

Evolution of RNA Molecules

From Neutral Networks of Structures to Complex Interaction Patterns

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Collectives formation and specialization in
biological and social systems

Santa Fe, 20.– 22.04.2005

Web-Page for further information:

<http://www.tbi.univie.ac.at/~pks>

5'-End

3'-End

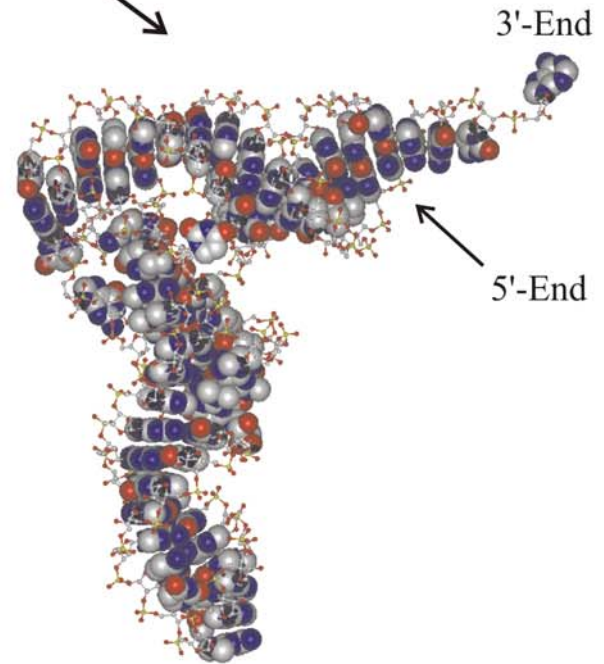
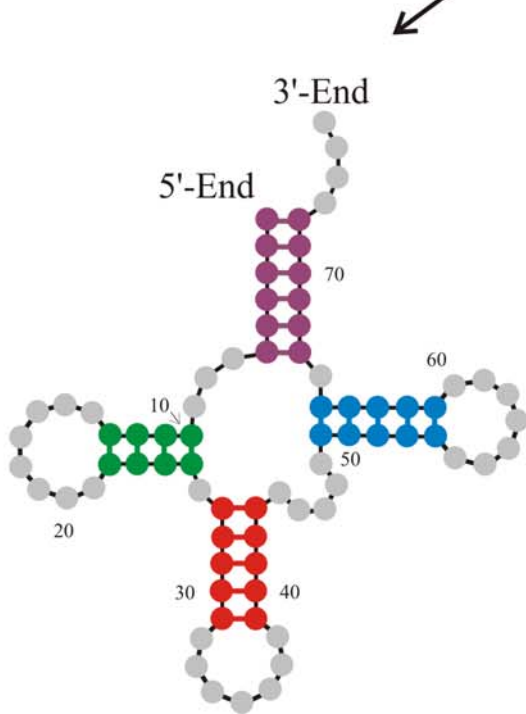
GCGGAUUUAGCUCAGDDGGGAGAGCMCCAGACUGAAYAUCUGGAGMUC CUGUGTPCGAUCCACAGAAUUCGCACCA

Biochemical and
chemical probing

Structure prediction

Crystallography

NMR, FRET,



1. Folding and inverse folding of RNA
2. Neutral networks
3. Darwinian evolution of RNA
4. Learning by the Darwinian mechanism
5. Folding kinetics and metastable structures
6. Intersections and conformational switches

- 1. Folding and inverse folding of RNA**
2. Neutral networks
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RNA sequence

GUAUCGAAAUACGUAGCGUAUGGGGAUGCUGGACGGUCCCAUCGGUACUCCA

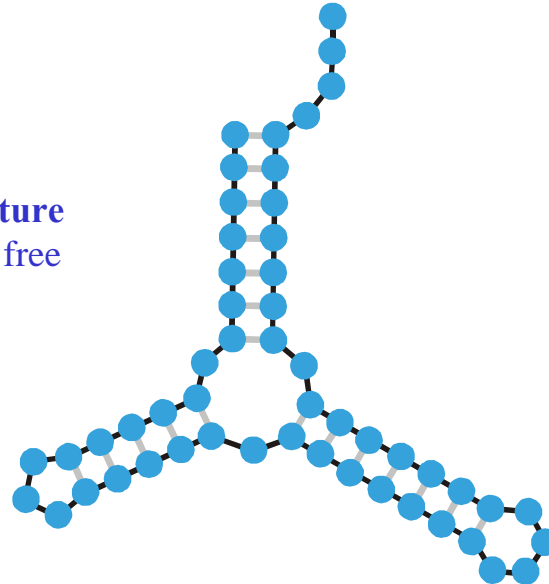
RNA folding:
Structural biology,
spectroscopy of
biomolecules,
understanding
molecular function

Biophysical chemistry:
thermodynamics and
kinetics



Empirical parameters

RNA structure
of minimal free
energy

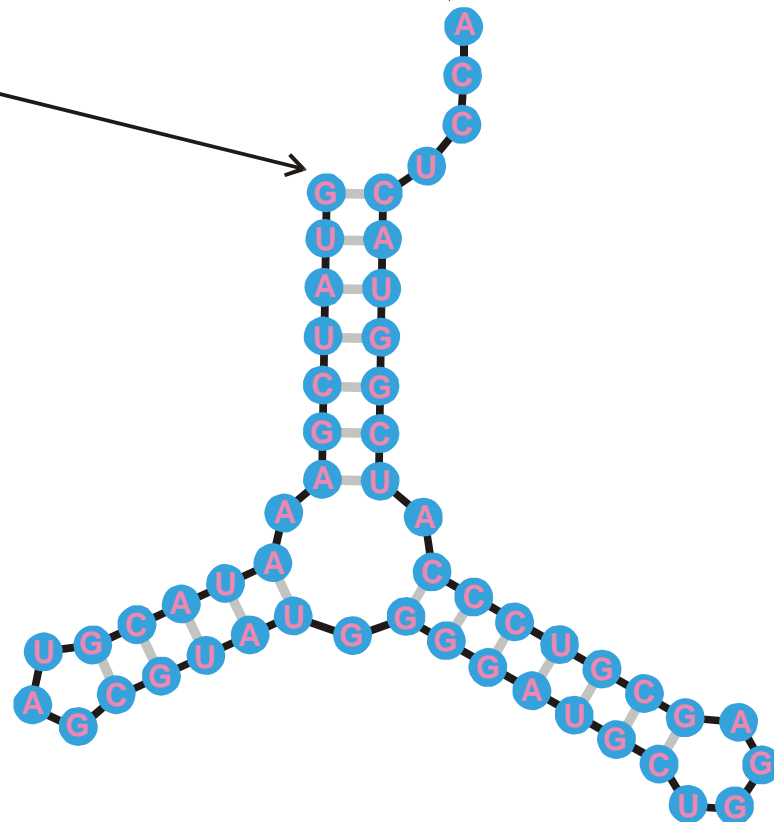
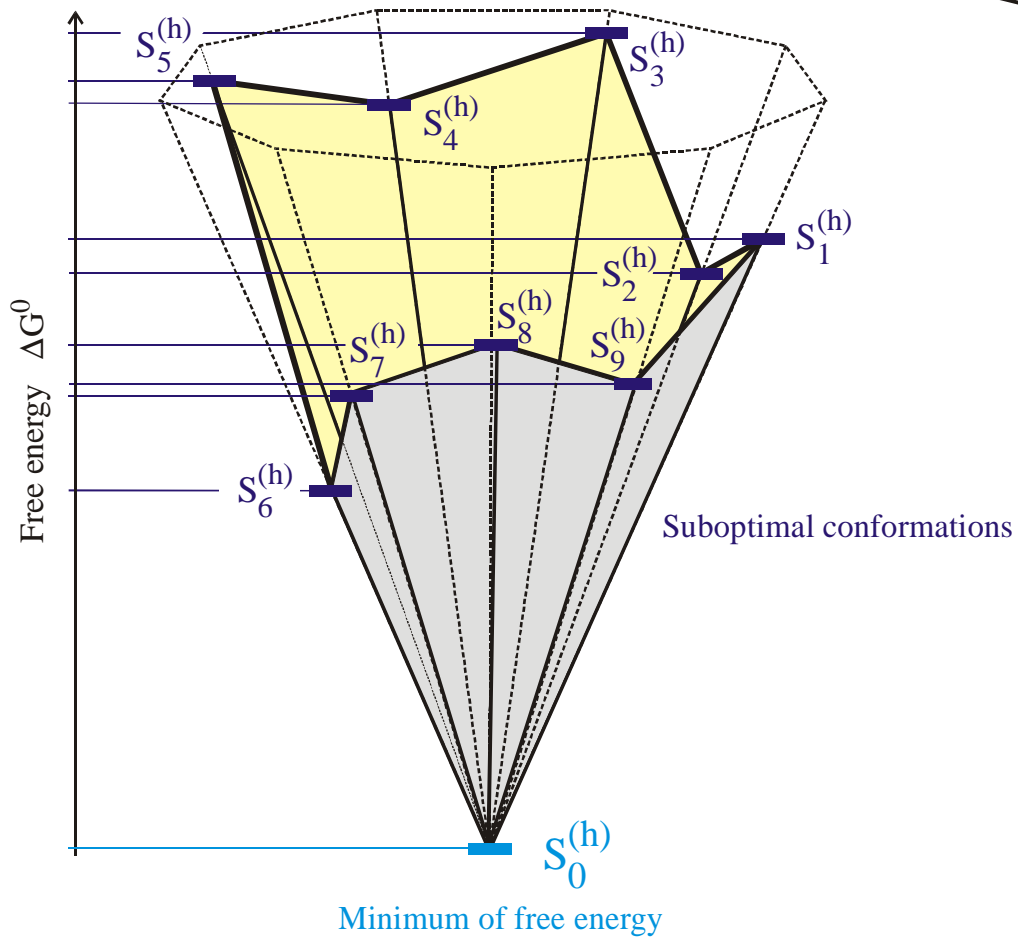


One sequence – one structure problem

5'-end

3'-end

GUAUCGAAUACGUAGCGUAUGGGGAUGCUGGACGGUCCCAUCGGUACUCCA



The minimum free energy structures on a discrete space of conformations

RNA sequence

GUAUCGAAAUACGUAGCGUAUGGGGAUGCUGGACGGUCCCAUCGGUACUCCA

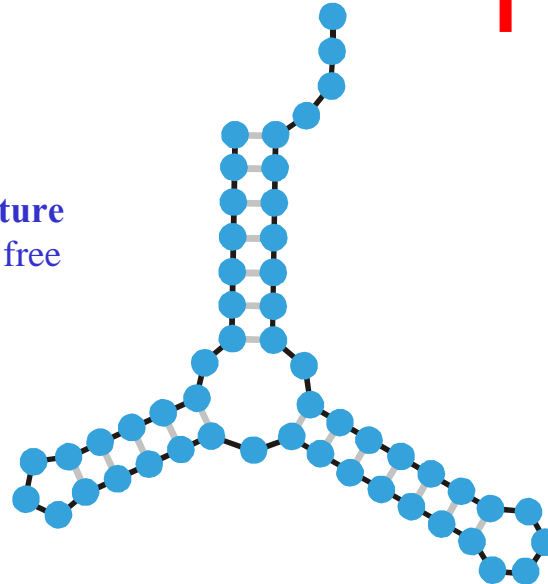
RNA folding:
Structural biology,
spectroscopy of
biomolecules,
understanding
molecular function

Iterative determination
of a sequence for the
given secondary
structure

**Inverse Folding
Algorithm**

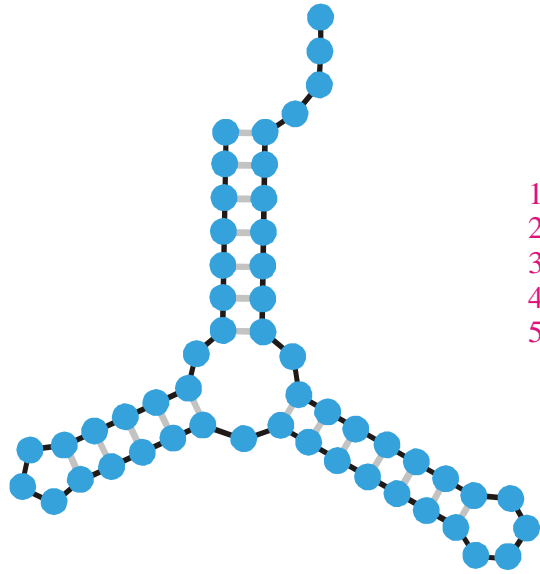
Inverse folding of RNA:
Biotechnology,
design of biomolecules
with predefined
structures and functions

RNA structure
of minimal free
energy



Sequence, structure, and design

1. Folding and inverse folding of RNA
- 2. Neutral networks**
3. Darwinian evolution of RNA
4. Learning by the Darwinian mechanism
5. Folding kinetics and metastable structures
6. Intersections and conformational switches



Minimum free energy
criterion

1st
2nd
3rd trial
4th
5th

→ GUAUCGAAAUACGUAGCGUAUGGGGAUGCUGGACGGUCCCAUCGGUACUCCA
 → UGGUUACGCGUUGGGGUAACGAAGAUUCCGAGAGGAGUUUAGUGACUAGAGG
 → CUUCUUGAGCUAGUACCUAGUCGGAUAGGAUUUCCUAUCUCCAGGGAGGAUG
 → CUUUUCUUCACGUUAGAUGUGUAAUGGACAUGUGUUUAUUUAGGAAAGGCGC
 → AUAACGUGAGUGUCUAAUACUGAUCGCUCCGGAGGGUGGUGGCGUUGUUAU

Inverse folding of RNA secondary structures

The inverse folding algorithm searches for sequences that form a given RNA secondary structure under the minimum free energy criterion.

Mutant class

0

1

2

3

4

5

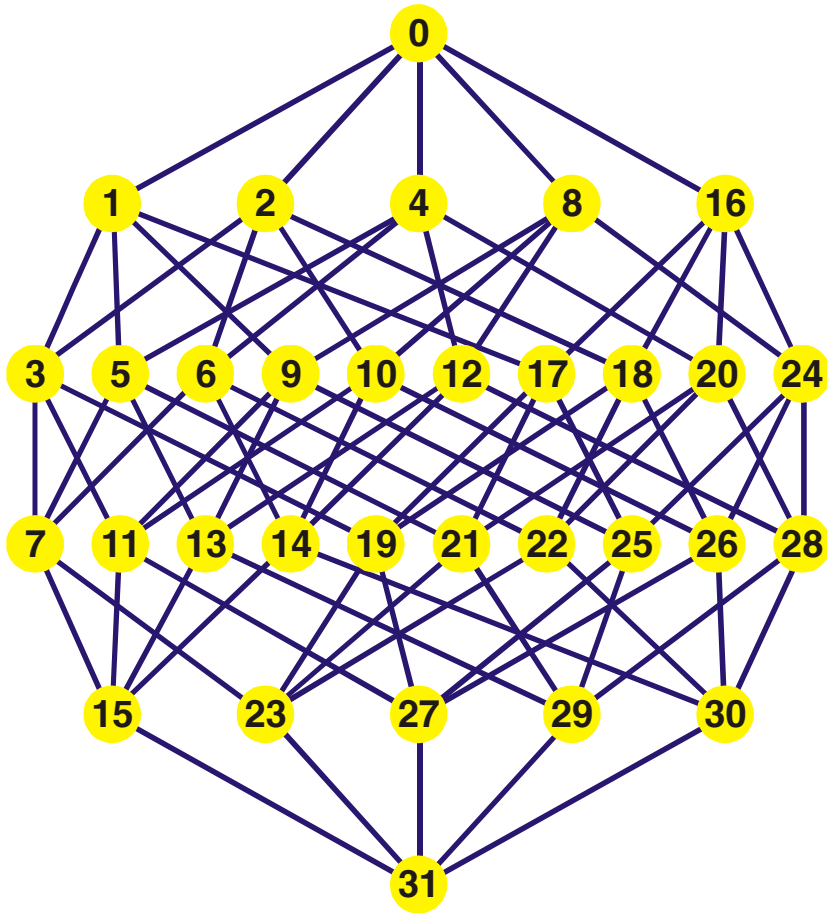
Binary sequences are encoded by their decimal equivalents:

C = 0 and **G** = 1, for example,

"0" \equiv 00000 = **CCCCC**,

"14" \equiv 01110 = **CGGGC**,

"29" \equiv 11101 = **GGGCG**, etc.



Hypercube of dimension $n = 5$

Decimal coding of binary sequences

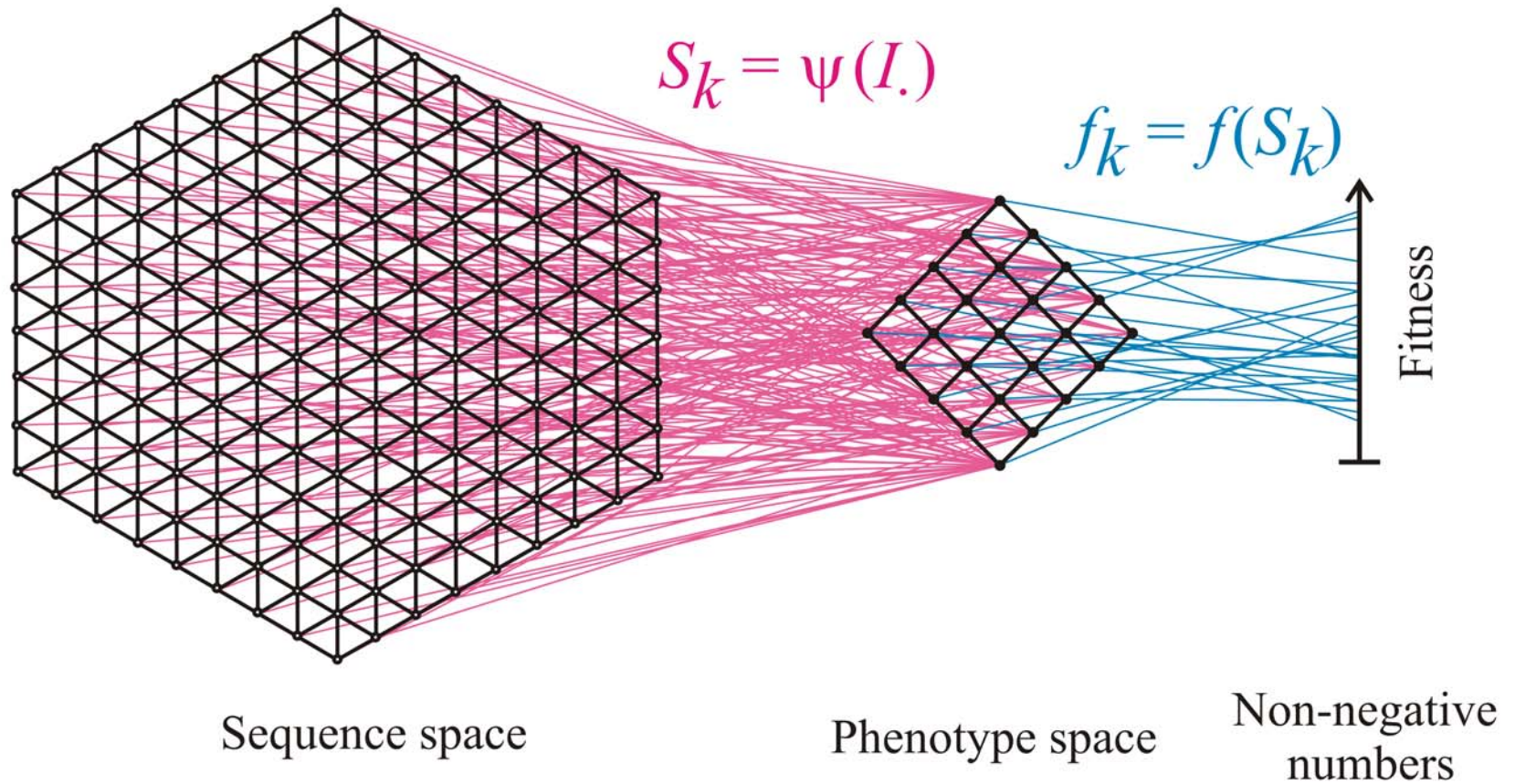
Sequence space of binary sequences of chain length $n = 5$

I_1 : CGTCGTTACAATTTA**G**GTTATGTGCGAATTC**A**CAAATT**G**AAAA**T**ACAAGAG.....
 I_2 : CGTCGTTACAATTTA**A**GTTATGTGCGAATTC**C**CAAATT**A**AAAA**C**ACAAGAG.....

