



# **Small RNA inside and outside the cell**

## **Memories on early evolution or recent developments?**

(Title by courtesy of Eberhard Neumann)

Peter Schuster

Institut für Theoretische Chemie, Universität Wien, Austria

and

The Santa Fe Institute, Santa Fe, New Mexico, USA



42. Winterseminar

Klosters, 14.– 27.01.2007

Recent review article:

Peter Schuster, Prediction of RNA secondary structures:  
From theory to models and real molecules  
*Rep. Prog. Phys.* **69**:1419-1477, 2006.

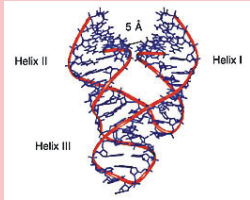
Web-Page for further information:

<http://www.tbi.univie.ac.at/~pks>

1. The exciting RNA story
2. Why is gene regulation so complex?
3. What small RNAs can achieve
4. Structures of small RNAs
5. Riboswitches and kinetic folding

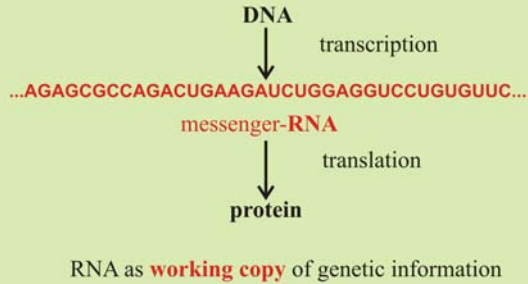
1. **The exciting RNA story**
2. Why is gene regulation so complex?
3. What small RNAs can achieve
4. Structures of small RNAs
5. Riboswitches and kinetic folding

### RNA as catalyst

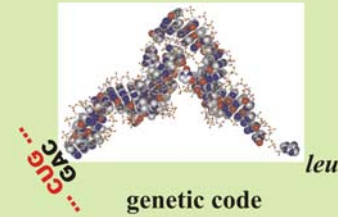


### Ribozyme

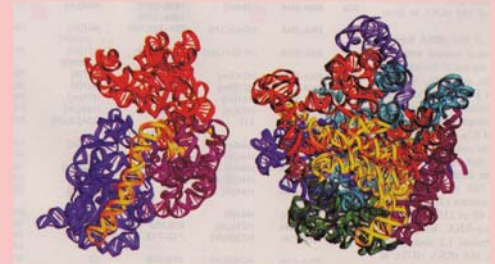
### RNA as transmitter of genetic information



### RNA as adapter molecule



### RNA is the catalytic subunit in supramolecular complexes



The **ribosome** is a **ribozyme** !

*The RNA world as a precursor of the current DNA + protein biology*

# RNA

RNA is modified by epigenetic control

RNA editing

Alternative splicing of messenger RNA

### RNA as carrier of genetic information

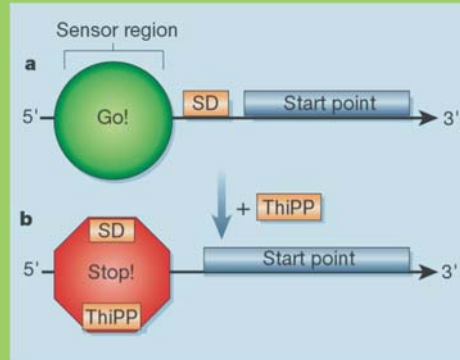
RNA viruses and retroviruses

RNA evolution *in vitro*

Evolutionary biotechnology

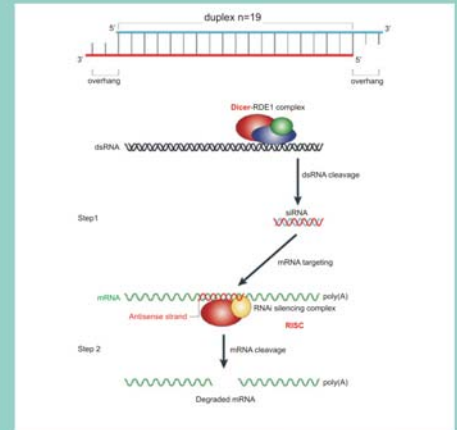
RNA aptamers, artificial ribozymes, allosteric ribozymes

### Allosteric control of transcribed RNA



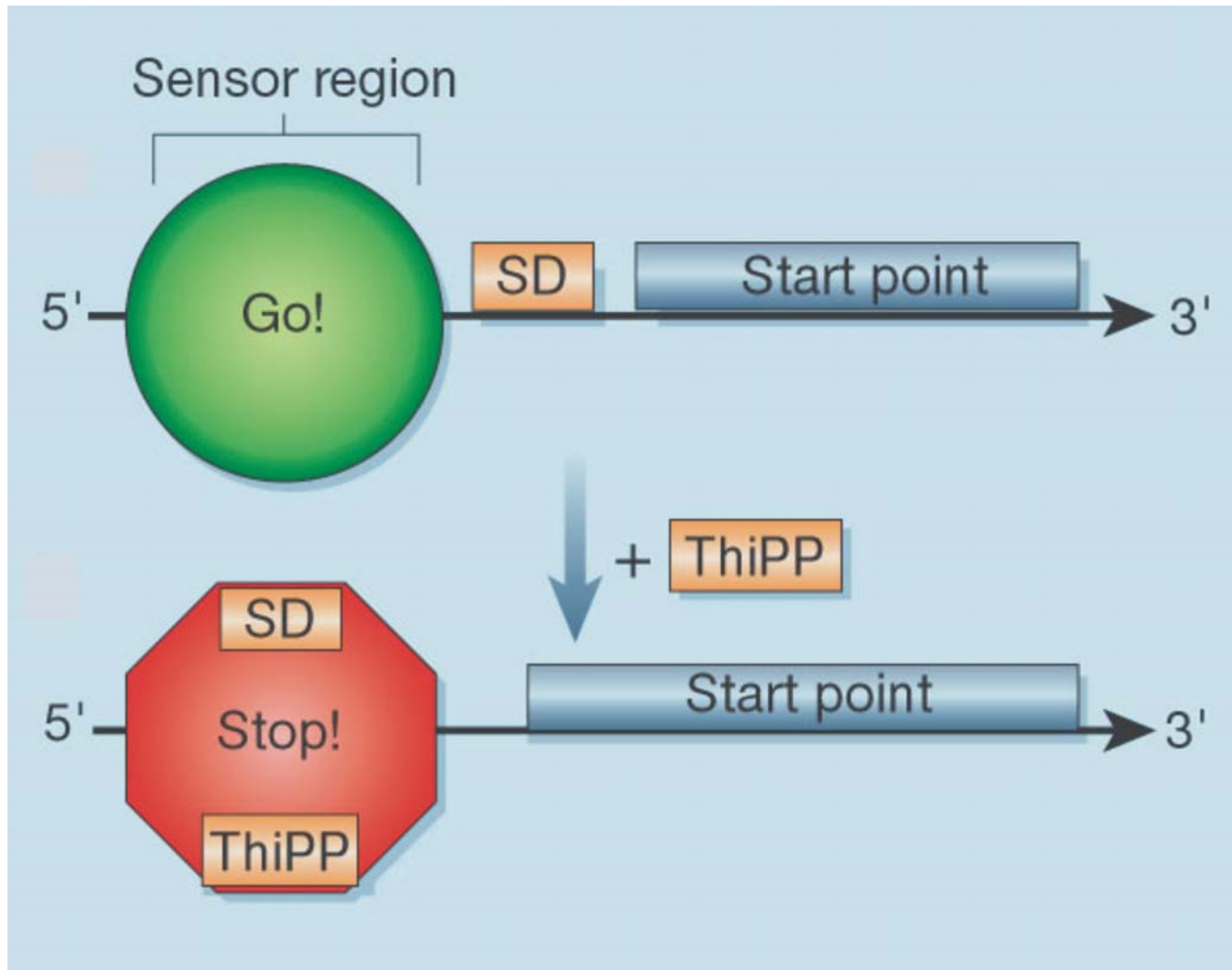
Riboswitches controlled by metabolites

### RNA as regulator of gene expression



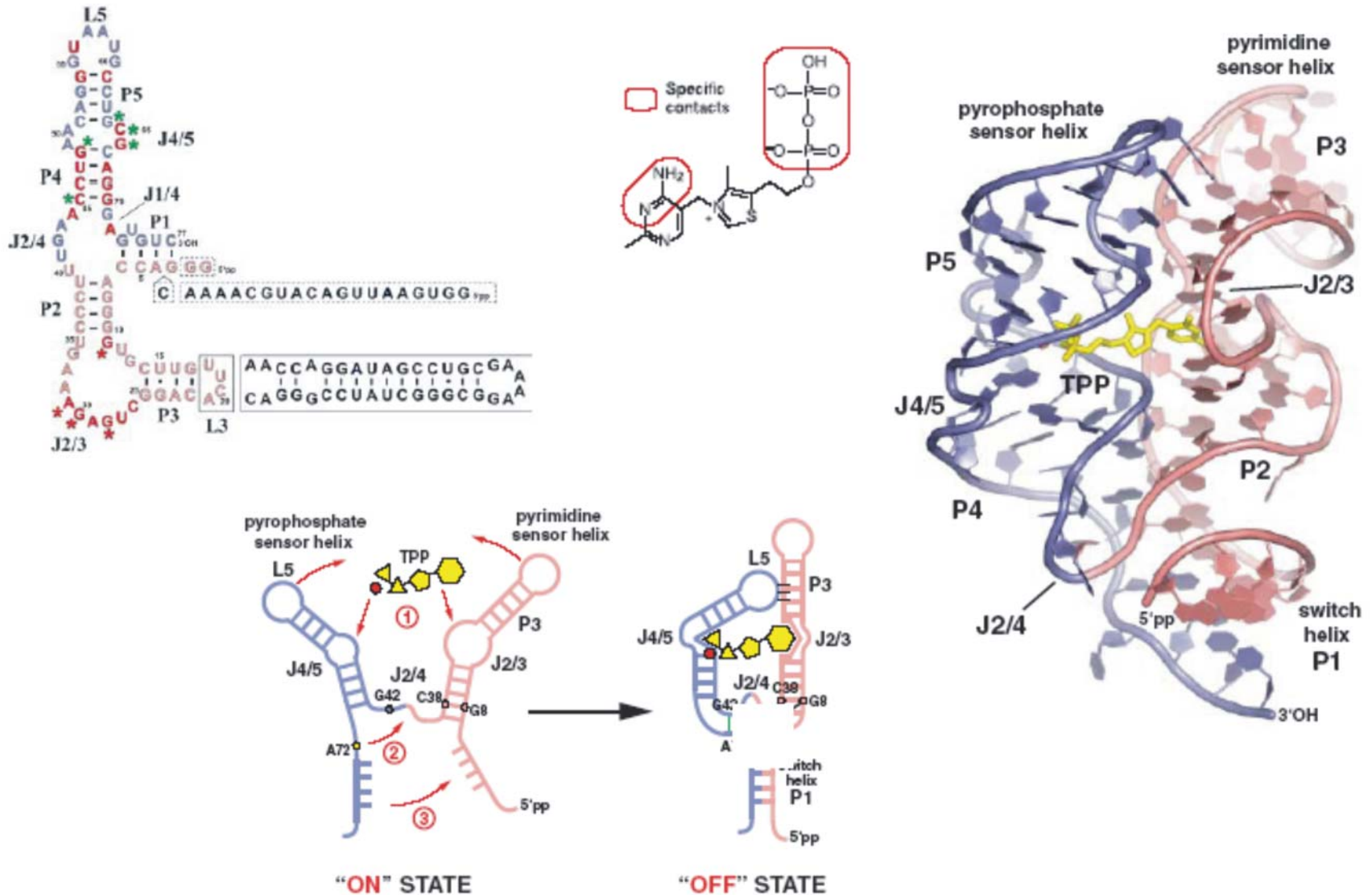
Gene silencing by siRNA

Functions of RNA molecules



Jack W. Szostak. RNA gets a grip on translation. *Nature* **419**:890-891 (2002)

Wade Winkler, Ali Nahvi, Ronald R. Breaker. Thiamine derivatives bind messenger RNAs directly to regulate bacterial gene expression. *Nature* **419**:952-956 (2002)



Stéphan Thore, Marc Leibundgut, Nenad Ban. Structure of eukaryotic thiamine pyrophosphate riboswitch with its regulatory ligand. *Science* **312**:1208-1211 (2006)



Joanna Owens.

## Riboswitching off bacterial growth.

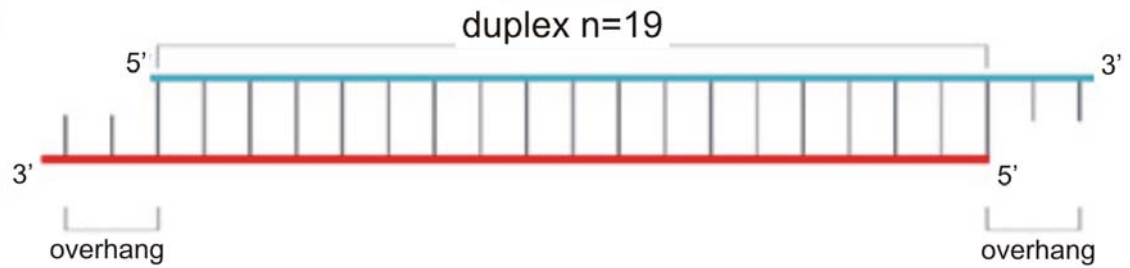
*Nature Reviews /Drug Discovery* **6**:23 (2007)

K.F. Blount et al. Antibacterial lysine analogs that target lysine riboswitches.  
*Nature Chem. Biol.* **3**, December (2006)

Alexey G. Vitreschak, Dimitry A. Rodinov, Andrey A. Mironov, Mikhail S. Gelfand.

## Riboswitches: The oldest mechanism for the regulation of gene expression?

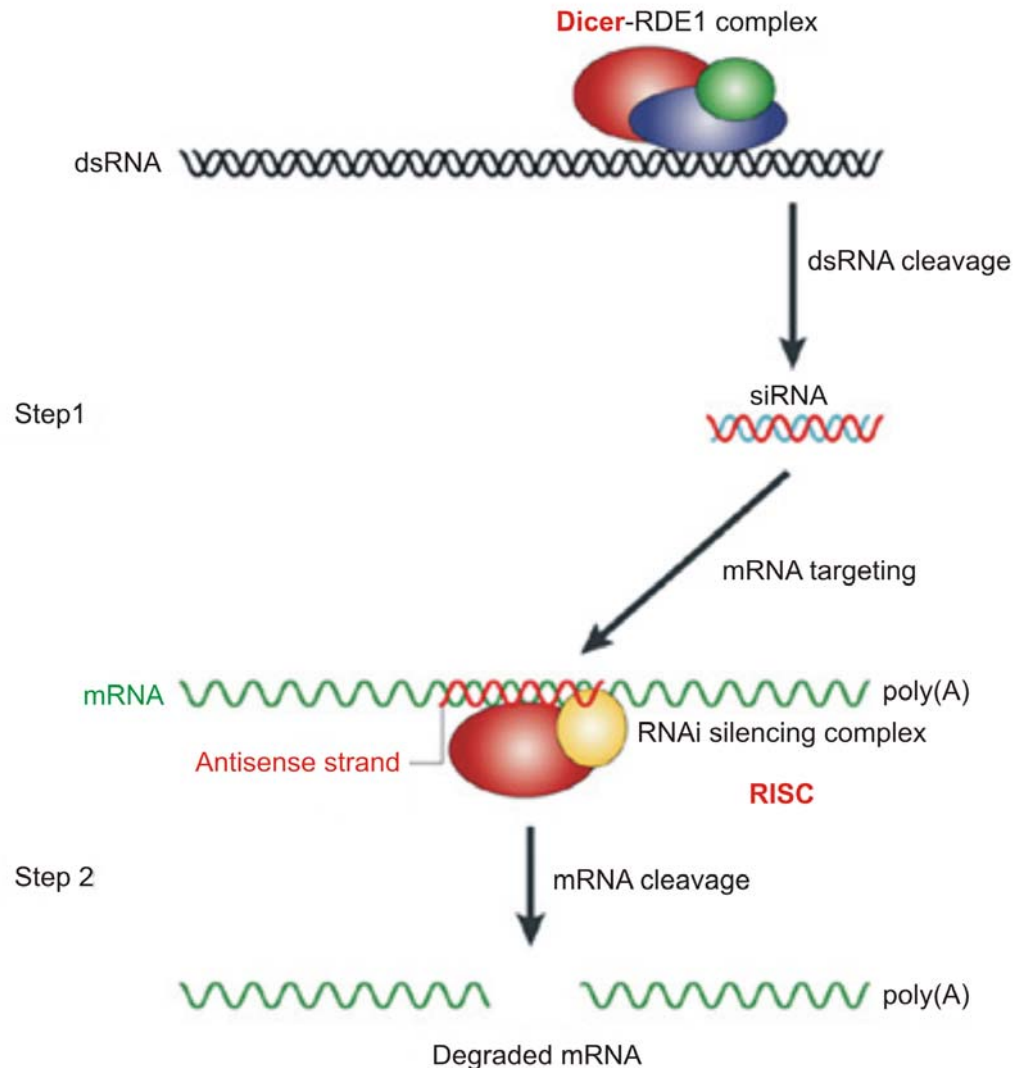
*TRENDS in Genetics* **20**:44-50 (2004)



