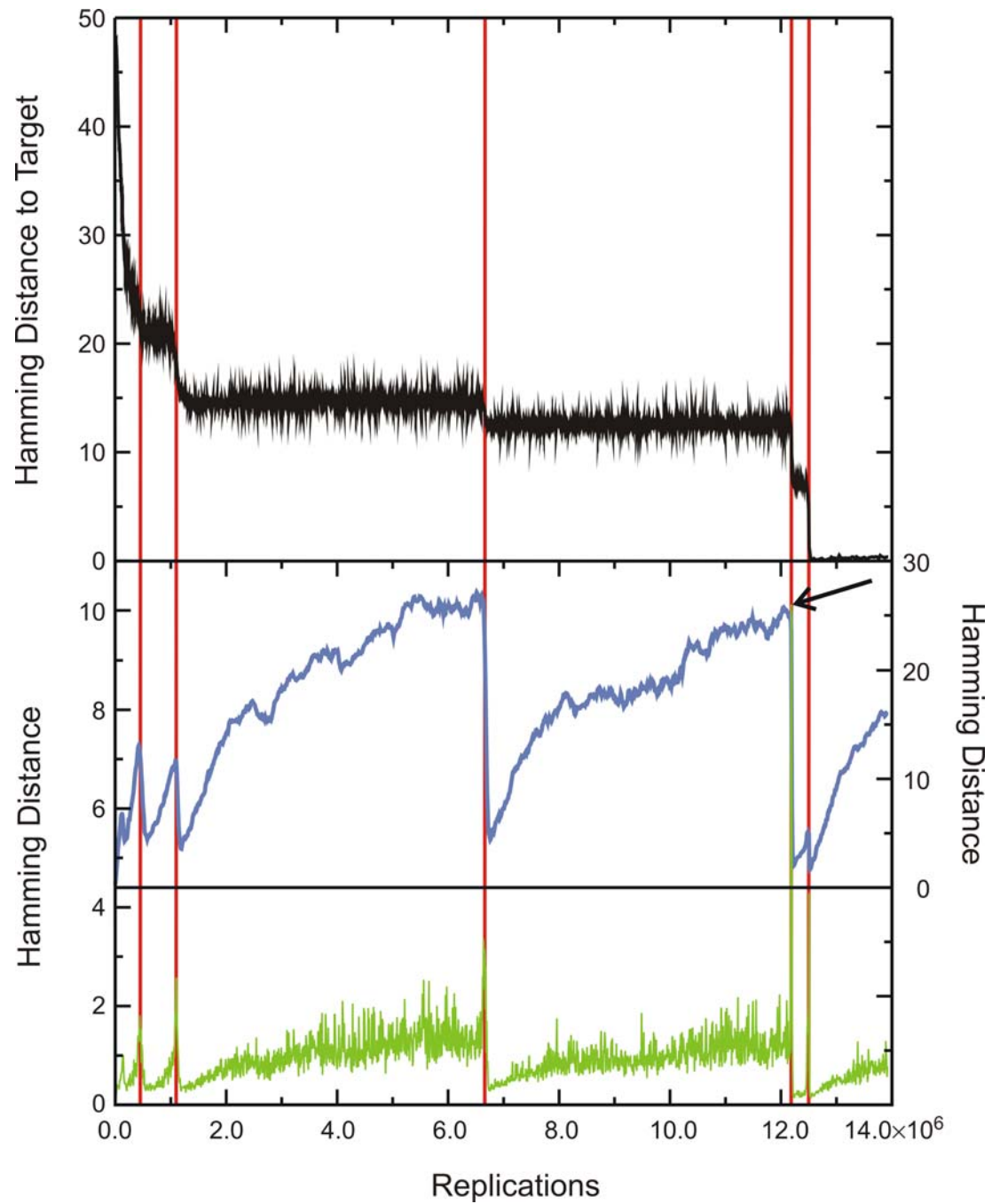
Neutral point mutations leave the molecular structure unchanged

Neutral genotype evolution during phenotypic stasis

Evolutionary trajectory

Spreading of the population on neutral networks

Drift of the population center in sequence space

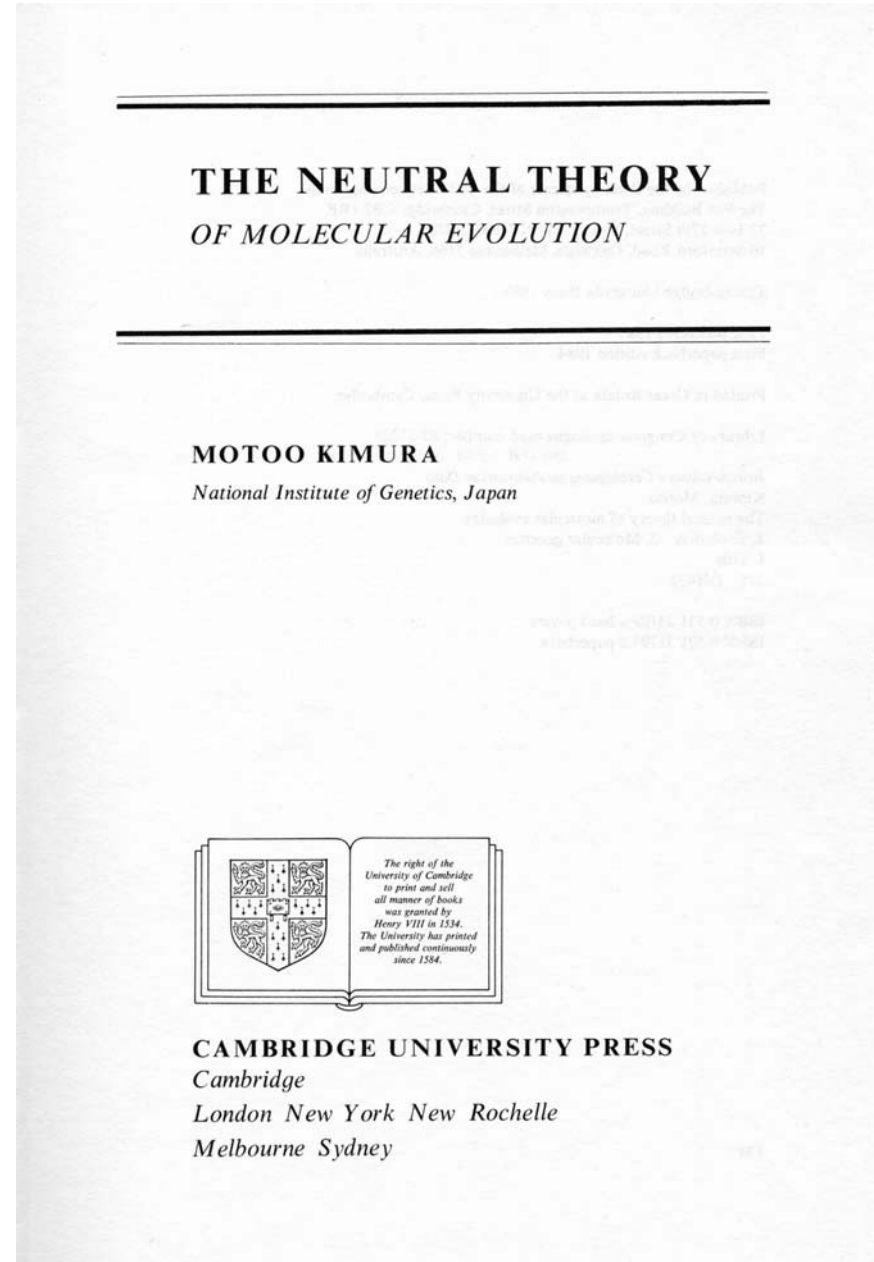




Motoo Kimuras Populationsgenetik der neutralen Evolution.

Evolutionary rate at the molecular level.
Nature **217**: 624-626, 1955.

The Neutral Theory of Molecular Evolution.
Cambridge University Press. Cambridge,
UK, 1983.



1. Was ist Leben?
2. Leben und Wahrscheinlichkeiten
3. Der Ursprung biologischer Information
4. Darwinsche Evolution mit Molekülen
- 5. Evolutionsexperimente**
6. Die DNA + Protein Welt
7. Evolutionsmechanismen

	Generation time	Selection and adaptation 10 000 generations	Genetic drift in small populations 10 ⁶ generations	Genetic drift in large populations 10 ⁷ generations
RNA molecules	10 sec 1 min	27.8 h = 1.16 d 6.94 d	115.7 d 1.90 a	3.17 a 19.01 a
Bacteria	20 min 10 h	138.9 d 11.40 a	38.03 a 1 140 a	380 a 11 408 a
Multicellular organisms	10 d 20 a	274 a 20 000 a	27 380 a 2 × 10 ⁷ a	273 800 a 2 × 10 ⁸ a

Time scales of evolutionary change

Evolution of RNA molecules based on Q β phage

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S.Spiegelman, *An approach to the experimental analysis of precellular evolution*. Quart.Rev.Biophys. **4** (1971), 213-253

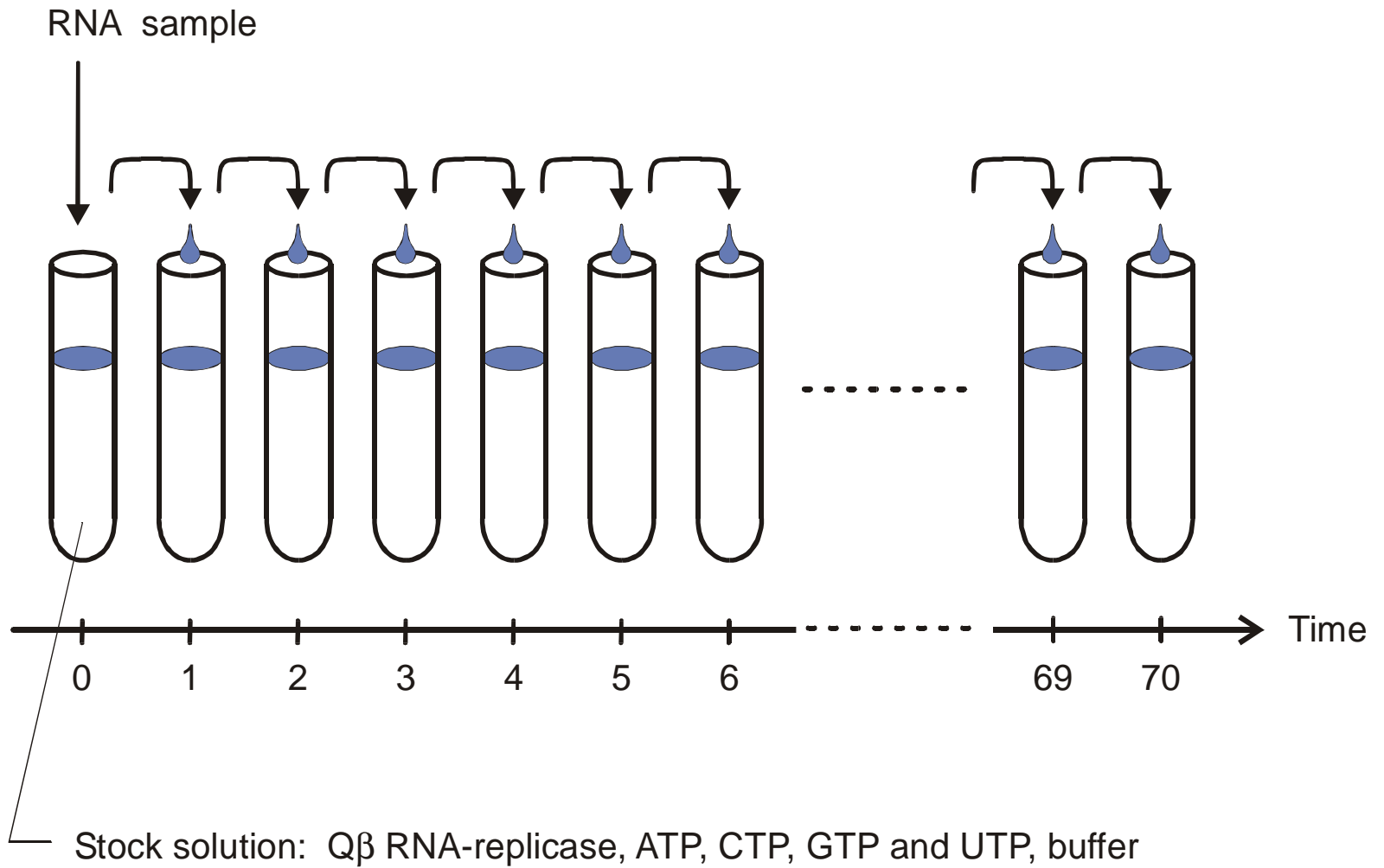
C.K.Biebricher, *Darwinian selection of self-replicating RNA molecules*. Evolutionary Biology **16** (1983), 1-52