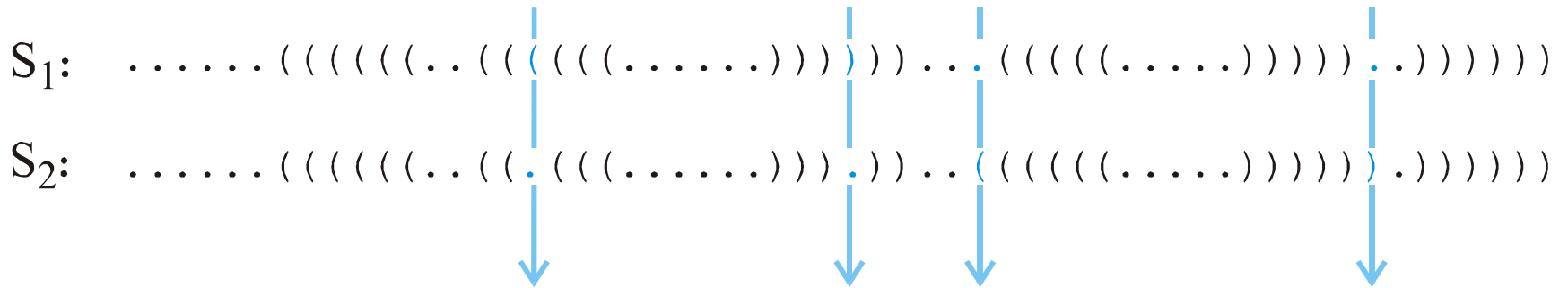
Hamming distance $d_H(I_1, I_2) = 4$

- (i) $d_H(I_1, I_1) = 0$
- (ii) $d_H(I_1, I_2) = d_H(I_2, I_1)$
- (iii) $d_H(I_1, I_3) \leq d_H(I_1, I_2) + d_H(I_2, I_3)$

The Hamming distance between sequences induces a metric in sequence space



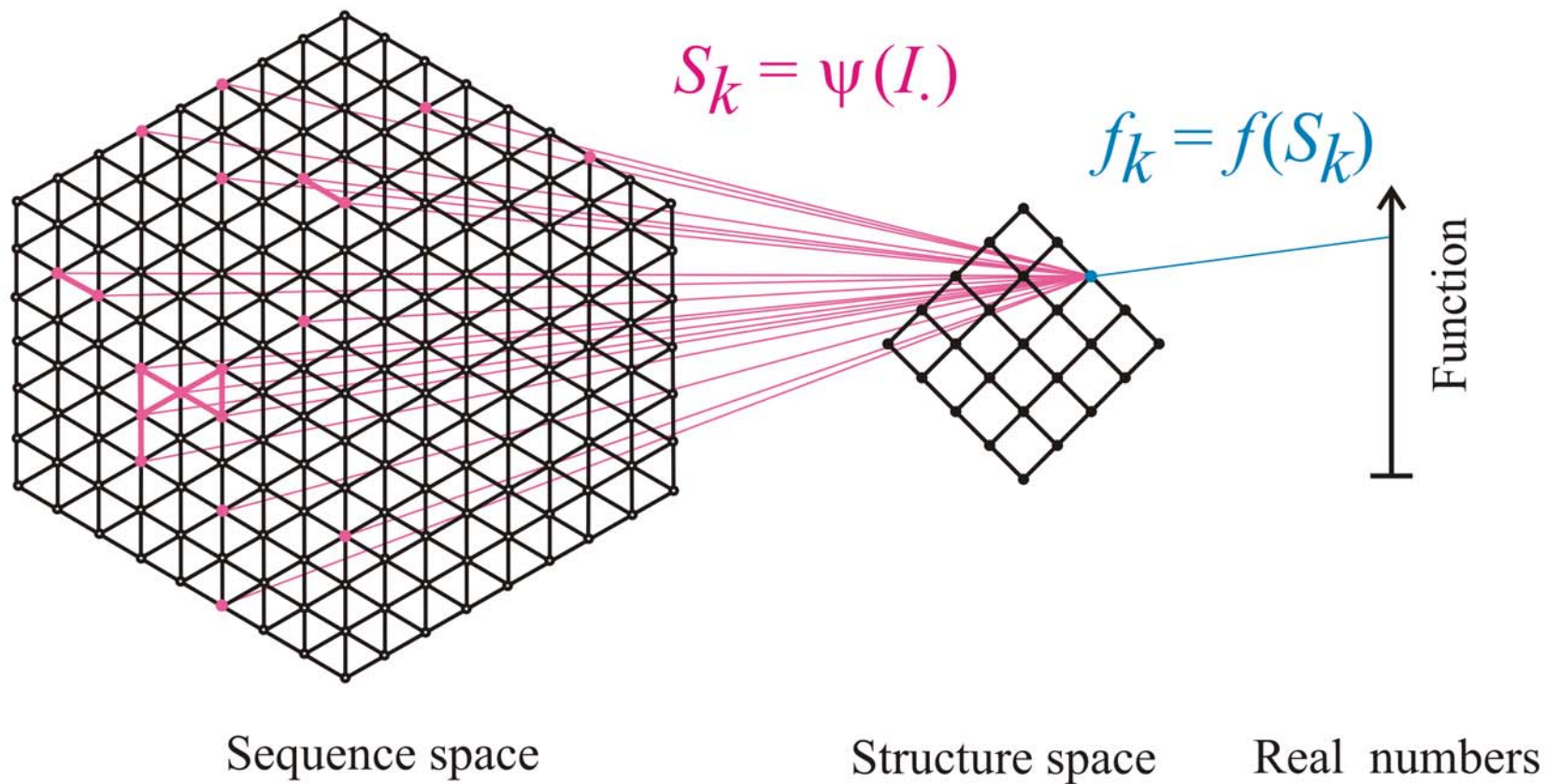
Mapping from sequence space into structure space and into function

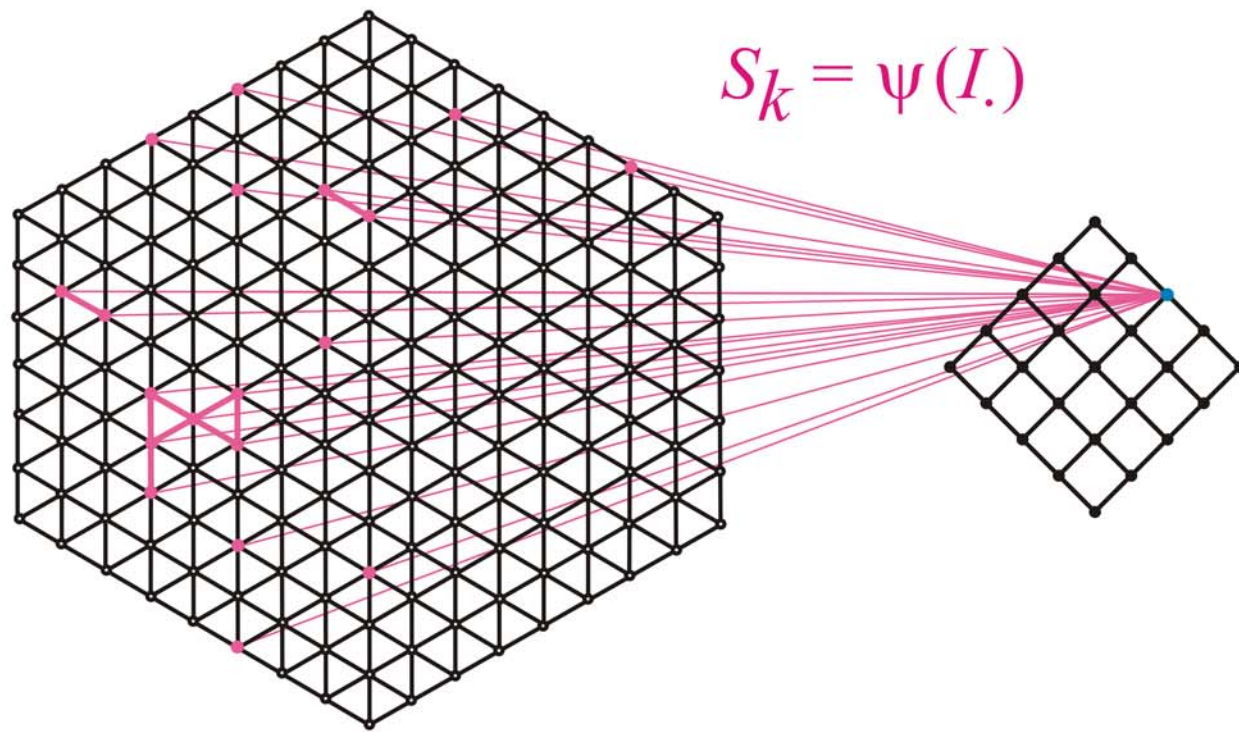


Hamming distance $d_H(S_1, S_2) = 4$

- (i) $d_H(S_1, S_1) = 0$
- (ii) $d_H(S_1, S_2) = d_H(S_2, S_1)$
- (iii) $d_H(S_1, S_3) \leq d_H(S_1, S_2) + d_H(S_2, S_3)$

The Hamming distance between structures in parentheses notation forms a metric in structure space

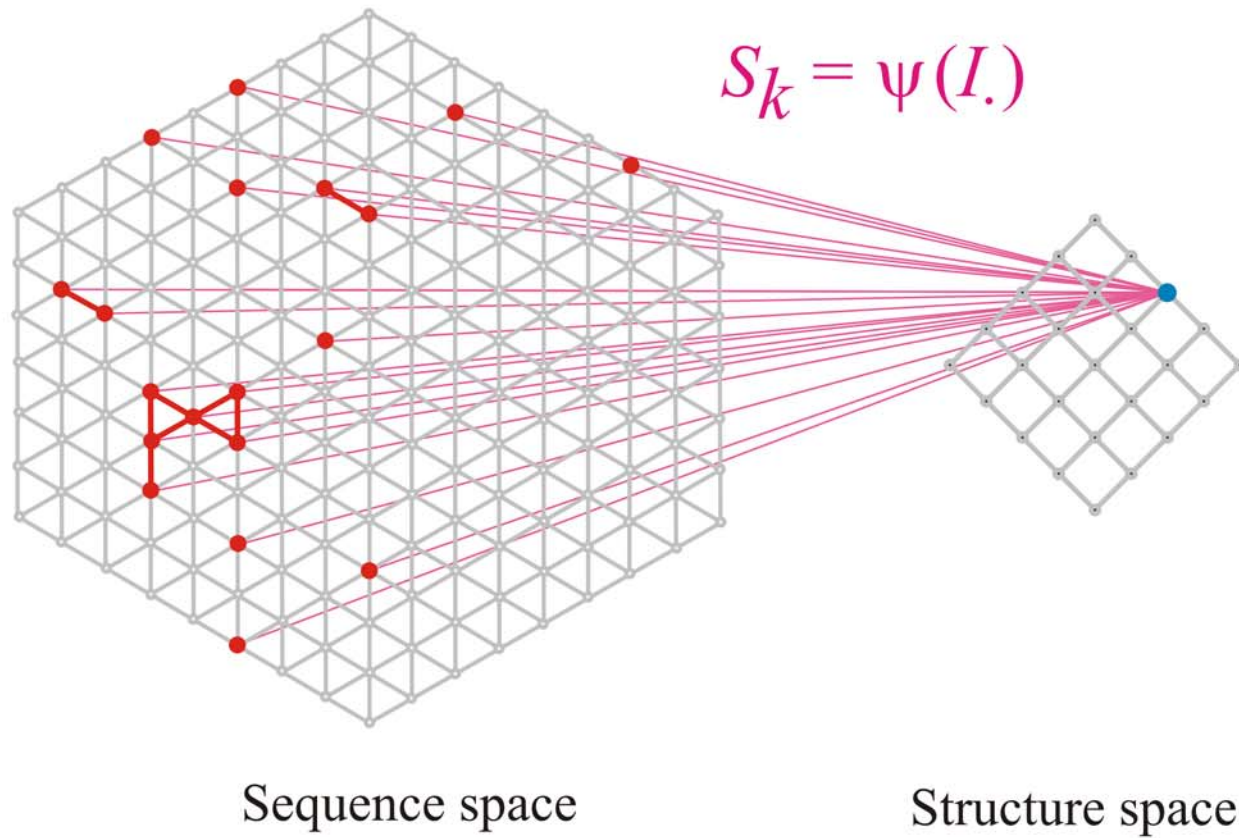




$$S_k = \psi(I.)$$

Sequence space

Structure space



The pre-image of the structure S_k in sequence space is the **neutral network** G_k

Space of sequences: $I = \{I_1, I_2, I_3, I_4, \dots, I_N\}$; Hamming metric

Space of structures: $S = \{S_1, S_2, S_3, S_4, \dots, S_M\}$; metric (not required)

$$N \gg M$$

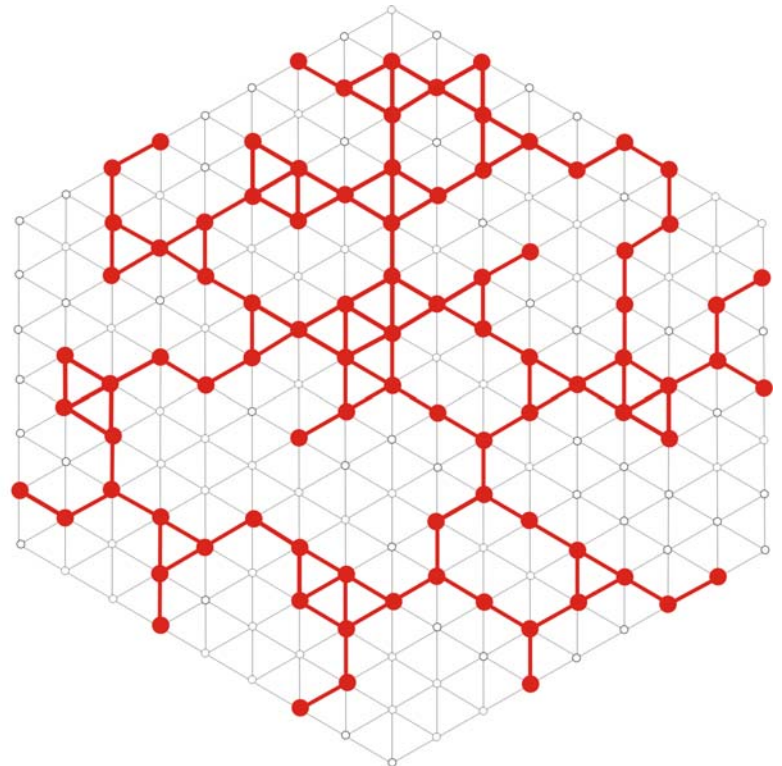
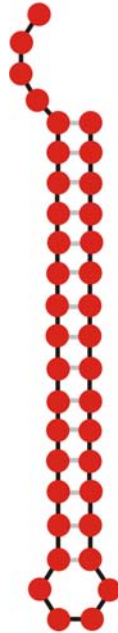
$$\psi(I_j) = S_k$$

Neutral network: $G_k = \psi^{-1}(S_k) \doteq \{I_j \mid \psi(I_j) = S_k\}$

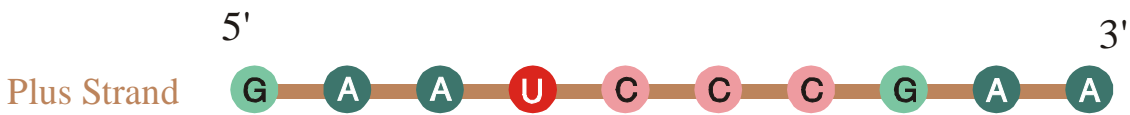
A mapping ψ and its inversion

Properties of RNA sequence to secondary structure mapping

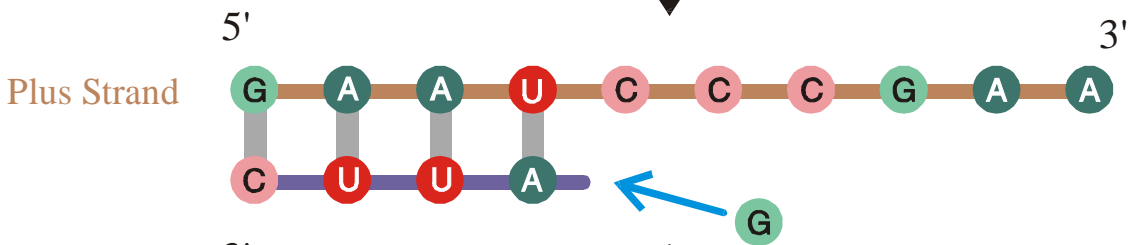
1. More sequences than structures
2. Few common versus many rare structures
3. Shape space covering of common structures
4. Neutral networks of common structures are connected



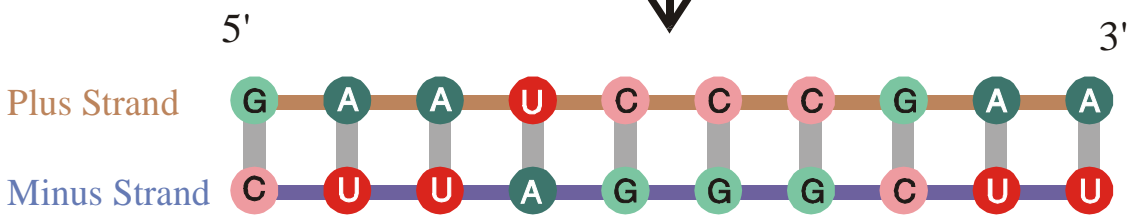
1. Folding and inverse folding of RNA
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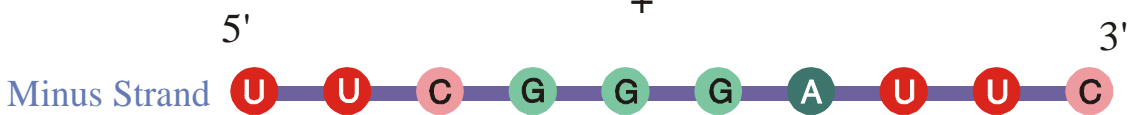
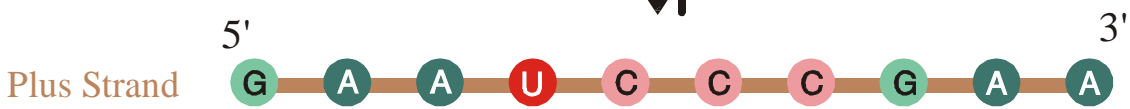
Template Synthese



Template Synthese

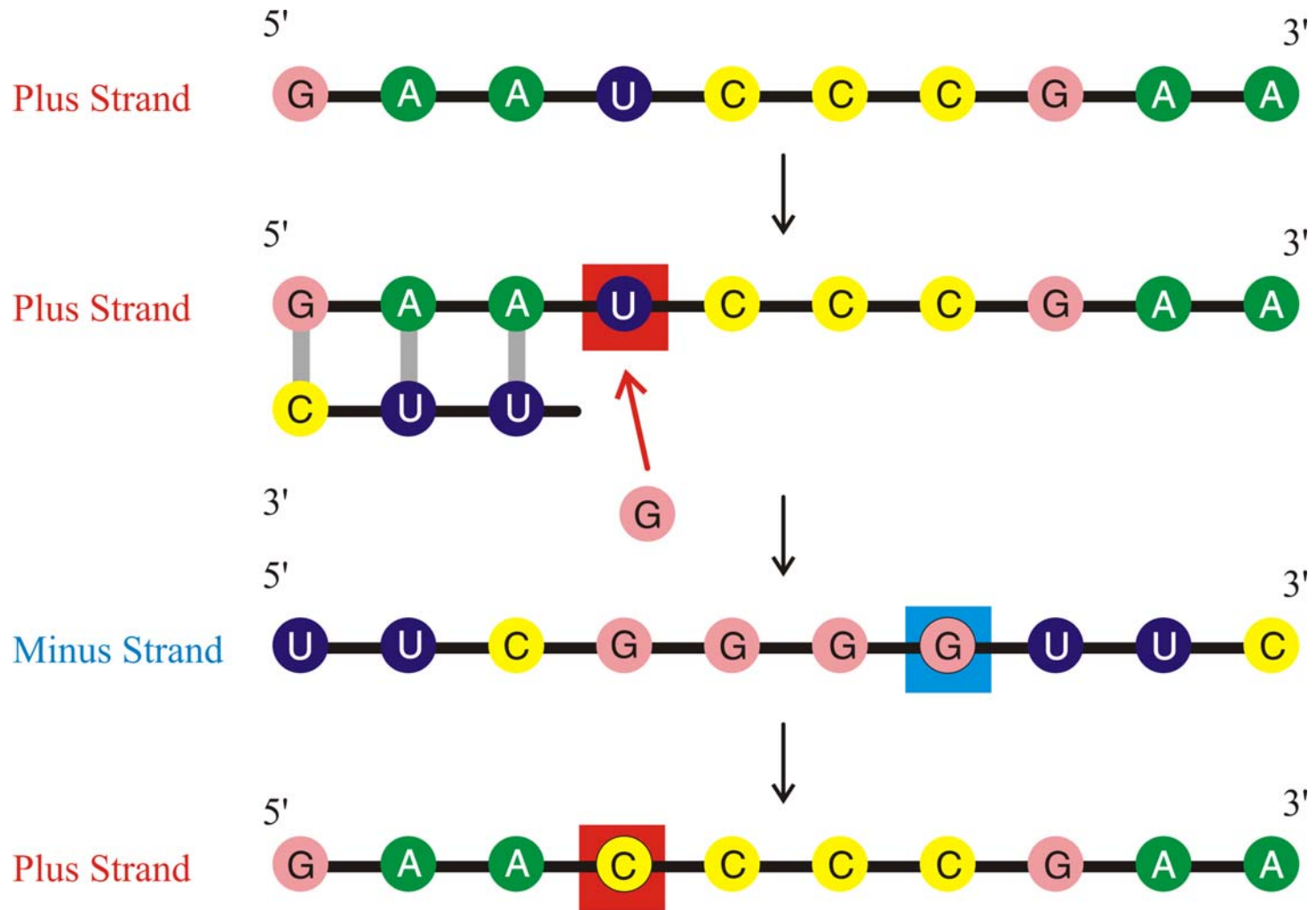


Komplexdissoziation

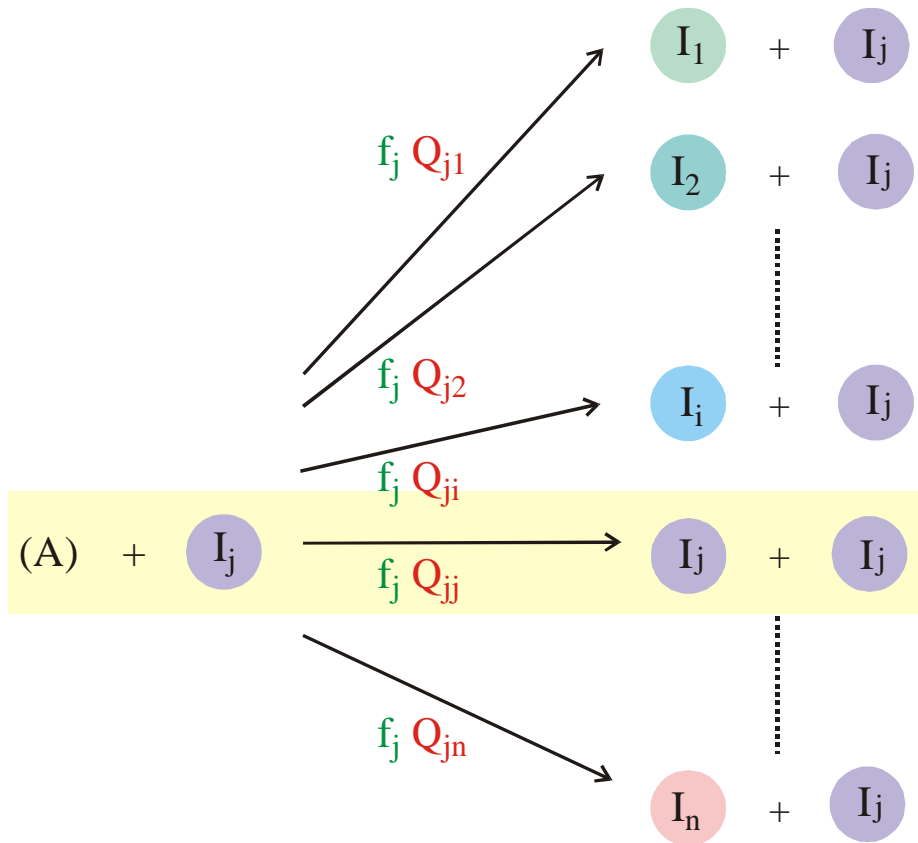


Copying of single-strand RNA-molecules:

Plus-Minus-Replication



Variation of the RNA sequence through copying errors



$$\frac{dx_i}{dt} = \sum_j f_j Q_{ji} x_j - x_i \Phi$$

$$\Phi = \sum_j f_j x_j ; \quad \sum_j x_j = 1 ; \quad \sum_i Q_{ij} = 1$$

$$[I_i] = x_i \geq 0 ; \quad i = 1, 2, \dots, n ;$$

$$[A] = a = \text{constant}$$

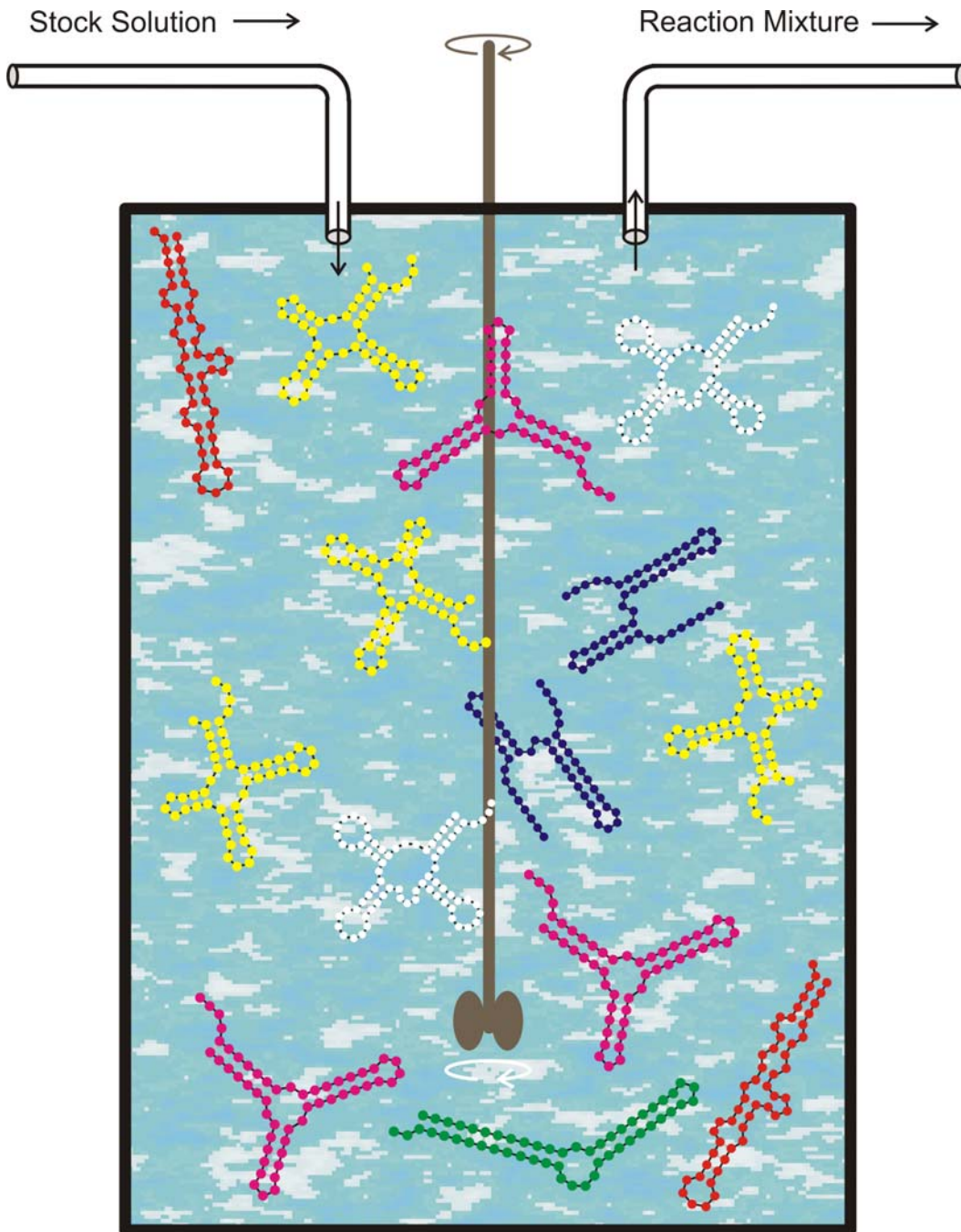
$$Q_{ij} = (1-p)^{\ell-d(i,j)} p^{d(i,j)}$$

p Error rate per digit

ℓ Chain length of the polynucleotide

$d(i,j)$ Hamming distance between I_i and I_j

Chemical kinetics of replication and mutation as parallel reactions



Replication rate constant:

$$f_k = \gamma / [\alpha + \Delta d_S^{(k)}]$$

$$\Delta d_S^{(k)} = d_H(S_k, S_\tau)$$

Selection constraint:

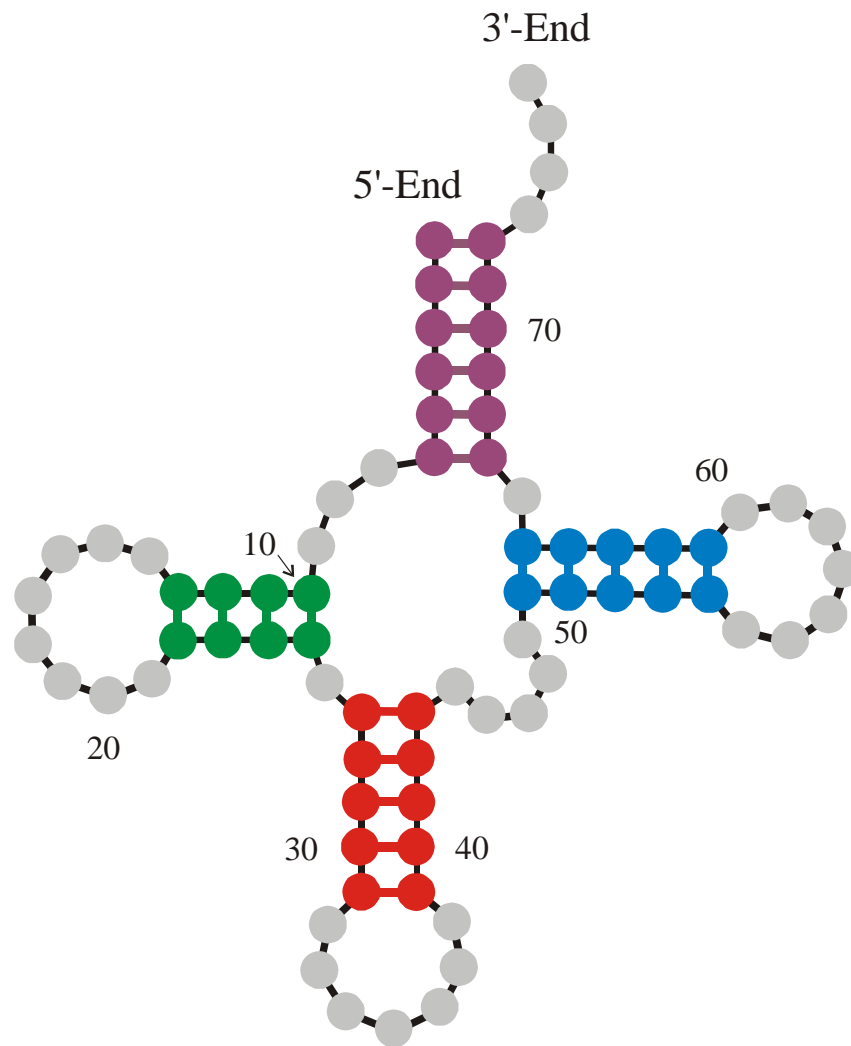
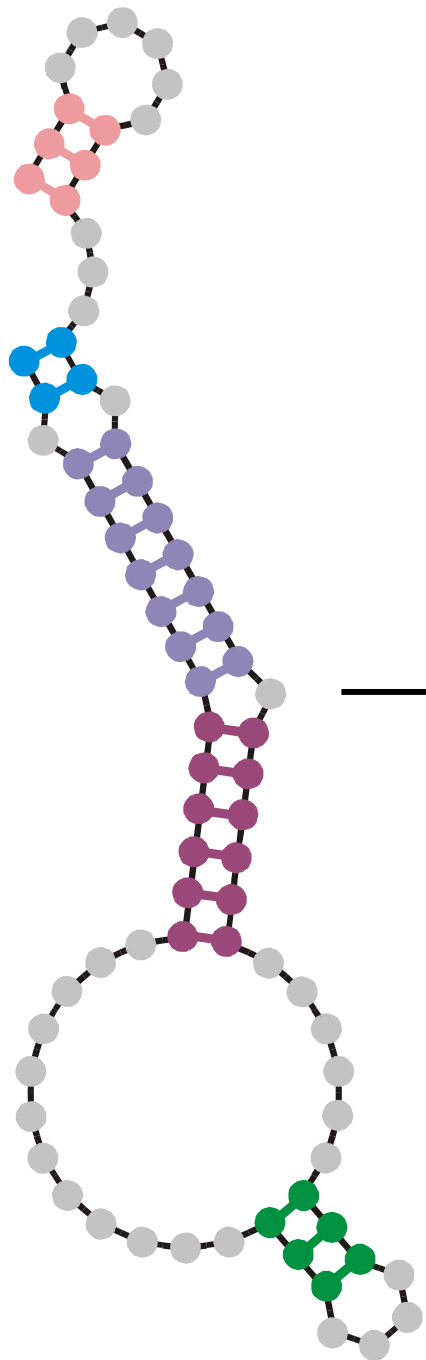
Population size, $N = \#$ RNA molecules, is controlled by the flow

$$N(t) \approx \bar{N} \pm \sqrt{\bar{N}}$$

Mutation rate:

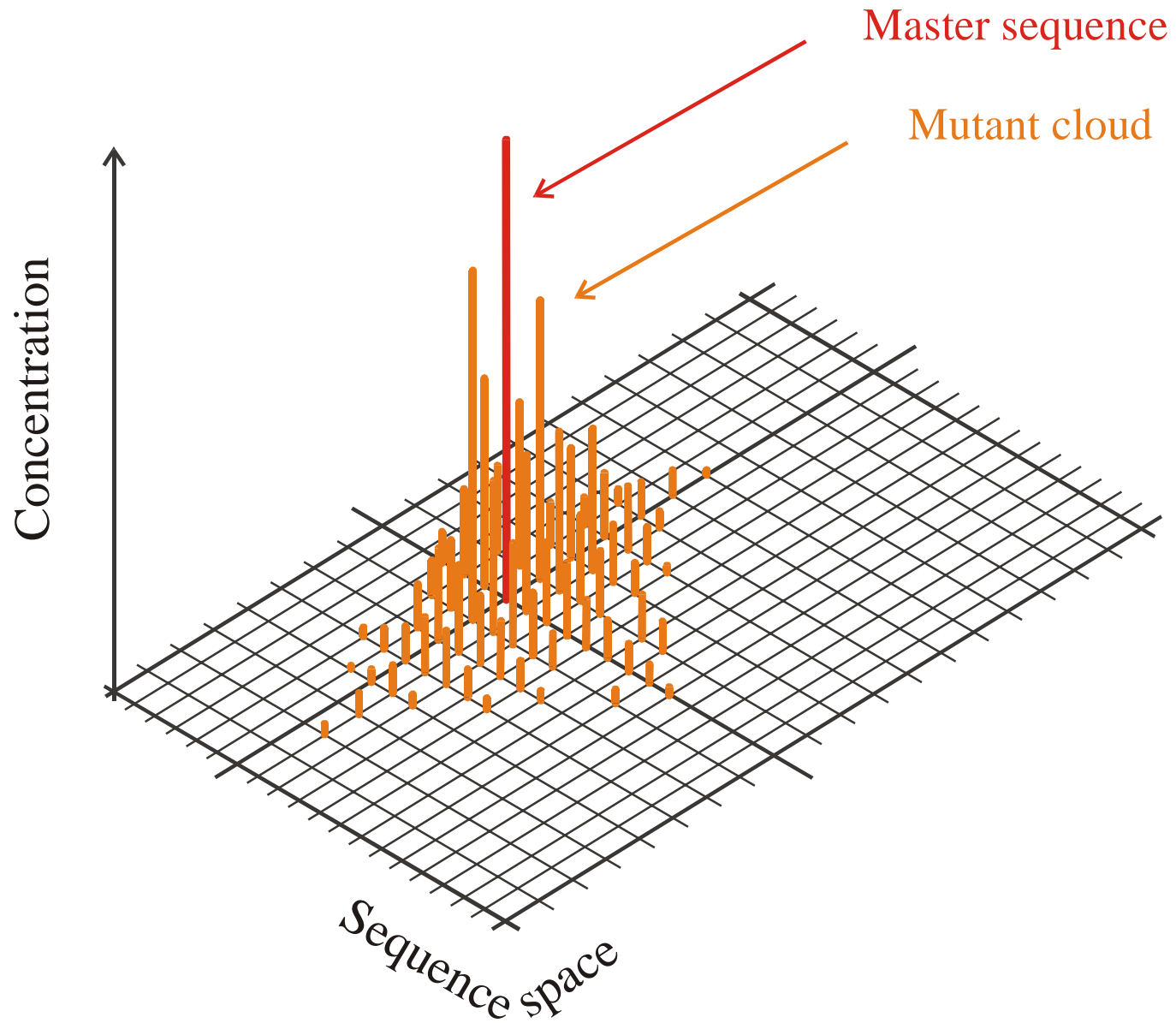
$p = 0.001 / \text{site} \times \text{replication}$

The flowreactor as a device for **studies** of evolution *in vitro* and *in silico*

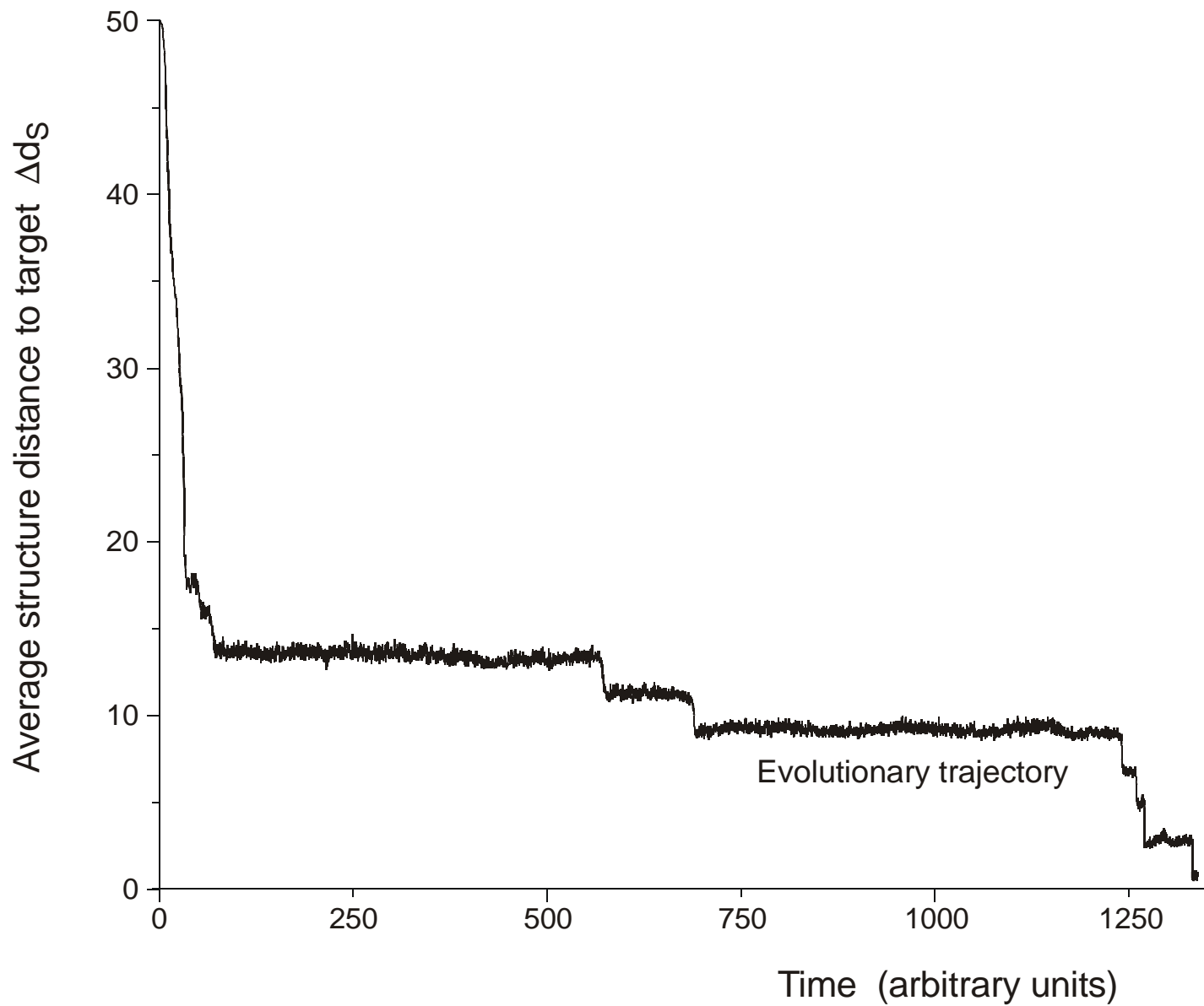


Randomly chosen
initial structure

Phenylalanyl-tRNA as
target structure

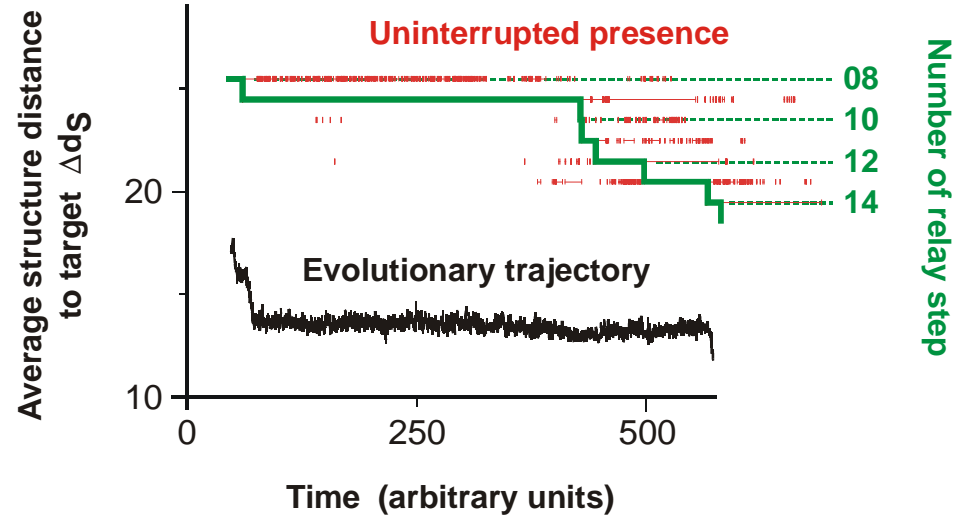


The molecular quasispecies in sequence space



In silico optimization in the flow reactor: Evolutionary trajectory

28 neutral point mutations during a long quasi-stationary epoch

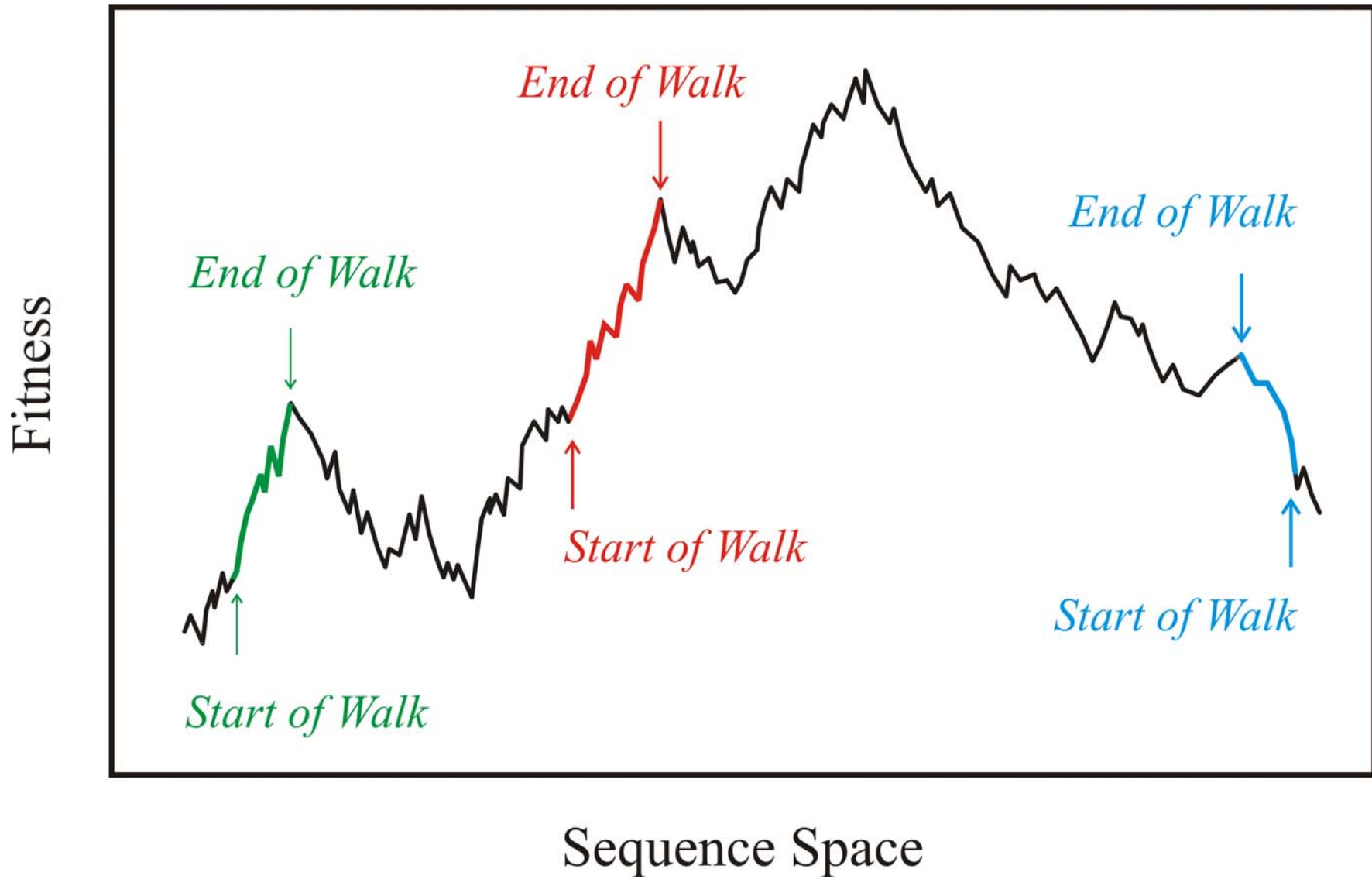


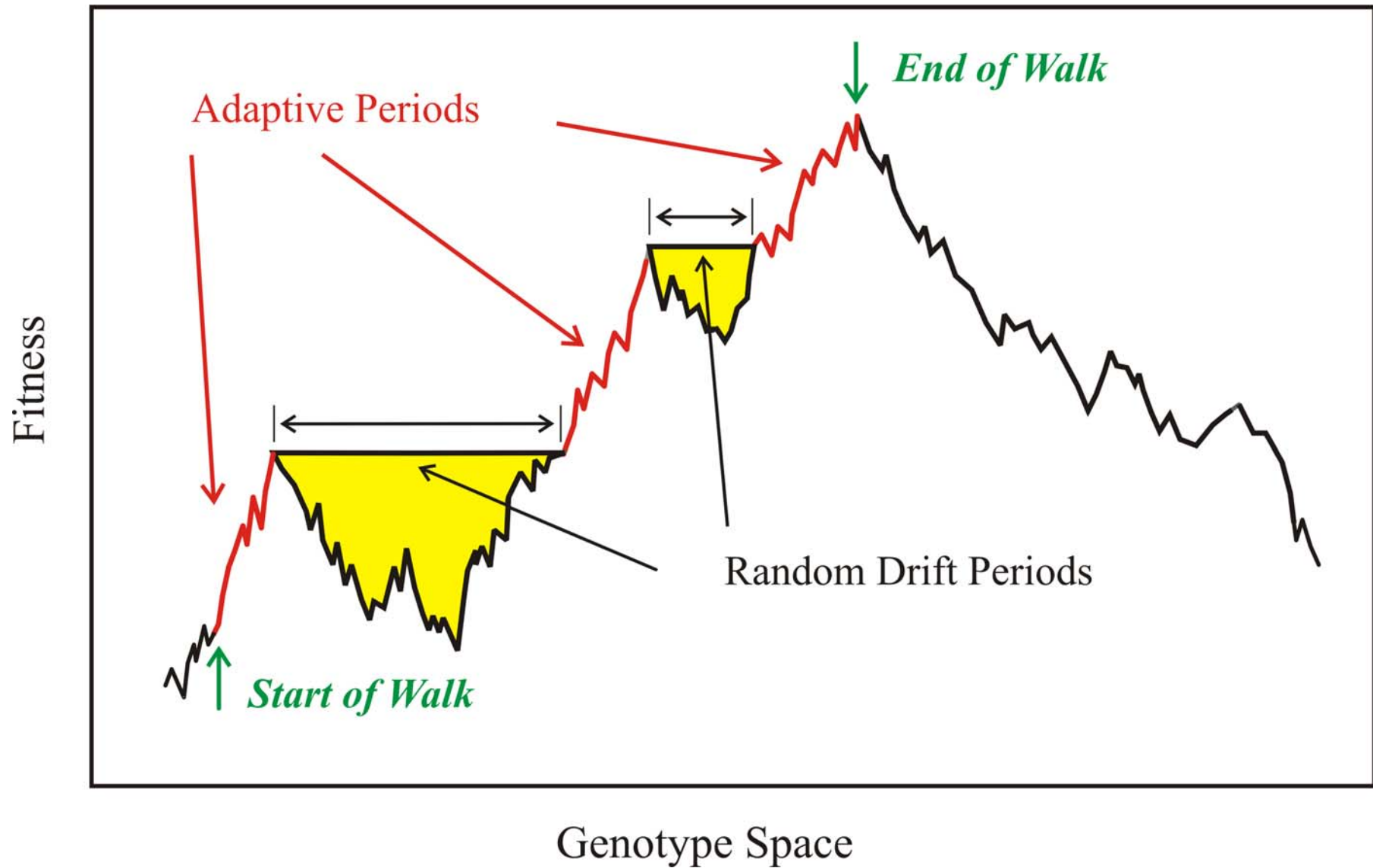
| | | |
|-------|--|--|
| entry | GGUAUGGGCGUUGAAUAGUAGGGUUUAAACCAAUCGG | CAACGAUCUCGUGUGCGCAUUUCAUAUCCCGUACAGAA |
| 8 | .((((((((((((((.....(((.....)))).....)))))).....((((.....))))))))))..... | |
| exit | GGUAUGGGCGUUGAAUA | UAGGGUUUAAACCAAUCGGCCAACGAUCUCGUGUGCGCAUUUCAUAUCCAUAACAGAA |
| entry | GGUAUGGGCGUUGAAUA | AUAGGGUUUAAACCAAUCGGCCAACGAUCUCGUGUGCGCAUUUCAUAUAACCAUACAGAA |
| 9 | .(((((((.....((((.....)))).....)))))).....((((.....))))))..... | |
| exit | UGGAUGGACGUUGAAUAACAAGGUAUCGACCAAACAACCAACGAGUAAGUGUGUACGCCCCACACAGCGUCCCAAG | |
| entry | UGGAUGGACGUUGAAUAACAAGGUAUCGACCAAACAACCAACGAGUAAGUGUGUACGCCCCACACAGCGUCCCAAG | |
| 10 | .((((((.....((((.....)))).....)))))).....((((.....))))))..... | |
| exit | UGGAUGGACGUUGAAUAACAAGGUAUCG | ACCAAACAACCAACGAGUAAGUGUGUACGCCCCACACAGCGUCCCAAG |