## Nobel prize for medicine 2006

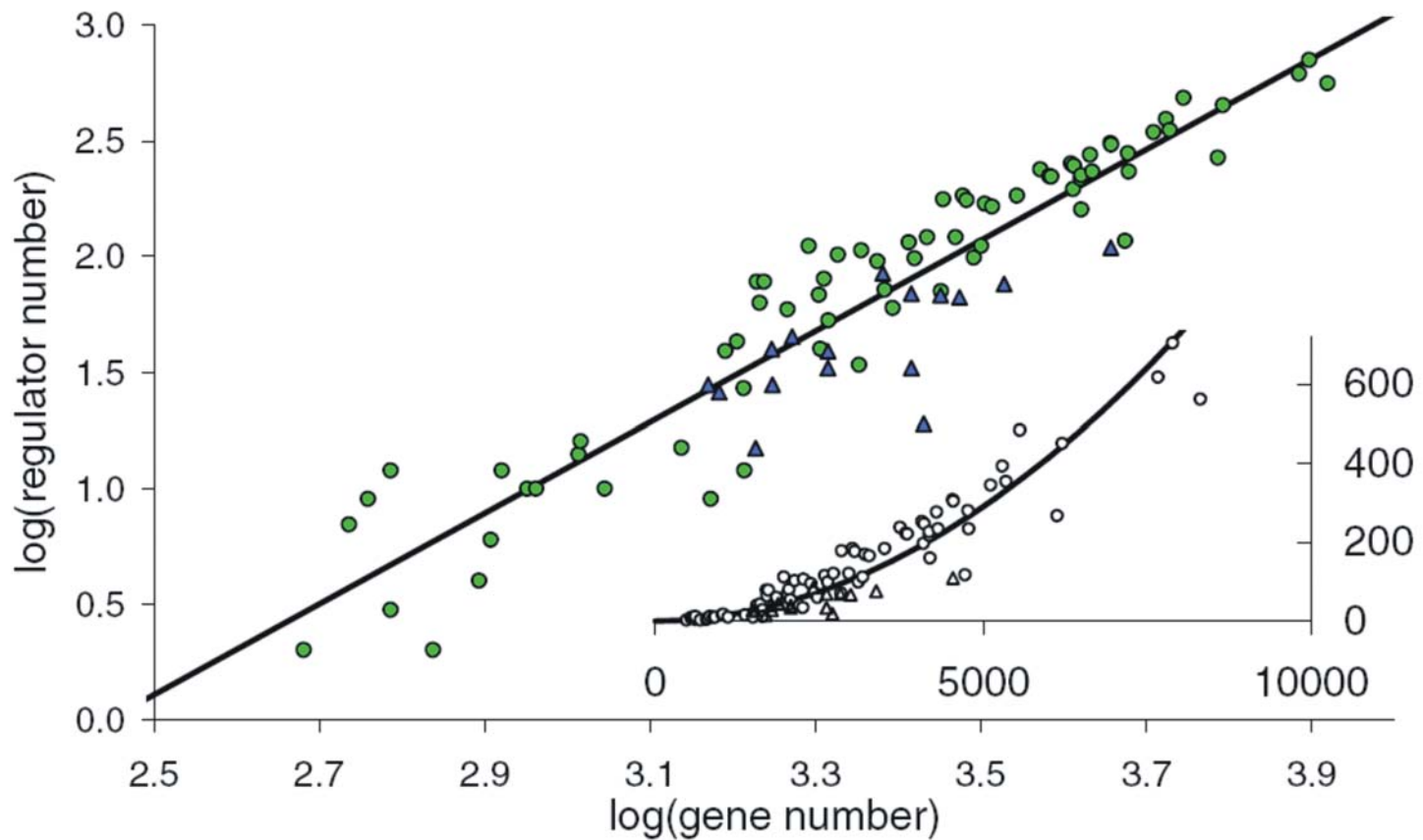
Andrew Z. Fire  
Stanford University

Craig C. Mello  
University of Massachusetts  
Worcester

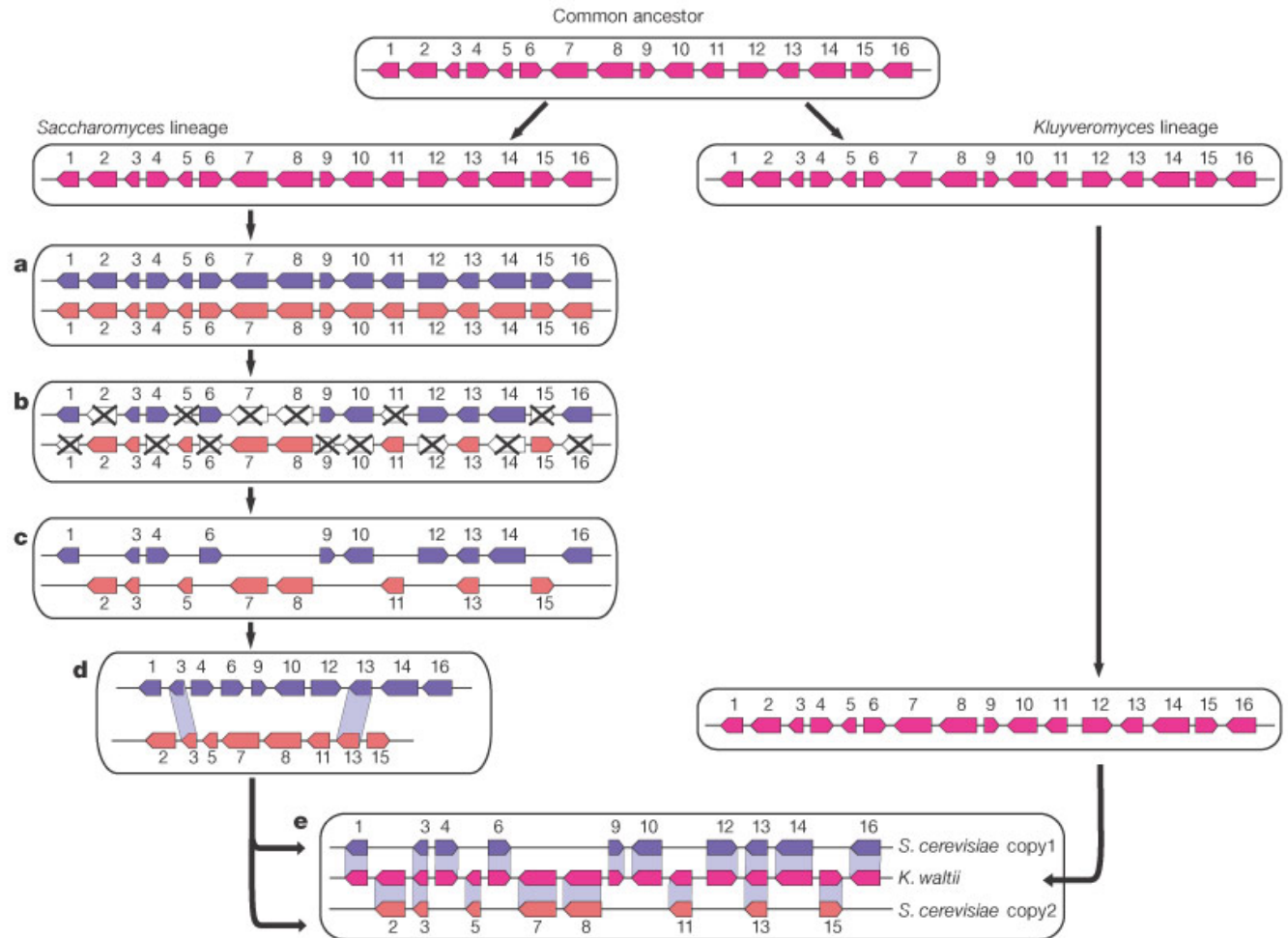


Gene silencing by small  
interfering RNAs

1. The exciting RNA story
2. **Why is gene regulation so complex?**
3. What small RNAs can achieve
4. Structures of small RNAs
5. Riboswitches and kinetic folding



L.J. Croft, M.J. Lercher, M.J. Gagen, J.S. Mattick. Is prokaryotic complexity limited by accelerated growth in regulatory overhead? *Genome Biology* 5:P2 (2003)



A model for genome duplication in yeast  $\approx 1 \times 10^8$  years ago

2 new genes out of 16 genes,  
sequence of genes largely modified

Manolis Kellis, Bruce W. Birren, and Eric S. Lander. Proof and evolutionary analysis of ancient genome duplication in the yeast *Saccharomyces cerevisiae*. *Nature* **428**: 617-624, 2004

1. The exciting RNA story
2. Why is gene regulation so complex?
- 3. What small RNAs can achieve**
4. Structures of small RNAs
5. Riboswitches and kinetic folding

## **Evolution of RNA molecules based on Q $\beta$ phage**

D.R.Mills, R.L.Peterson, S.Spiegelman, *An extracellular Darwinian experiment with a self-duplicating nucleic acid molecule*. Proc.Natl.Acad.Sci.USA **58** (1967), 217-224

S.Spiegelman, *An approach to the experimental analysis of precellular evolution*. Quart.Rev.Biophys. **4** (1971), 213-253

C.K.Biebricher, *Darwinian selection of self-replicating RNA molecules*. Evolutionary Biology **16** (1983), 1-52

G.Bauer, H.Otten, J.S.McCaskill, *Travelling waves of in vitro evolving RNA*. Proc.Natl.Acad.Sci.USA **86** (1989), 7937-7941

C.K.Biebricher, W.C.Gardiner, *Molecular evolution of RNA in vitro*. Biophysical Chemistry **66** (1997), 179-192

G.Strunk, T.Ederhof, *Machines for automated evolution experiments in vitro based on the serial transfer concept*. Biophysical Chemistry **66** (1997), 193-202

F.Öhlenschläger, M.Eigen, *30 years later – A new approach to Sol Spiegelman's and Leslie Orgel's in vitro evolutionary studies*. Orig.Life Evol.Biosph. **27** (1997), 437-457

Selforganization of Matter and the Evolution of Biological Macromolecules

MANFRED EIGEN\*

Max-Planck-Institut für Biophysikalische Chemie, Karl-Friedrich-Bonhoefer-Institut, Göttingen-Nikolausberg

I. Introduction . . . . .	462	V. Selforganization via Cyclic Catalysis: Proteins . . . . .	498
I.1. Cause and Effect . . . . .	465	V.1. Recognition and Catalysis by Enzymes . . . . .	498
I.2. Penetration of Selforganization . . . . .	467	V.2. Selforganizing Enzyme Cycles (Theory) . . . . .	499
I.2.1. Evolution Must Start from Random Events . . . . .	467	V.2.1. Catalytic Networks . . . . .	499
I.2.2. Information Requires Information . . . . .	467	V.2.2. The Self-replicating Loop and Its Variants . . . . .	499
I.2.3. Information Originates or Gains Value by Selection . . . . .	469	V.2.3. Competition between Different Cycles . . . . .	501
I.2.4. Selection Occurs under Special Conditions under Special Conditions . . . . .	470	V.3. Can Protein Replication Theories? . . . . .	501
II. Phenomenological Theory of Selection . . . . .	473	VI. Solvability by Enzymal Catalytic Functions . . . . .	503
II.1. The Concept "Information" . . . . .	473	VI.1. The Requirement of Cooperation between Nucleic Acids and Proteins . . . . .	503
II.2. Phenomenological Equations . . . . .	474	VI.2. A Self-replicating Hyper-Cycle . . . . .	503
II.3. Selection Criteria . . . . .	476	VI.2.1. The Model . . . . .	503
II.4. Selection Equilibrium . . . . .	479	VI.2.2. Theoretical Treatment . . . . .	505
II.5. Quality Factor and Error Distribution . . . . .	480	VI.3. On the Origin of the Code . . . . .	508
II.6. Kinetics of Selection . . . . .	481	VII. Radiation Experiments . . . . .	511
III. Stochastic Approach to Selection . . . . .	484	VII.1. The Q <sub>10</sub> -Replicase System . . . . .	511
III.1. Limitations of a Deterministic Theory of Selection . . . . .	484	VII.2. Darwinian Evolution in the Test Tube . . . . .	512
III.2. Fluctuations around Equilibrium States . . . . .	484	VII.3. Quantitative Selection Studies . . . . .	513
III.3. Fluctuations in the Steady State . . . . .	485	VII.4. "Mines One" Experiments . . . . .	514
III.4. Stochastic Models in Markov Chains . . . . .	487	VIII. Conclusion . . . . .	515
III.5. Quantitative Discussion of Three Prototypes of Selection . . . . .	487	VIII.1. Limits of Theory . . . . .	515
IV. Selforganization Based on Complementary Interactions: Nucleic Acids . . . . .	490	VIII.2. "Diagnosis" and the "Origin of Information" . . . . .	516
IV.1. True "Self-Organization" . . . . .	490	VIII.3. The Principles of Selection and Evolution . . . . .	517
IV.2. Complementary Interaction and Selection (Theory) . . . . .	492	VIII.4. "Indeterminate" but "Inevitable" . . . . .	518
IV.3. Complementary Base Recognition (Experimental Data) . . . . .	494	VIII.5. "Indeterminate" but "Inevitable" . . . . .	520
IV.3.1. Single Pair Formation . . . . .	495	VIII.6. Can the Phenomena of Life be Explained by Our Present Concepts of Physics? . . . . .	520
IV.3.2. Cooperative Interactions in Oligo- and Polynucleotides . . . . .	495	IX. Deutsche Zusammenfassung . . . . .	520
IV.3.3. Conclusions about Recognition . . . . .	496	Acknowledgements . . . . .	522
		Literature . . . . .	522

I. Introduction

I.1. „Cause and Effect“

The question about the origin of life often appears as a question about "cause and effect". Physical theories of macroscopic processes usually involve answers to such questions, even if a statistical interpretation is given to the relation between "cause" and "effect". It is mainly due to the nature of this question that many scientists believe that our present physics does not offer any obvious explanation for the existence of life.

\* Partly presented at the "Robbins Lectures" at Pomona College, California, in spring 1970.

The Hypercycle

A Principle of Natural Self-Organization

Part A: Emergence of the Hypercycle

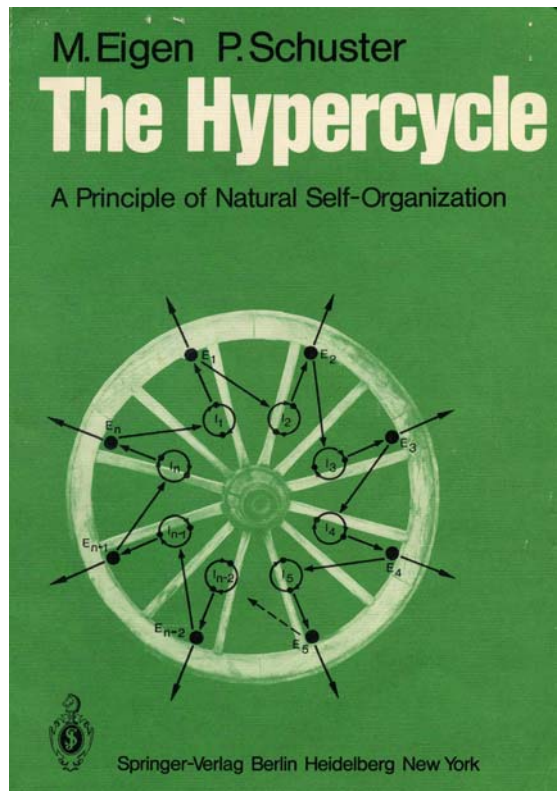
Manfred Eigen

Max-Planck-Institut für biophysikalische Chemie, D-3400 Göttingen

Peter Schuster

Institut für theoretische Chemie und Strahlenchemie der Universität, A-1090 Wien

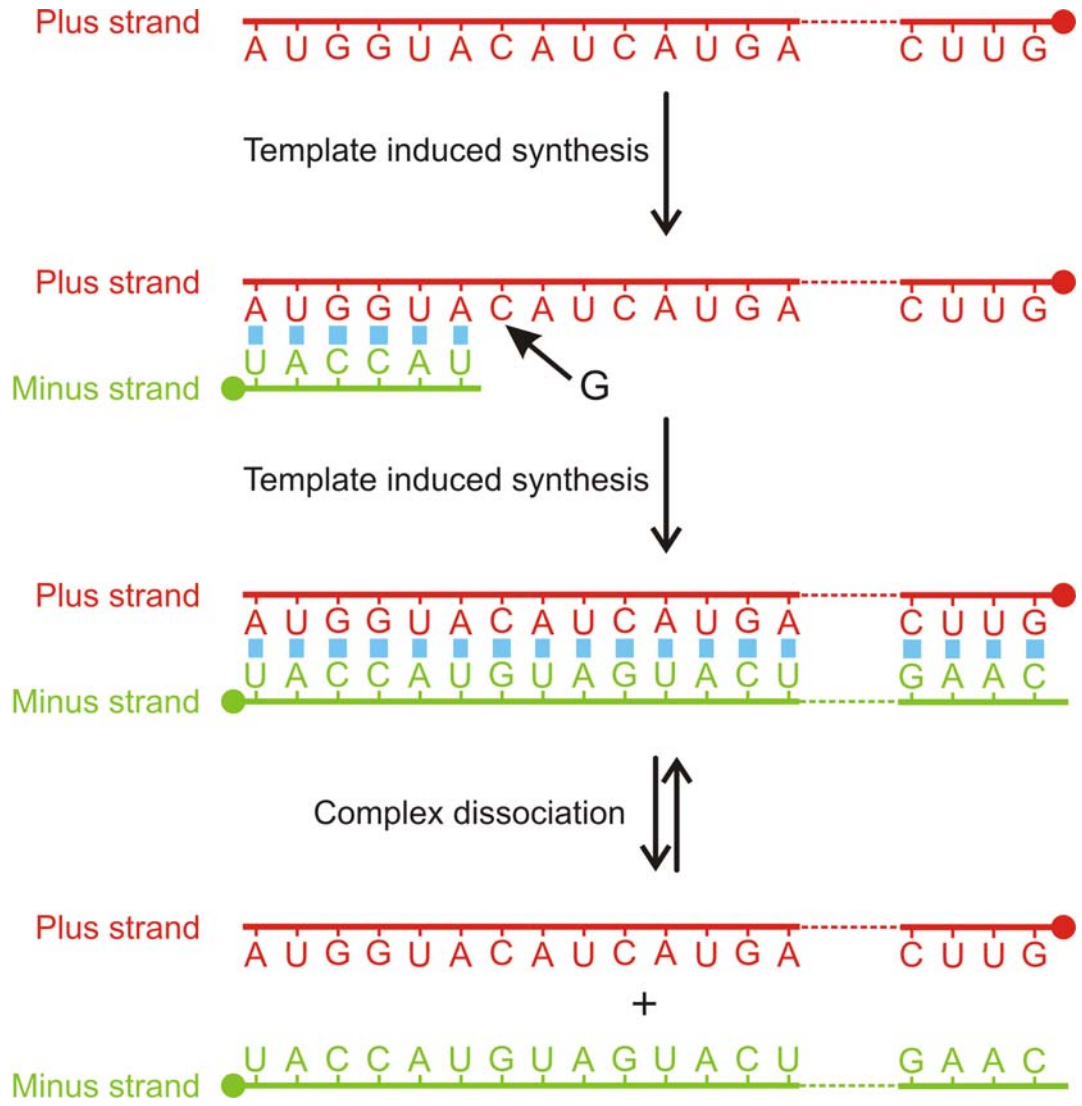
This paper is the first part of a trilogy, which comprises a detailed study of a special type of functional organization and demonstrates its relevance with respect to the origin and evolution of life. Self-replicating macromolecules, such as RNA or DNA in a suitable environment exhibit a behavior, which we may call Darwinian and which can be formally represented by the concept of the quasi-species. A quasi-species is defined as a given distribution of macromolecular species with closely interrelated sequences, dominated by one or several (hypothesized) master copies. External conditions enforce the selection of the best adapted distribution, autocatalytically referred to as the wild-type. Most important for Darwinian behavior are the criteria for internal stability of the quasi-species. If these criteria are violated, the information stored in the nucleotide sequence of the master copy will disseminate irreversibly leading to an error catastrophe. As a consequence, selection and evolution of RNA or DNA molecules is limited with respect to the amount of information that can be stored in a single replicative unit. An analysis of experimental data regarding RNA and DNA replication at various levels of organization reveals, that a sufficient amount of information for the build up of a translation machinery can be gained only via integration of several different replicative units (reproduction cycles) through reciprocal linkages. A stable functional organization then will arise if the system to a low level of organization and thereby enter its information capacity spontaneously. The Hypercycle appears to be such a form of organization.
Preview on Part B: The Abstract Hypercycle
The mathematical analysis of dynamical systems using methods of differential topology yields the result that there is only one type of mechanism which fulfills the following requirements: The information stored in each single replicative unit (or reproductive cycle) must be maintained, i.e., the respective master copies must compete favorably with their error distributions. Despite their competitive behavior these units must establish a cooperation which includes all functionally integrated species. On the other hand, the cycle as a whole must continue to compete strongly with any other single entity or isolated ensemble which does not contribute to its integrated function. These requirements are crucial for a selection of the best adapted functionally linked ensemble and its evolutive optimization. Only
Naturwissenschaften 64, 541-565 (1977). © by Springer-Verlag 1977