G.Bauer, H.Otten, J.S.McCaskill, *Travelling waves of in vitro evolving RNA*. Proc.Natl.Acad.Sci.USA **86** (1989), 7937-7941

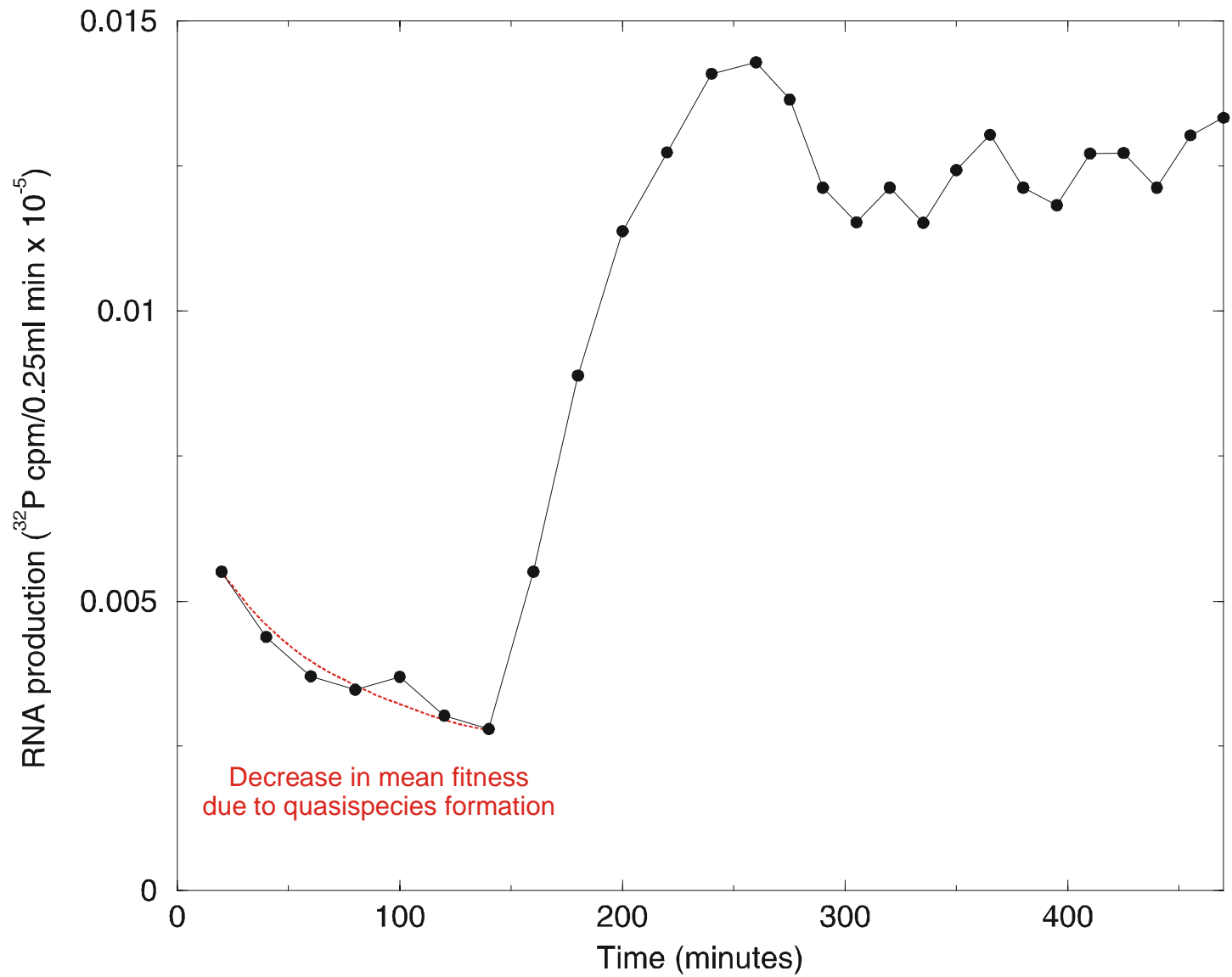
C.K.Biebricher, W.C.Gardiner, *Molecular evolution of RNA in vitro*. Biophysical Chemistry **66** (1997), 179-192

G.Strunk, T.Ederhof, *Machines for automated evolution experiments in vitro based on the serial transfer concept*. Biophysical Chemistry **66** (1997), 193-202

F.Öhlenschläger, M.Eigen, *30 years later – A new approach to Sol Spiegelman's and Leslie Orgel's in vitro evolutionary studies*. Orig.Life Evol.Biosph. **27** (1997), 437-457



Anwendung der seriellen Überimpfungstechnik auf RNA-Evolution in Reagenzglas



The increase in RNA production rate during a serial transfer experiment

Evolutionary design of RNA molecules

D.B.Bartel, J.W.Szostak, *In vitro selection of RNA molecules that bind specific ligands*. Nature **346** (1990), 818-822

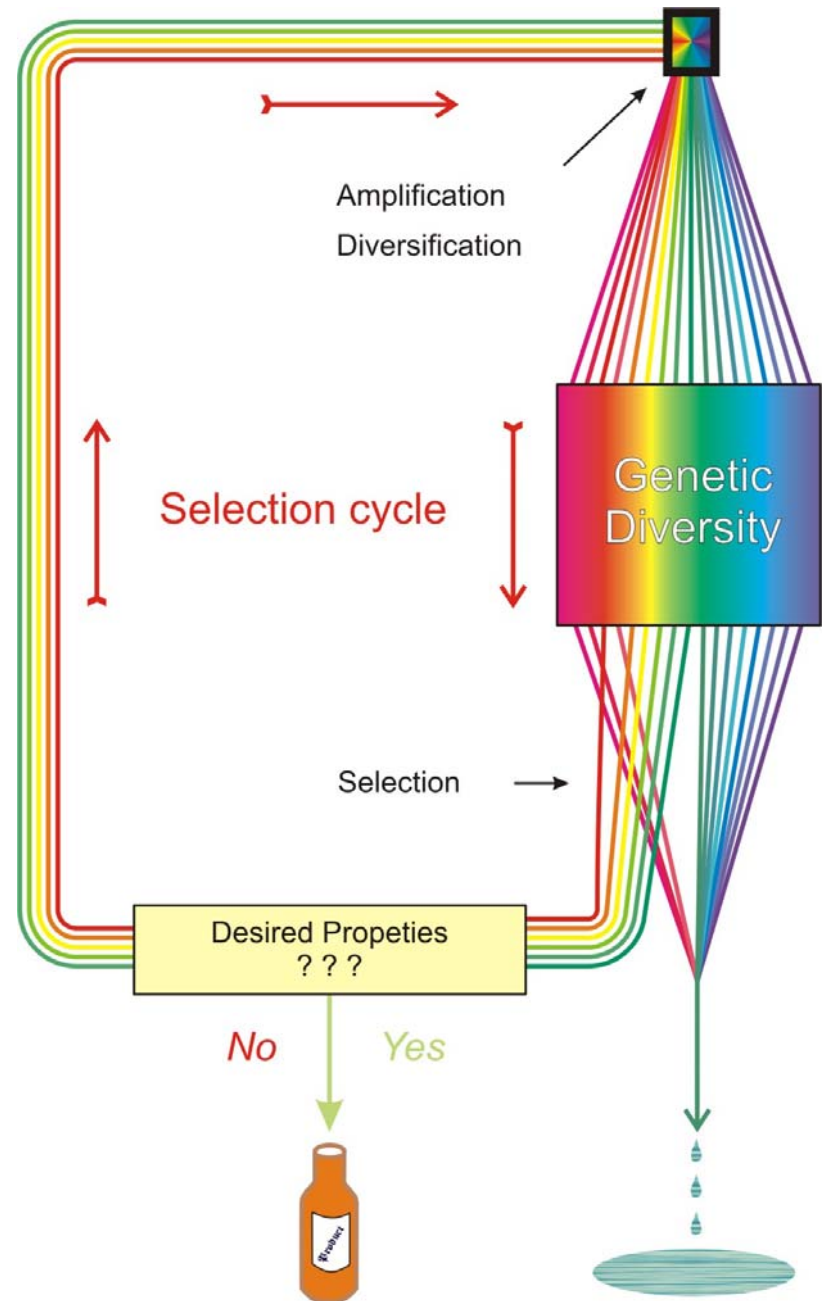
C.Tuerk, L.Gold, *SELEX - Systematic evolution of ligands by exponential enrichment: RNA ligands to bacteriophage T4 DNA polymerase*. Science **249** (1990), 505-510