Transition inducing point mutations

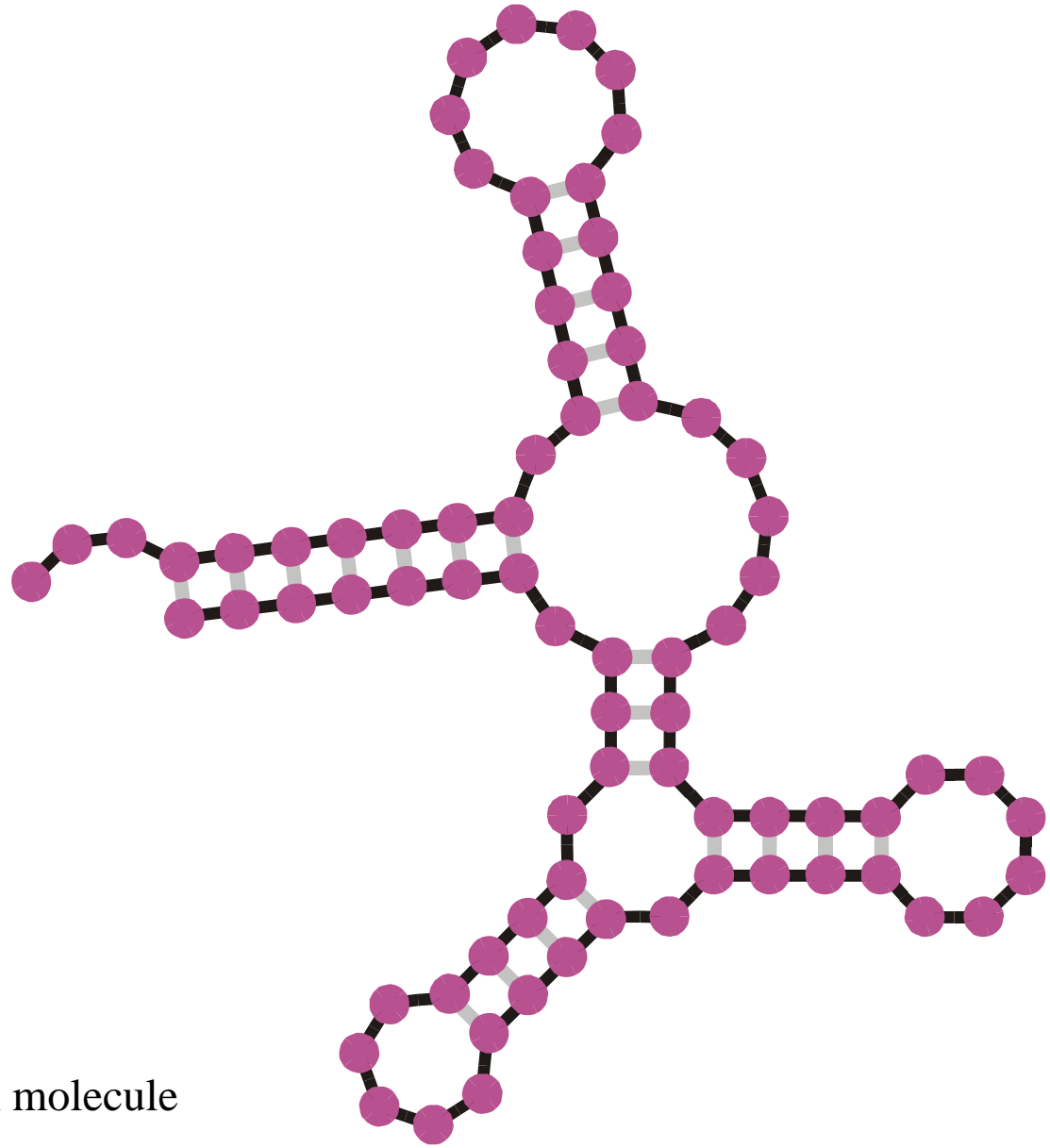
Neutral point mutations

Neutral genotype evolution during phenotypic stasis

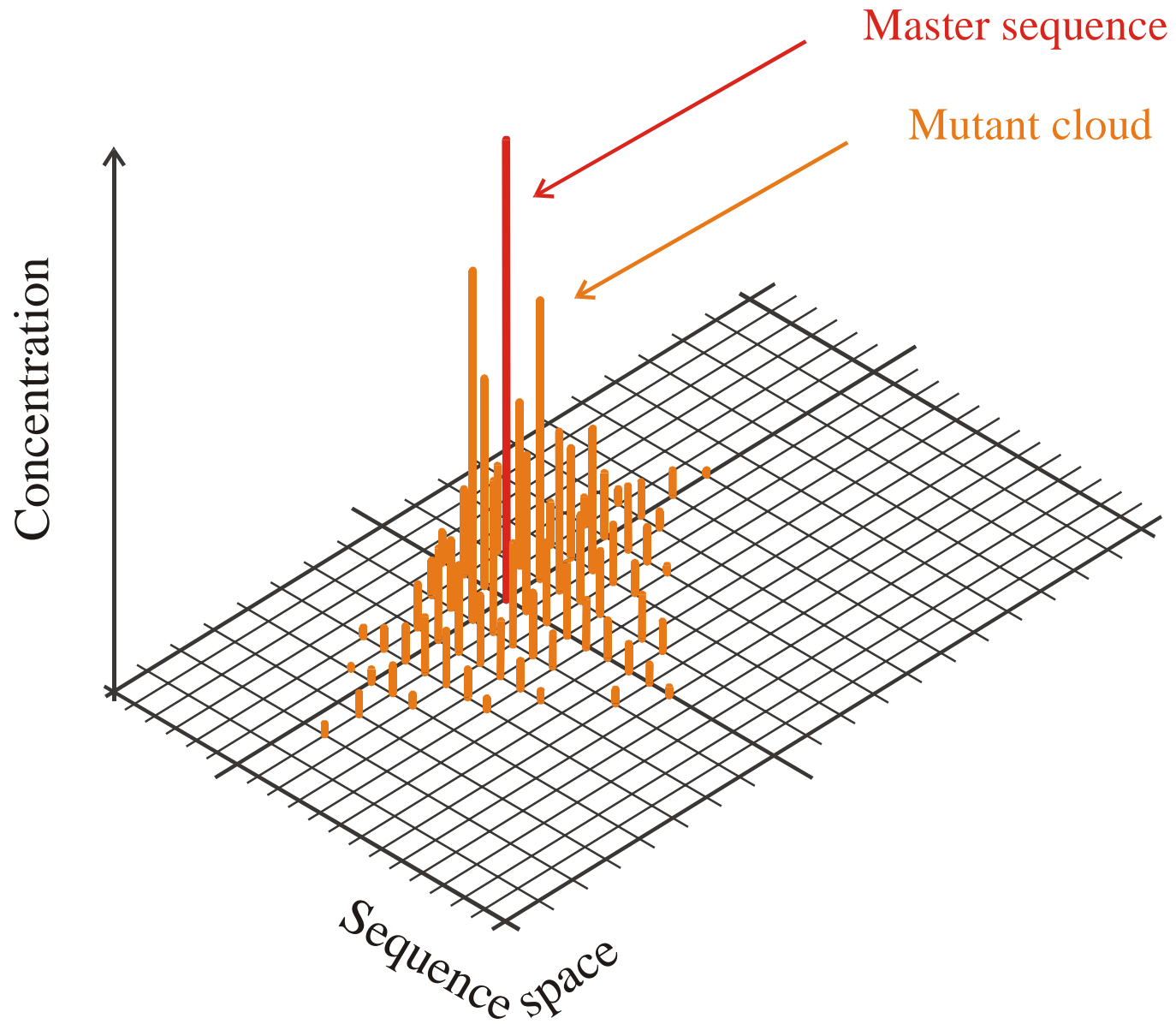




1. Folding and inverse folding of RNA
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Element in example 1: The RNA molecule

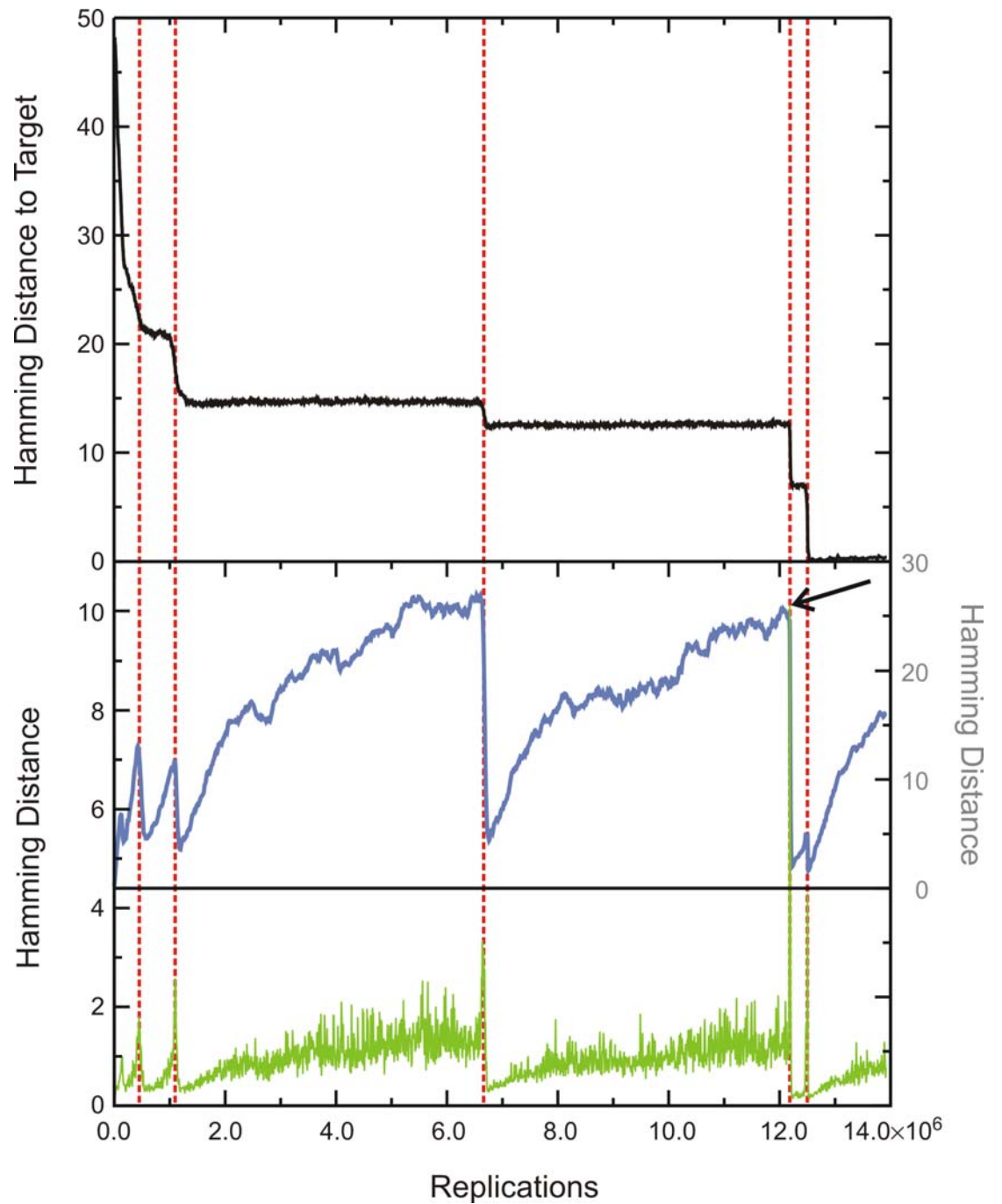


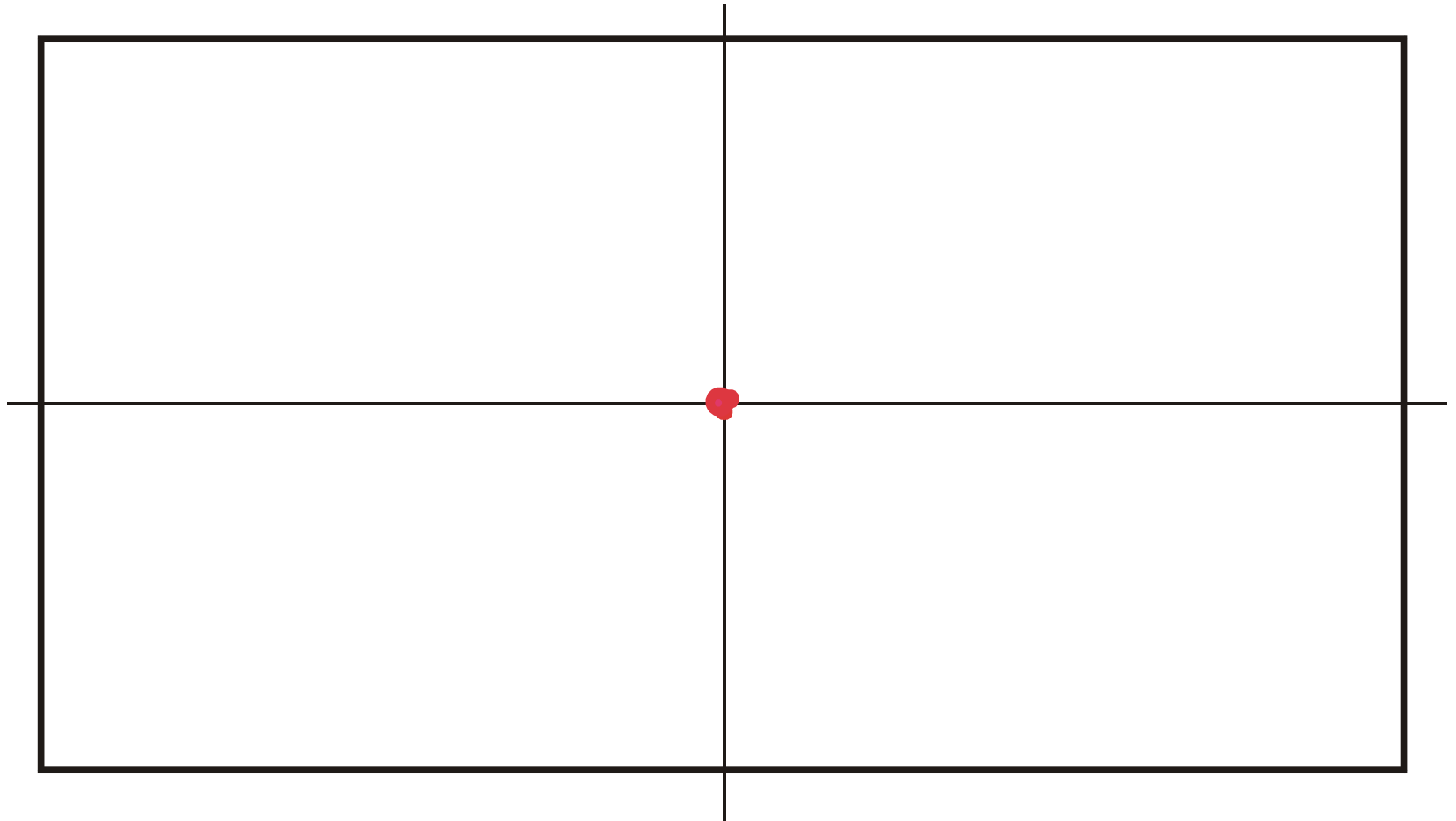
The molecular quasispecies in sequence space

Evolutionary trajectory

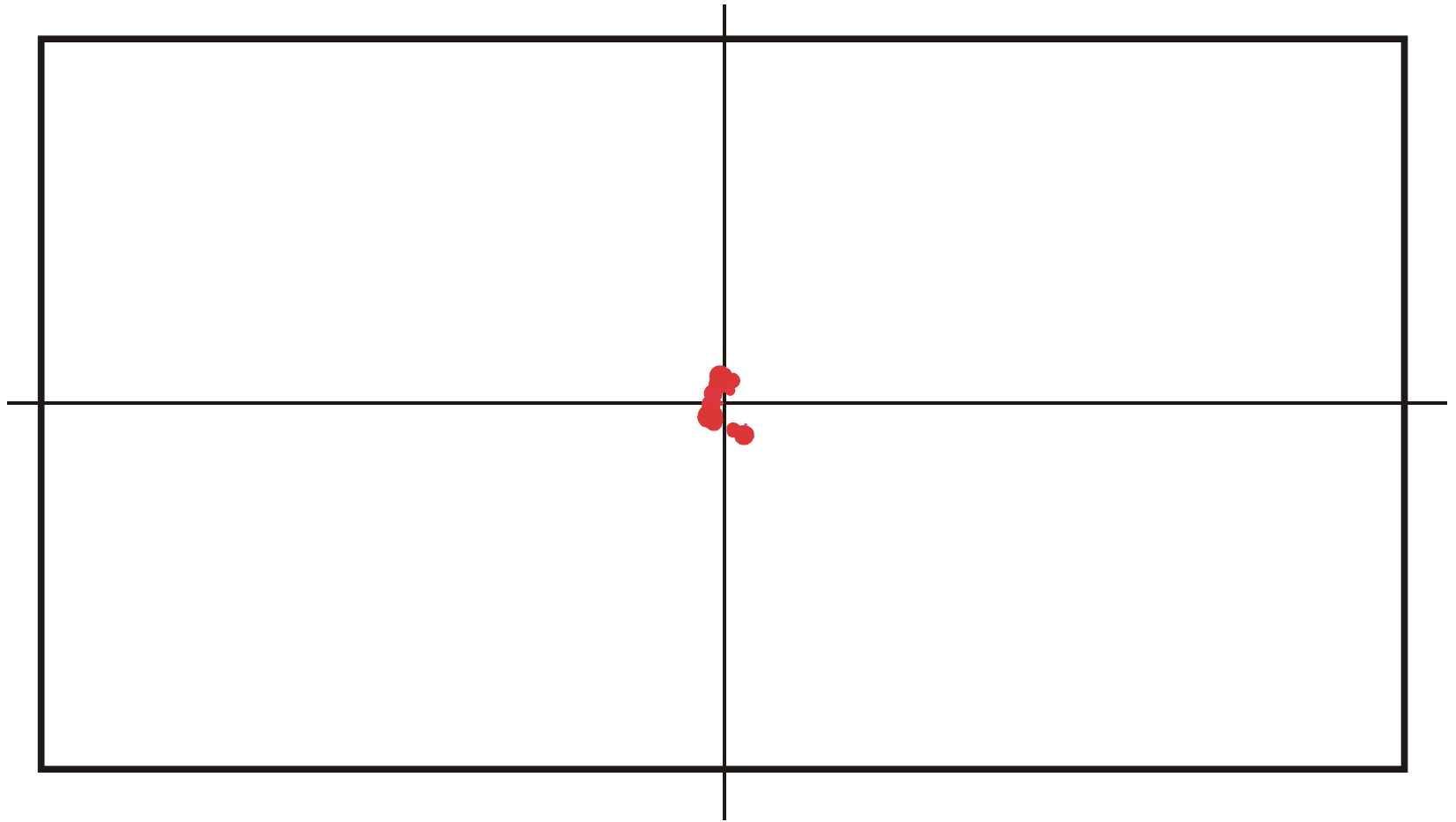
Spreading of the population through diffusion on a neutral network