Chemical kinetics of molecular evolution

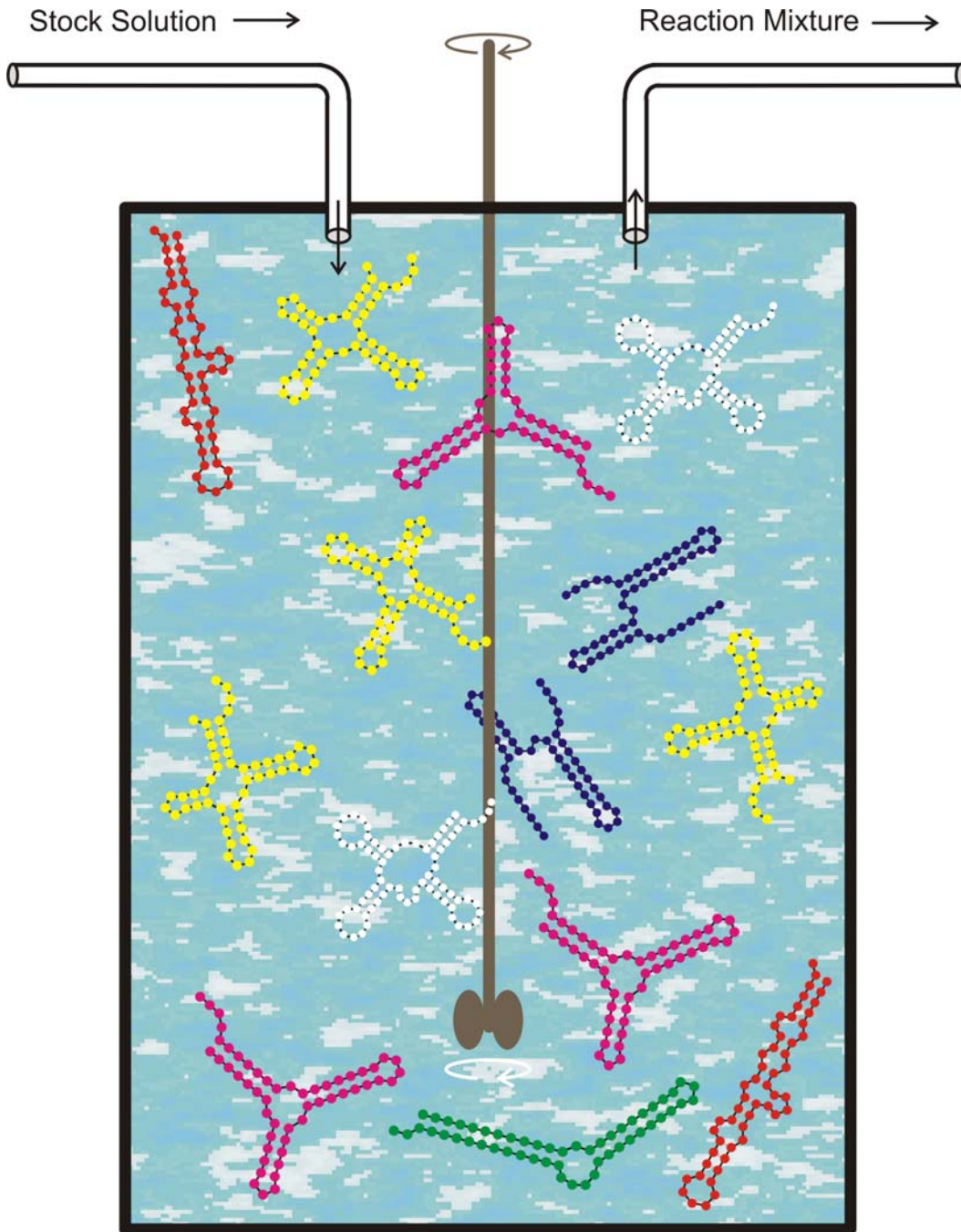
M. Eigen, P. Schuster, 'The Hypercycle', Springer-Verlag, Berlin 1979





**Complementary replication** is the simplest copying mechanism of RNA. Complementary is determined by Watson-Crick base pairs:

**G≡C** and **A=U**



**Replication rate constant:**

$$f_k = \gamma / [\alpha + \Delta d_S^{(k)}]$$

$$\Delta d_S^{(k)} = d_H(S_k, S_\tau)$$

**Selection constraint:**

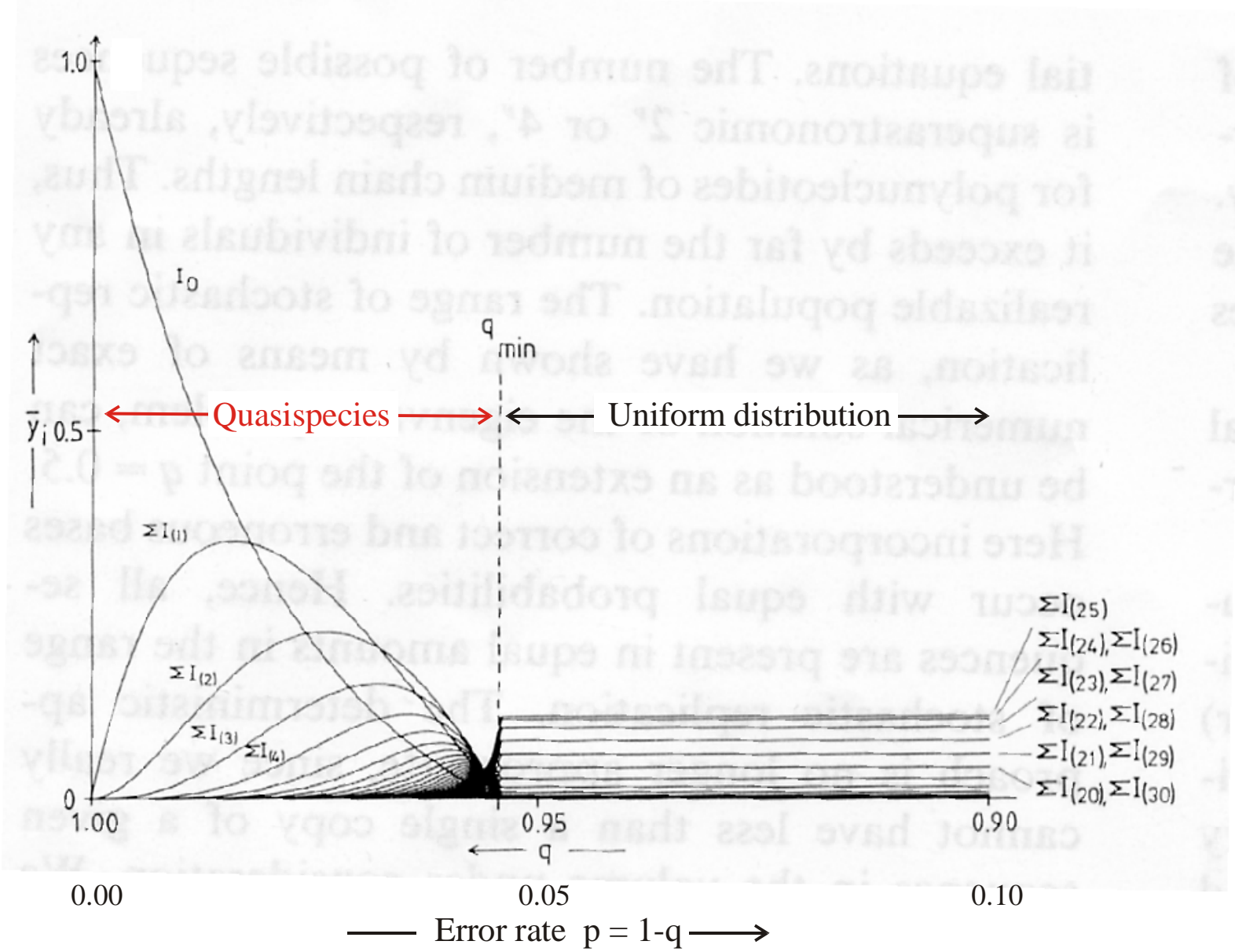
Population size,  $N = \#$  RNA molecules, is controlled by the flow

$$N(t) \approx \bar{N} \pm \sqrt{\bar{N}}$$

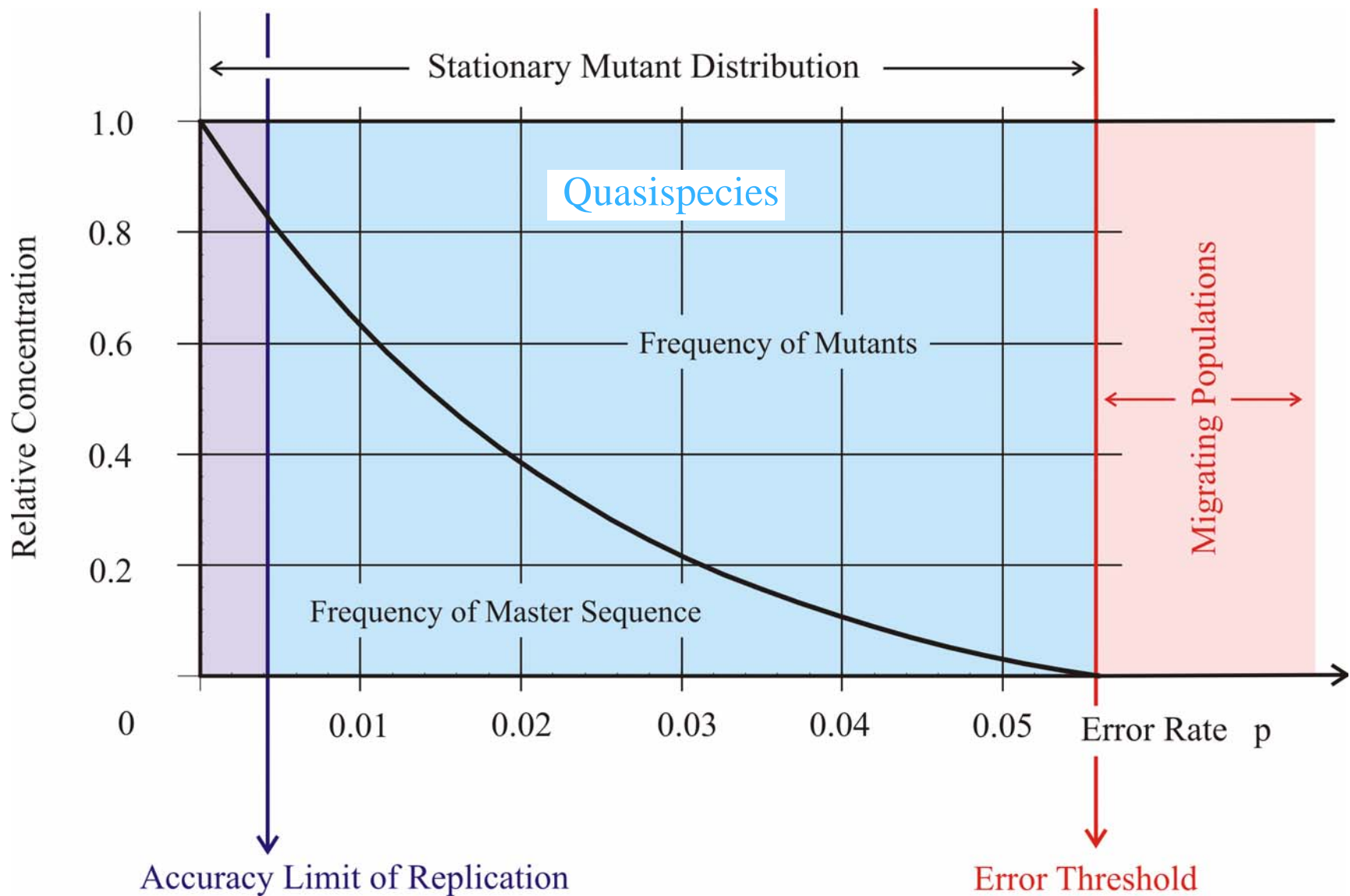
**Mutation rate:**

$$p = 0.001 / \text{site} \times \text{replication}$$

The flowreactor as a device for **studies** of evolution *in vitro* and *in silico*



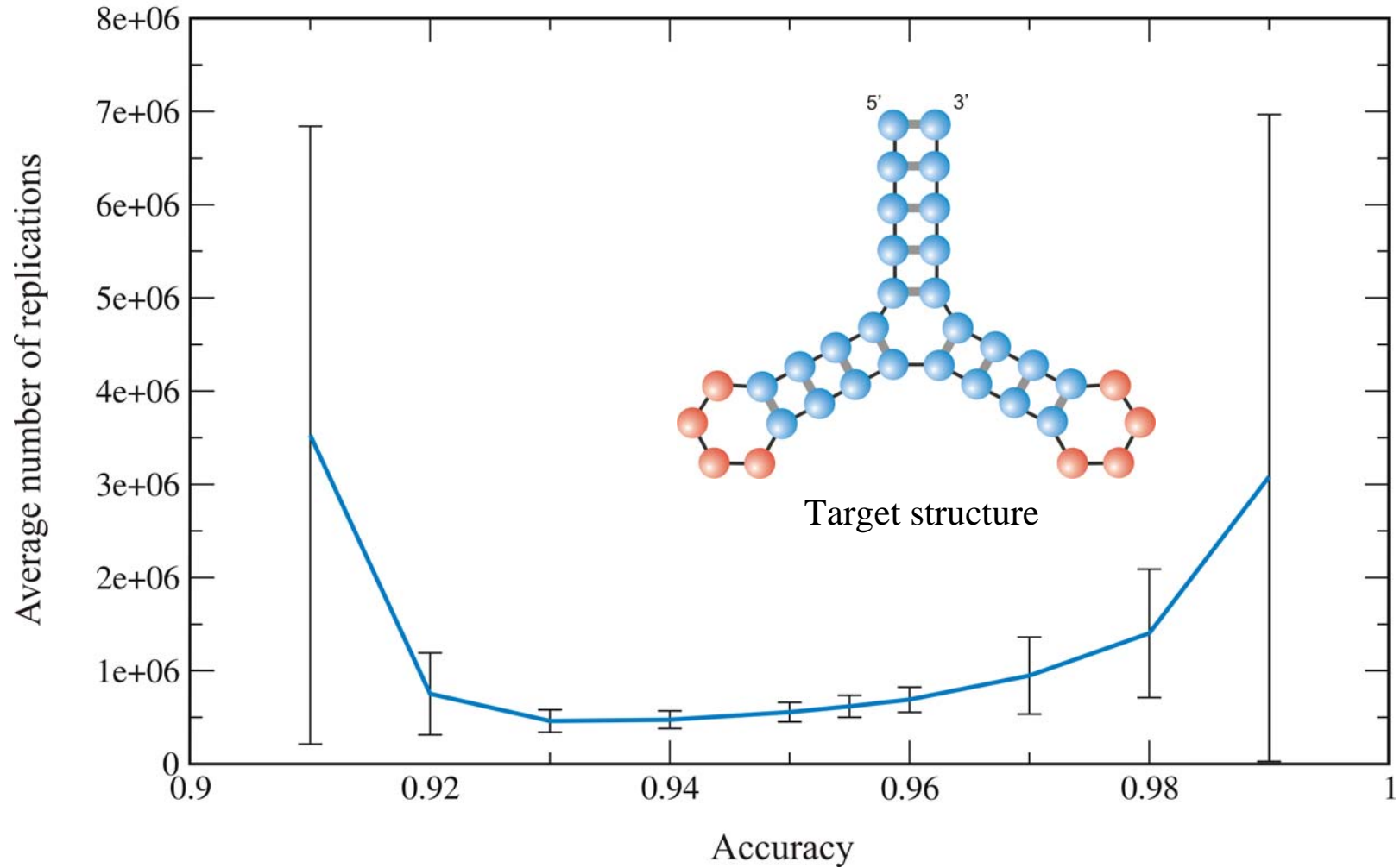
**Quasispecies** as a function of the replication accuracy  $q$



The error threshold in replication

# Average replication to target

500 runs / 0.91=2200, 0.94=5000, 0.99=4500 runs



Simulation of the approach to a target structure with a population size of  $N=3000$  RNAs

## Evolutionary design of RNA molecules

D.B.Bartel, J.W.Szostak, *In vitro selection of RNA molecules that bind specific ligands*. Nature **346** (1990), 818-822

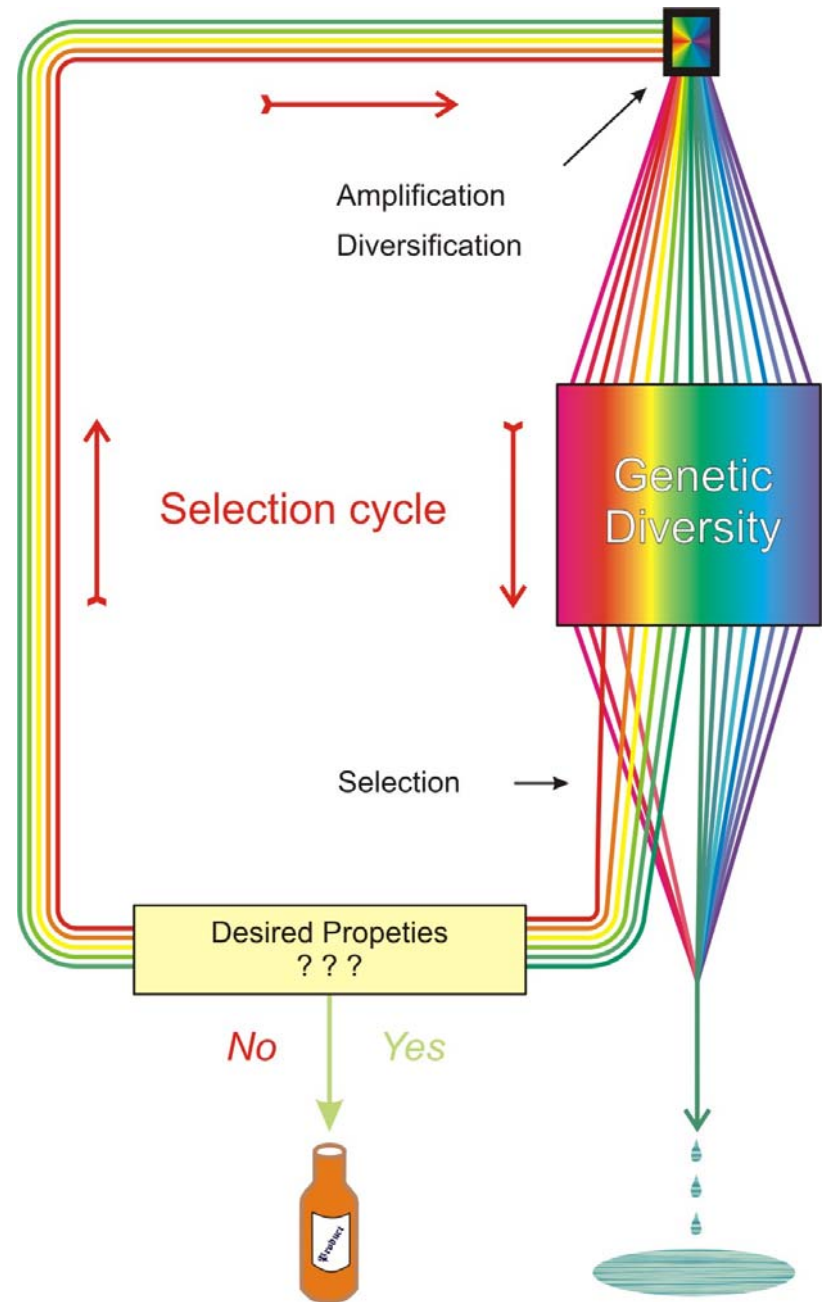
C.Tuerk, L.Gold, *SELEX - Systematic evolution of ligands by exponential enrichment: RNA ligands to bacteriophage T4 DNA polymerase*. Science **249** (1990), 505-510

D.P.Bartel, J.W.Szostak, *Isolation of new ribozymes from a large pool of random sequences*. Science **261** (1993), 1411-1418