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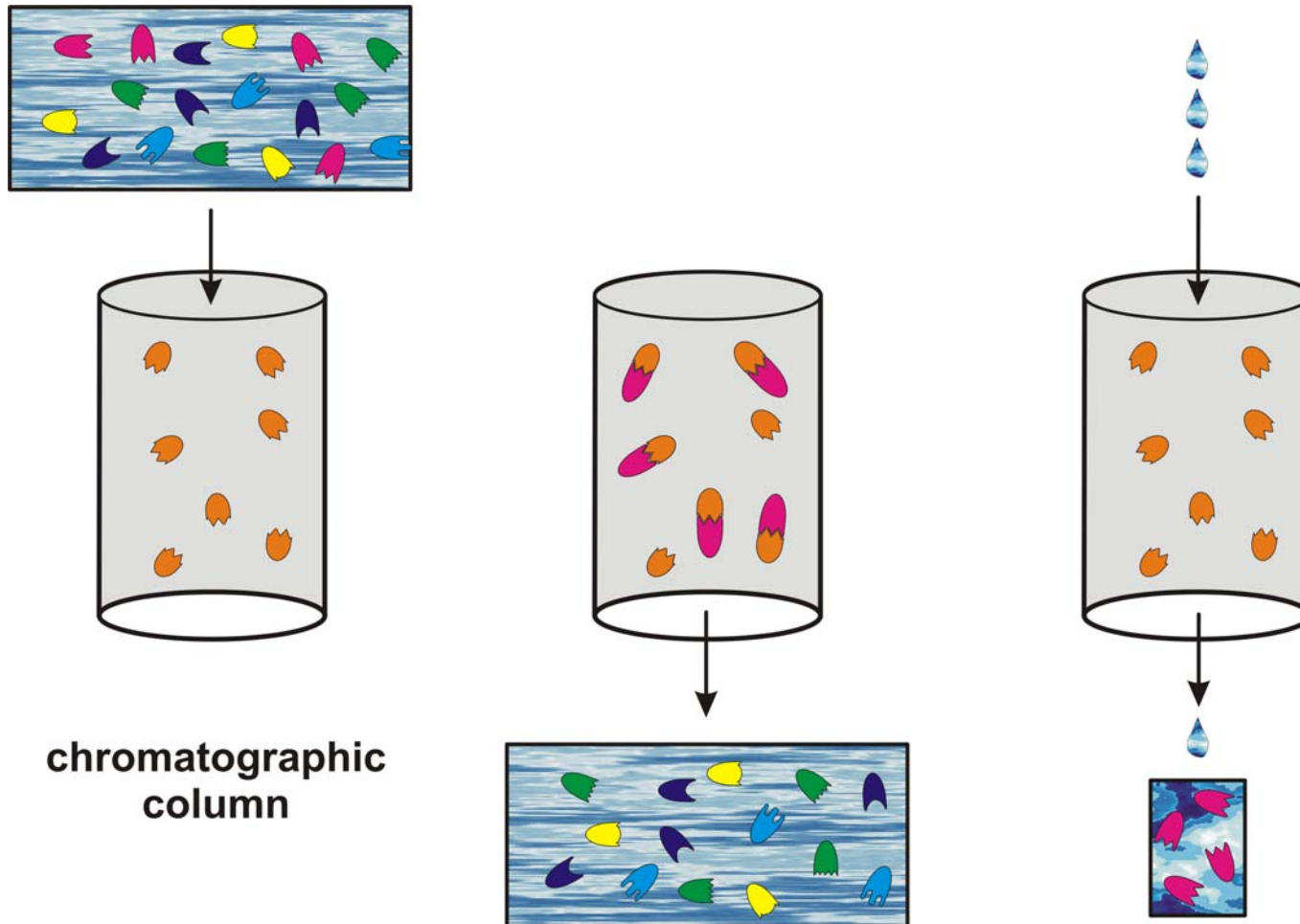
R.D.Jenison, S.C.Gill, A.Pardi, B.Poliski, *High-resolution molecular discrimination by RNA*. Science **263** (1994), 1425-1429

Y. Wang, R.R.Rando, *Specific binding of aminoglycoside antibiotics to RNA*. Chemistry & Biology **2** (1995), 281-290

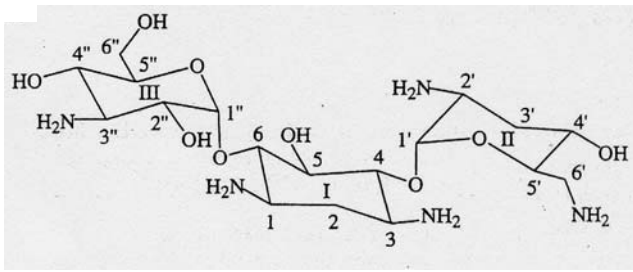
Jiang, A. K. Suri, R. Fiala, D. J. Patel, *Saccharide-RNA recognition in an aminoglycoside antibiotic-RNA aptamer complex*. Chemistry & Biology **4** (1997), 35-50



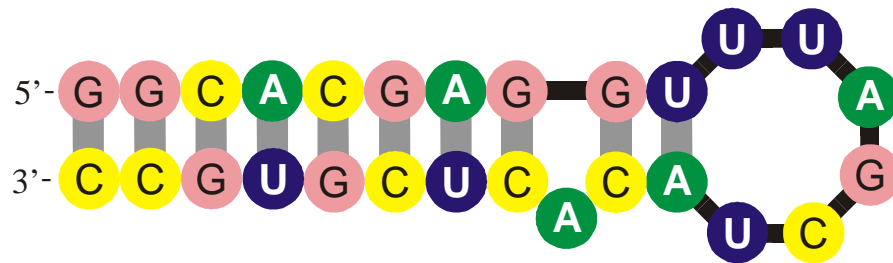
Ein Beispiel für Selektion von Molekülen mit vorbestimmbaren Eigenschaften im Laborexperiment



Die SELEX-Technik zur evolutionären Erzeugung von stark bindenden Molekülen



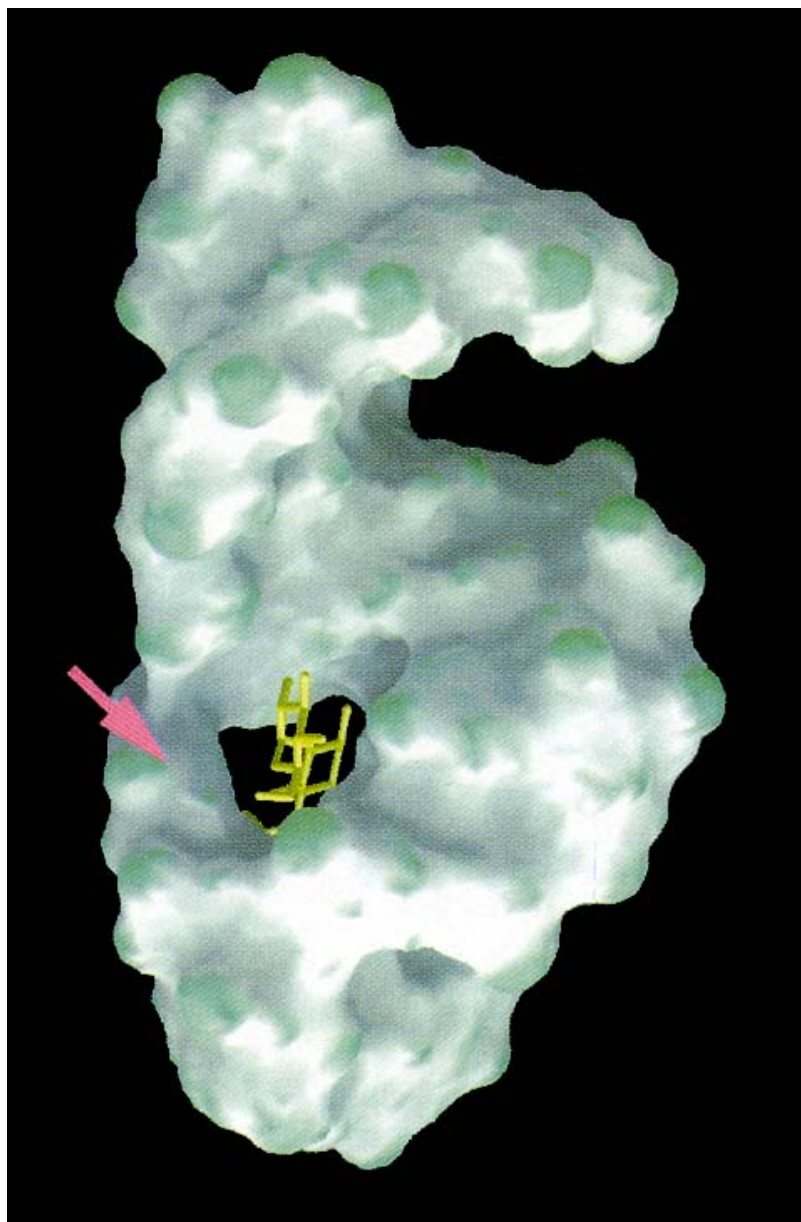
tobramycin



RNA aptamer

Formation of secondary structure of the tobramycin binding RNA aptamer with $K_D = 9 \text{ nM}$

L. Jiang, A. K. Suri, R. Fiala, D. J. Patel, *Saccharide-RNA recognition in an aminoglycoside antibiotic-RNA aptamer complex*. *Chemistry & Biology* 4:35-50 (1997)



The three-dimensional structure of the tobramycin aptamer complex

L. Jiang, A. K. Suri, R. Fiala, D. J. Patel,
Chemistry & Biology 4:35-50 (1997)

- minus the background levels observed in the HSP in the control (Sar1-GDP-containing) incubation that prevents COPII vesicle formation. In the microsome control, the level of p115-SNARE associations was less than 0.1%.
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 50. GST-SNARE proteins were expressed in bacteria and purified on glutathione-Sepharose beads using standard methods. Immobilized GST-SNARE protein (0.5 μ M) was incubated with rat liver cytosol (20 mg) or purified recombinant p115 (0.5 μ M) in 1 ml of NS buffer containing 1% BSA for 2 hours at 4°C with rotation. Beads were briefly spun (3000 rpm for 10 s) and sequentially washed three times with NS buffer and three times with NS buffer supplemented with 150 mM NaCl. Bound proteins were eluted three times in 50 μ l of 50 mM tris-HCl (pH 8.5), 50 mM reduced glutathione, 150 mM NaCl, and 0.1% Triton X-100 for 15 min at 4°C with intermittent mixing, and elutes were pooled. Proteins were precipitated by MeOH/CH₂Cl₂ and separated by SDS-polyacrylamide gel electrophoresis (PAGE) followed by immunoblotting using p115 mAb 13F12.
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 69. We thank G. Waters for p115 cDNA and p115 mAbs; G. Warren for p97 and p47 antibodies; R. Scheller for rbt1, membrin, and sec22 cDNAs; H. Plutner for excellent technical assistance; and P. Tan for help during the initial phase of this work. Supported by NIH grants GM 33301 and GM42336 and National Cancer Institute grant CA58689 (W.E.B.), a NIH National Research Service Award (B.D.M.), and a Wellcome Trust International Traveling Fellowship (B.B.A.).

20 March 2000; accepted 22 May 2000

One Sequence, Two Ribozymes: Implications for the Emergence of New Ribozyme Folds

Erik A. Schultes and David P. Bartel*

We describe a single RNA sequence that can assume either of two ribozyme folds and catalyze the two respective reactions. The two ribozyme folds share no evolutionary history and are completely different, with no base pairs (and probably no hydrogen bonds) in common. Minor variants of this sequence are highly active for one or the other reaction, and can be accessed from prototype ribozymes through a series of neutral mutations. Thus, in the course of evolution, new RNA folds could arise from preexisting folds, without the need to carry inactive intermediate sequences. This raises the possibility that biological RNAs having no structural or functional similarity might share a common ancestry. Furthermore, functional and structural divergence might, in some cases, precede rather than follow gene duplication.