Drift of the population center in sequence space

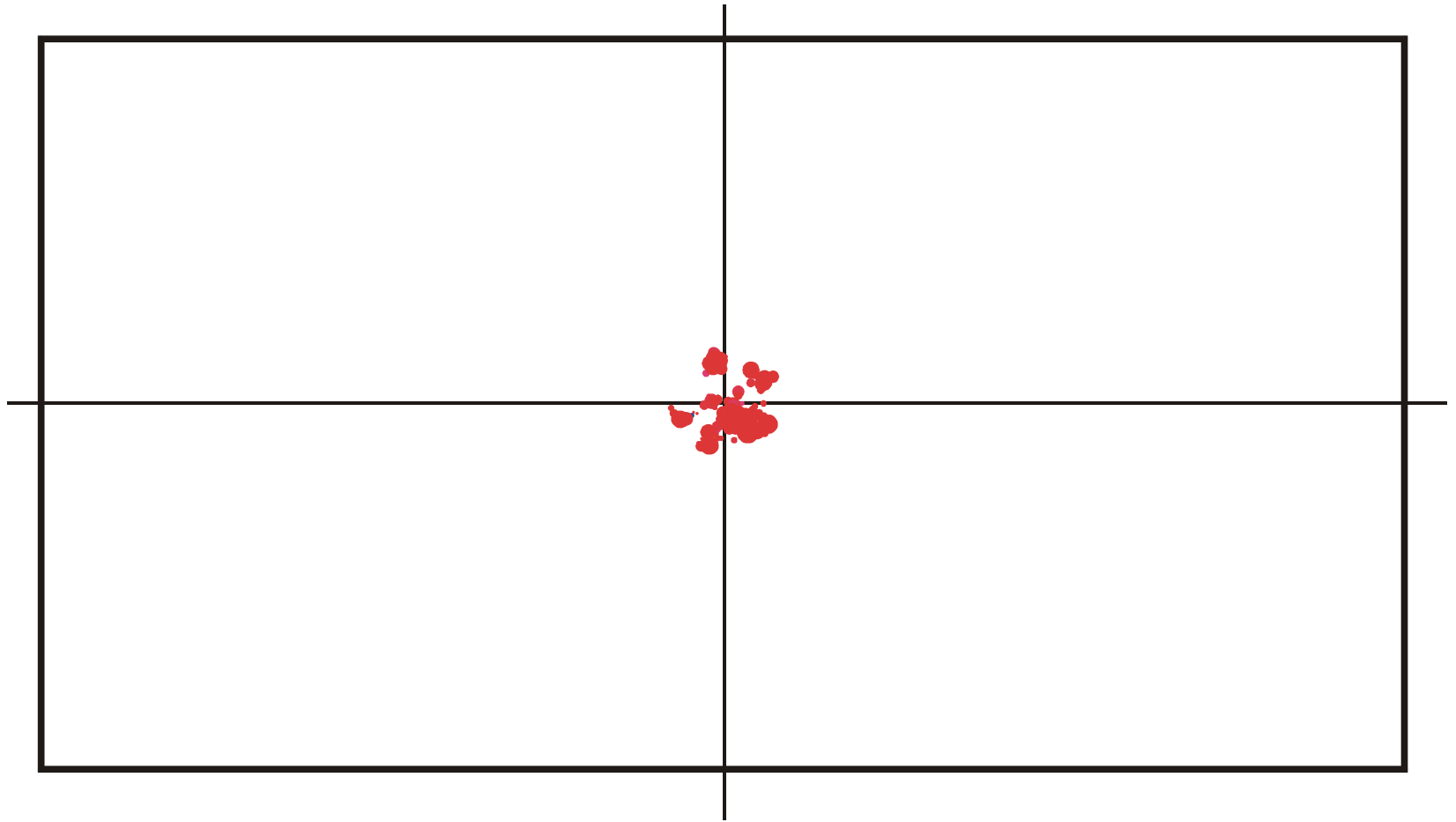




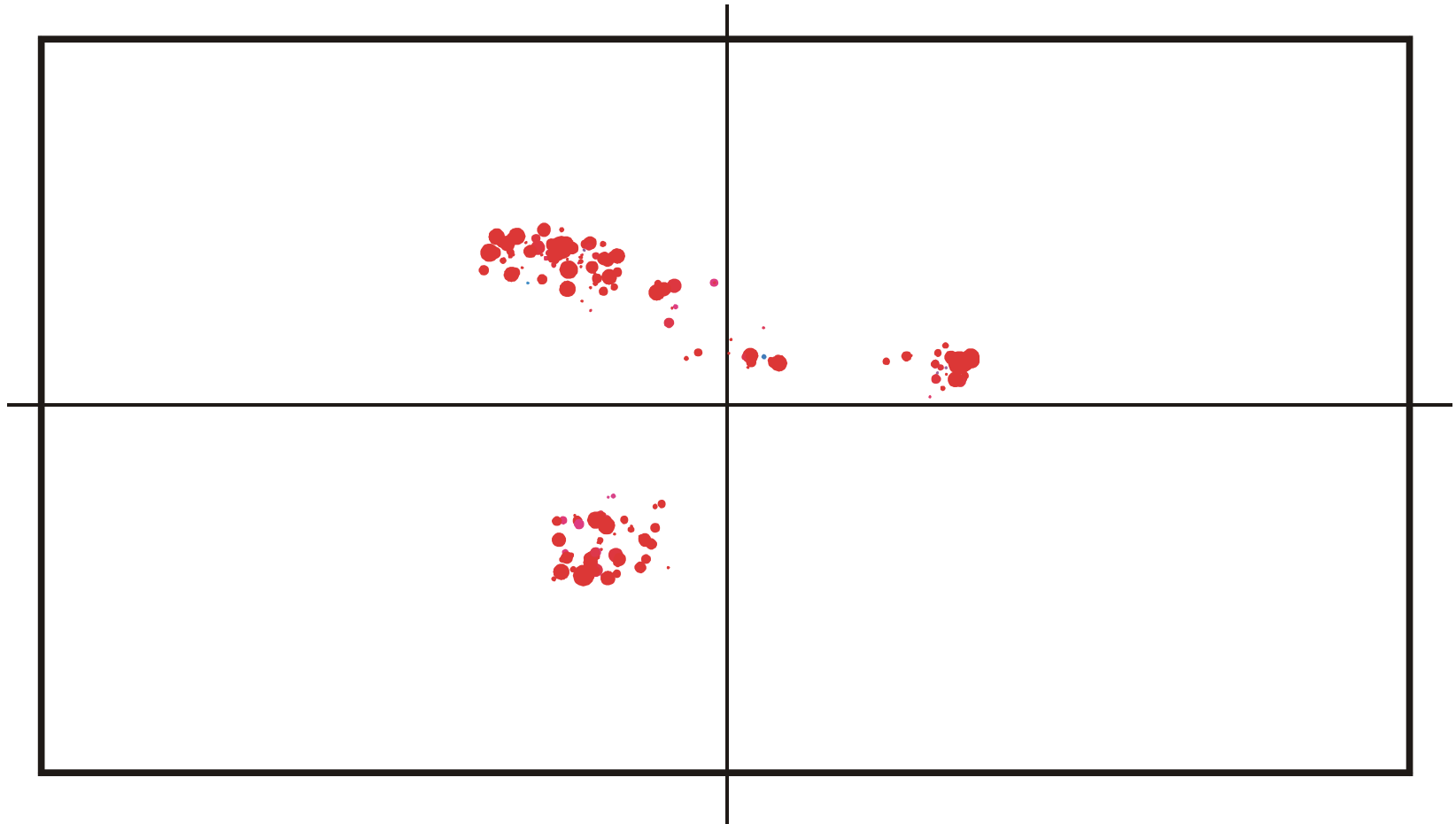
Spread of population in sequence space during a quasistationary epoch: $t = 150$



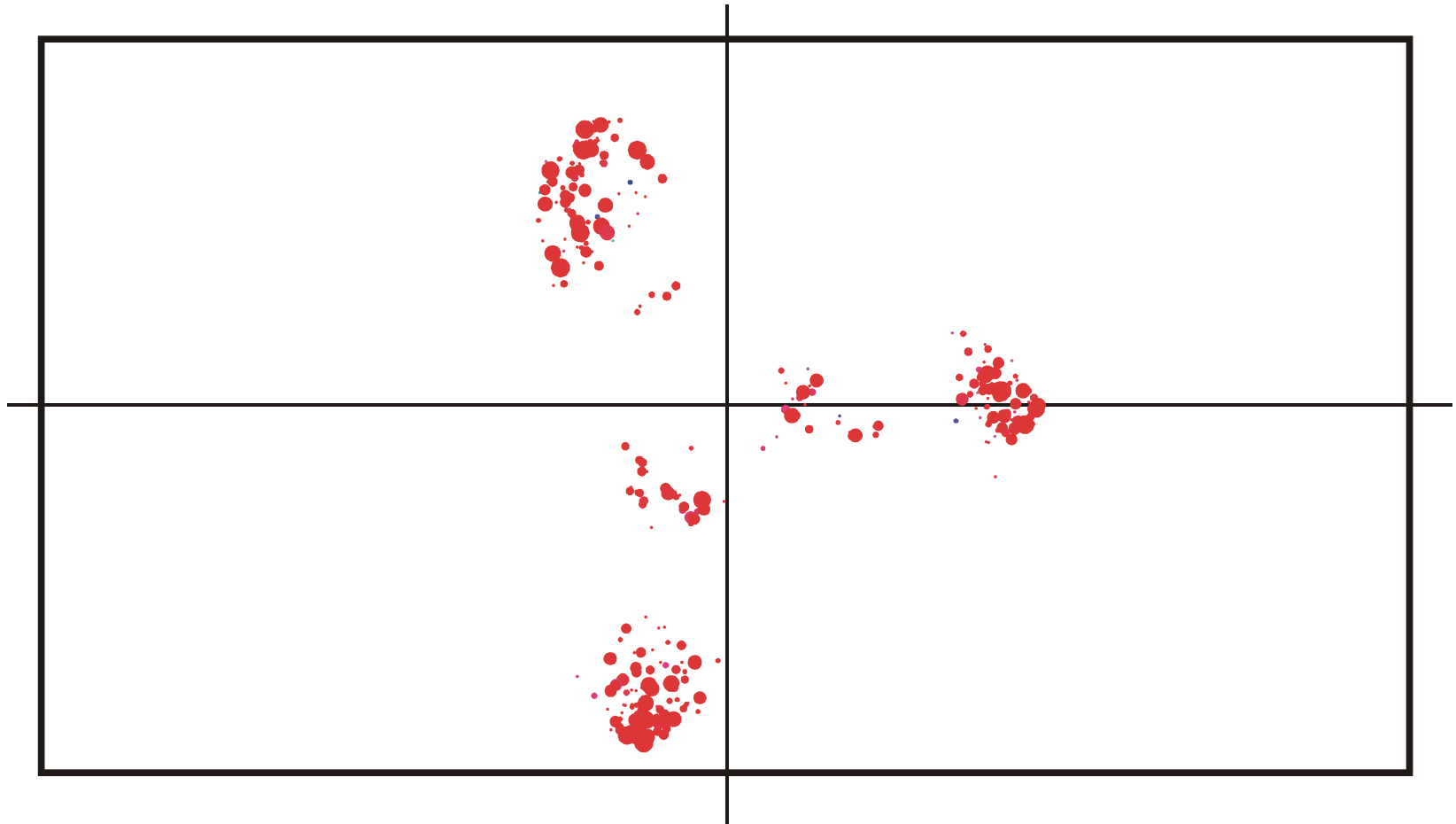
Spread of population in sequence space during a quasistationary epoch: $t = 170$



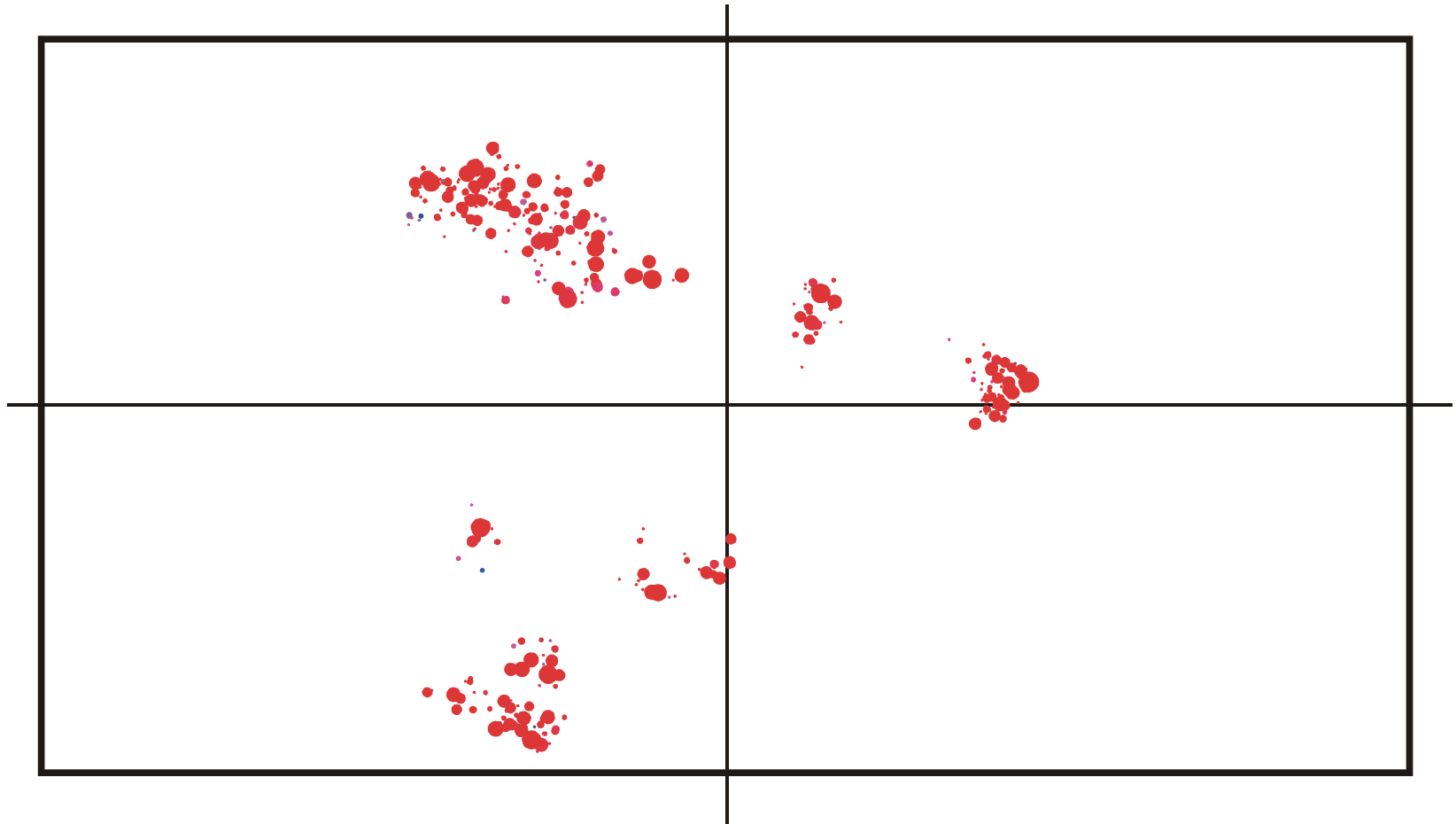
Spread of population in sequence space during a quasistationary epoch: $t = 200$



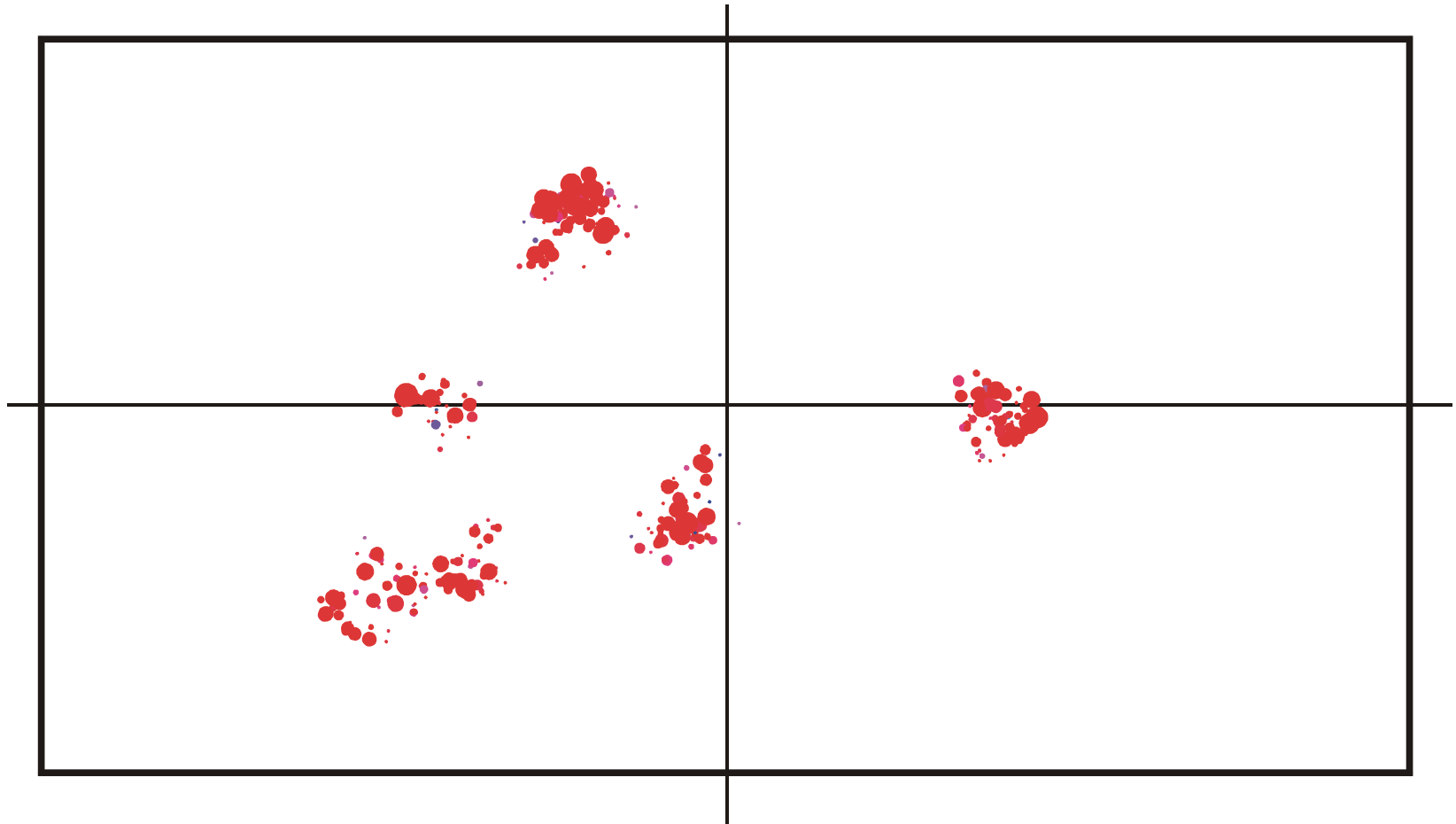
Spread of population in sequence space during a quasistationary epoch: $t = 350$



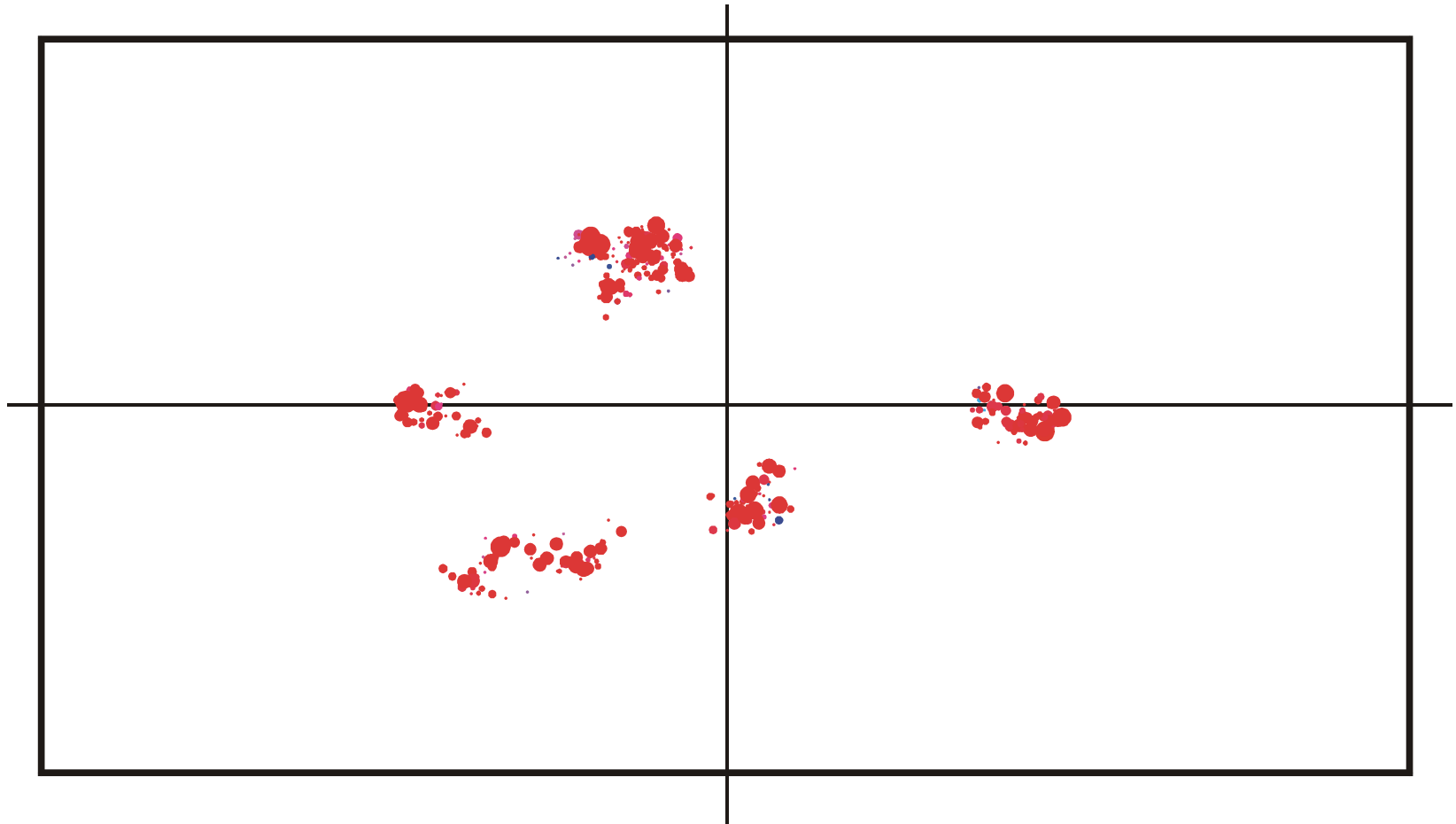
Spread of population in sequence space during a quasistationary epoch: $t = 500$



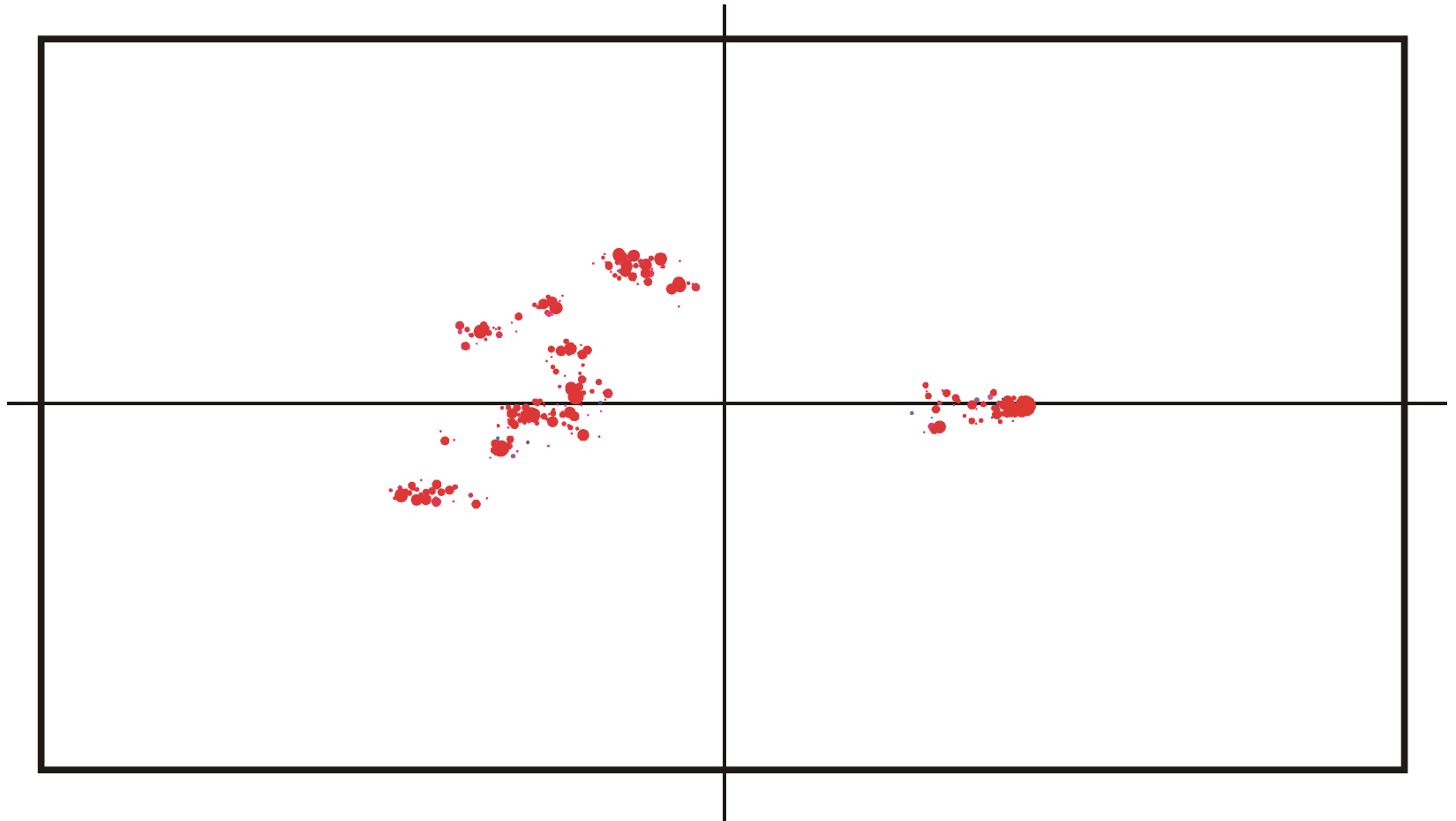
Spread of population in sequence space during a quasistationary epoch: $t = 650$