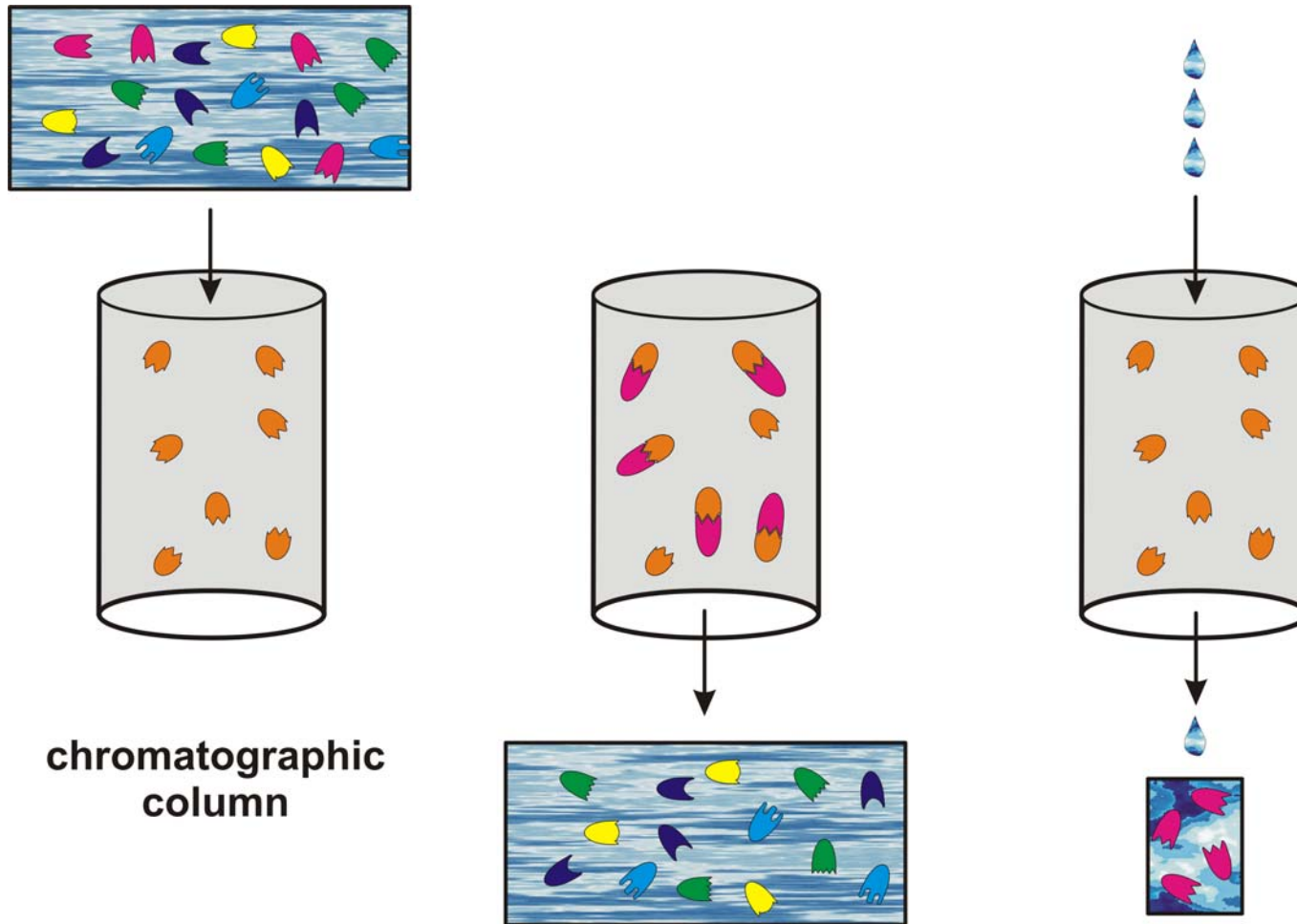
R.D.Jenison, S.C.Gill, A.Pardi, B.Poliski, *High-resolution molecular discrimination by RNA*. Science **263** (1994), 1425-1429

Y. Wang, R.R.Rando, *Specific binding of aminoglycoside antibiotics to RNA*. Chemistry & Biology **2** (1995), 281-290

Jiang, A. K. Suri, R. Fiala, D. J. Patel, *Saccharide-RNA recognition in an aminoglycoside antibiotic-RNA aptamer complex*. Chemistry & Biology **4** (1997), 35-50

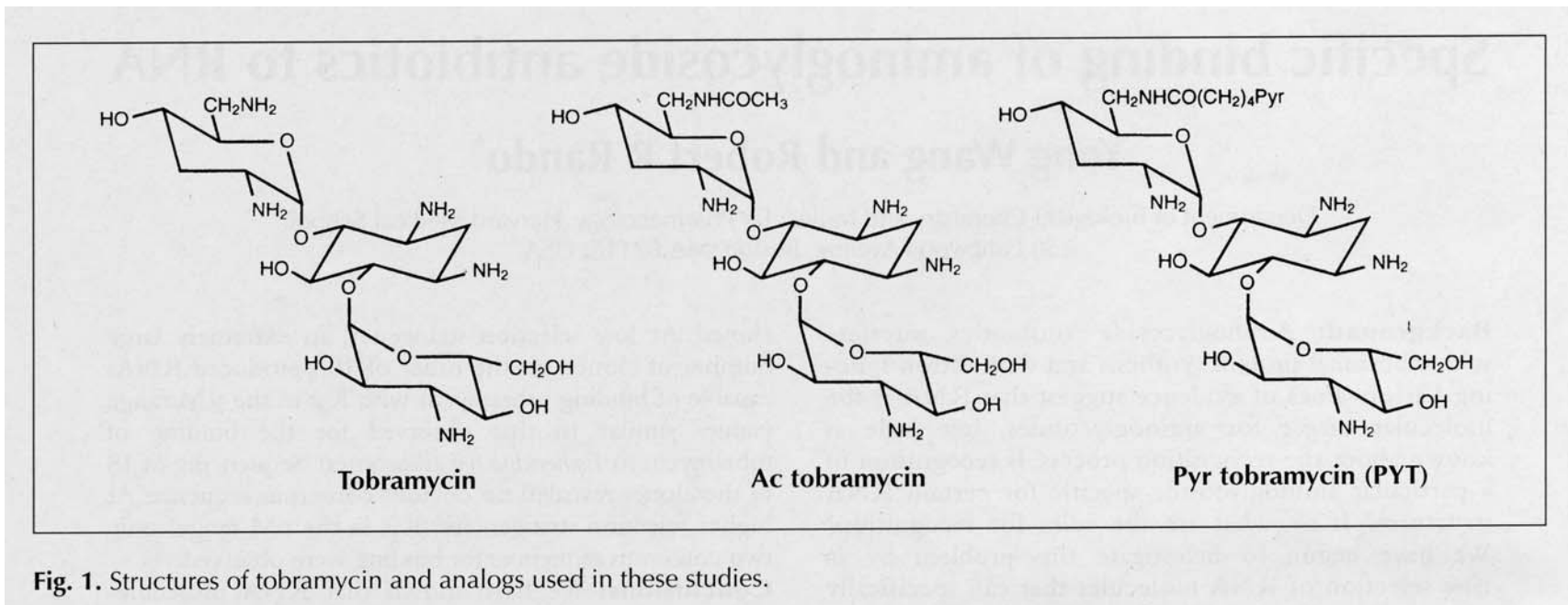


An example of 'artificial selection' with RNA molecules or 'breeding' of biomolecules



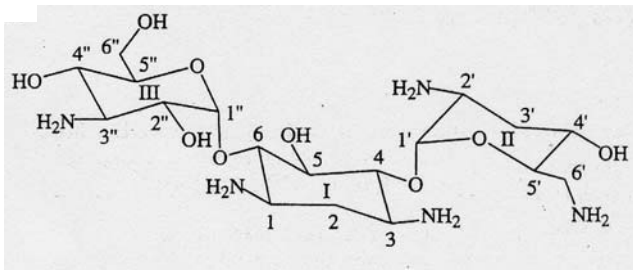
The SELEX technique for the evolutionary preparation of aptamers



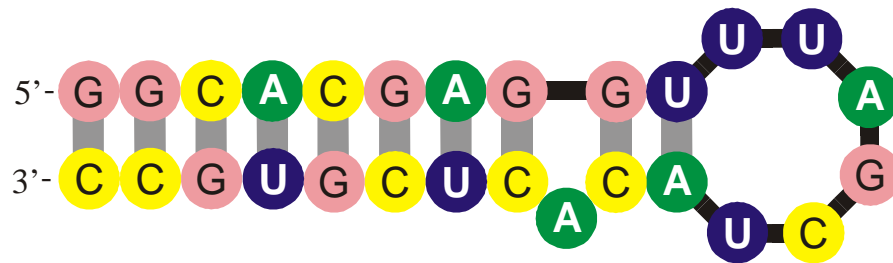


## Aptamer binding to aminoglycosid antibiotics: Structure of ligands

Y. Wang, R.R.Rando, *Specific binding of aminoglycoside antibiotics to RNA*. Chemistry & Biology 2 (1995), 281-290



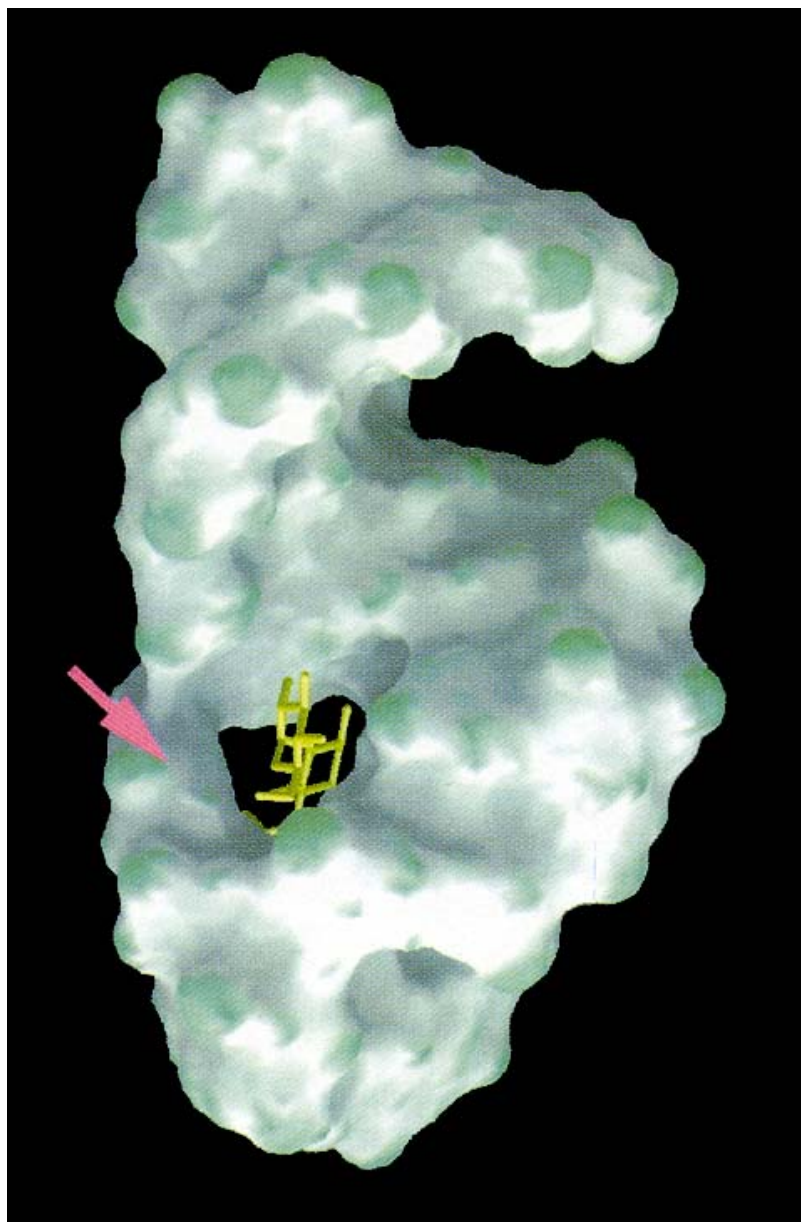
tobramycin



RNA aptamer

Formation of secondary structure of the tobramycin binding RNA aptamer with  $K_D = 9 \text{ nM}$

L. Jiang, A. K. Suri, R. Fiala, D. J. Patel, *Saccharide-RNA recognition in an aminoglycoside antibiotic-RNA aptamer complex*. *Chemistry & Biology* 4:35-50 (1997)



The three-dimensional structure of the  
tobramycin aptamer complex

L. Jiang, A. K. Suri, R. Fiala, D. J. Patel,  
*Chemistry & Biology* 4:35-50 (1997)

## **Hammerhead ribozyme – The smallest RNA based catalyst**

H.W.Pley, K.M.Flaherty, D.B.McKay, *Three dimensional structure of a hammerhead ribozyme*. Nature **372** (1994), 68-74

W.G.Scott, J.T.Finch, A.Klug, *The crystal structures of an all-RNA hammerhead ribozyme: A proposed mechanism for RNA catalytic cleavage*. Cell **81** (1995), 991-1002

J.E.Wedekind, D.B.McKay, *Crystallographic structures of the hammerhead ribozyme: Relationship to ribozyme folding and catalysis*. Annu.Rev.Biophys.Biomol.Struct. **27** (1998), 475-502

G.E.Soukup, R.R.Breaker, *Design of allosteric hammerhead ribozymes activated by ligand-induced structure stabilization*. Structure **7** (1999), 783-791

Allosteric effectors:

FMN = flavine mononucleotide

H10 – H12

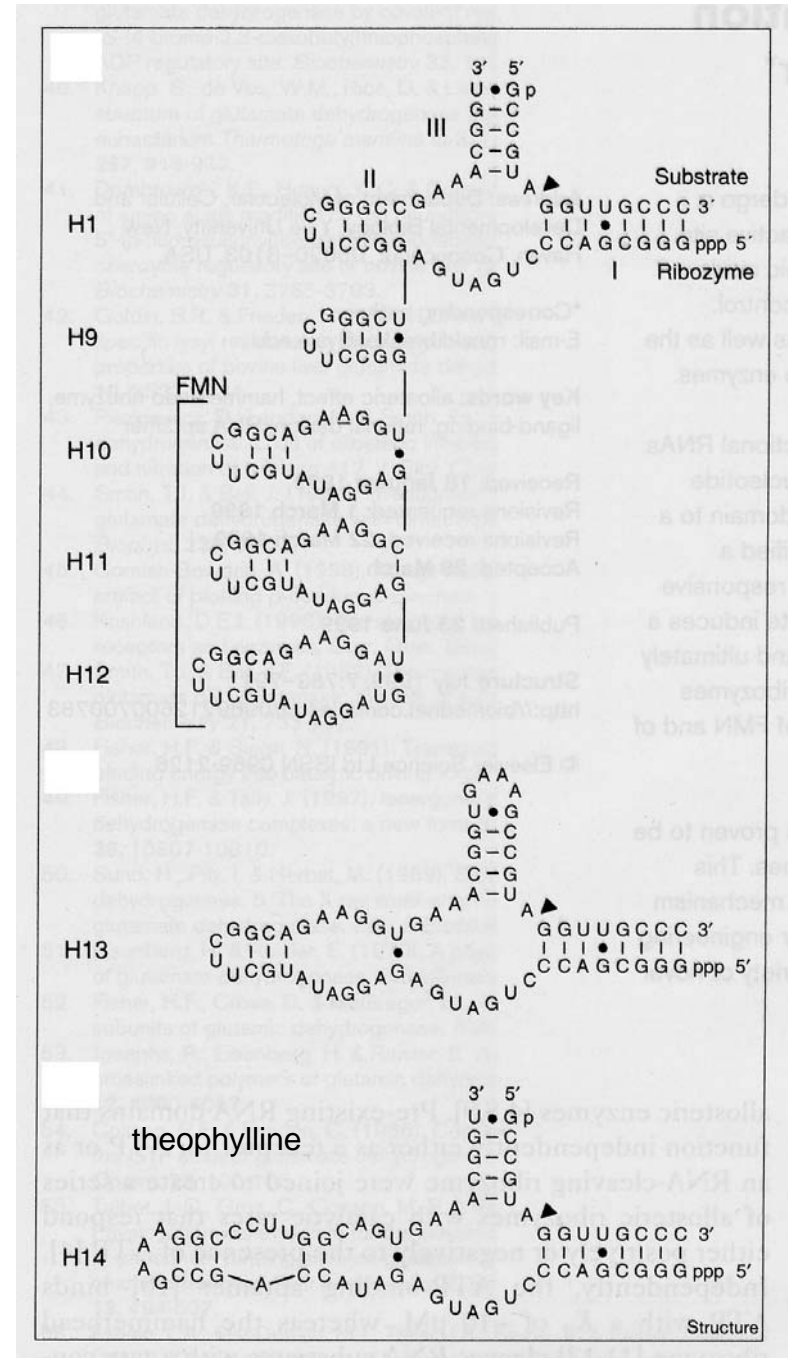
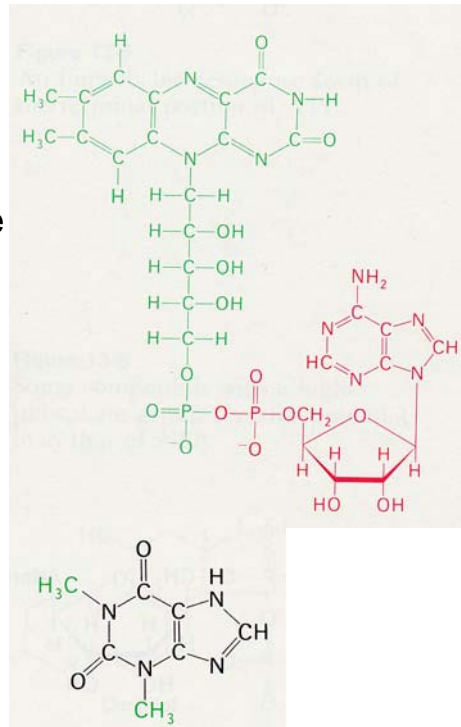
theophylline

H14

Self-splicing allosteric ribozyme

H13

Hammerhead ribozymes with allosteric effectors



- minus the background levels observed in the HSP in the control (Sar1-GDP-containing) incubation that prevents COPII vesicle formation. In the microsome control, the level of p115-SNARE associations was less than 0.1%.
46. C. M. Carr, E. Grote, M. Munson, F. M. Hughson, P. J. Novick, *J. Cell Biol.* **146**, 333 (1999).
  47. C. Ungermann, B. J. Nichols, H. R. Pelham, W. Wickner, *J. Cell Biol.* **140**, 61 (1998).
  48. E. Grote and P. J. Novick, *Mol. Biol. Cell* **10**, 4149 (1999).
  49. P. Uetz et al., *Nature* **403**, 623 (2000).
  50. GST-SNARE proteins were expressed in bacteria and purified on glutathione-Sepharose beads using standard methods. Immobilized GST-SNARE protein (0.5  $\mu$ M) was incubated with rat liver cytosol (20 mg) or purified recombinant p115 (0.5  $\mu$ M) in 1 ml of NS buffer containing 1% BSA for 2 hours at 4°C with rotation. Beads were briefly spun (3000 rpm for 10 s) and sequentially washed three times with NS buffer and three times with NS buffer supplemented with 150 mM NaCl. Bound proteins were eluted three times in 50  $\mu$ l of 50 mM tris-HCl (pH 8.5), 50 mM reduced glutathione, 150 mM NaCl, and 0.1% Triton X-100 for 15 min at 4°C with intermittent mixing, and elutes were pooled. Proteins were precipitated by MeOH/CH<sub>2</sub>Cl<sub>2</sub> and separated by SDS-polyacrylamide gel electrophoresis (PAGE) followed by immunoblotting using p115 mAb 13F12.
  51. V. Rybin et al., *Nature* **383**, 266 (1996).
  52. K. G. Hardwick and H. R. Pelham, *J. Cell Biol.* **119**, 513 (1992).
  53. A. P. Newman, M. E. Groesch, S. Ferro-Novick, *EMBO J.* **11**, 3609 (1992).
  54. A. Spang and R. Schekman, *J. Cell Biol.* **143**, 589 (1998).
  55. M. F. Rexach, M. Latterich, R. W. Schekman, *J. Cell Biol.* **126**, 1133 (1994).
  56. A. Mayer and W. Wickner, *J. Cell Biol.* **136**, 307 (1997).
  57. M. D. Turner, H. Plutner, W. E. Balch, *J. Biol. Chem.* **272**, 13479 (1997).
  58. A. Price, D. Seals, W. Wickner, C. Ungermann, *J. Cell Biol.* **148**, 1231 (2000).
  59. X. Cao and C. Barlowe, *J. Cell Biol.* **149**, 55 (2000).
  60. G. G. Tall, H. Hama, D. B. DeWald, B. F. Horadzovsky, *Mol. Biol. Cell* **10**, 1873 (1999).
  61. C. G. Burd, M. Peterson, C. R. Cowles, S. D. Emr, *Mol. Biol. Cell* **8**, 1089 (1997).
  62. M. R. Peterson, C. G. Burd, S. D. Emr, *Curr. Biol.* **9**, 159 (1999).
  63. M. G. Waters, D. O. Clary, J. E. Rothman, *J. Cell Biol.* **118**, 1015 (1992).
  64. D. M. Walter, K. S. Paul, M. G. Waters, *J. Biol. Chem.* **273**, 29565 (1998).
  65. N. Hui et al., *Mol. Biol. Cell* **8**, 1777 (1997).
  66. T. E. Kreis, *EMBO J.* **5**, 931 (1986).
  67. H. Plutner, H. W. Davidson, J. Saraste, W. E. Balch, *J. Cell Biol.* **119**, 1097 (1992).
  68. D. S. Nelson et al., *J. Cell Biol.* **143**, 319 (1998).
  69. We thank G. Waters for p115 cDNA and p115 mAbs; G. Warren for p97 and p47 antibodies; R. Scheller for rbt1, membrin, and sec22 cDNAs; H. Plutner for excellent technical assistance; and P. Tan for help during the initial phase of this work. Supported by NIH grants GM 33301 and GM42336 and National Cancer Institute grant CA58689 (W.E.B.), a NIH National Research Service Award (B.D.M.), and a Wellcome Trust International Traveling Fellowship (B.B.A.).