Related protein or RNA sequences with the same folded conformation can often perform very different biochemical functions, indicating that new biochemical functions can arise from preexisting folds. But what evolutionary mechanisms give rise to sequences with new macromolecular folds? When considering the origin of new folds, it is useful to picture, among all sequence possibilities, the distribution of sequences with a particular fold and function. This distribution can range very far in sequence space (1). For example, only seven nucleotides are strictly conserved among the group I self-splicing introns, yet secondary (and presumably tertiary) structure within the core of the ribozyme is preserved (2). Because these dis-

parate isolates have the same fold and function, it is thought that they descended from a common ancestor through a series of mutational variants that were each functional. Hence, sequence heterogeneity among divergent isolates implies the existence of paths through sequence space that have allowed neutral drift from the ancestral sequence to each isolate. The set of all possible neutral paths composes a "neutral network," connecting in sequence space those widely dispersed sequences sharing a particular fold and activity, such that any sequence on the network can potentially access very distant sequences by neutral mutations (3–5).

Theoretical analyses using algorithms for predicting RNA secondary structure have suggested that different neutral networks are interwoven and can approach each other very closely (3, 5–8). Of particular interest is whether ribozyme neutral networks approach each other so closely that they intersect. If so, a single sequence would be capable of folding into two different conformations, would

have two different catalytic activities, and could access by neutral drift every sequence on both networks. With intersecting networks, RNAs with novel structures and activities could arise from previously existing ribozymes, without the need to carry non-functional sequences as evolutionary intermediates. Here, we explore the proximity of neutral networks experimentally, at the level of RNA function. We describe a close apposition of the neutral networks for the hepatitis delta virus (HDV) self-cleaving ribozyme and the class III self-ligating ribozyme.

In choosing the two ribozymes for this investigation, an important criterion was that they share no evolutionary history that might confound the evolutionary interpretations of our results. Choosing at least one artificial ribozyme ensured independent evolutionary histories. The class III ligase is a synthetic ribozyme isolated previously from a pool of random RNA sequences (9). It joins an oligonucleotide substrate to its 5' terminus. The prototype ligase sequence (Fig. 1A) is a shortened version of the most active class III variant isolated after 10 cycles of *in vitro* selection and evolution. This minimal construct retains the activity of the full-length isolate (10). The HDV ribozyme carries out the site-specific self-cleavage reactions needed during the life cycle of HDV, a satellite virus of hepatitis B with a circular, single-stranded RNA genome (11). The prototype HDV construct for our study (Fig. 1B) is a shortened version of the antigenomic HDV ribozyme (12), which undergoes self-cleavage at a rate similar to that reported for other antigenomic constructs (13, 14).

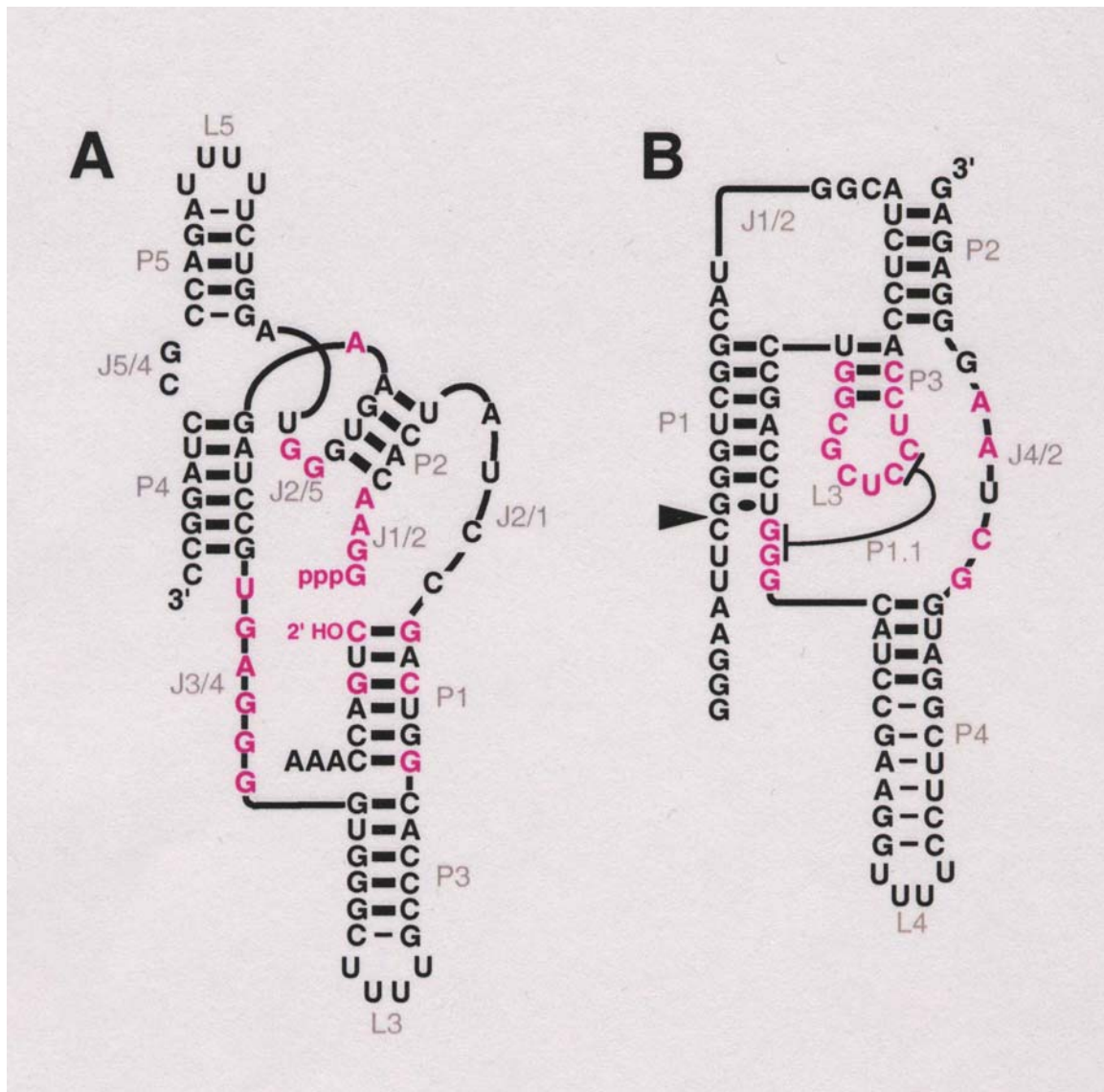
The prototype class III and HDV ribozymes have no more than the 25% sequence identity expected by chance and no fortuitous structural similarities that might favor an intersection of their two neutral networks. Nevertheless, sequences can be designed that simultaneously satisfy the base-pairing requirements

A ribozyme switch

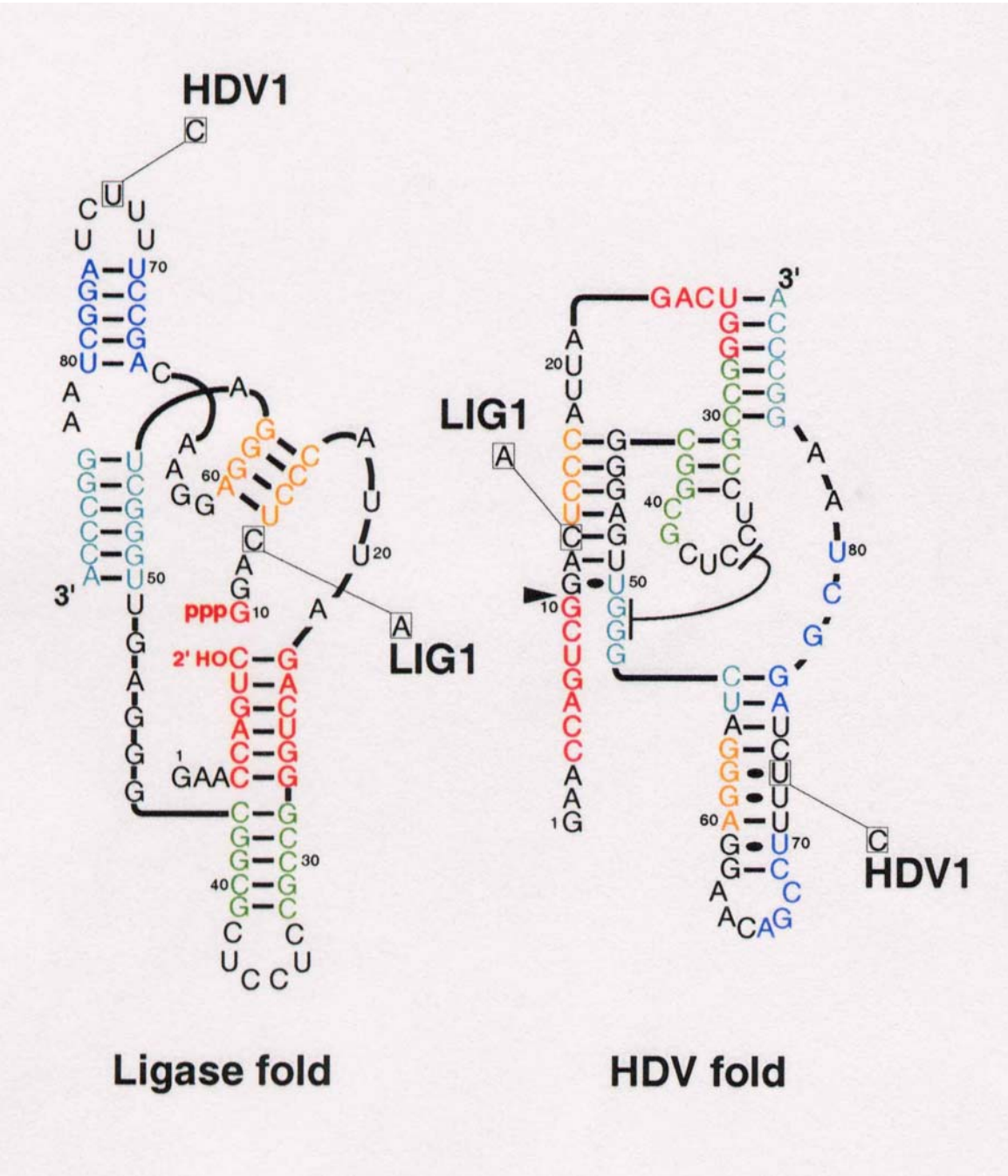
E.A.Schultes, D.B.Bartel, *Science*
289 (2000), 448–452

Whitehead Institute for Biomedical Research and Department of Biology, Massachusetts Institute of Technology, 9 Cambridge Center, Cambridge, MA 02142, USA.