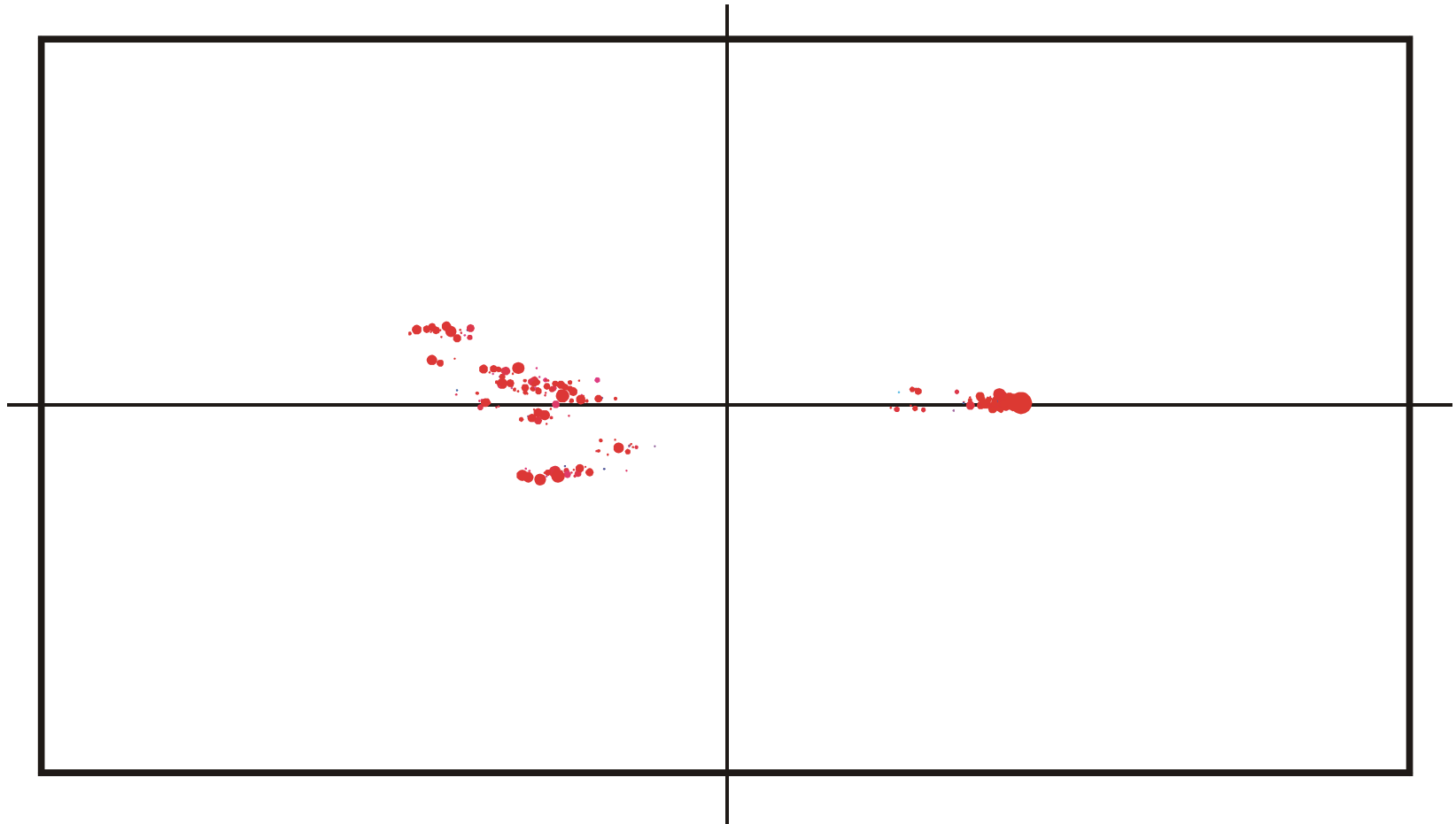
Spread of population in sequence space during a quasistationary epoch: $t = 820$



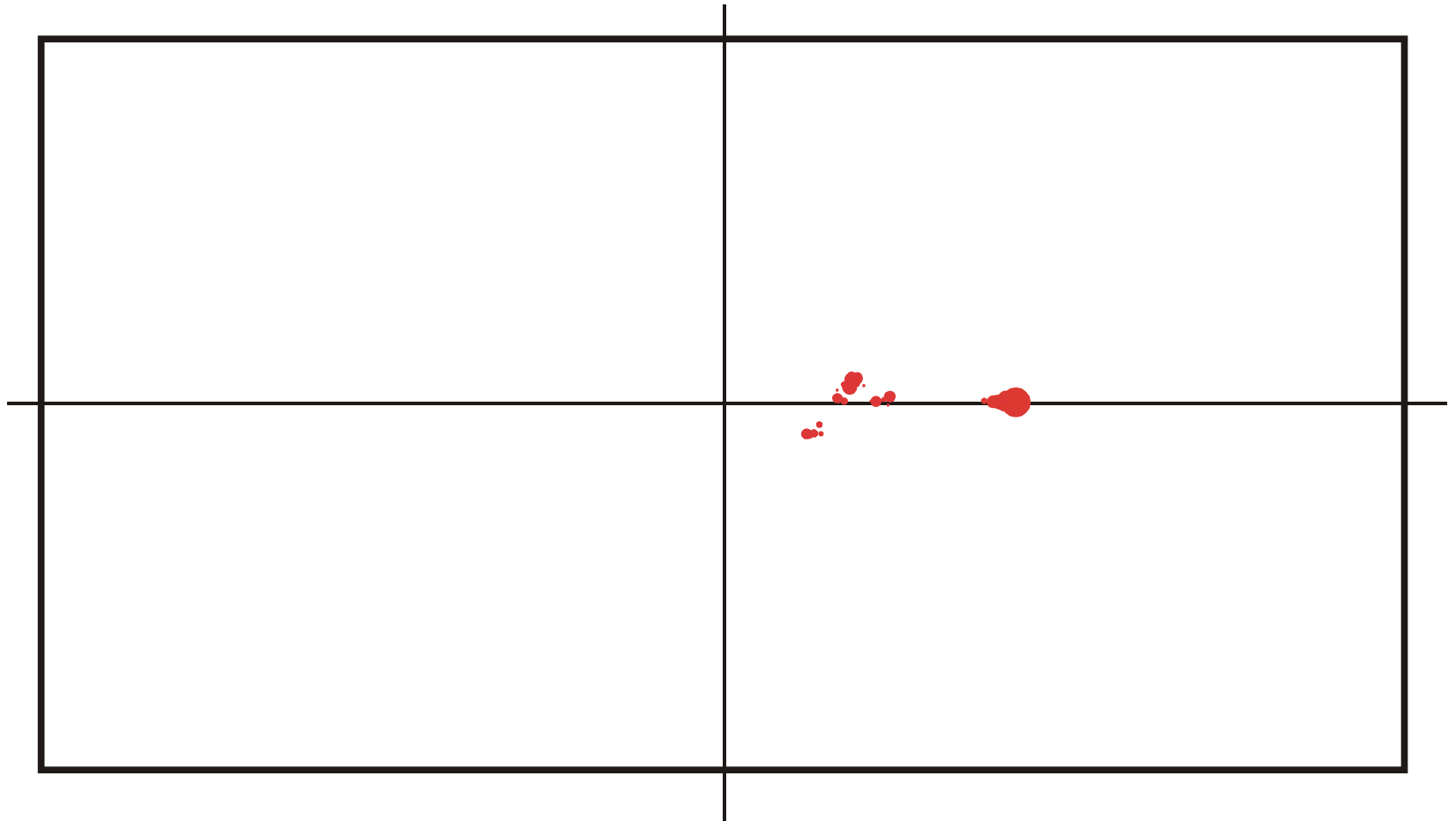
Spread of population in sequence space during a quasistationary epoch: $t = 825$



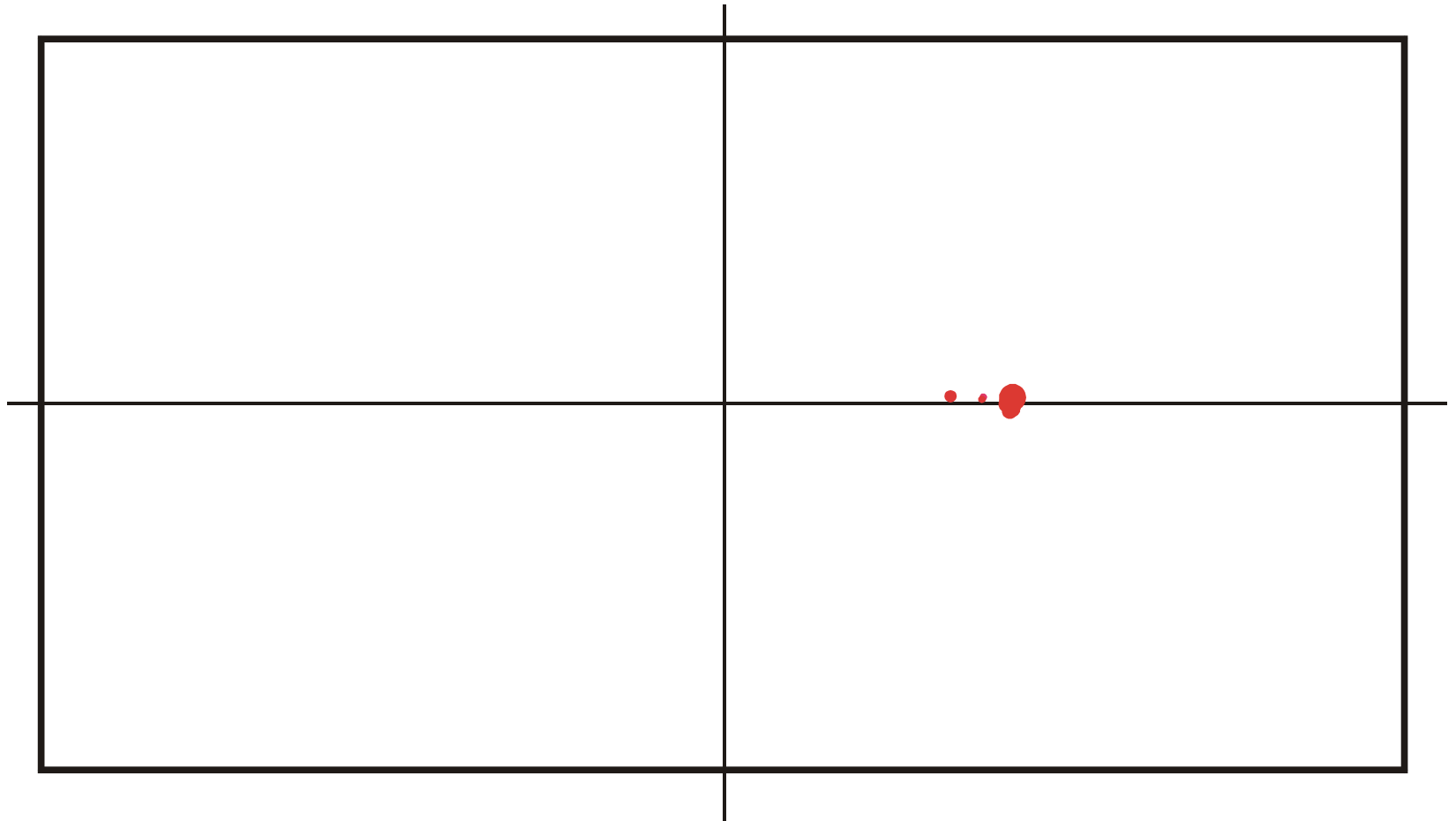
Spread of population in sequence space during a quasistationary epoch: $t = 830$



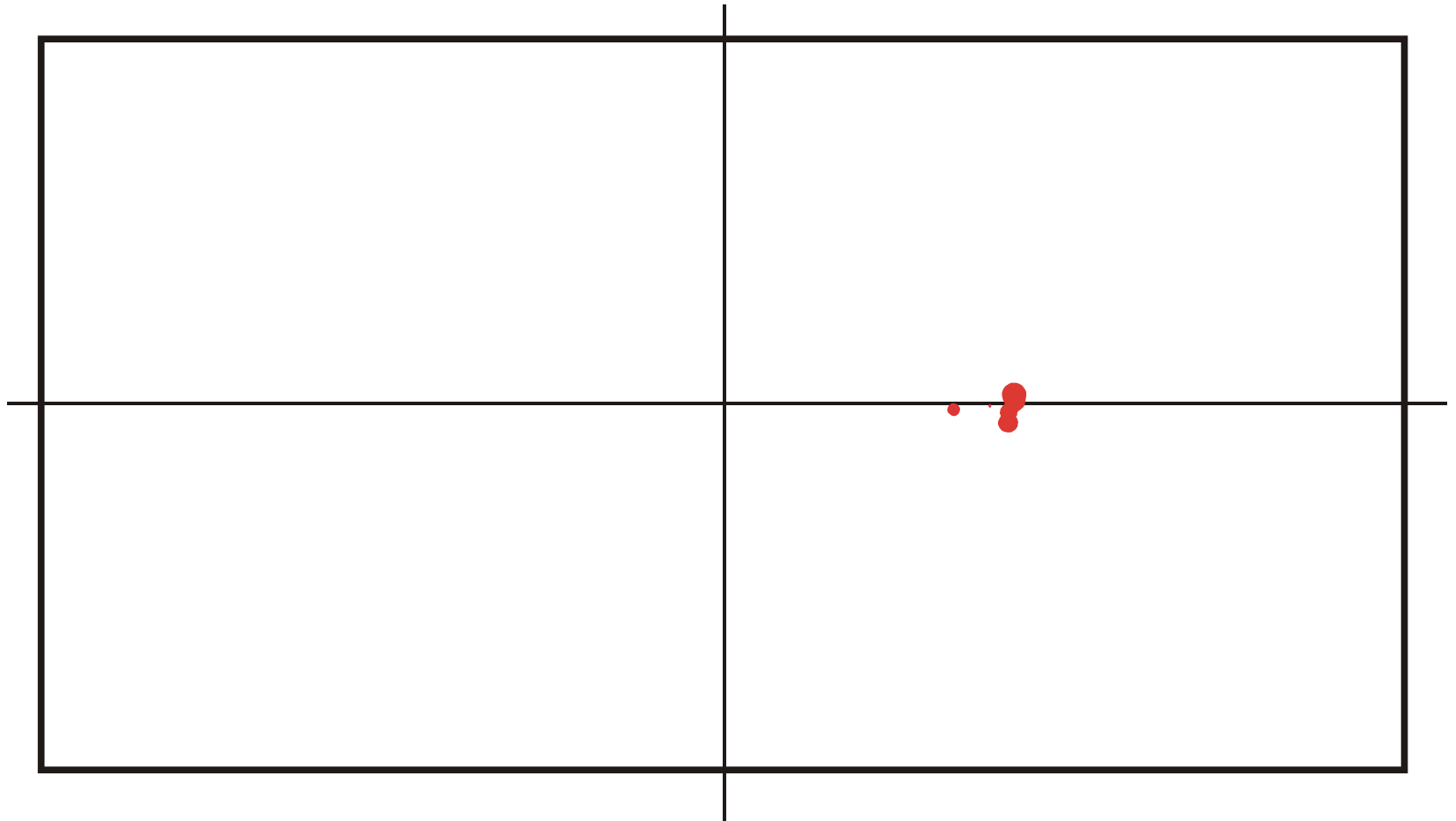
Spread of population in sequence space during a quasistationary epoch: $t = 835$



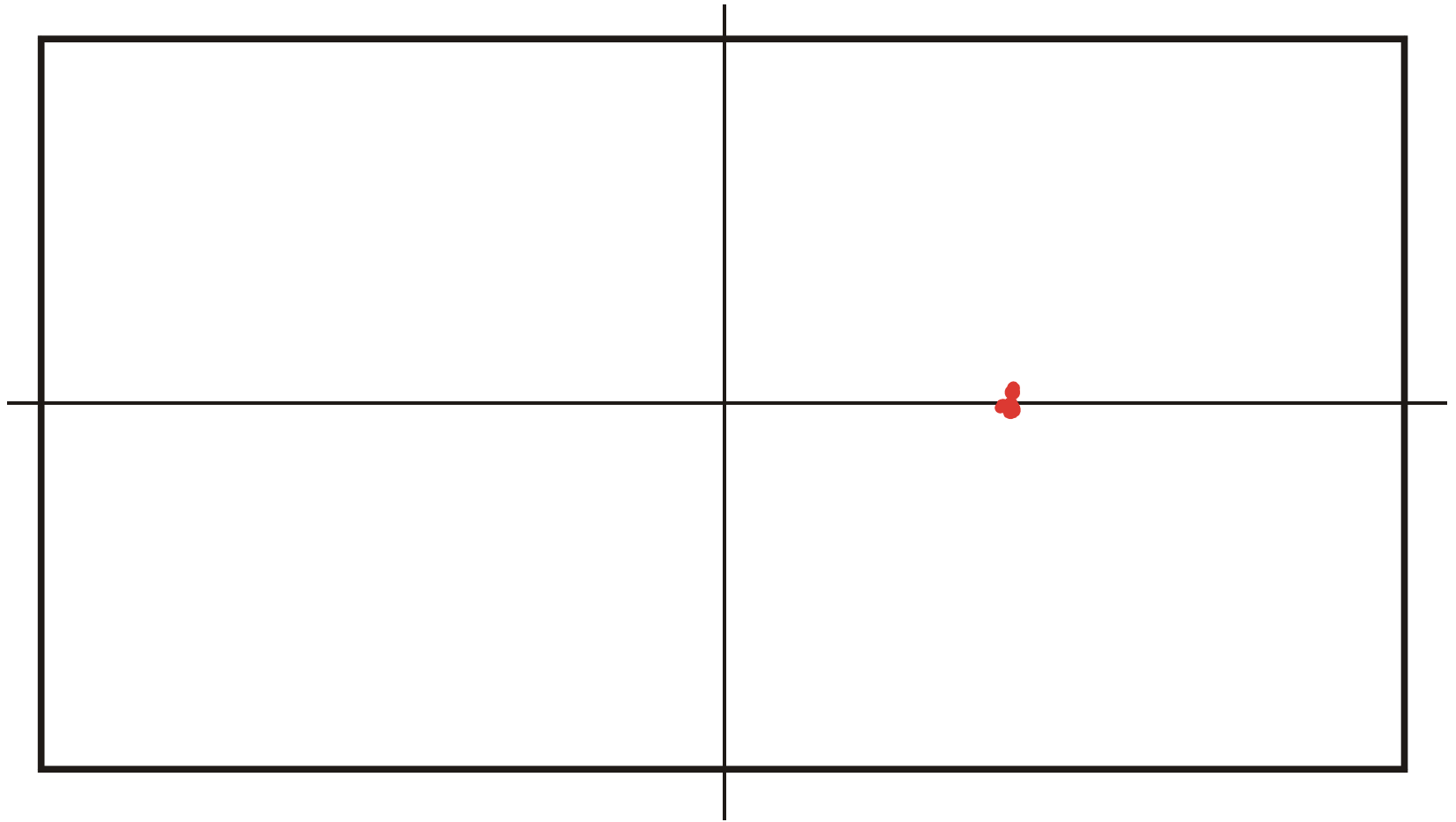
Spread of population in sequence space during a quasistationary epoch: $t = 840$



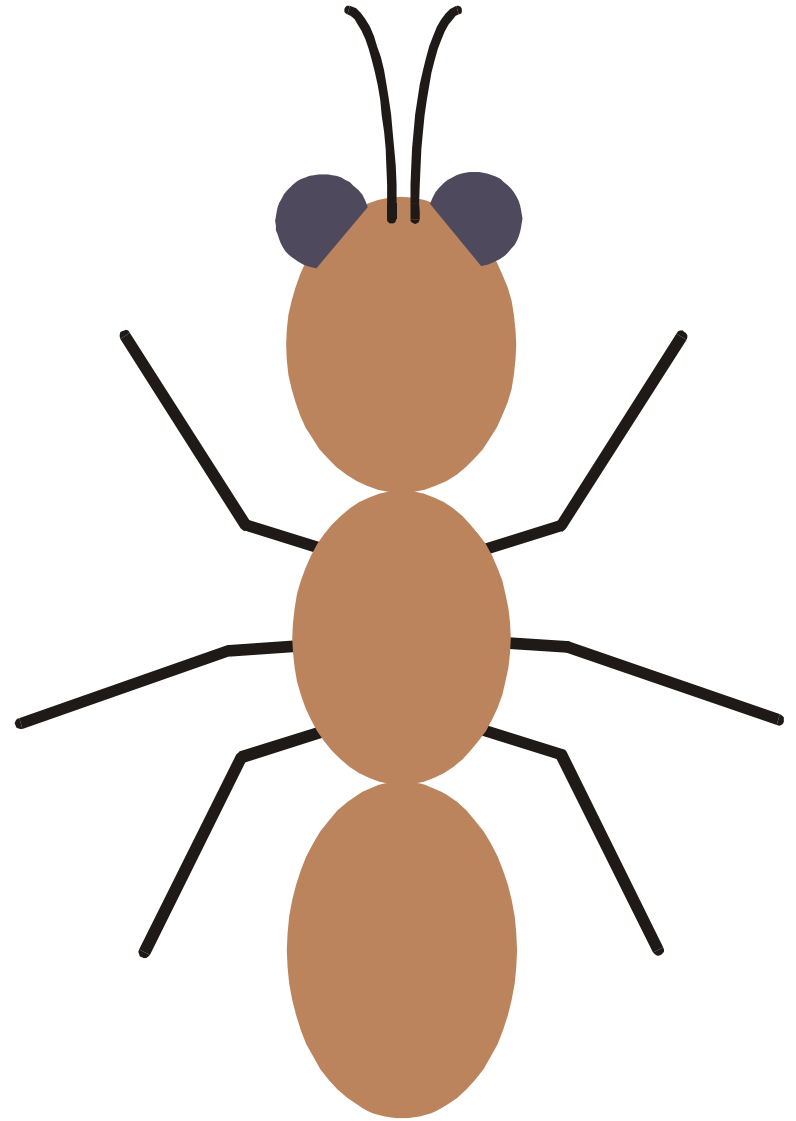
Spread of population in sequence space during a quasistationary epoch: $t = 845$