20 March 2000; accepted 22 May 2000

## One Sequence, Two Ribozymes: Implications for the Emergence of New Ribozyme Folds

Erik A. Schultes and David P. Bartel\*

We describe a single RNA sequence that can assume either of two ribozyme folds and catalyze the two respective reactions. The two ribozyme folds share no evolutionary history and are completely different, with no base pairs (and probably no hydrogen bonds) in common. Minor variants of this sequence are highly active for one or the other reaction, and can be accessed from prototype ribozymes through a series of neutral mutations. Thus, in the course of evolution, new RNA folds could arise from preexisting folds, without the need to carry inactive intermediate sequences. This raises the possibility that biological RNAs having no structural or functional similarity might share a common ancestry. Furthermore, functional and structural divergence might, in some cases, precede rather than follow gene duplication.

Related protein or RNA sequences with the same folded conformation can often perform very different biochemical functions, indicating that new biochemical functions can arise from preexisting folds. But what evolutionary mechanisms give rise to sequences with new macromolecular folds? When considering the origin of new folds, it is useful to picture, among all sequence possibilities, the distribution of sequences with a particular fold and function. This distribution can range very far in sequence space (1). For example, only seven nucleotides are strictly conserved among the group I self-splicing introns, yet secondary (and presumably tertiary) structure within the core of the ribozyme is preserved (2). Because these dis-

parate isolates have the same fold and function, it is thought that they descended from a common ancestor through a series of mutational variants that were each functional. Hence, sequence heterogeneity among divergent isolates implies the existence of paths through sequence space that have allowed neutral drift from the ancestral sequence to each isolate. The set of all possible neutral paths composes a "neutral network," connecting in sequence space those widely dispersed sequences sharing a particular fold and activity, such that any sequence on the network can potentially access very distant sequences by neutral mutations (3-5).

Theoretical analyses using algorithms for predicting RNA secondary structure have suggested that different neutral networks are interwoven and can approach each other very closely (3, 5-8). Of particular interest is whether ribozyme neutral networks approach each other so closely that they intersect. If so, a single sequence would be capable of folding into two different conformations, would

have two different catalytic activities, and could access by neutral drift every sequence on both networks. With intersecting networks, RNAs with novel structures and activities could arise from previously existing ribozymes, without the need to carry non-functional sequences as evolutionary intermediates. Here, we explore the proximity of neutral networks experimentally, at the level of RNA function. We describe a close apposition of the neutral networks for the hepatitis delta virus (HDV) self-cleaving ribozyme and the class III self-ligating ribozyme.

In choosing the two ribozymes for this investigation, an important criterion was that they share no evolutionary history that might confound the evolutionary interpretations of our results. Choosing at least one artificial ribozyme ensured independent evolutionary histories. The class III ligase is a synthetic ribozyme isolated previously from a pool of random RNA sequences (9). It joins an oligonucleotide substrate to its 5' terminus. The prototype ligase sequence (Fig. 1A) is a shortened version of the most active class III variant isolated after 10 cycles of *in vitro* selection and evolution. This minimal construct retains the activity of the full-length isolate (10). The HDV ribozyme carries out the site-specific self-cleavage reactions needed during the life cycle of HDV, a satellite virus of hepatitis B with a circular, single-stranded RNA genome (11). The prototype HDV construct for our study (Fig. 1B) is a shortened version of the antigenomic HDV ribozyme (12), which undergoes self-cleavage at a rate similar to that reported for other antigenomic constructs (13, 14).

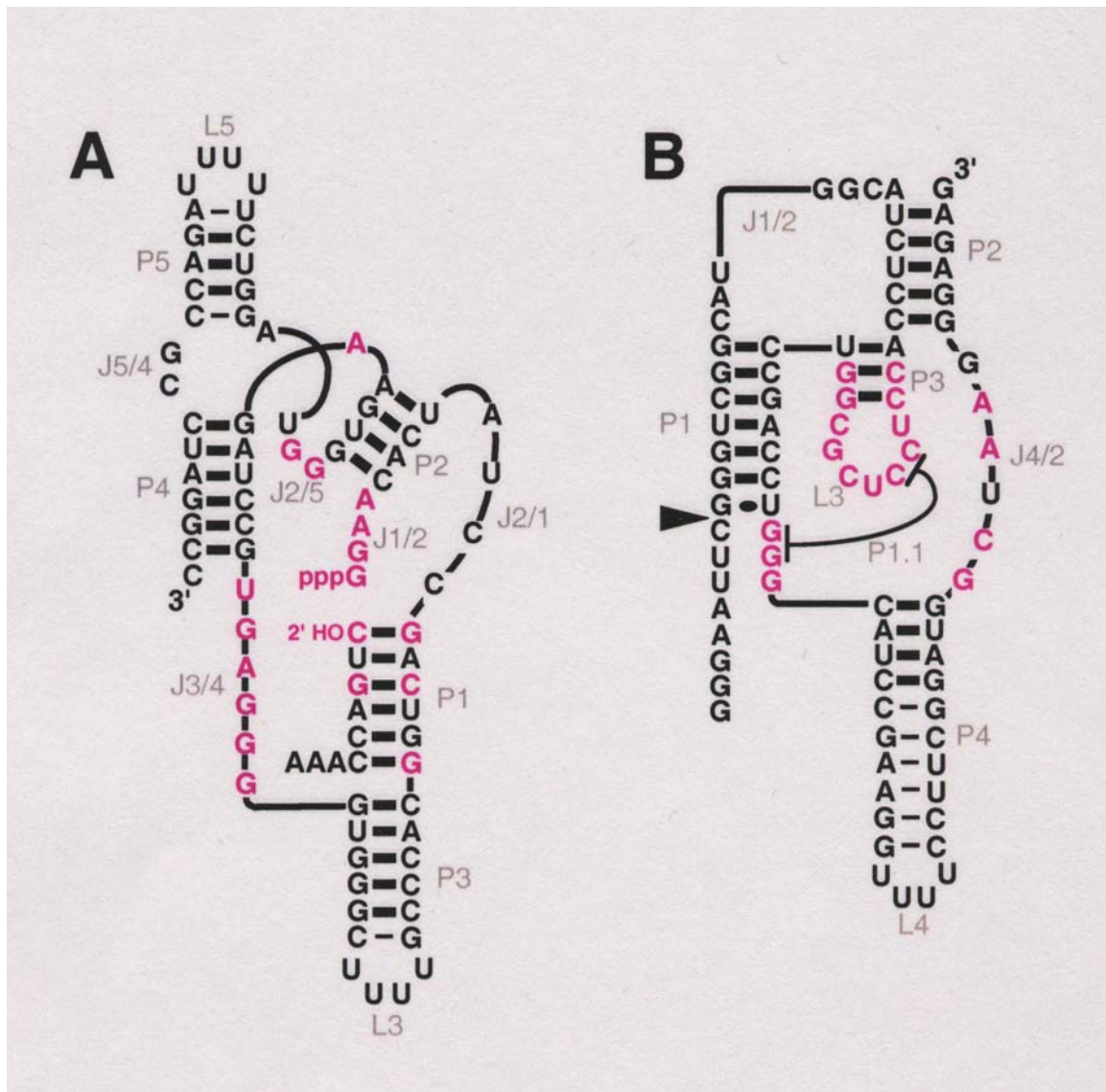
The prototype class III and HDV ribozymes have no more than the 25% sequence identity expected by chance and no fortuitous structural similarities that might favor an intersection of their two neutral networks. Nevertheless, sequences can be designed that simultaneously satisfy the base-pairing requirements

## A ribozyme switch

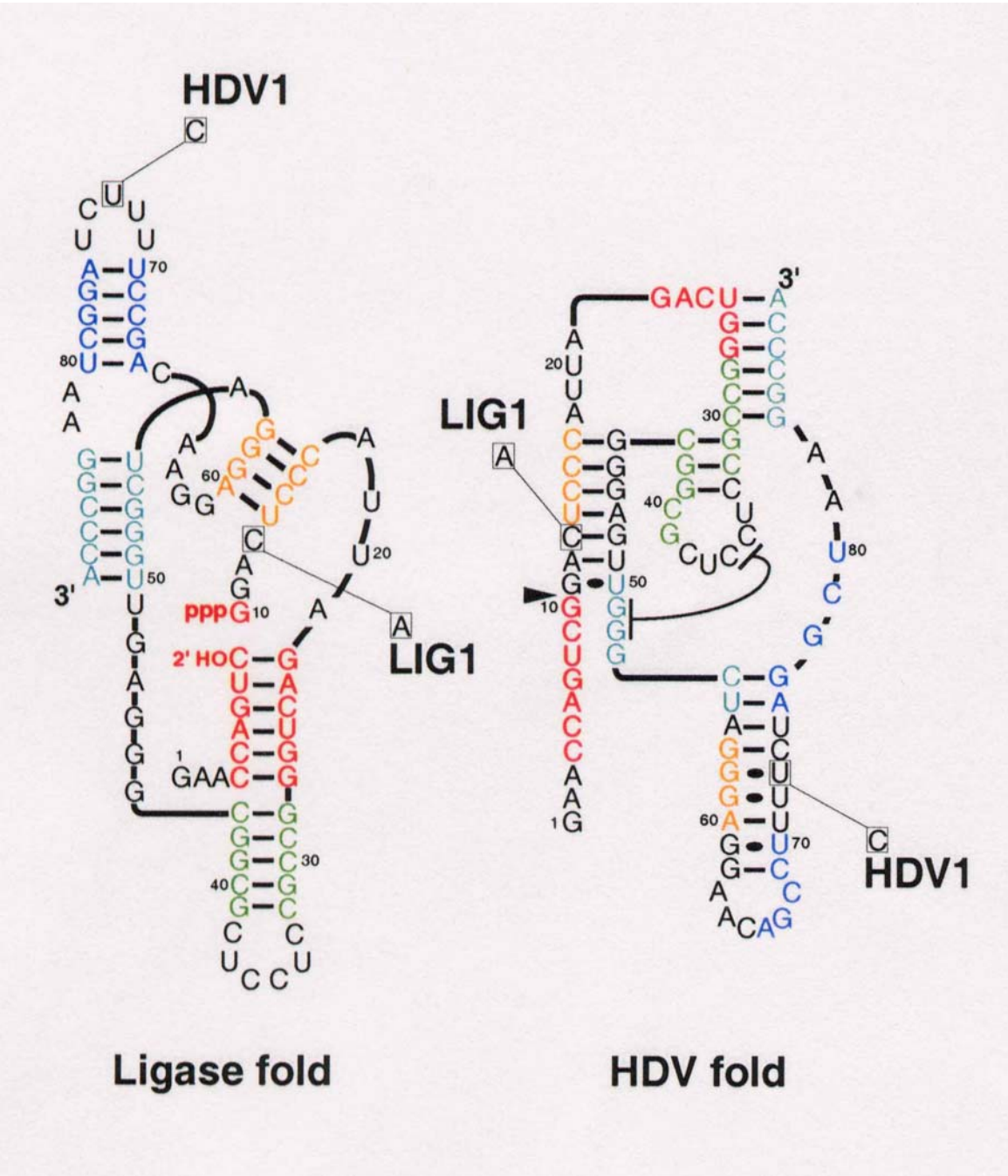
E.A.Schultes, D.B.Bartel, *Science*  
**289** (2000), 448-452

Whitehead Institute for Biomedical Research and Department of Biology, Massachusetts Institute of Technology, 9 Cambridge Center, Cambridge, MA 02142, USA.

\*To whom correspondence should be addressed. E-mail: dbartel@wi.mit.edu



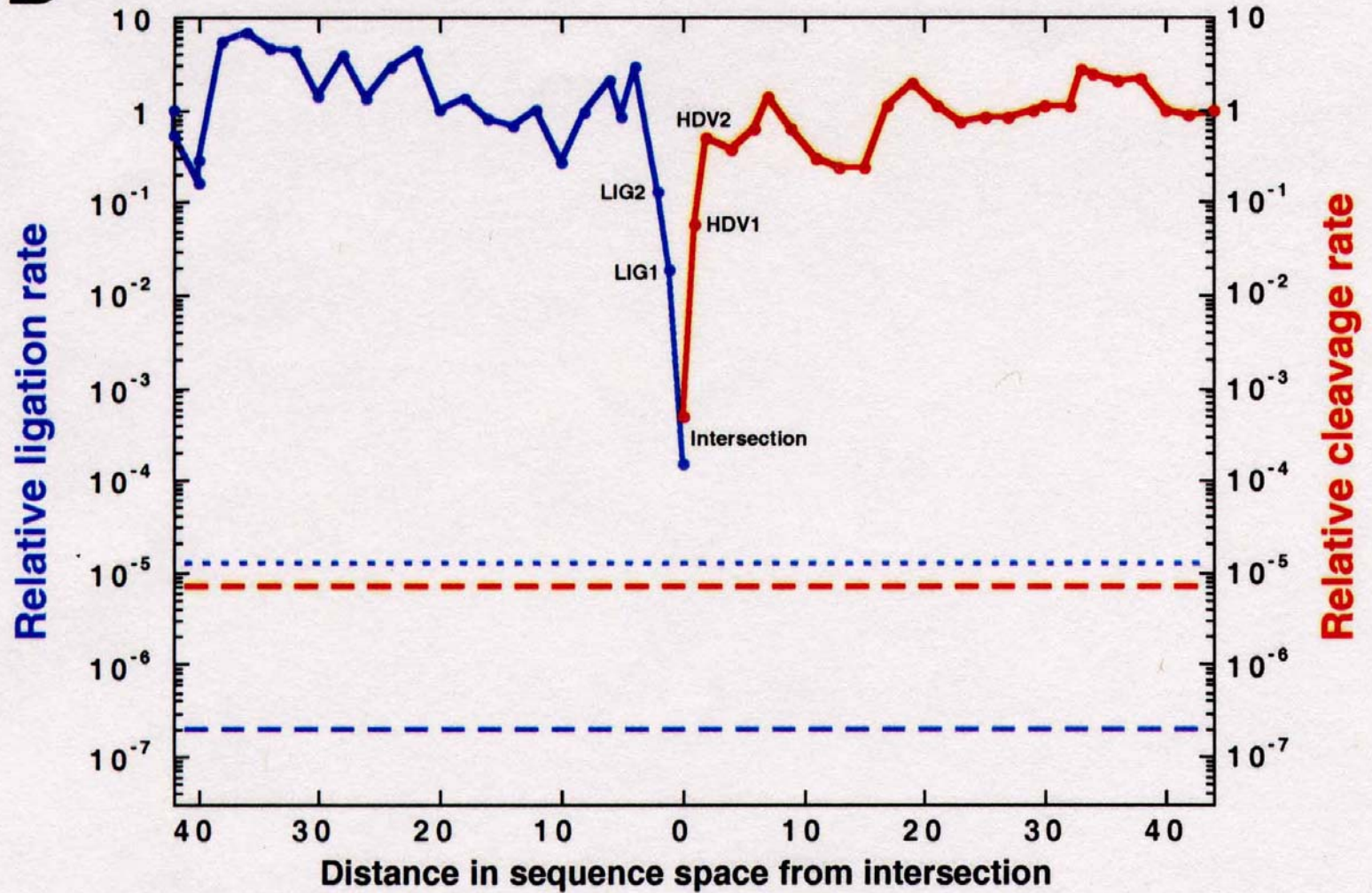
Two ribozymes of chain lengths  $n = 88$  nucleotides: An artificial ligase (**A**) and a natural cleavage ribozyme of hepatitis- $\delta$ -virus (**B**)



The sequence at the *intersection*:

An RNA molecules which is 88 nucleotides long and can form both structures



**B**

Two neutral walks through sequence space with conservation of structure and catalytic activity

1. The exciting RNA story
2. Why is gene regulation so complex?
3. What small RNAs can achieve
- 4. Structures of small RNAs**
5. Riboswitches and kinetic folding

**GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG**

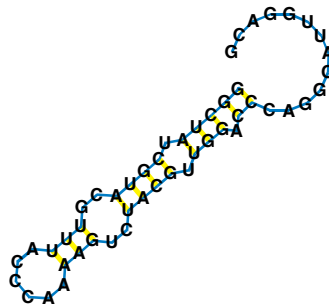
One error neighborhood – Surrounding of an RNA molecule in sequence and shape space

**GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG**



One error neighborhood – Surrounding of an RNA molecule in sequence and shape space

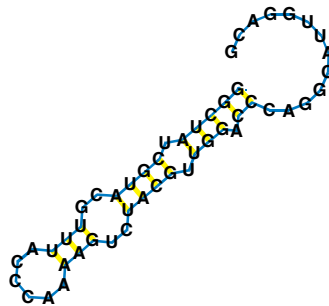
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG



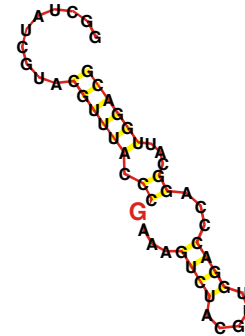
One error neighborhood – Surrounding of an RNA molecule in sequence and shape space

GGCUAUCGUACGUUUACCCGAAAGUCUACGUUGGACCCAGGCAUUGGACG

GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG

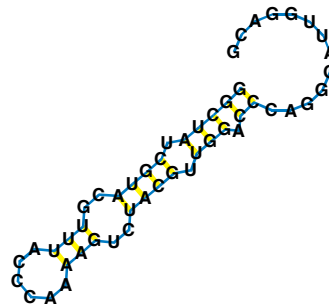


One error neighborhood – Surrounding of an RNA molecule in sequence and shape space

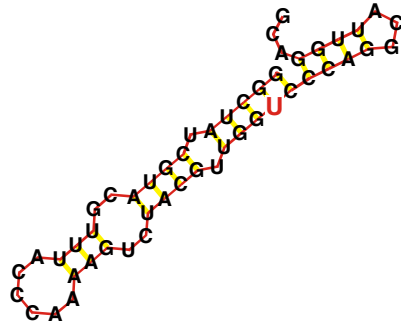


GGCUAUCGUACGUUUACCCGAAAGUCUACGUUGGACCCAGGCAUUGGACG

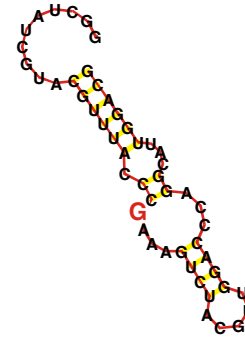
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG



One error neighborhood – Surrounding of an RNA molecule in sequence and shape space

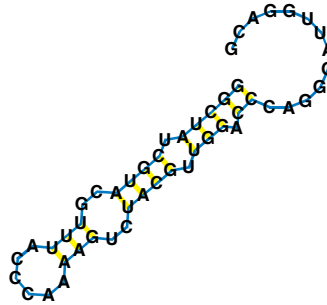


GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGG**U**CCAGGCAUUGGACG



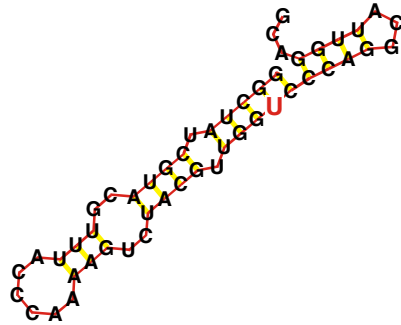
GGCUAUCGUACGUUUACCC**G**AAAGUCUACGUUGGACCCAGGCAUUGGACG

GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG

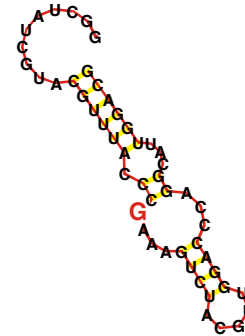


One error neighborhood – Surrounding of an RNA molecule in sequence and shape space



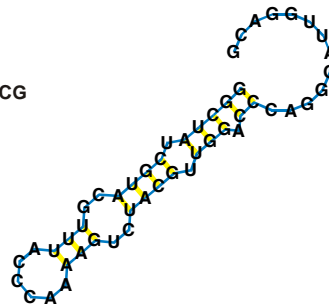


GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGG**U**CCAGGCAUUGGACG

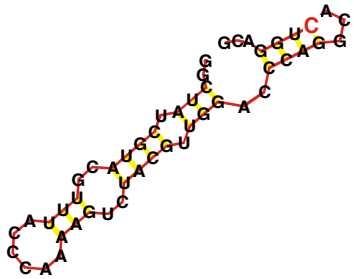


GGCUAUCGUACGUUUACCC**G**AAAGUCUACGUUGGACCCAGGCAUUGGACG

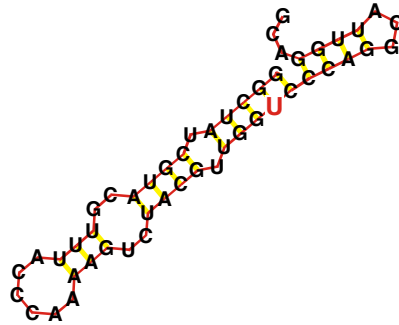
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG



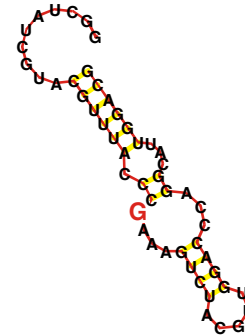
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCA**C**UGGACG



One error neighborhood – Surrounding of an RNA molecule in sequence and shape space



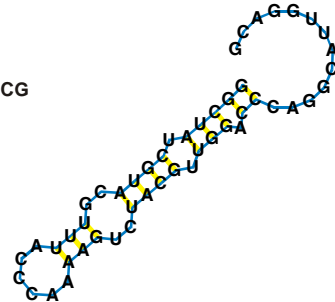
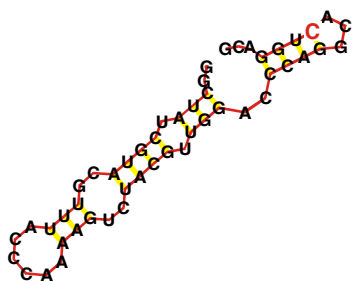
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGG**U**CCAGGCAUUGGACG



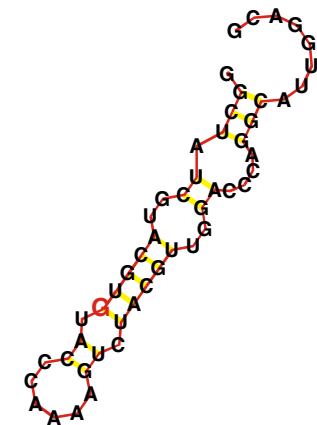
GGCUAUCGUACGUUUACCC**G**AAAGUCUACGUUGGACCCAGGCAUUGGACG

GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG

GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCA**C**UGGACG

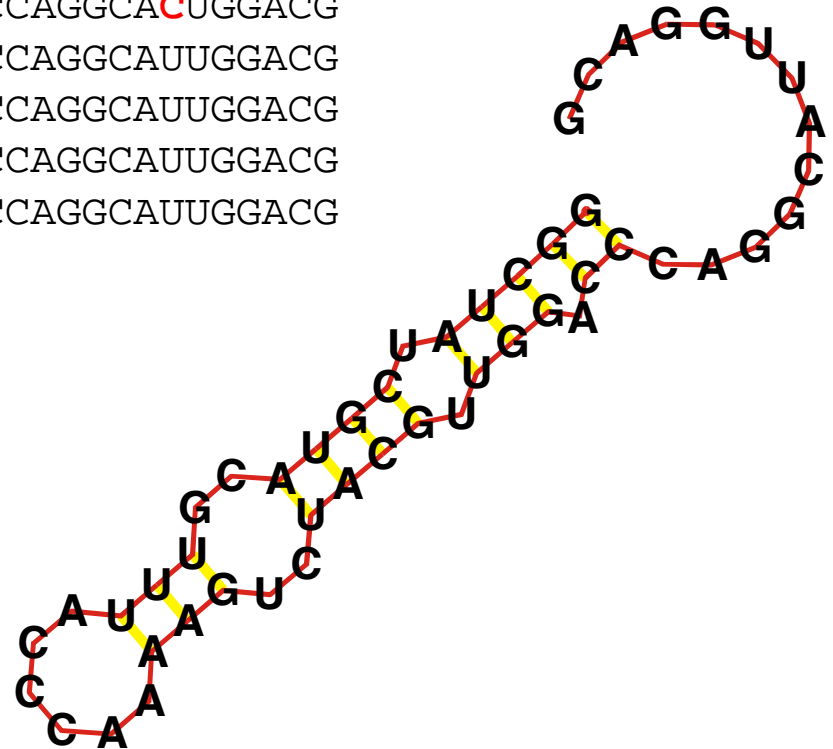


GGCUAUCGUACGU**G**UACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG



One error neighborhood – Surrounding of an RNA molecule in sequence and shape space

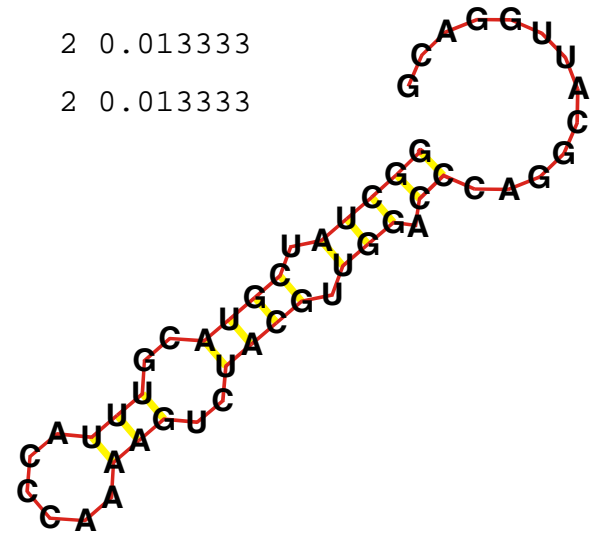
GGCUAUCGUAU**U**GUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG  
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUA**A**GACG  
GGCUAUCGUACGUUUAC**U**CAAAGUCUACGUUGGACCCAGGCAUUGGACG  
GGCUAUCGUACG**C**UUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG  
GGC**C**AUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG  
**GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG**  
GGCUAUCGUACGU**G**UACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG  
GGCUA**A**CGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG  
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCC**U**GGCAUUGGACG  
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCA**C**UGGACG  
GGCUAUCGUACGUUUACCCAAAAGUCUACGUUGG**U**CCCAGGCAUUGGACG  
GGCUA**G**CGUACGUUUACCCAAAAGUCUACGUUGGACCCAGGCAUUGGACG  
GGCUAUCGUACGUUUACCC**G**AAAGUCUACGUUGGACCCAGGCAUUGGACG  
GGCUAUCGUACGUUUACCCAAAAG**C**CUACGUUGGACCCAGGCAUUGGACG



One error neighborhood – Surrounding of an RNA molecule in sequence and shape space

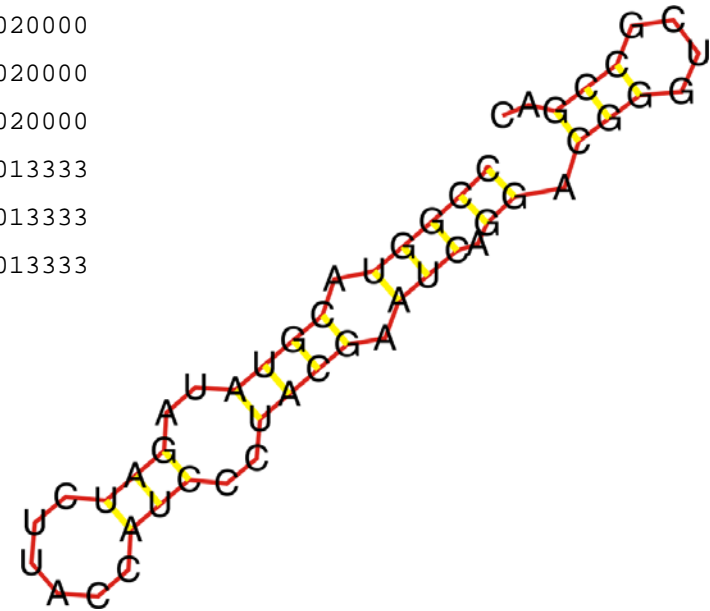
GCAGCUUGCCCAAUGCAACCCCAUGUGGCGCGCUAGCUAACACCAUCCCC

1	(((((.((((..(((.....)))..))))).))).....	65	0.433333
2	..((((((((((((((((.....)))..))))..)))).....	9	0.060000
3	(((((.((((.....(((.....)))..))))..)))).....	5	0.033333
4	..(((.((((.....(((.....)))..))))..)))).....	5	0.033333
5	..((((((((((((((((.....)))..))))..)))).....	4	0.026667
6	(((((.(((((((.(.....)).)).))))..)))).....	3	0.020000
7	(((((.((((.(.....)))..))))..)))).....	3	0.020000
8	(((((.(((((((.(.....)).)).))))..)))).....	3	0.020000
9	((((((((((((((.....)))..))))..)))).....	3	0.020000
10	(((((.((((((((.....))))..))))..)))).....	3	0.020000
11	(((((..(((..(((.....)))..)))..))).....	2	0.013333
12	(((((.((((.....)))..)))).....	2	0.013333
13	..(((.(.....)))..))).....	2	0.013333
14	(((((.(.....)))..)))).....	2	0.013333
15	..((((((((((((((((.....)))..))))..)))).....	2	0.013333



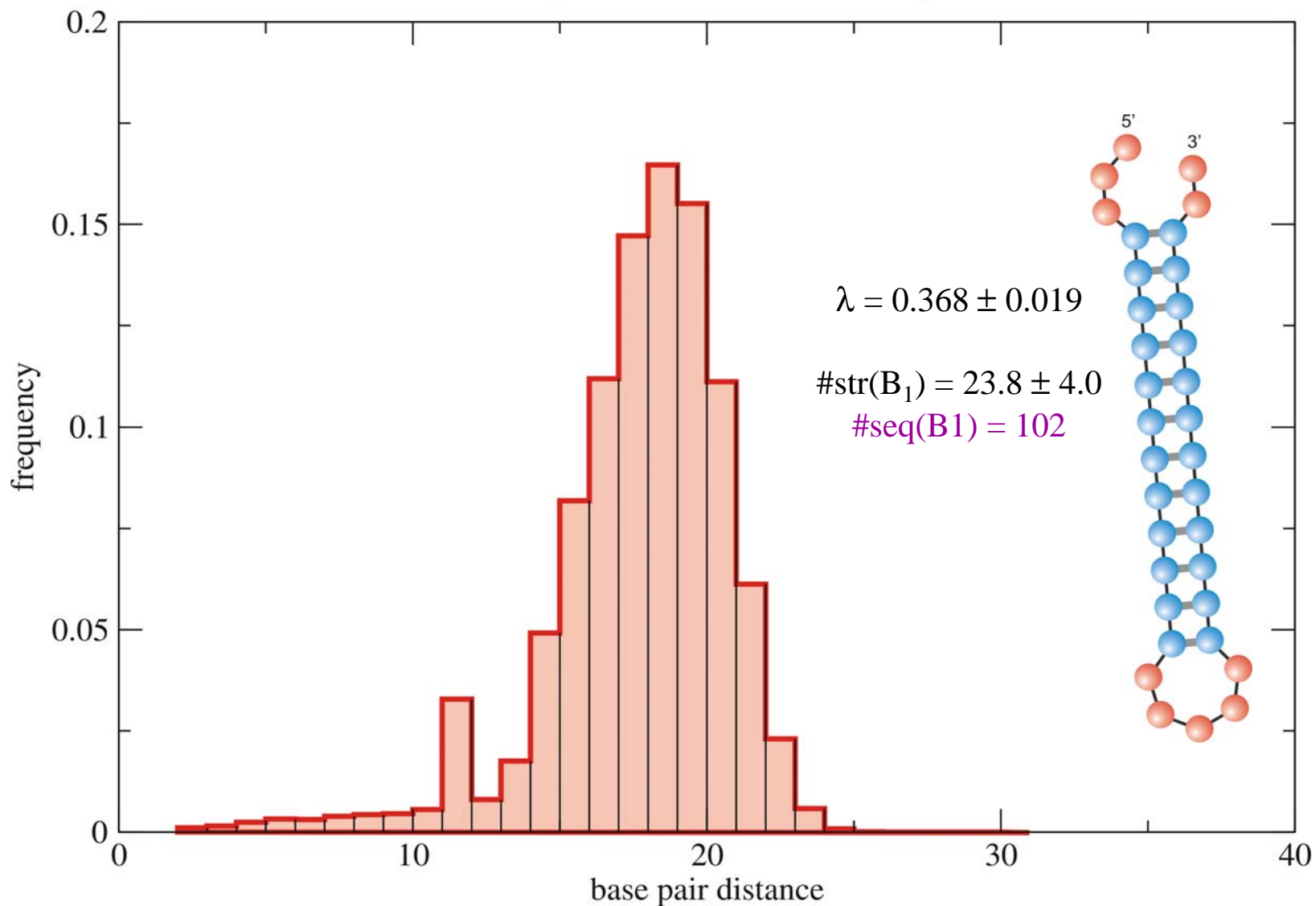
GGAGCUUGCCGAAUGCAACCCCAUGAGGCGCGCUGCCUGGCACCAGCCCC

1	(((((.((((..(((.....)))..))))).)))..))..(((.....)))..	49	0.326667
2	(((((.((((..(((.....)))..))))).)))..)).....	7	0.046667
3	..(((.((((..(((.....)))..))))).)))..(((.....)))..	6	0.040000
4	(((((.((((..(((.....)))..))))).)))..))..(((.....)))..	5	0.033333
5	((..((((((.....))..))..))..))..))..))..))..))..))..	5	0.033333
6	(((((.((((..(((.....)))..))))).)))..))..(((.....)))..	5	0.033333
7	(((((.((((..(((.....)))..))))).)))..))..((.....))..	4	0.026667
8	(((((.((((..(((.....)))..))))).)))..))..(((.....)))..	4	0.026667
9	(((((.((((..(((.....)))..))))).)))..))..(((.....)))..	3	0.020000
10	((((((((.....))..))..))..))..))..))..))..))..))..))..	3	0.020000
11	((..(((.((((..(((.....))..))..))..))..))..))..))..	3	0.020000
12	(((((.....((..(((.....)))..))..))..))..))..(((.....)))..	3	0.020000
13	((..(((.((((..(((.....)))..))))).)))..))..((.....))..	3	0.020000
14	((..((..(((.....((.....)))..))..))..))..))..((.....))..	3	0.020000
15	(((((.((((..(((.....)))..))))).)))..))..((.....))..	3	0.020000
16	(((((.((((..(((.....)))..))))).)))..))..((.....))..	3	0.020000
17	(((((..(((.....((.....)))..))..))..))..))..((.....))..	3	0.020000
18	((..((((((.....))..))..))..))..))..))..))..))..))..))..	2	0.013333
19	(((((.((((..(((.....)))..))))).)))..))..((.....))..	2	0.013333
20	((..((((((.....))..))..))..))..))..))..))..))..))..))..	2	0.013333



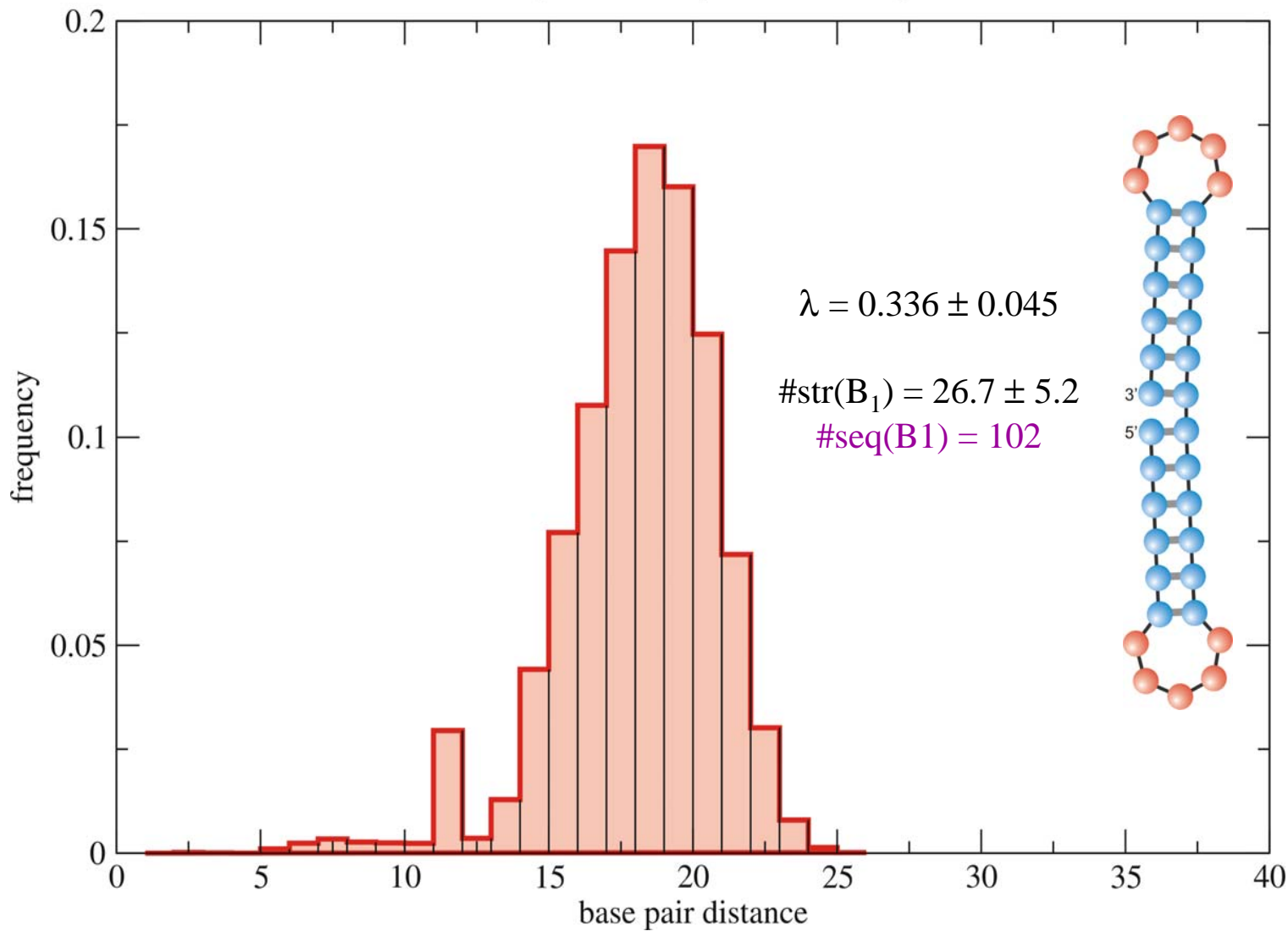
# Distance distribution to target HP12

Base pair distance,  $10^5$  rand. seq.