*To whom correspondence should be addressed. E-mail: dbartel@wi.mit.edu

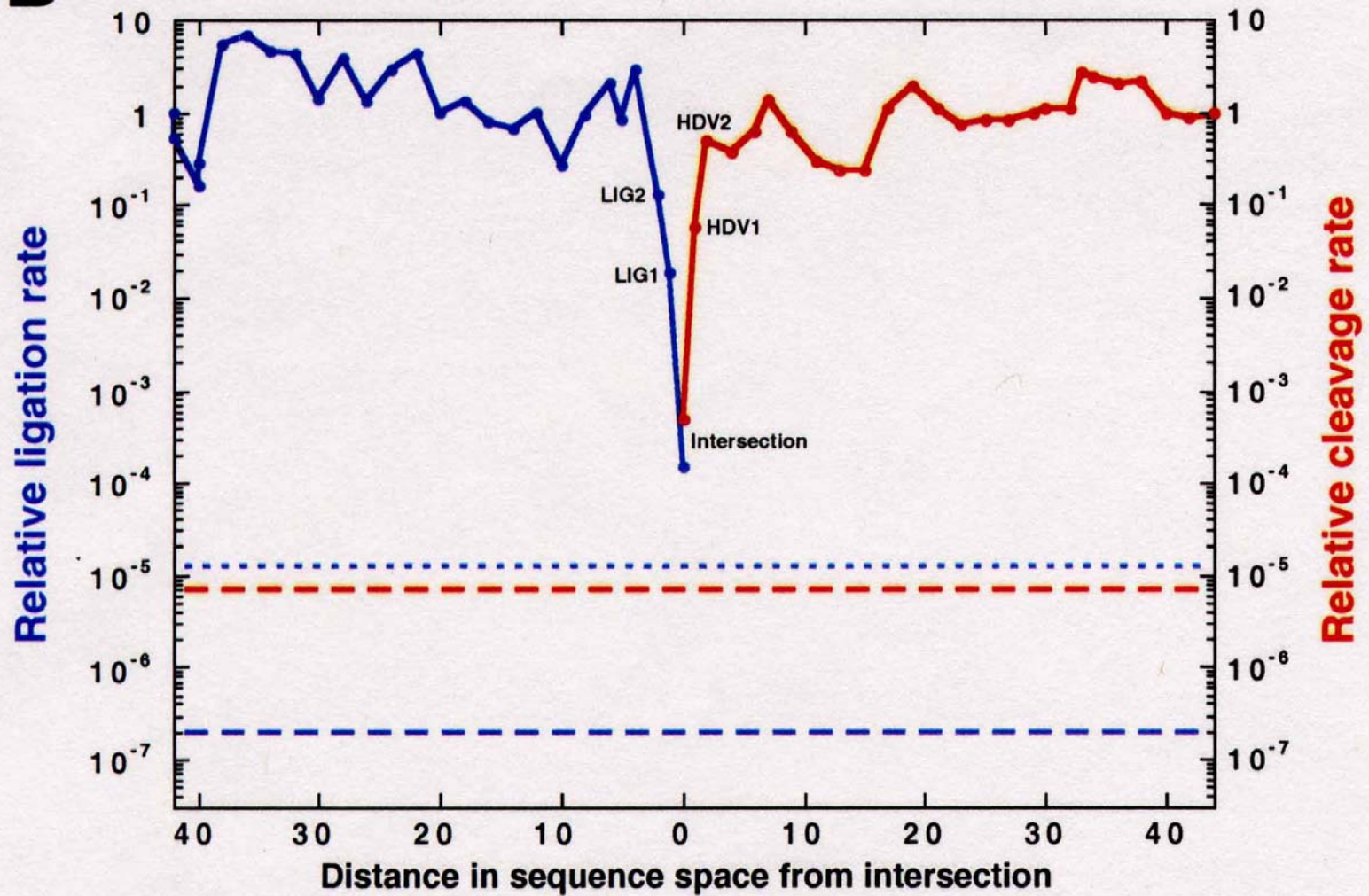


Two ribozymes of chain lengths $n = 88$ nucleotides: An artificial ligase (A) and a natural cleavage ribozyme of hepatitis- δ -virus (B)

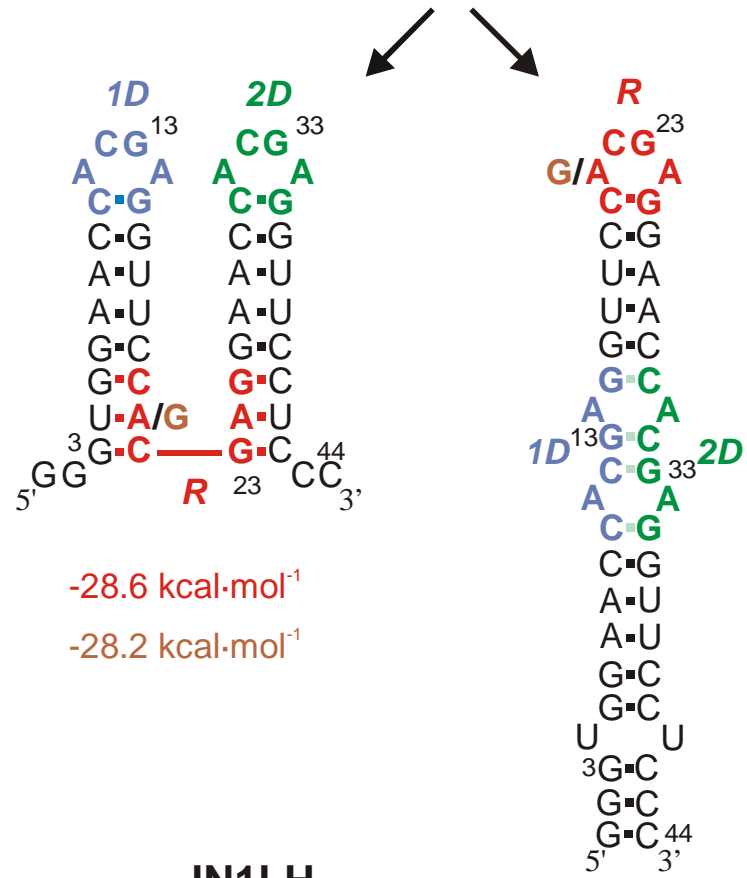


The sequence at the *intersection*:

An RNA molecules which is 88 nucleotides long and can form both structures

B

Two neutral walks through sequence space with conservation of structure and catalytic activity



-28.6 kcal·mol⁻¹

-28.2 kcal·mol⁻¹

-28.6 kcal·mol⁻¹

-31.8 kcal·mol⁻¹

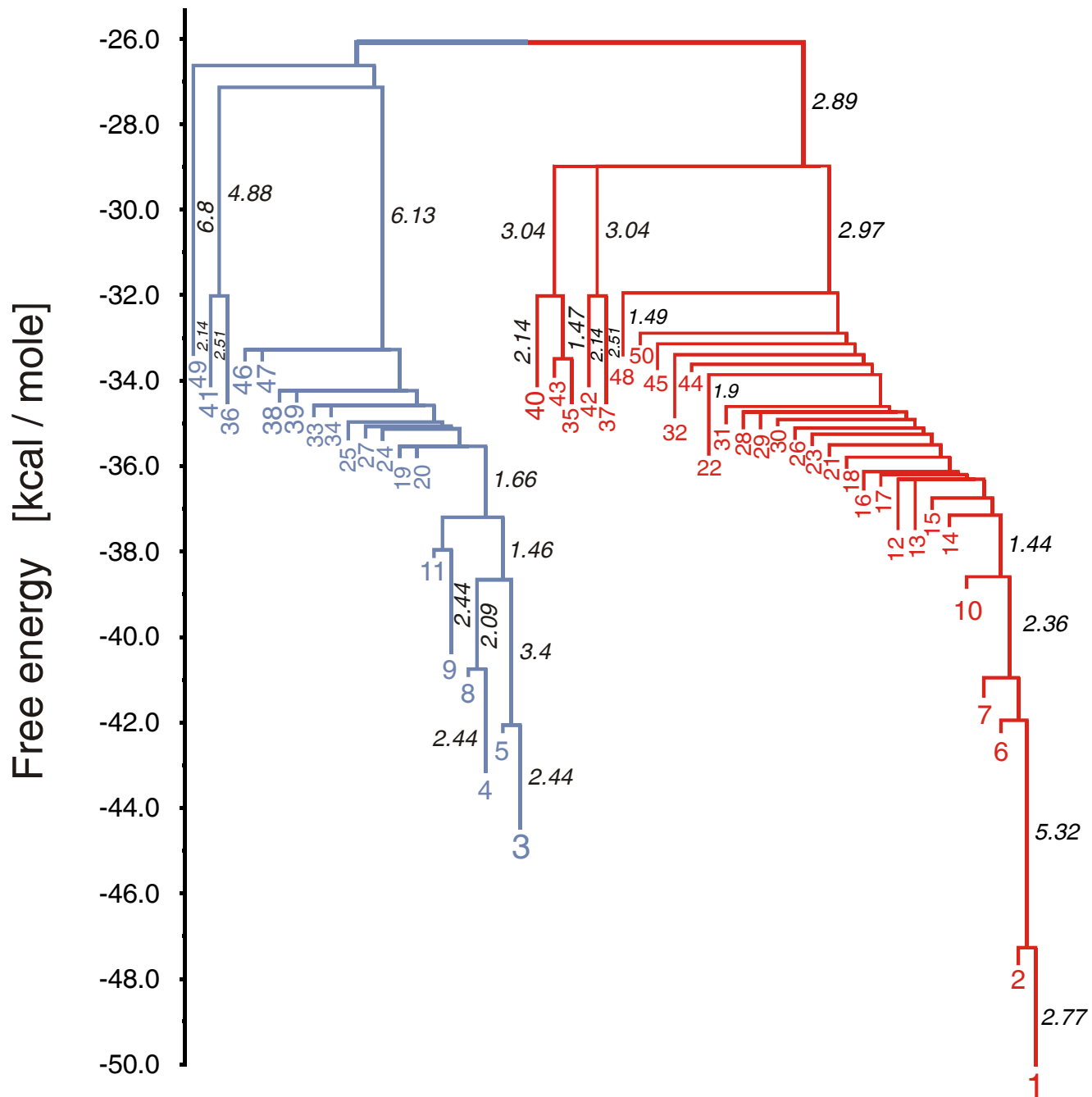
An experimental RNA switch

JN1LH

J.H.A. Nagel, C. Flamm, I.L. Hofacker, K. Franke, M.H. de Smit, P. Schuster, and C.W.A. Pleij.

Structural parameters affecting the kinetic competition of RNA hairpin formation. *Nucleic Acids Res.* **34**:3568-3576 (2006)

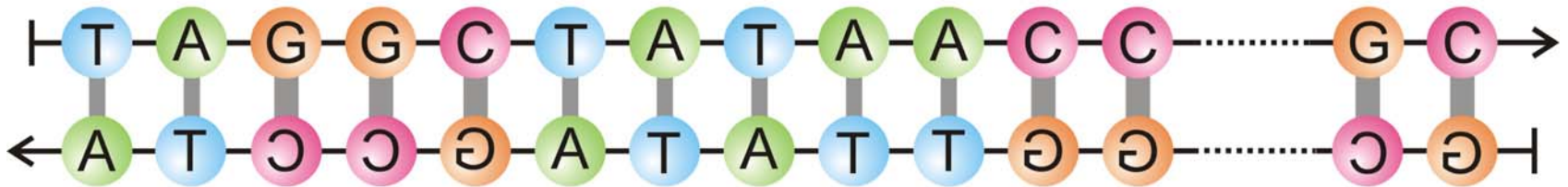
J1LH barrier tree



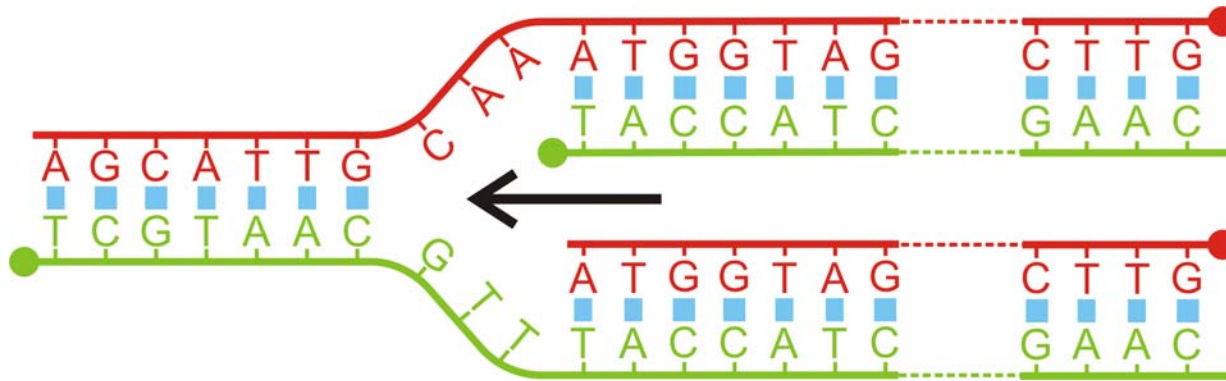
1. Was ist Leben?
2. Leben und Wahrscheinlichkeiten
3. Der Ursprung biologischer Information
4. Darwinsche Evolution mit Molekülen
5. Evolutionsexperimente
- 6. Die DNA + Protein Welt**
7. Evolutionsmechanismen