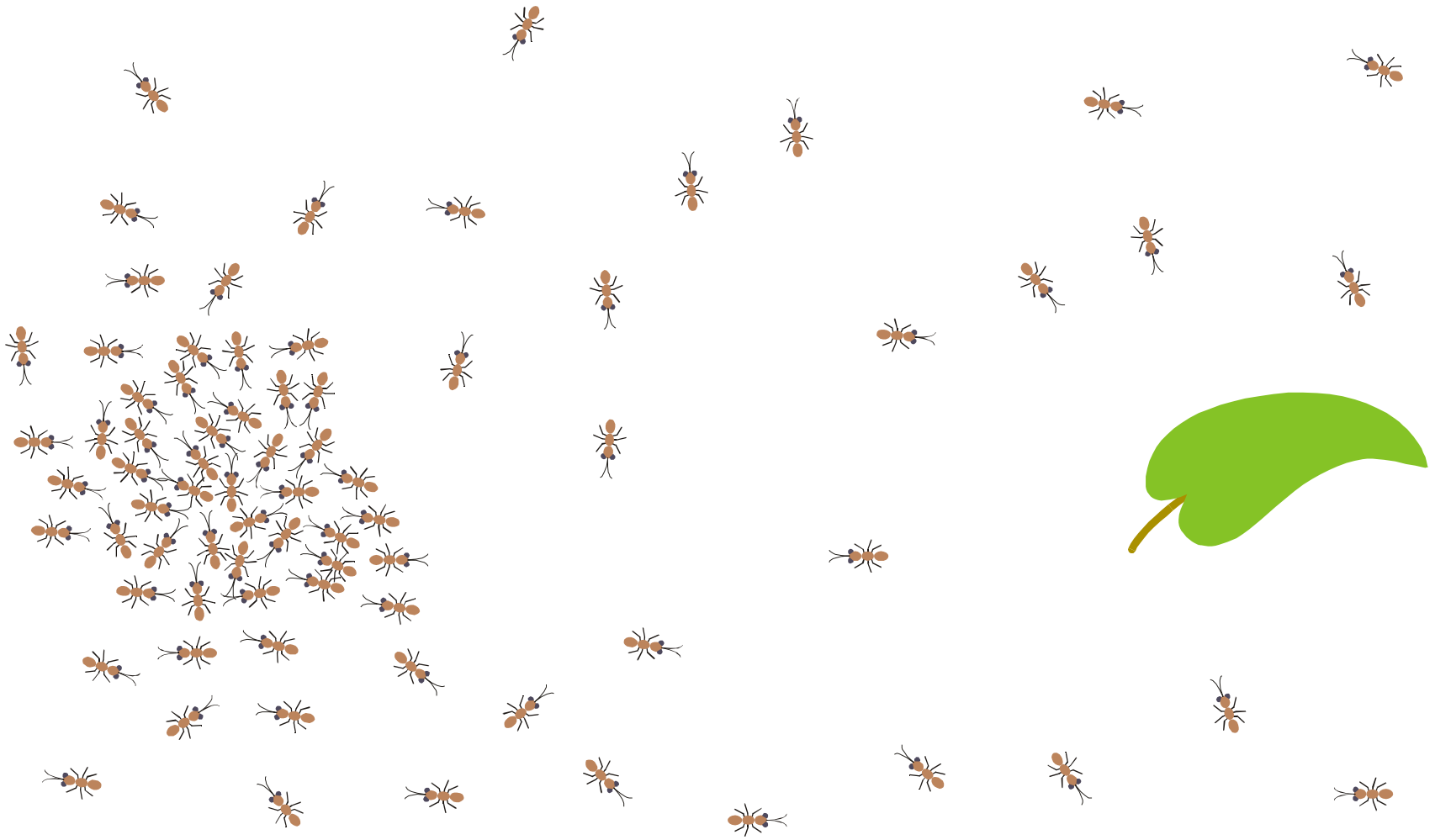
Spread of population in sequence space during a quasistationary epoch: $t = 850$



Spread of population in sequence space during a quasistationary epoch: $t = 855$



Element in example 2: The ant worker

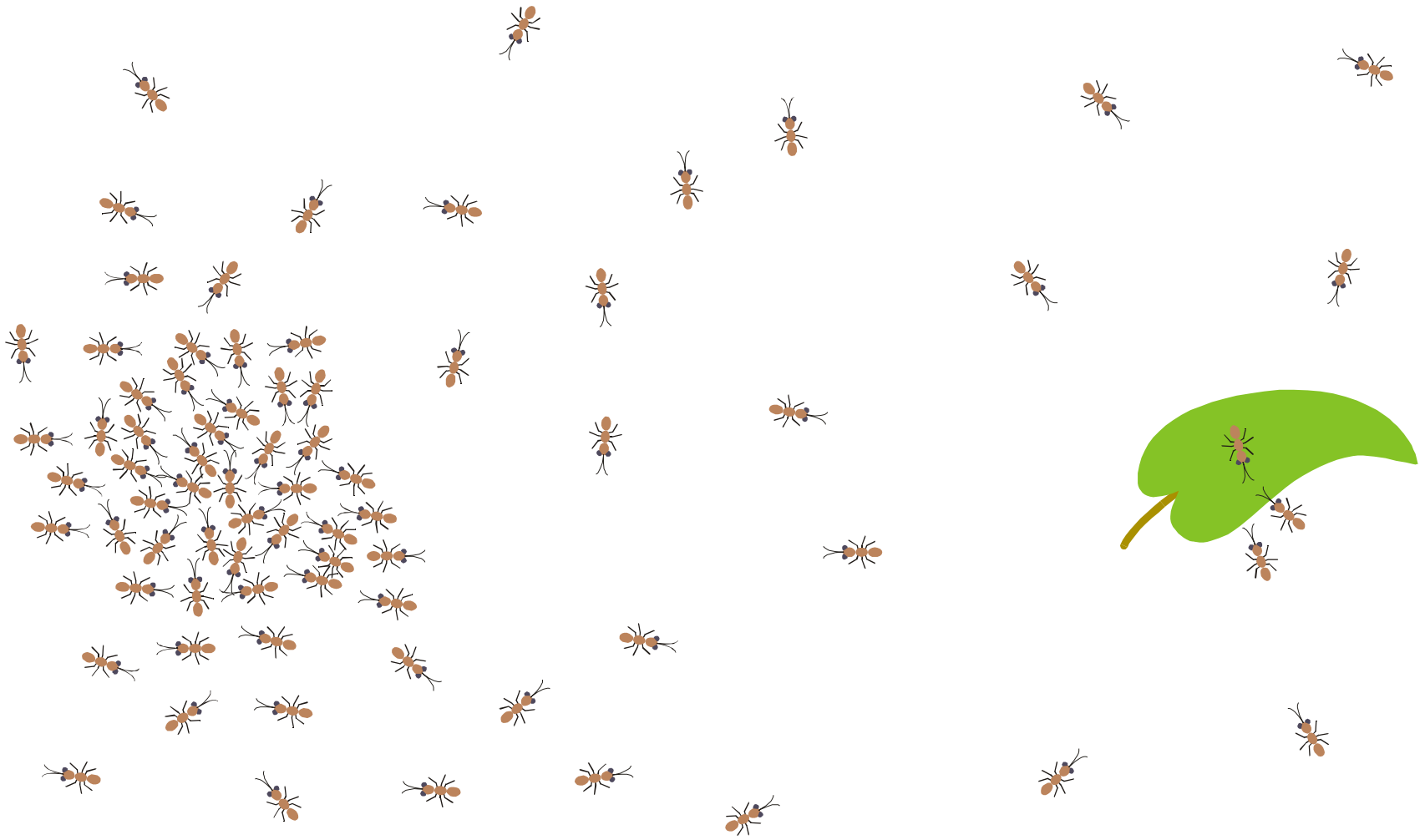


Ant colony

Random foraging

Food source

Foraging behavior of ant colonies

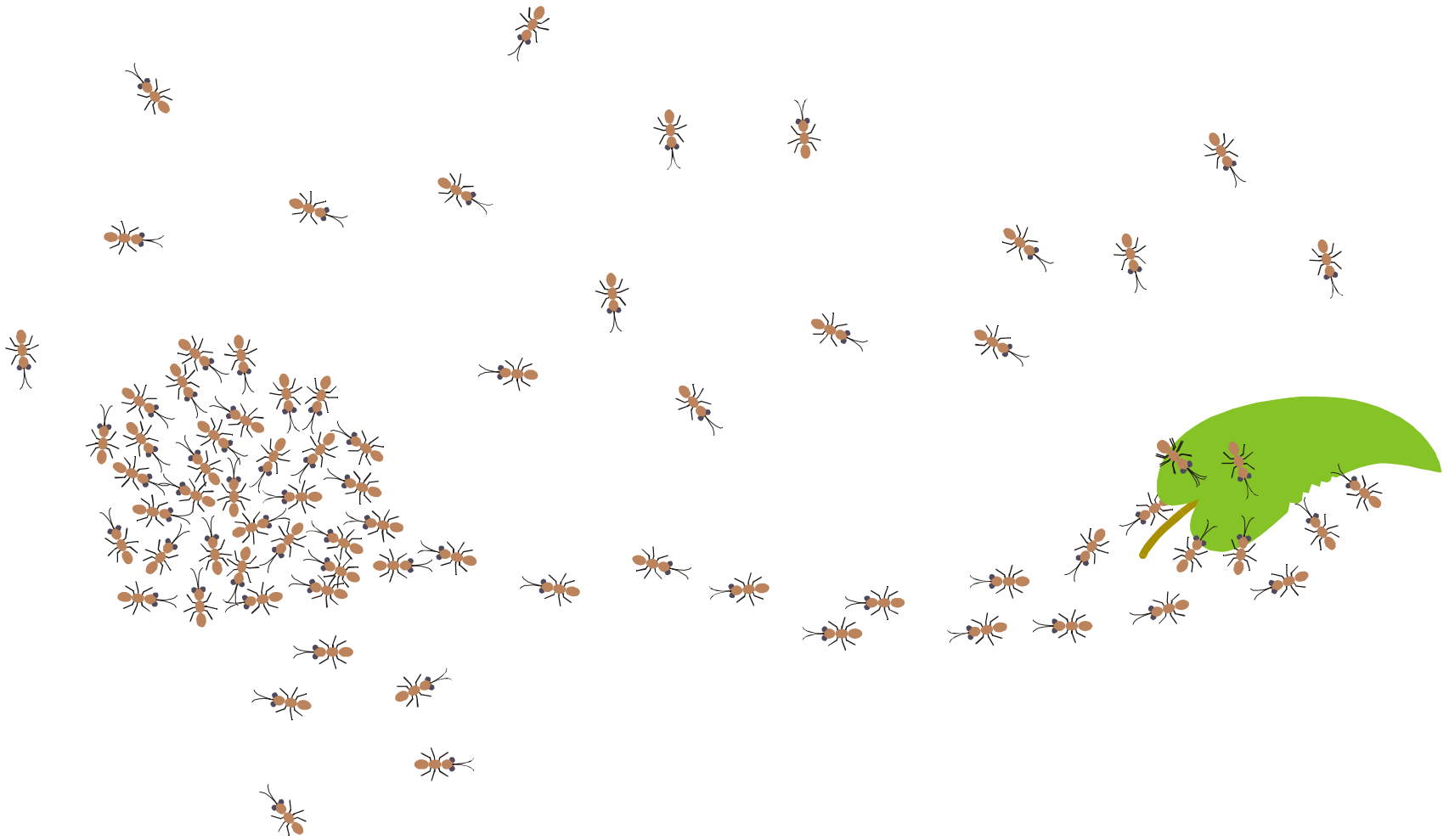


Ant colony

Food source detected

Food source

Foraging behavior of ant colonies

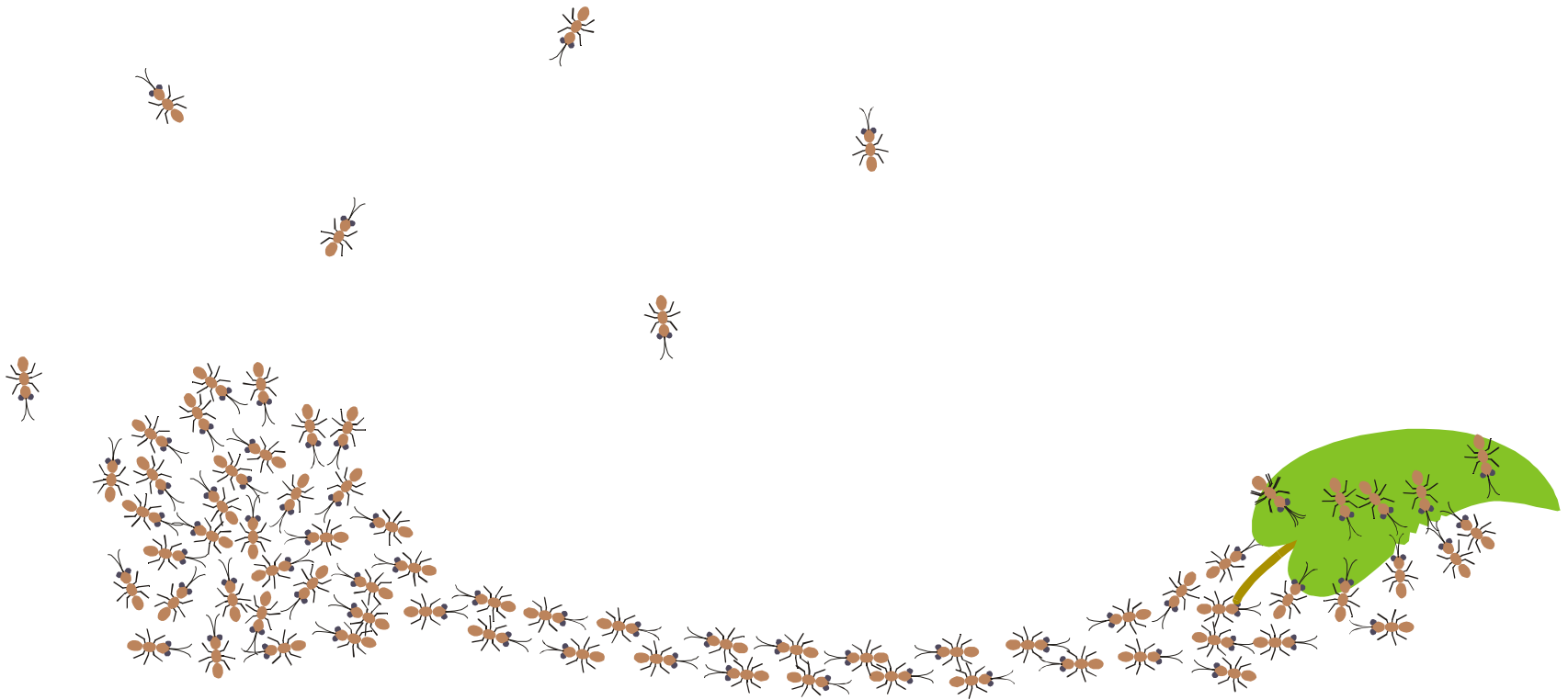


Ant colony

Pheromone trail laid down

Food source

Foraging behavior of ant colonies



Ant colony

Pheromone controlled trail

Food source

Foraging behavior of ant colonies

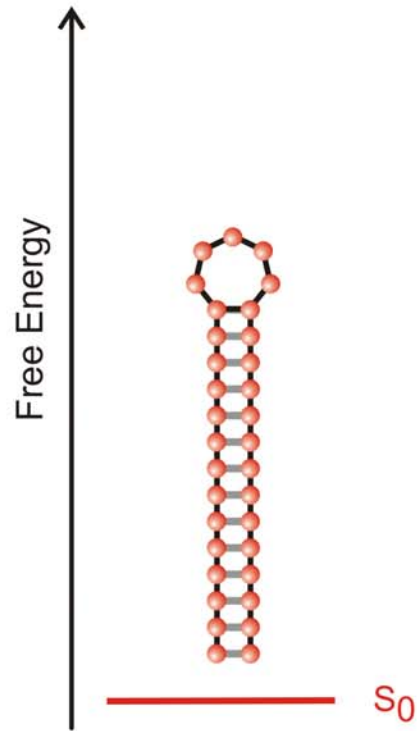
| | Evolution of RNA | Foraging ants |
|----------------------------------|---------------------------|-------------------------------|
| Element | RNA nucleotide | Individual worker ant |
| Genotype | RNA sequence | Worker ant collective |
| Phenotype | RNA structure | Foraging path |
| Learning entity | Population of molecules | Ant colony |
| Relation between elements | Mutation | Reorientation of path segment |
| Search process | Optimization of structure | Optimization of path |
| Search space | Sequence space | Three-dimensional space |
| Random step | Mutation | Segment of ant walk |
| Self-enhancing process | Replication | Secretion of pheromone |
| Measure of activity | Mean replication rate | Mean pheromone concentration |
| Goal of the search | Target structure | Richest food source |
| Temporary memory | Sequence distribution | Pheromone trail |

Learning at population or colony level **by trial and error**

Two examples: (i) RNA model and (ii) ant colony

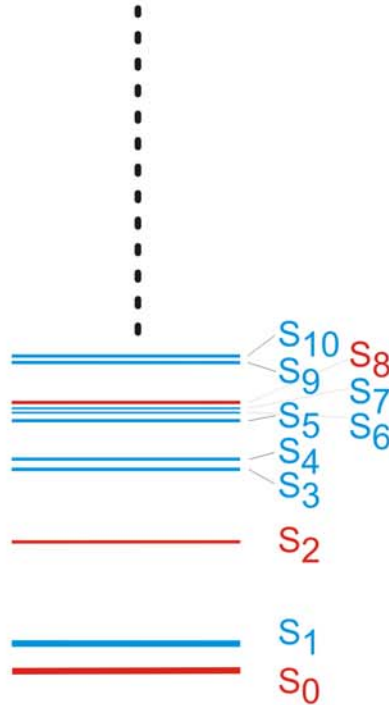
1. Folding and inverse folding of RNA
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One sequence - one structure



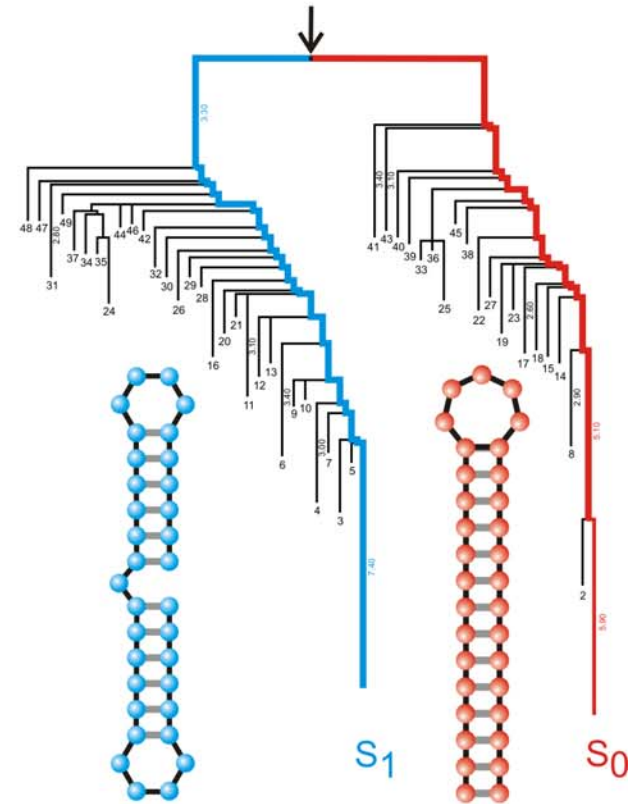
Minimum free energy structure

Many suboptimal structures
Partition function



Suboptimal structures

Metastable structures
Conformational switches



Kinetic structures

RNA secondary structures derived from a single sequence

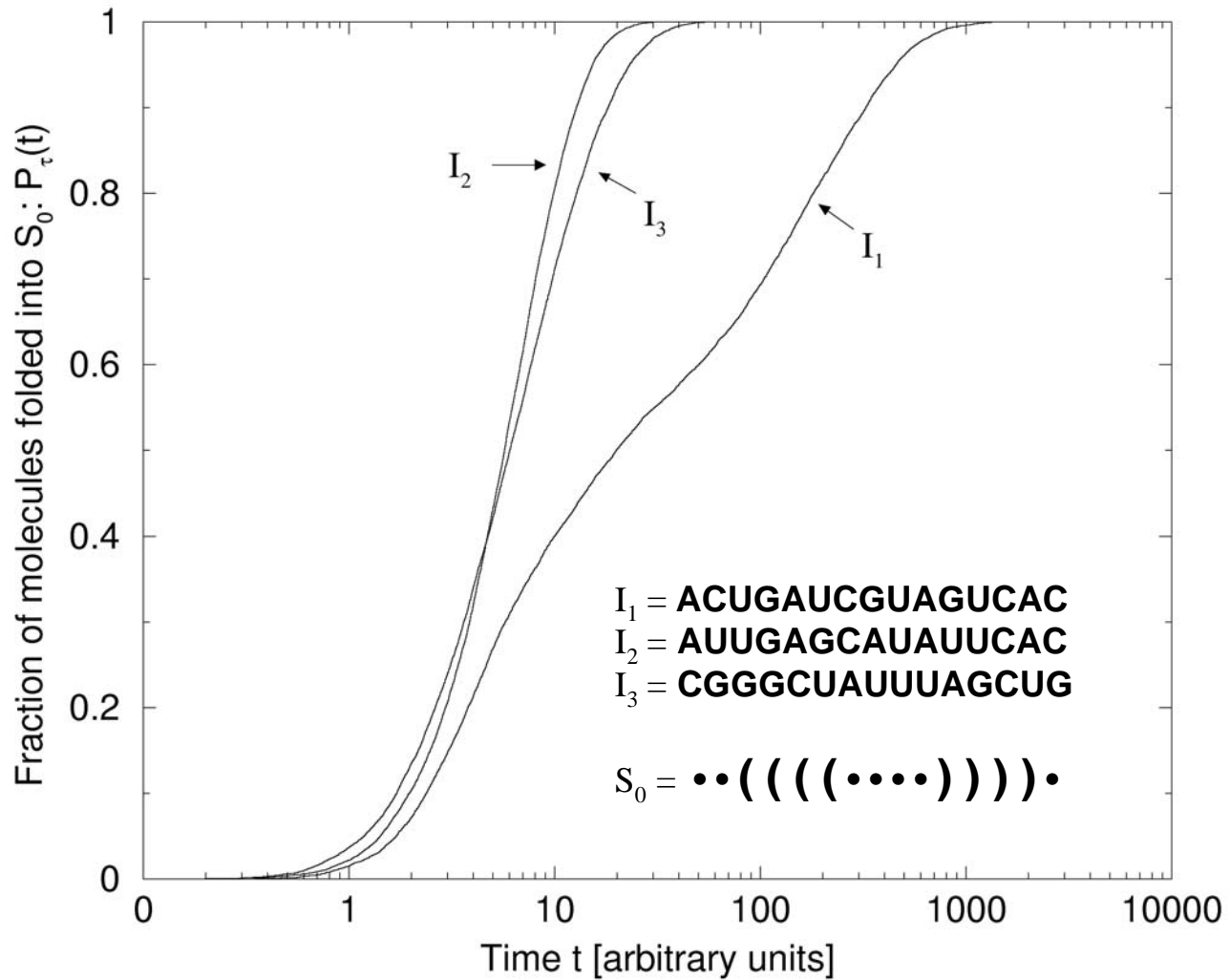
Kinetic Folding of RNA Secondary Structures

Christoph Flamm, Walter Fontana, Ivo L. Hofacker, Peter Schuster. *RNA folding kinetics at elementary step resolution*. RNA **6**:325-338, 2000