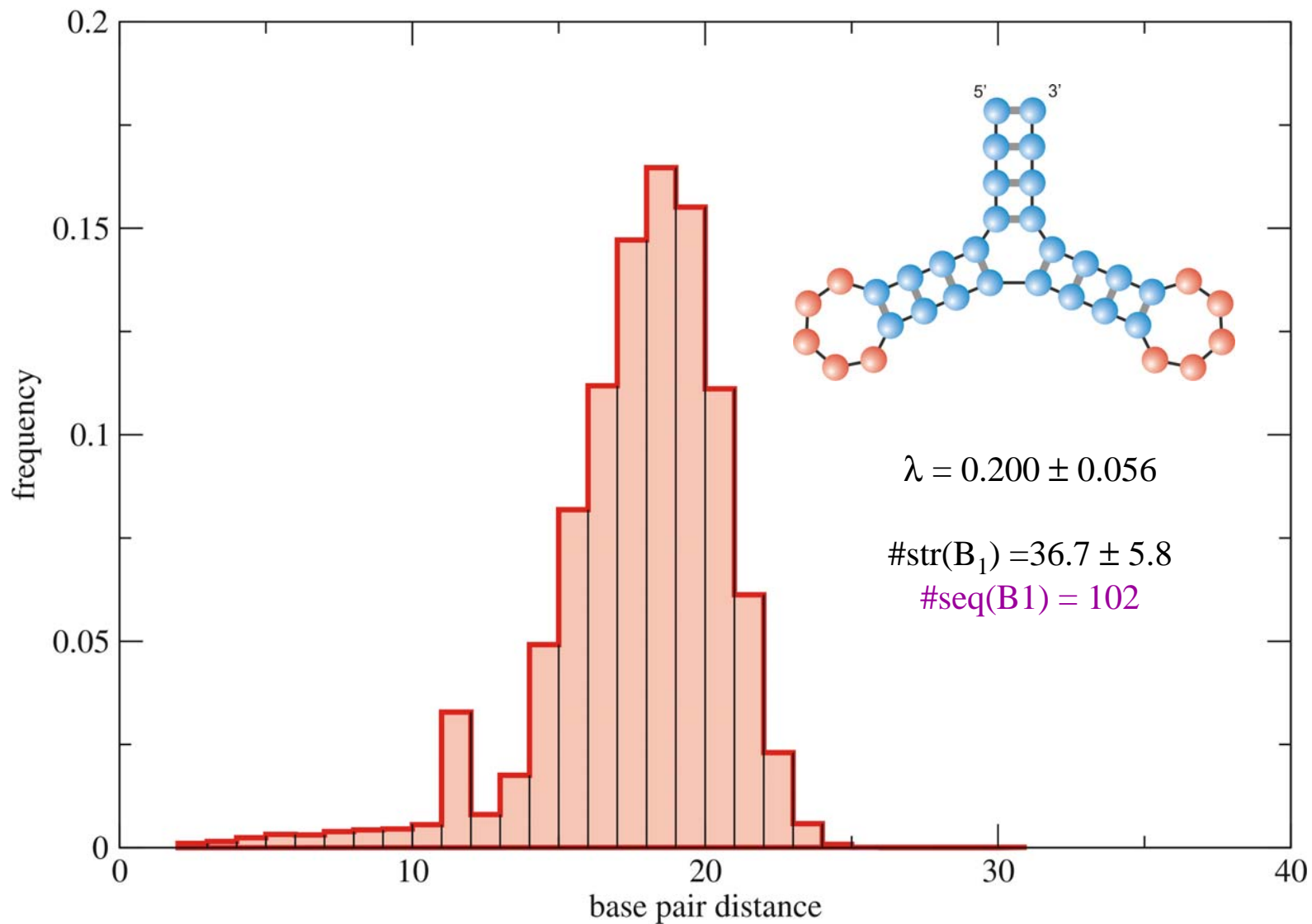
# Distance distribution to target DHP12

base pair distance,  $10^5$  rand. seq.



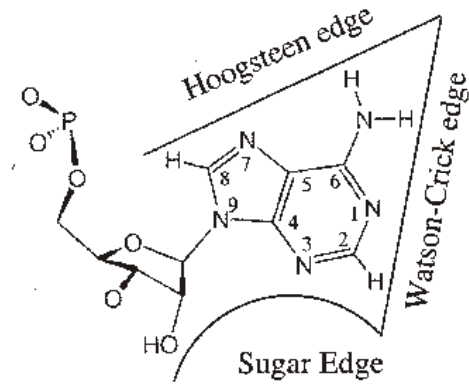
# Distance distribution to target HP12

Base pair distance,  $10^5$  rand. seq.

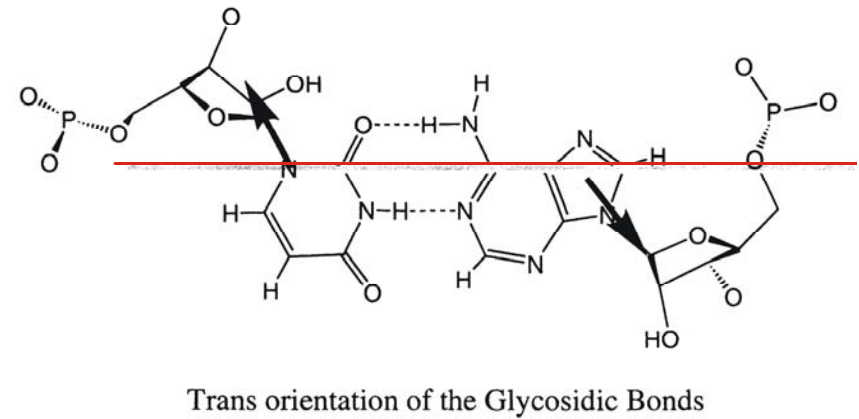
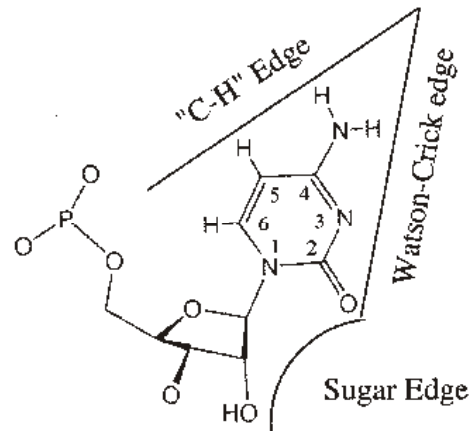
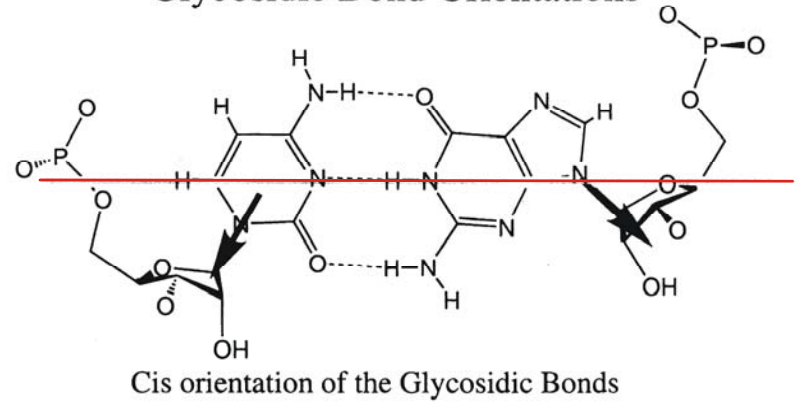




### Interacting Edges



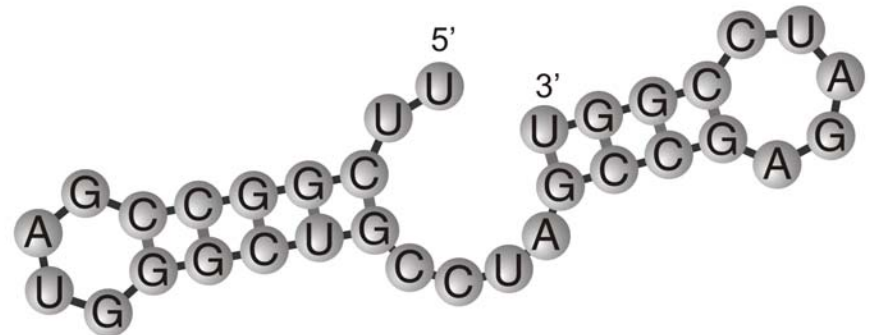
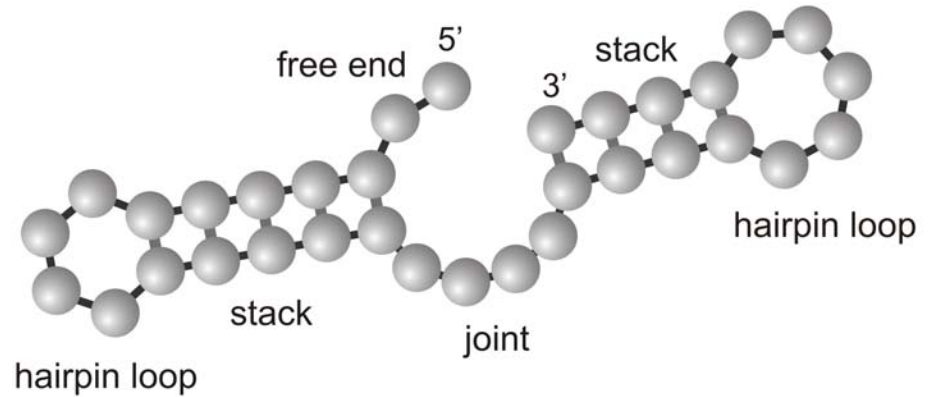
### Glycosidic Bond Orientations



General classification  
of base pairs

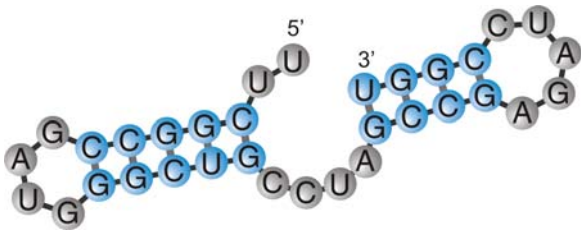
1. The exciting RNA story
2. Why is gene regulation so complex?
3. What small RNAs can achieve
4. Structures of small RNAs
5. **Riboswitches and kinetic folding**

5' UUCGGCCGAUGGGGCUGCCUAGCCGAGAUCGGU 3'



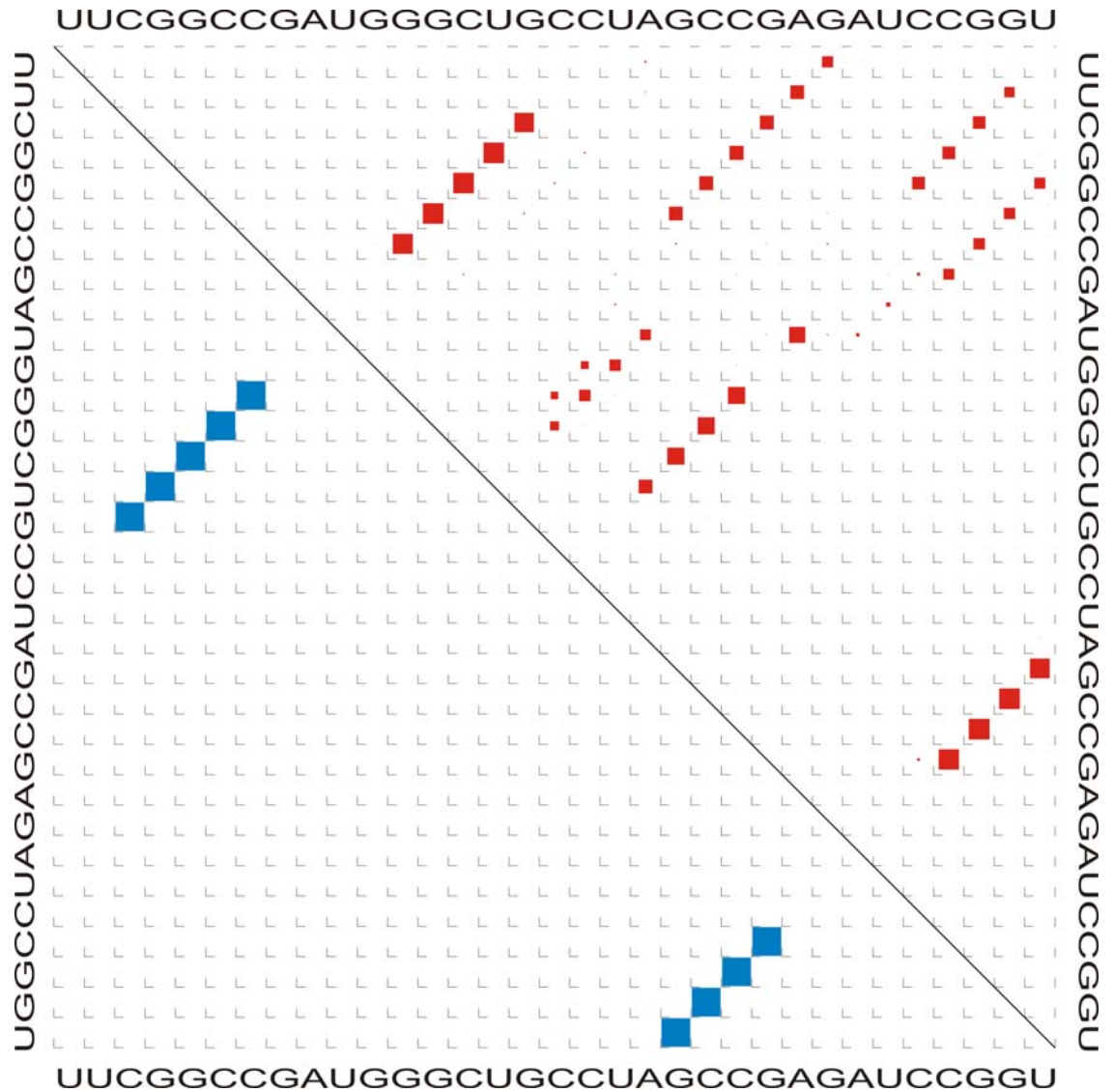
An undesigned  
RNA switch:  
double hairpin 33

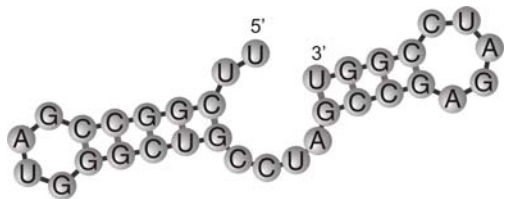
.. ((((((.....)))))) ..... ((((((.....))))))



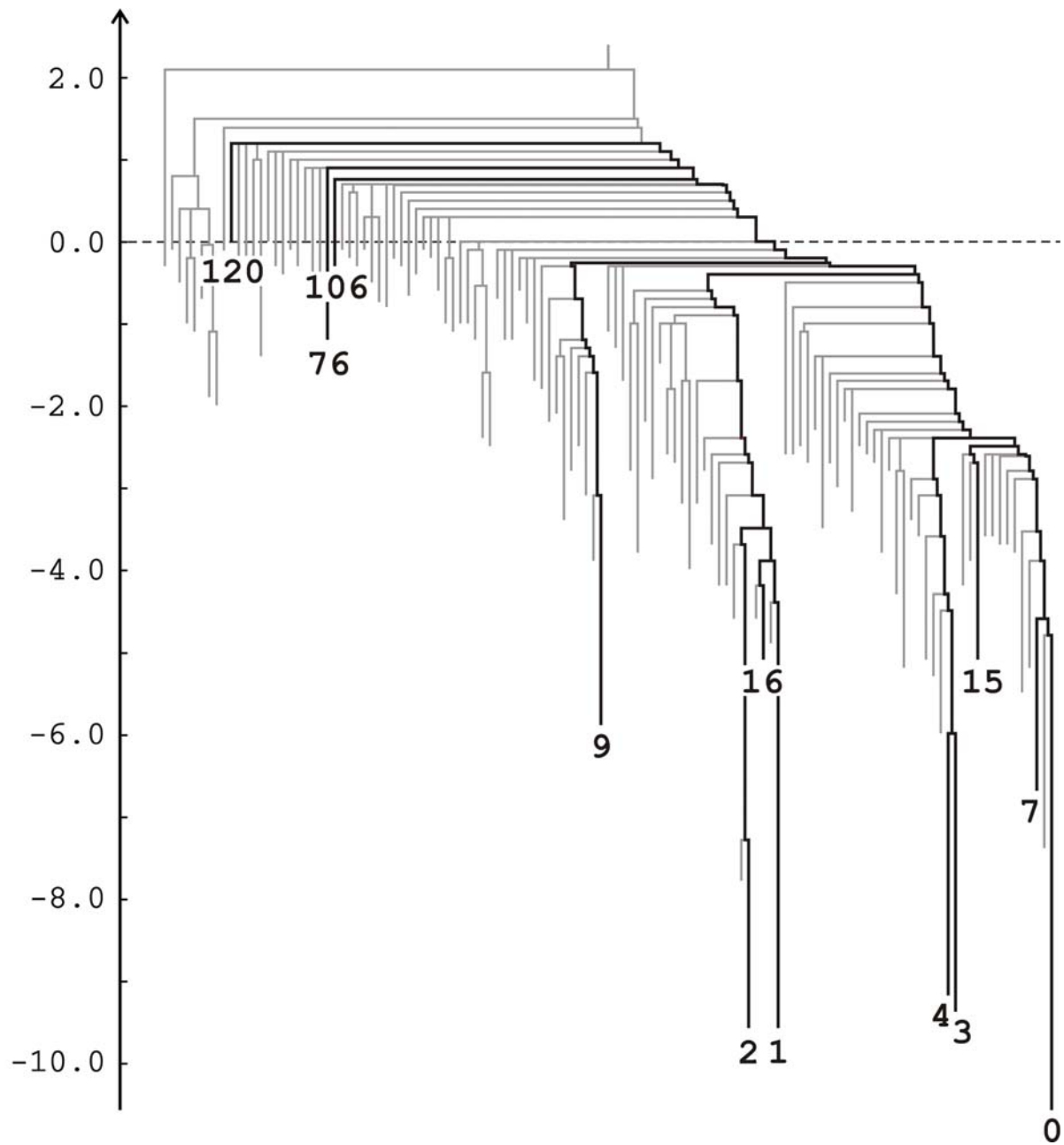
Suboptimal states of  
double hairpin 33:

dot-plot: **ground state**  
and **partition function**

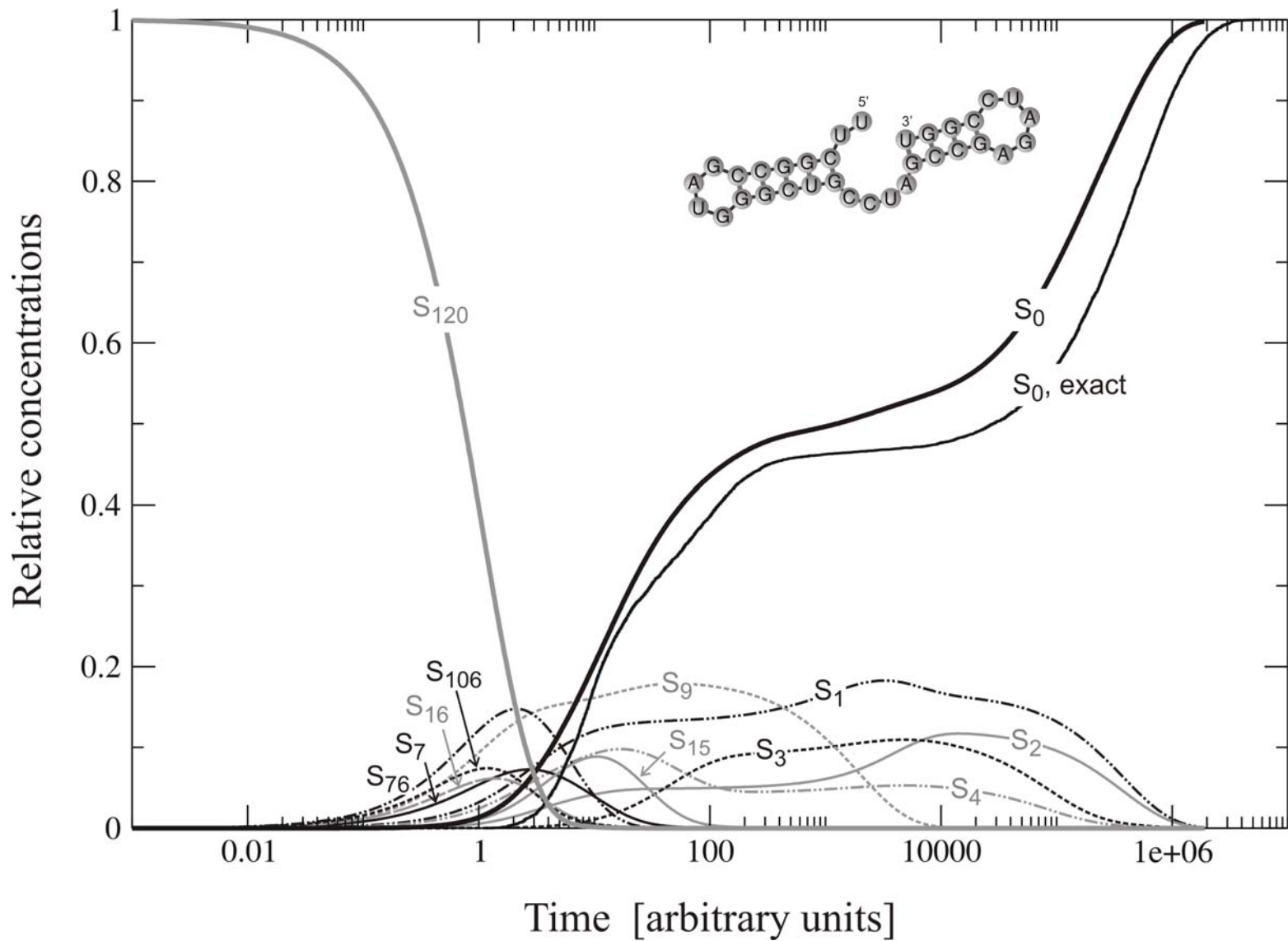




Free energy  $\Delta G$  (kcal/mol)

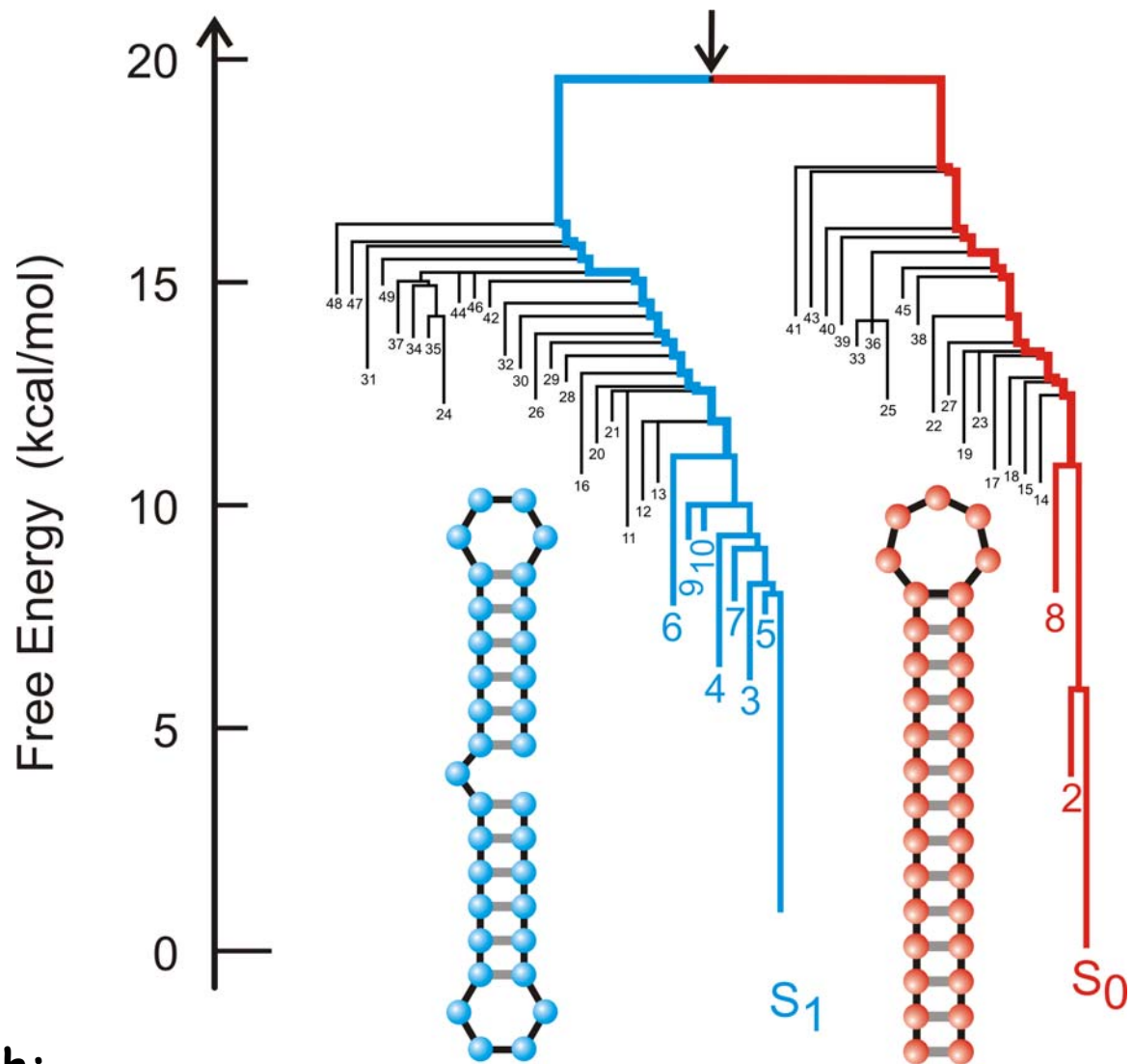


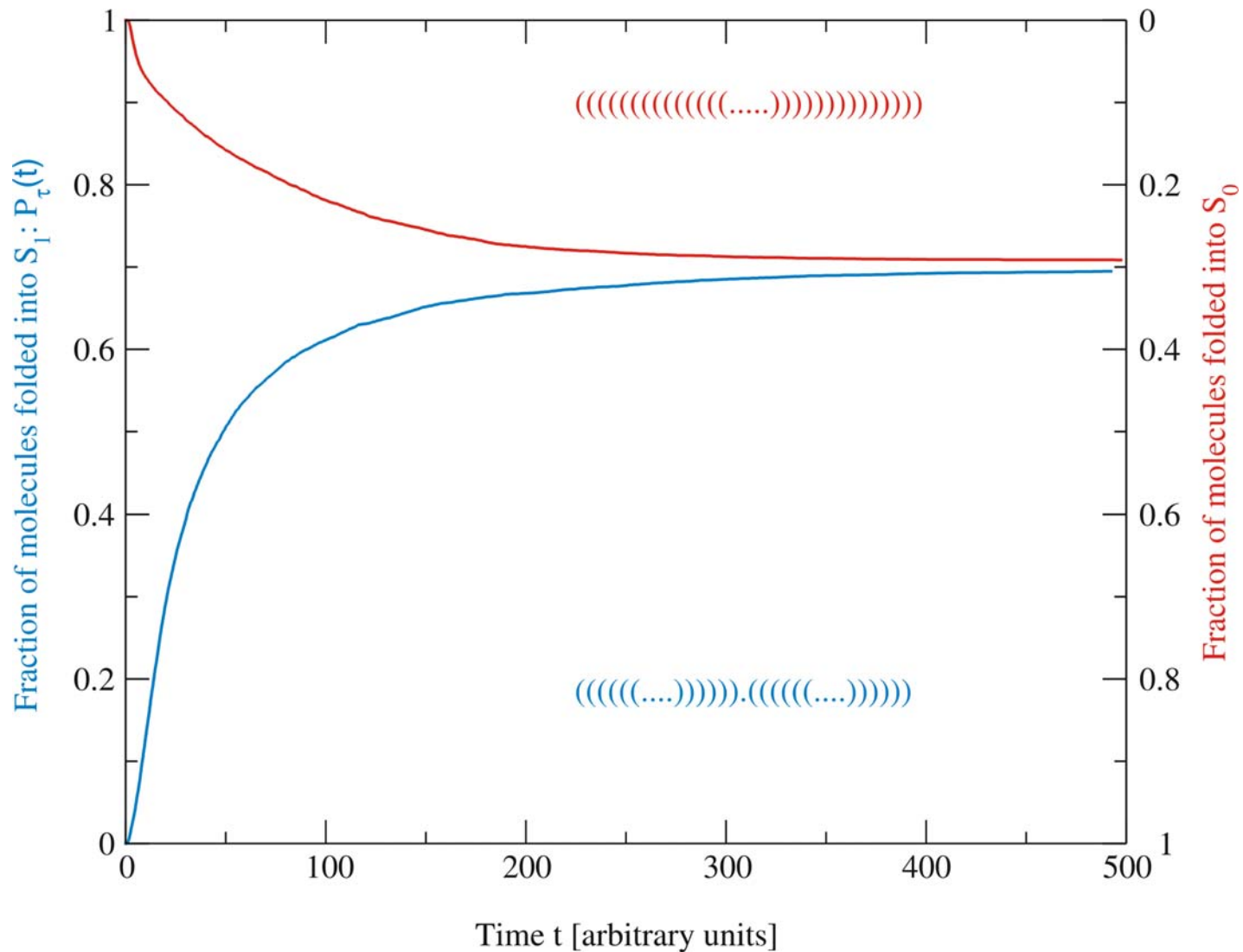
**Barrier tree of  
double hairpin 33**



**Folding kinetics of double hairpin 33**

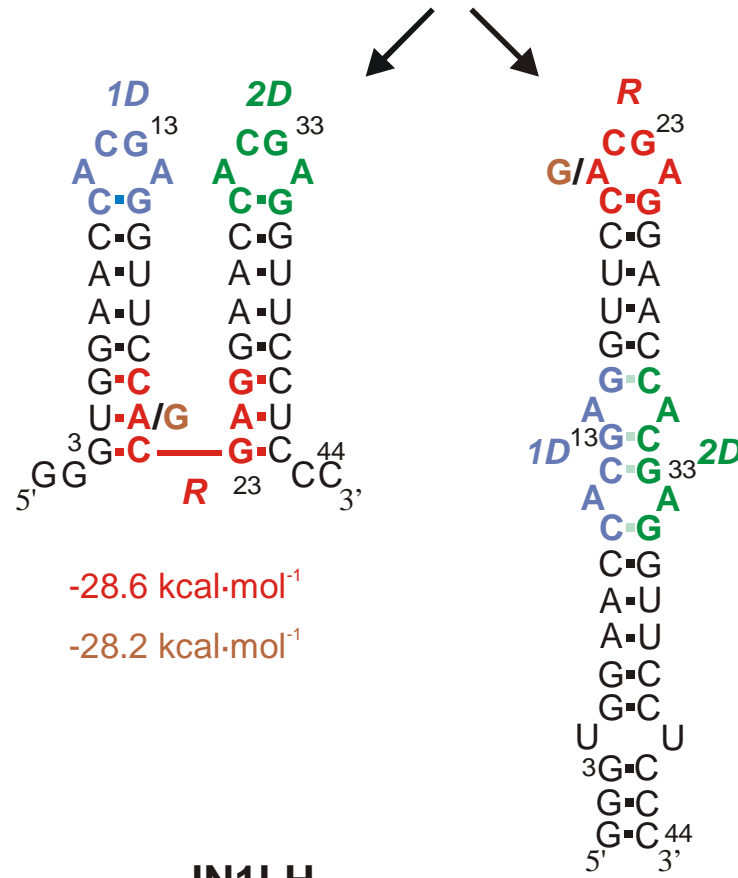
An designed RNA switch:  
double hairpin 33





**Folding kinetics of the designed double hairpin 33**





-28.6 kcal·mol<sup>-1</sup>

-28.2 kcal·mol<sup>-1</sup>

-28.6 kcal·mol<sup>-1</sup>

-31.8 kcal·mol<sup>-1</sup>

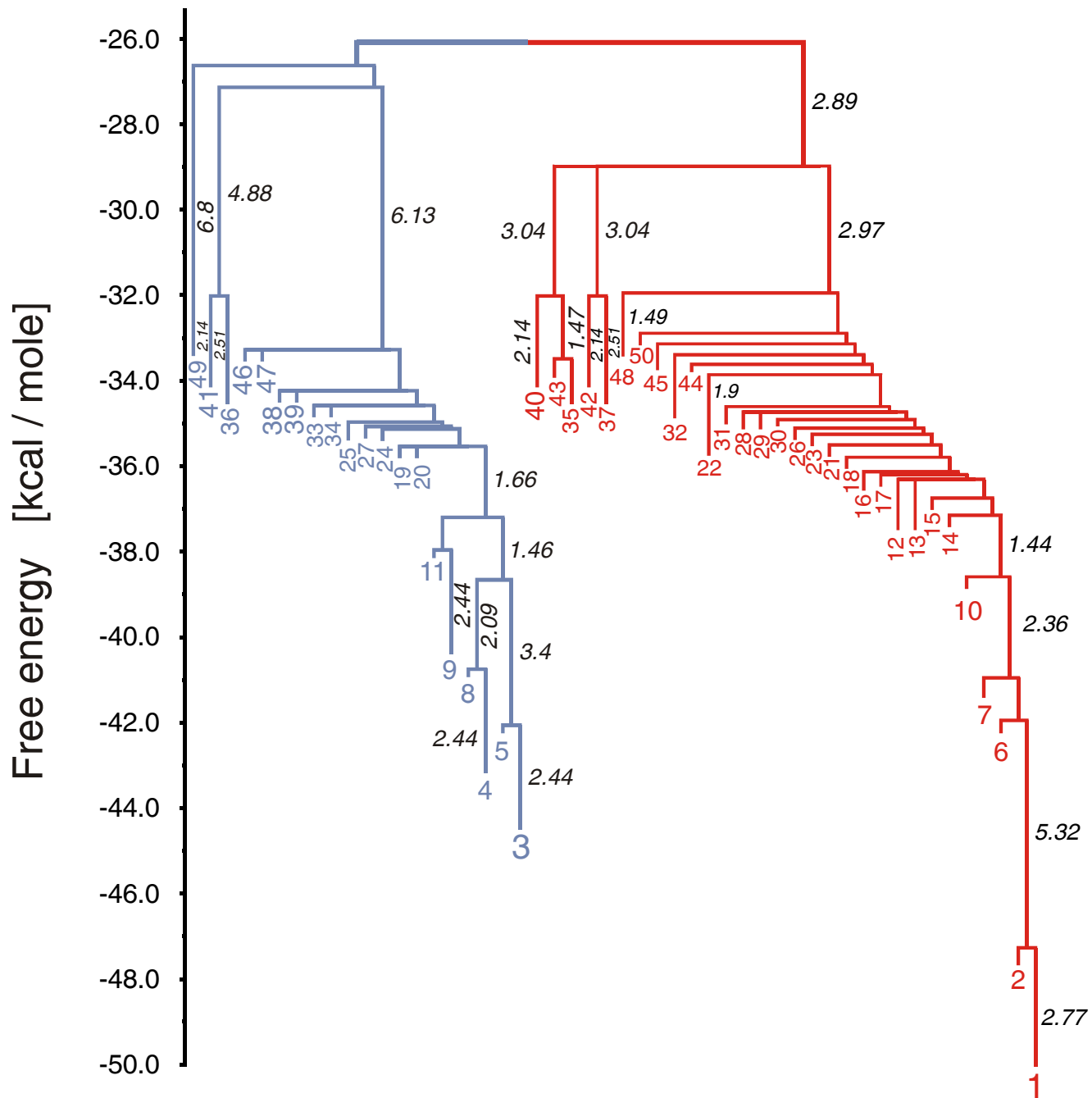
## An experimental RNA switch

JN1LH

J.H.A. Nagel, C. Flamm, I.L. Hofacker, K. Franke, M.H. de Smit, P. Schuster, and C.W.A. Pleij.

Structural parameters affecting the kinetic competition of RNA hairpin formation. *Nucleic Acids Res.* **34**:3568-3576 (2006)

**J1LH** barrier tree



# Coworkers

**Peter Stadler, Bärbel M. Stadler, Universität Leipzig, GE**  
**Camille Stephan-Otto Attolini, Athanasius Bompfüneverer**

**Jord Nagel, Kees Pleij, Universiteit Leiden, NL**

**Walter Fontana, Harvard Medical School, MA**

**Christian Reidys, Christian Forst, Los Alamos National Laboratory, NM**

**Ulrike Göbel, Walter Grüner, Stefan Kopp, Jaqueline Weber, Institut für  
Molekulare Biotechnologie, Jena, GE**

**Ivo L.Hofacker, Christoph Flamm, Andreas Svrček-Seiler, Universität Wien, AT**

**Kurt Grünberger, Michael Kospach, Andreas Wernitznig, Stefanie Widder,  
Michael Wolfinger, Stefan Wuchty, Universität Wien, AT**

**Jan Cupal, Stefan Bernhart, Lukas Ender, Ulrike Langhammer, Rainer Machne,  
Ulrike Mückstein, Hakim Tafer, Thomas Taylor, Universität Wien, AT**



**Universität Wien**

## Acknowledgement of support

Fonds zur Förderung der wissenschaftlichen Forschung (FWF)  
Projects No. 09942, 10578, 11065, **13093**  
**13887**, and **14898**

Wiener Wissenschafts-, Forschungs- und Technologiefonds (WWTF)  
Project No. Mat05

Jubiläumsfonds der Österreichischen Nationalbank  
Project No. **Nat-7813**

European Commission: **Contracts No. 98-0189, 12835 (NEST)**

Austrian Genome Research Program – **GEN-AU: Bioinformatics  
Network (BIN)**

Österreichische Akademie der Wissenschaften

**Siemens AG, Austria**

**Universität Wien** and the Santa Fe Institute



Universität Wien

Web-Page for further information:

<http://www.tbi.univie.ac.at/~pks>