A ≡ Adenine G ≡ Guanine
T ≡ Thymine C ≡ Cytosine

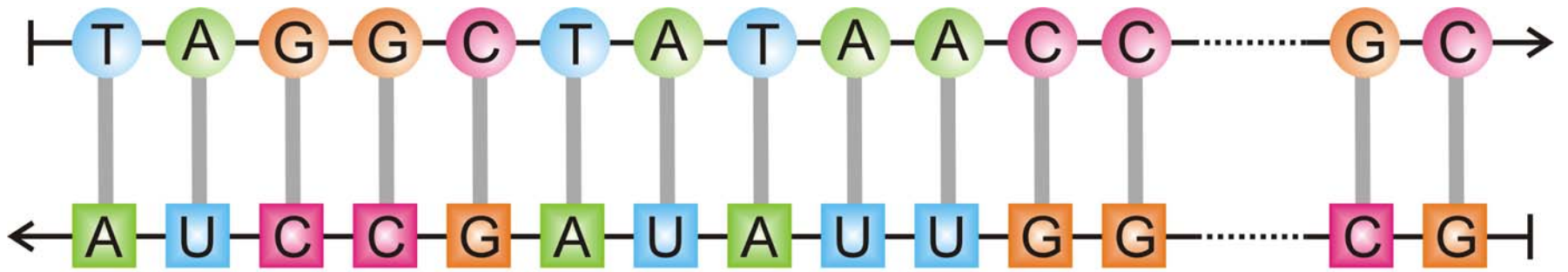


Deoxyribonucleic acid - DNA

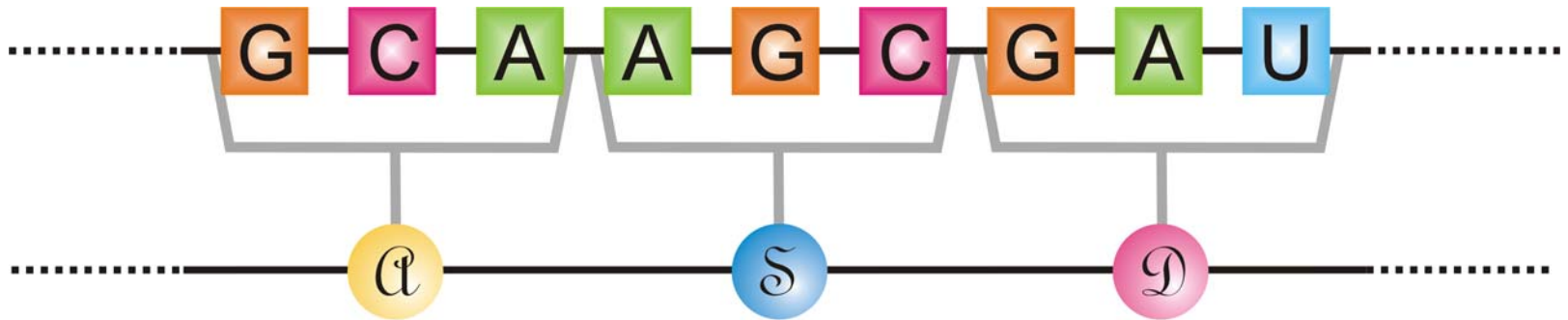


Die "Replikationsgabel"

Mechanismus der Replikation von doppelsträngigen DNA-Molekülen

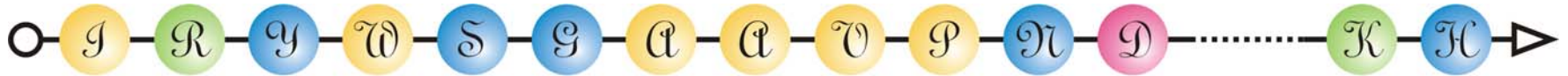


Transcription - DNA → RNA



Translation - RNA → Protein

Redundancy of the code: $4^3 = 64$ codons versus 20 amino acids



A ≡ **alanine**

G ≡ **glycine**

M ≡ **methionine**

S ≡ **serine**

C ≡ **cysteine**

H ≡ **histidine**

N ≡ **asparagine**

T ≡ **threonine**

D ≡ **aspartic acid**

I ≡ **isoleucine**

P ≡ **proline**

V ≡ **valine**

E ≡ **glutamic acid**

K ≡ **lysine**

Q ≡ **glutamine**

W ≡ **tryptophane**

F ≡ **phenyl alanine**

L ≡ **leucine**

R ≡ **arginine**

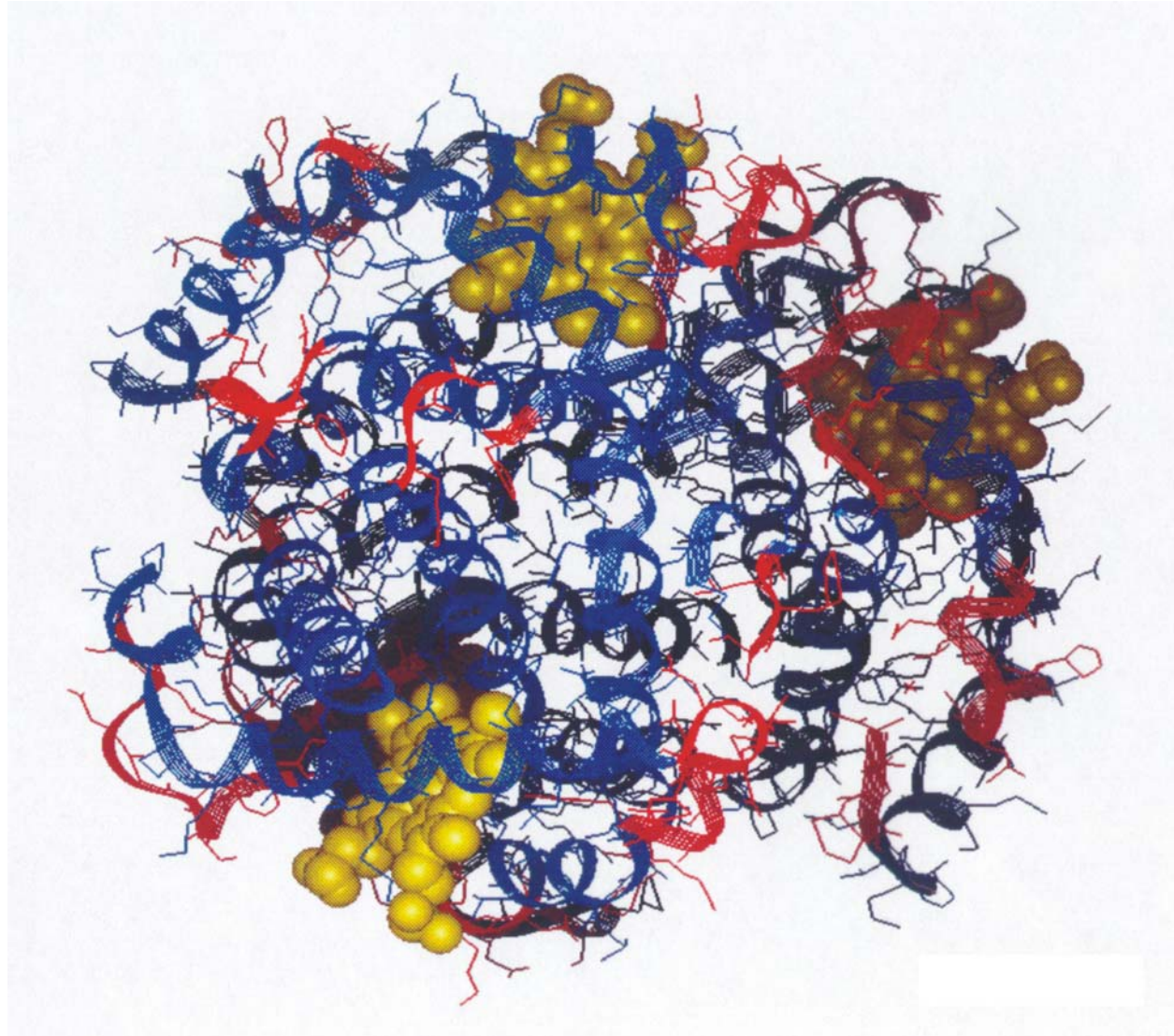
Y ≡ **tyrosine**

Protein

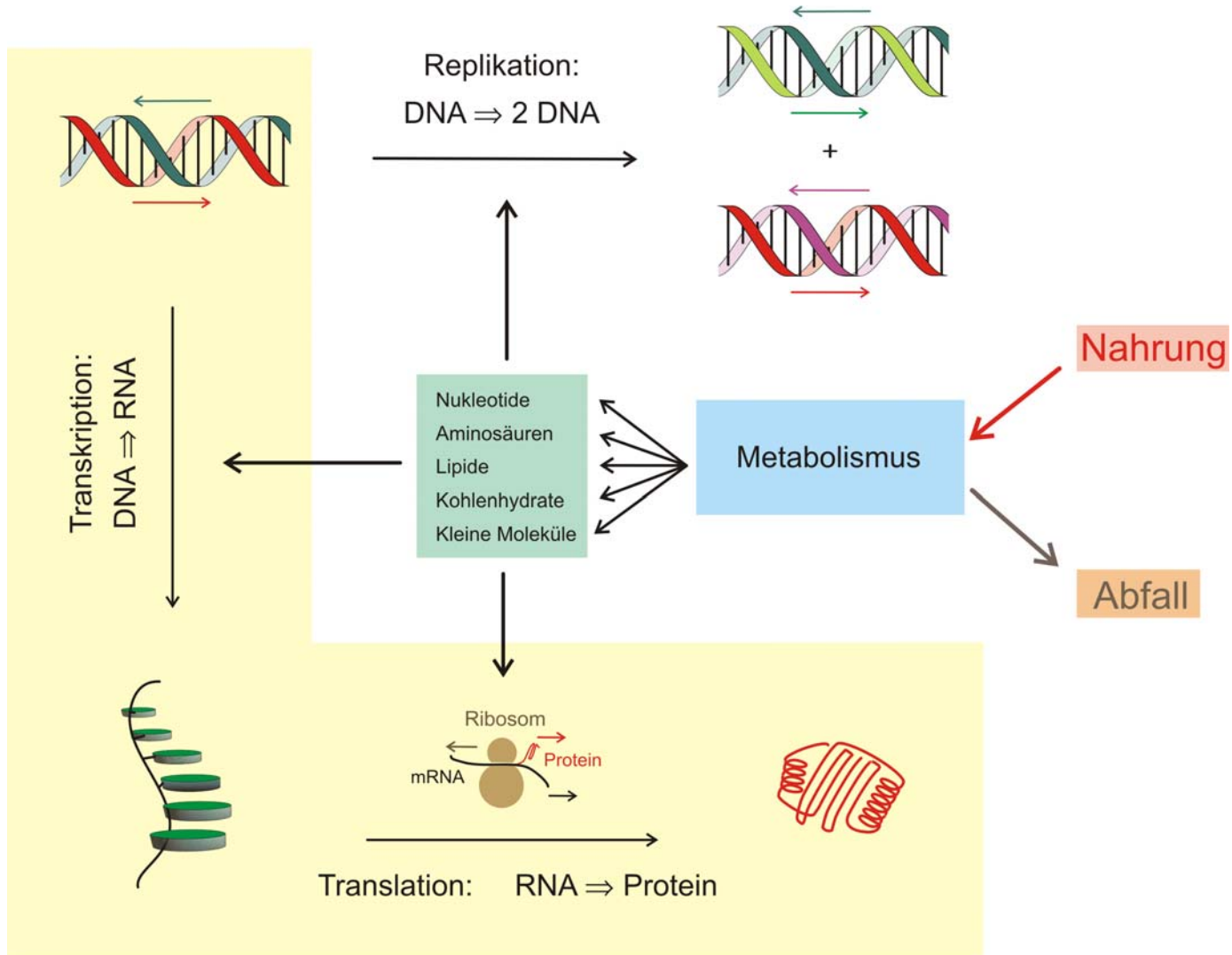


Max F. Perutz 1914-2002

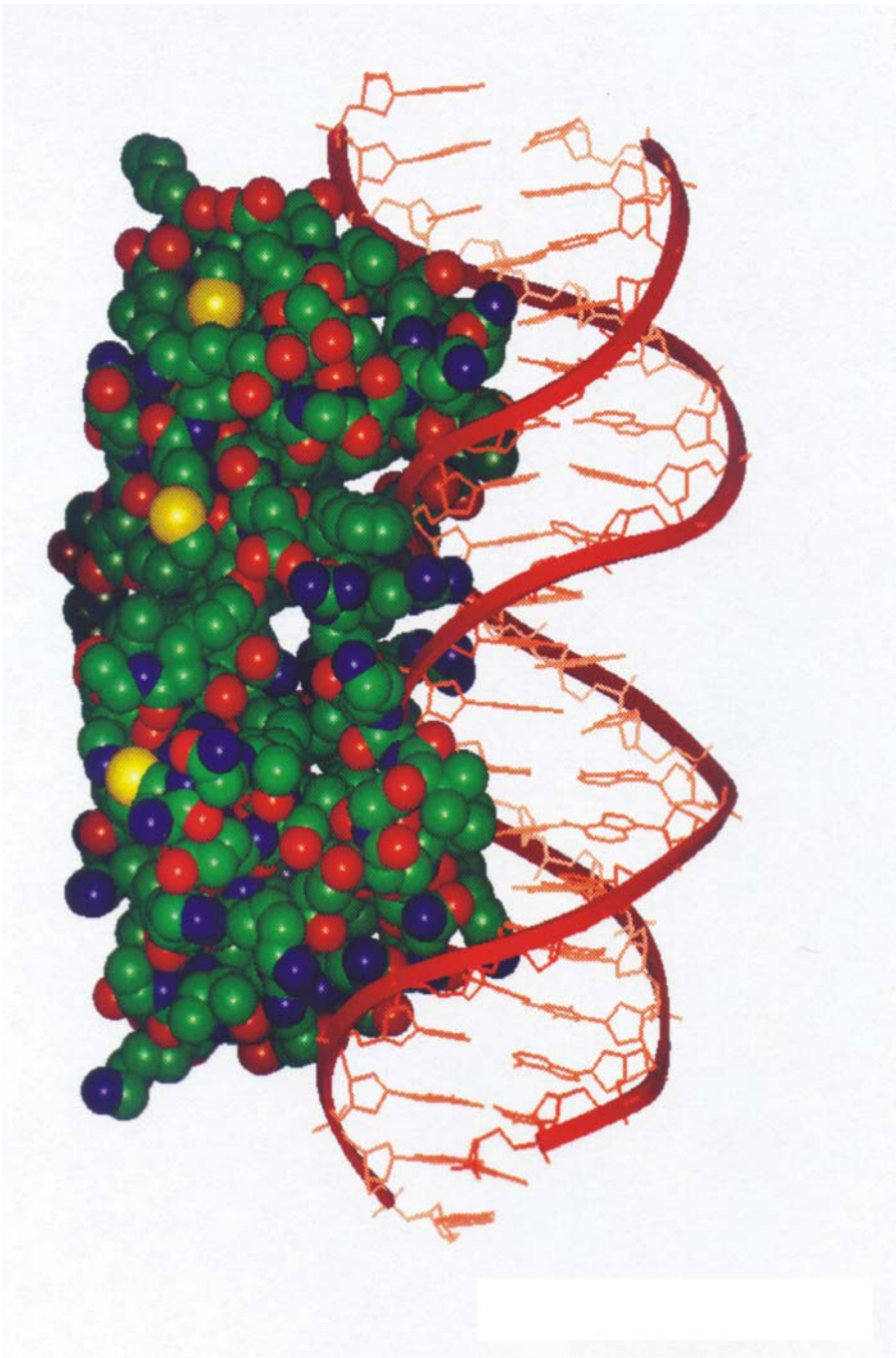
Nobel prize 1962



Three-dimensional
structure of hemoglobin

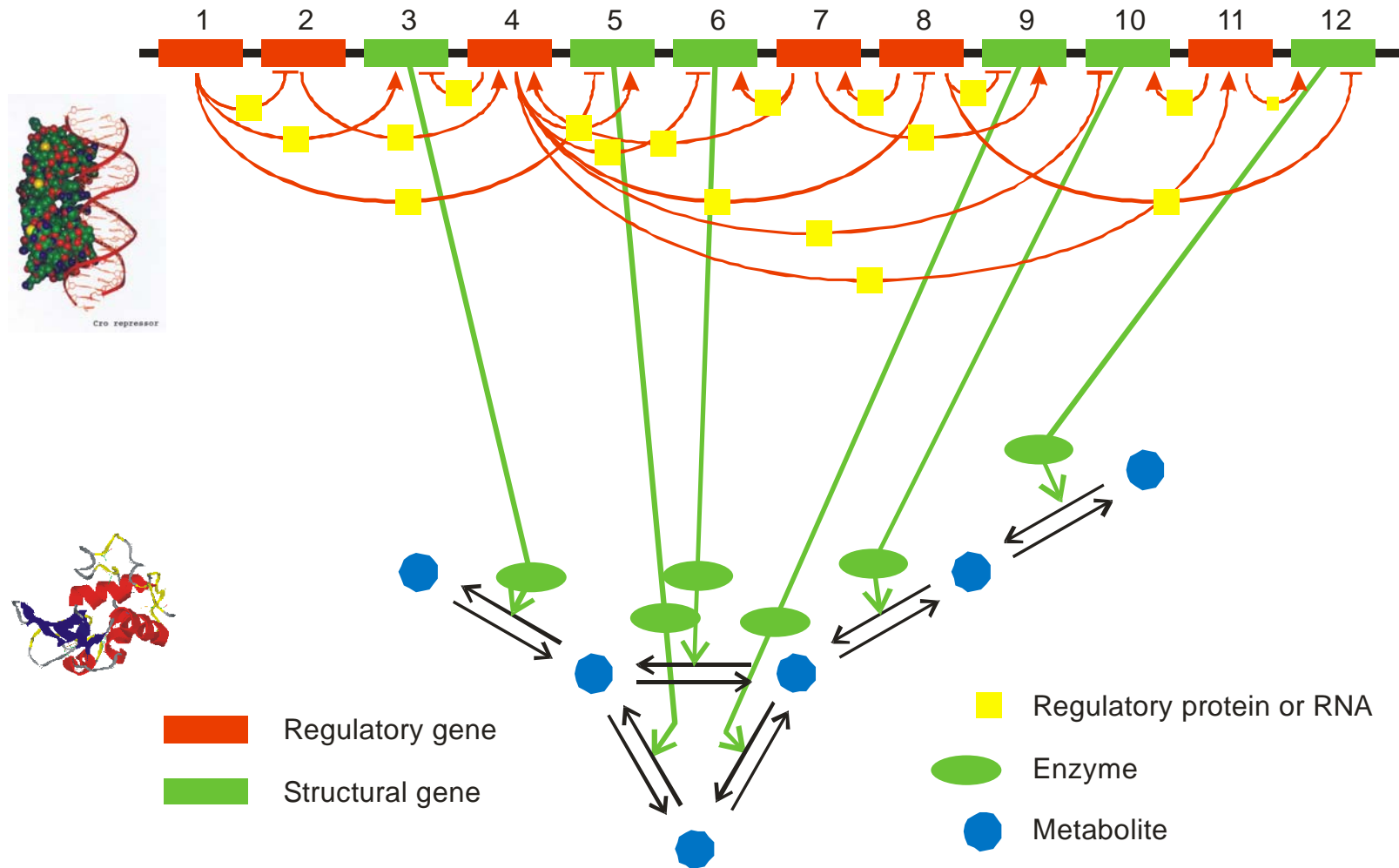


Skizze des zellulären Stoffwechsels



Three-dimensional structure of the complex between a specific DNA binding site and the regulatory protein cro-repressor

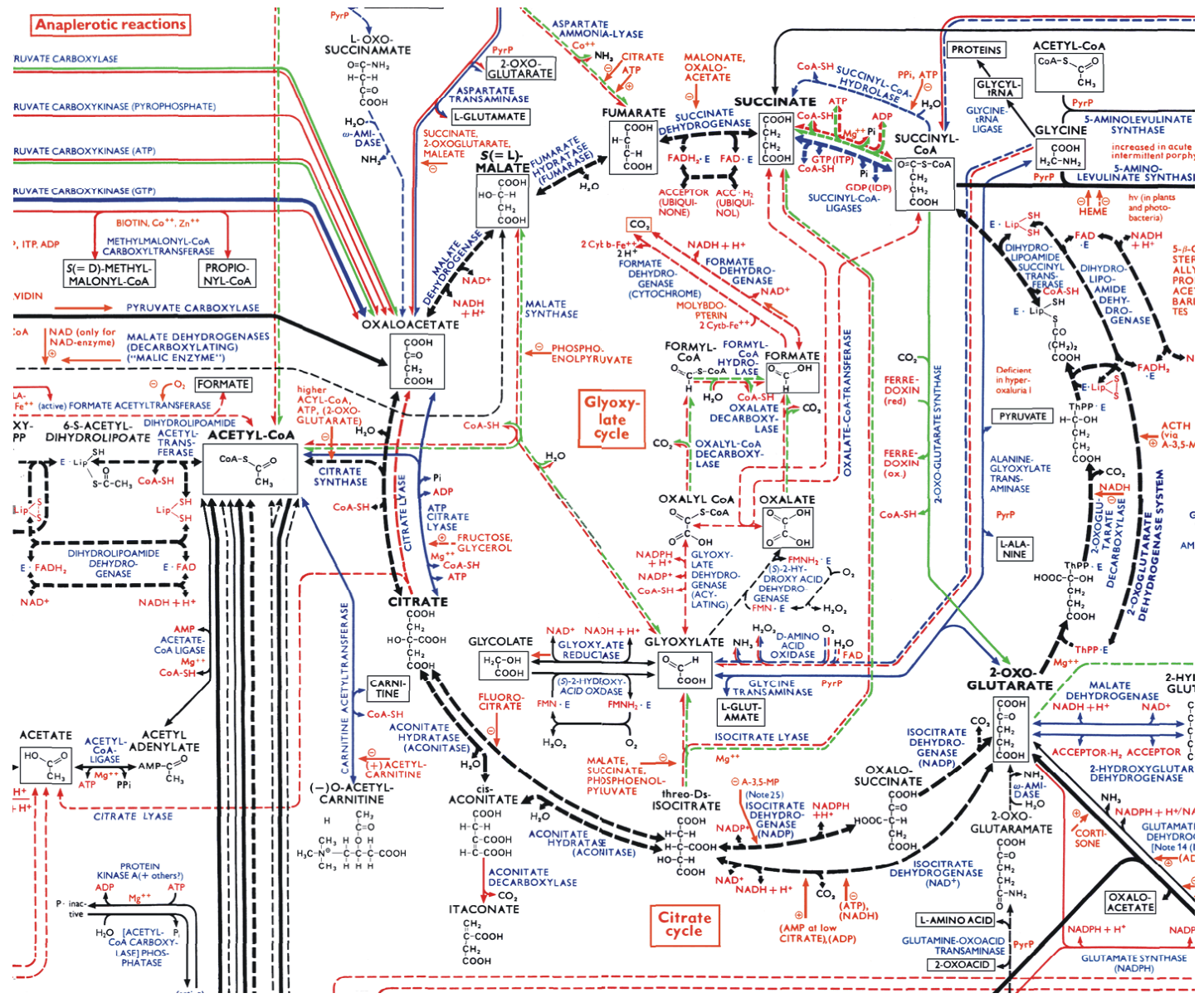
A model genome with 12 genes



Skizze eines einfachen genetisch-metabolischen Regulationsnetzwerkes

	A	B	C	D	E	F	G	H	I	J	K	L
1	Biochemical Pathways											
2												
3												
4												
5												
6												
7												
8												
9												
10												

Das Reaktionsnetzwerk des zellulären Stoffwechsels publiziert von Boehringer-Ingelheim.



Der Zitronensäure- oder Krebszyklus (vergrößert aus der vorigen Abbildung).

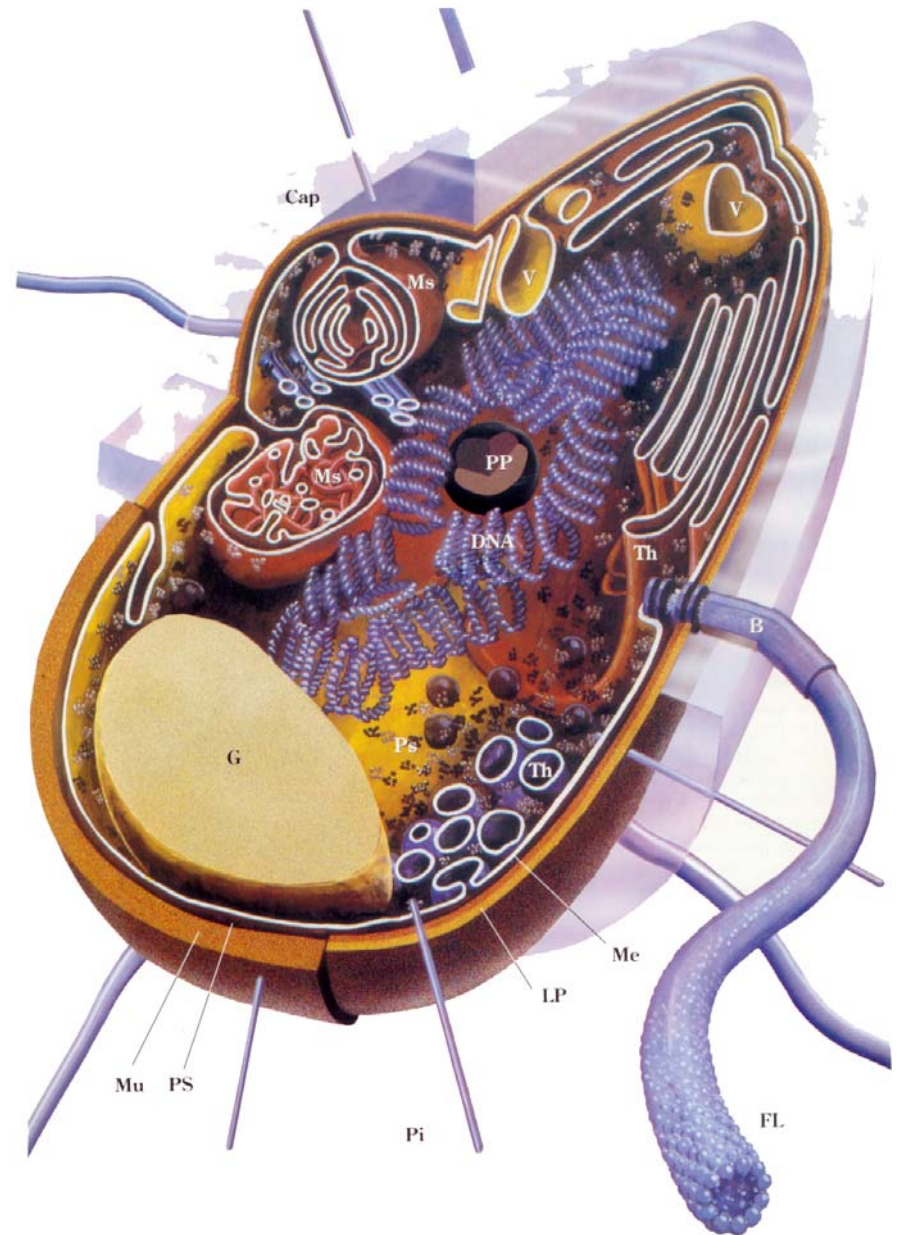
Die Bakterienzelle als ein Beispiel für die einfachste Form autonomen Lebens.

Der menschliche Körper:

10^{14} Zellen =
 10^{13} eukaryotische Zellen +
 $\approx 9 \times 10^{13}$ prokaryotische
Bakterienzellen

80 kg eukaryotische Zellen +
800 g Bakterienzellen

≈ 200 eukaryotische Zelltypen



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- 7. Evolutionsmechanismen**

Darwins Theorie wurde in folgenden Punkten **voll bestätigt**:

- Das **Auftreten von Varianten** bei der Reproduktion wurde durch die Aufklärung der molekularen Mechanismen von Rekombination und Mutation auf eine solide wissenschaftliche Basis gestellt.
- Das Darwinsche **Prinzip der Optimierung durch Variation und Selektion** in endlichen Populationen gilt nicht nur in der Biologie sondern auch in der unbelebten Welt.
- Die natürliche Entstehung der Arten und die daraus resultierenden **phylogenetischen Stammbäume** wurde durch die Vergleiche der genetischen Informationsträger heute lebender Organismen voll bestätigt.

Darwin hatte in folgenden Punkten **nicht recht**:

- Der Darwinsche **Vererbungsmechanismus** war falsch. Mendel hatte die korrekte Lösung.
- Mutation und Rekombination können keine, kleine und große Auswirkungen haben und es besteht kein Grund, dass die biologische Evolution quasikontinuierlich oder anders ausgedrückt **nur in verschwindend kleinen Schritten** erfolgt.
- Im Verlaufe der biologischen Evolution gab es auch **katastrophenartige Ereignisse** terrestrischen und extraterrestrischen Ursprungs.
- Die Komplexität der höheren Lebewesen ist so groß, dass ihre Eigenschaften nicht **voll optimiert** sein können.
- Es gibt Phasen der biologischen Evolution, welche mit Hilfe des Darwinschen Mechanismus von Variation und Selektion nicht verstanden werden können, da sie die auf **Kooperation von Konkurrenten** beruhen.

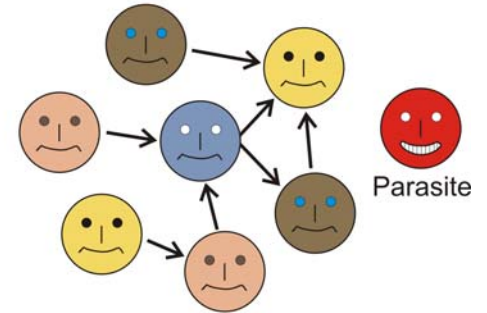
Die großen Evolutionsschritte (nach John Maynard Smith und Eörs Szathmáry)

Replizierende Moleküle	⇒	Membranen, organisierte Teilung Moleküle in Kompartments
Unabhängige Replikatoren	⇒	Molekülverkettung, gemeinsame Replikation Chromosomen
RNA als Gen und Enzyme	⇒	genetischer Code, Ribosom DNA und Protein
Prokaryoten	⇒	Zusammenschluß durch Endosymbiose Eukaryoten
Asexuell vermehrende Klone	⇒	Ursprung der sexuellen Vermehrung Sexuell vermehrende Populationen
Protisten	⇒	Zelldifferenzierung und Entwicklung Pflanzen, Pilze und Tiere
Einzel lebende Individuen	⇒	Entstehung nicht-reproduktiver Kasten Tierkolonien
Primatengesellschaften	⇒	Sprache, Schrift, Kultur, ... menschliche Gesellschaften

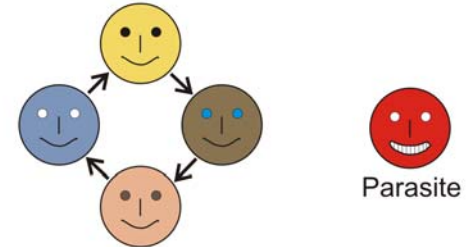
Stufe I:
Unabhängige Replikatoren
in Konkurrenz



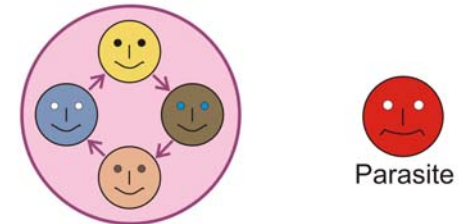
Stufe II:
Katalyse und Konkurrenz
bei der Replikation



Stufe III:
Funktionell verknüpfte
Replikatoren



Stufe IV:
Neue Einheit der
Selektion



Ein Mechanismus zur Überwindung
hierarchischer Stufen in der Evolution
(nach Manfred Eigen und Peter Schuster)

Stufe V:
Unabhängige Einheiten
in Konkurrenz



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und in den Manuskripten

Complexity 11(1):12-15 und **Castelgandolfo Lecture**

unter den Preprints.