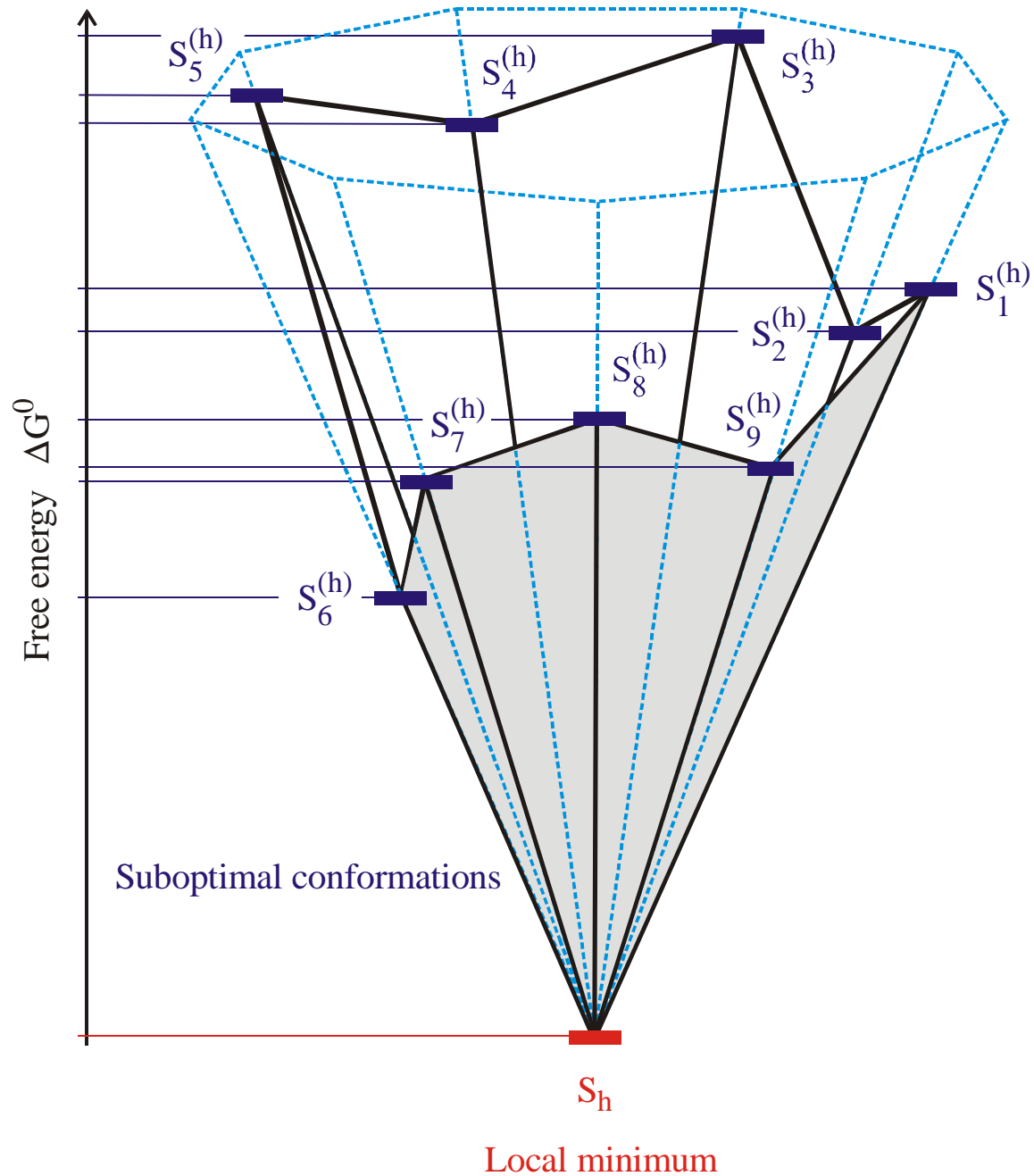
Christoph Flamm, Ivo L. Hofacker, Sebastian Maurer-Stroh, Peter F. Stadler, Martin Zehl. *Design of multistable RNA molecules*. RNA **7**:325-338, 2001

Christoph Flamm, Ivo L. Hofacker, Peter F. Stadler, Michael T. Wolfinger. *Barrier trees of degenerate landscapes*. Z.Phys.Chem. **216**:155-173, 2002

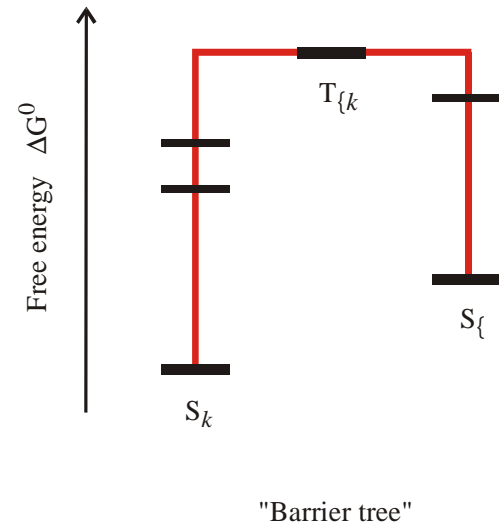
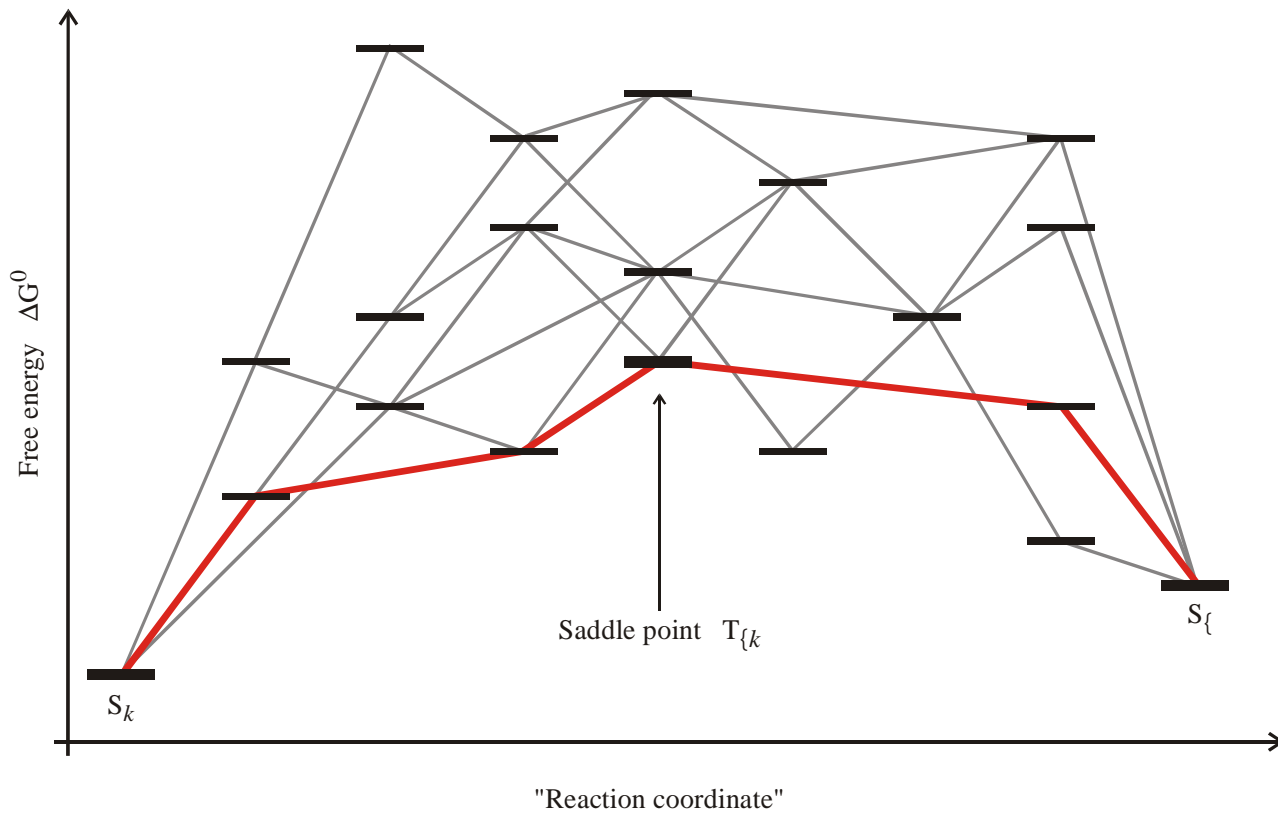
Michael T. Wolfinger, W. Andreas Svrcek-Seiler, Christoph Flamm, Ivo L. Hofacker, Peter F. Stadler. *Efficient computation of RNA folding dynamics*. J.Phys.A: Math.Gen. **37**:4731-4741, 2004



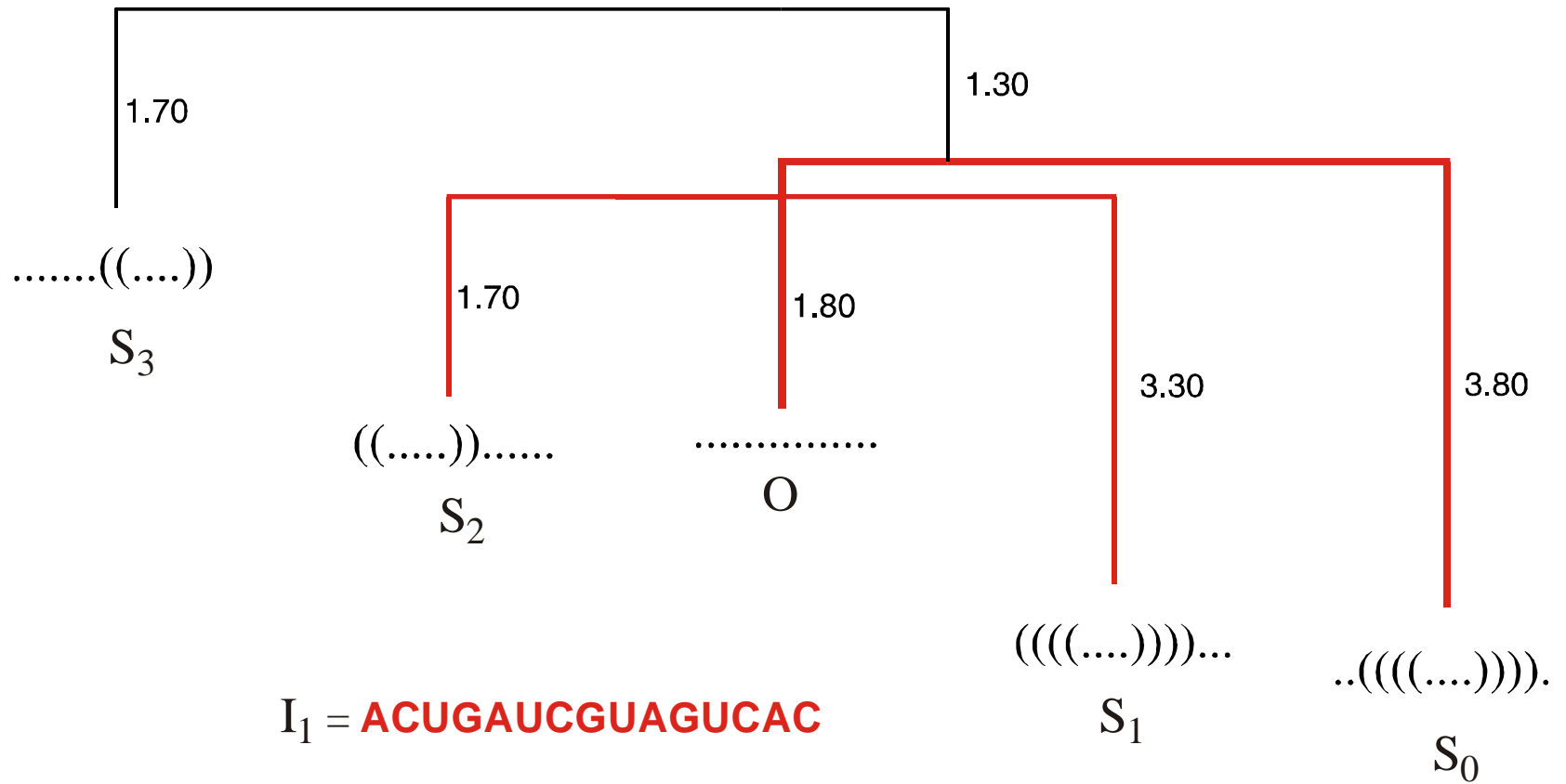
Mean folding curves for three small RNA molecules with different folding behavior



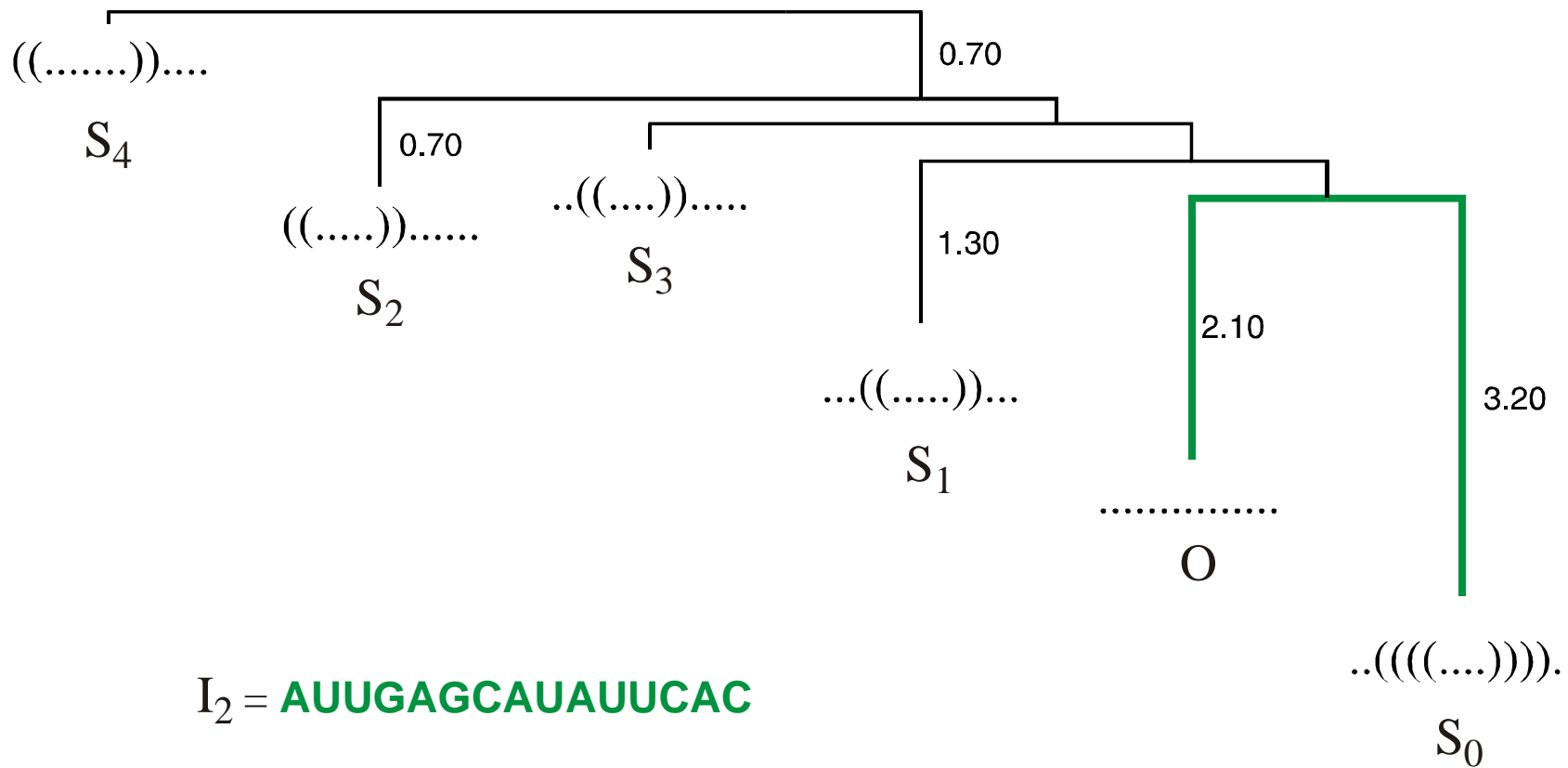
Search for local minima in conformation space



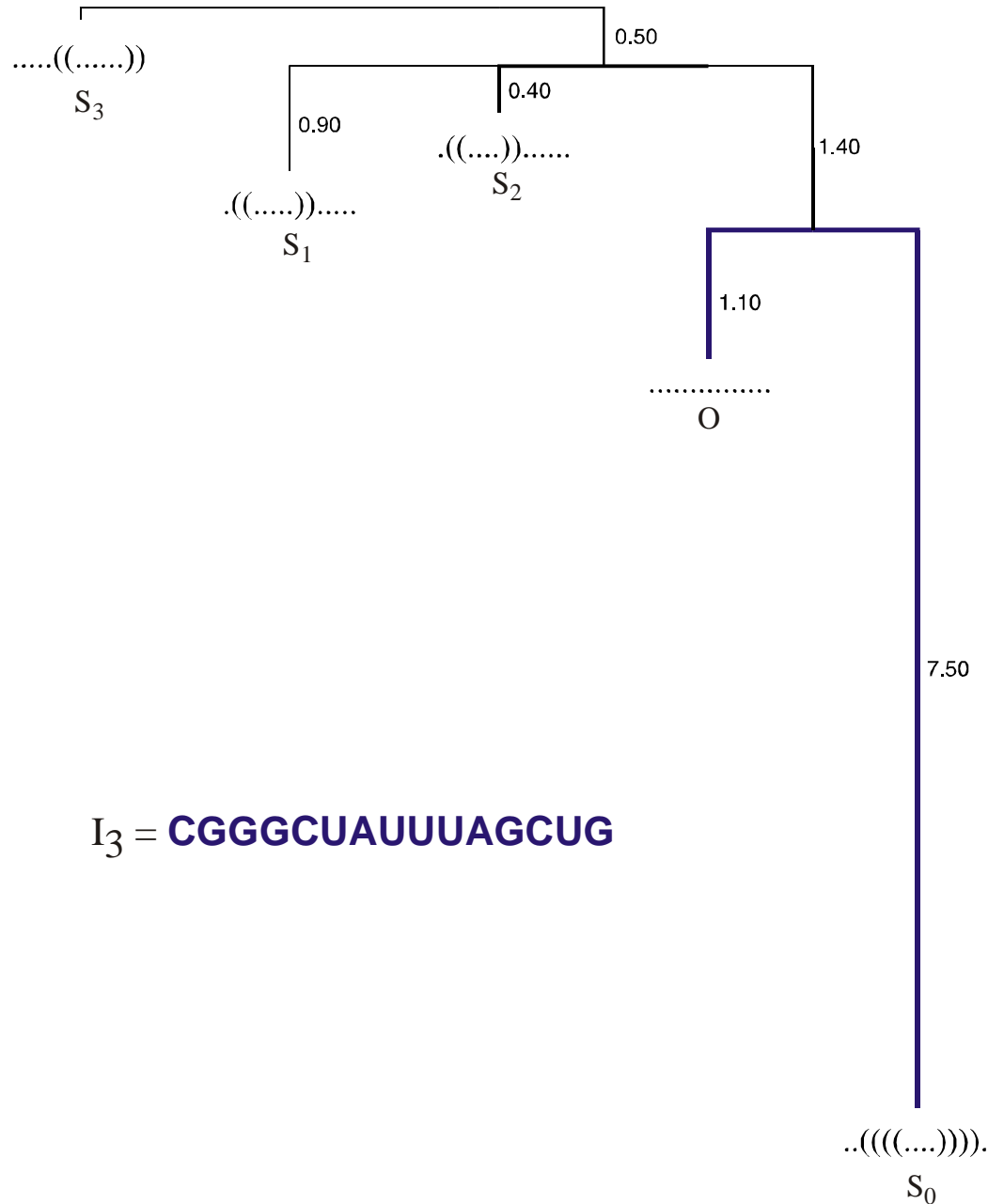
Definition of a ,barrier tree‘



Example of an unefficiently folding small RNA molecule with $n = 15$

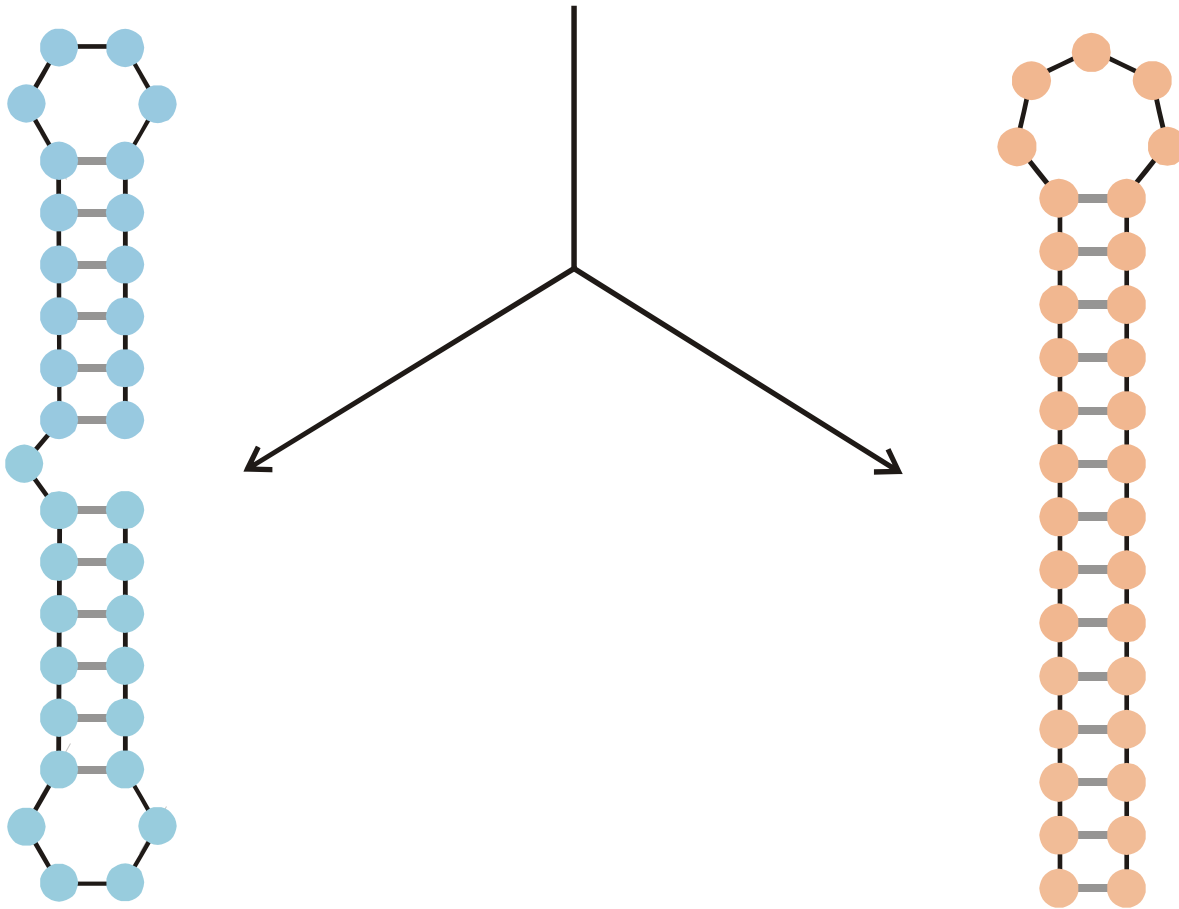


Example of an easily folding small RNA molecule with $n = 15$

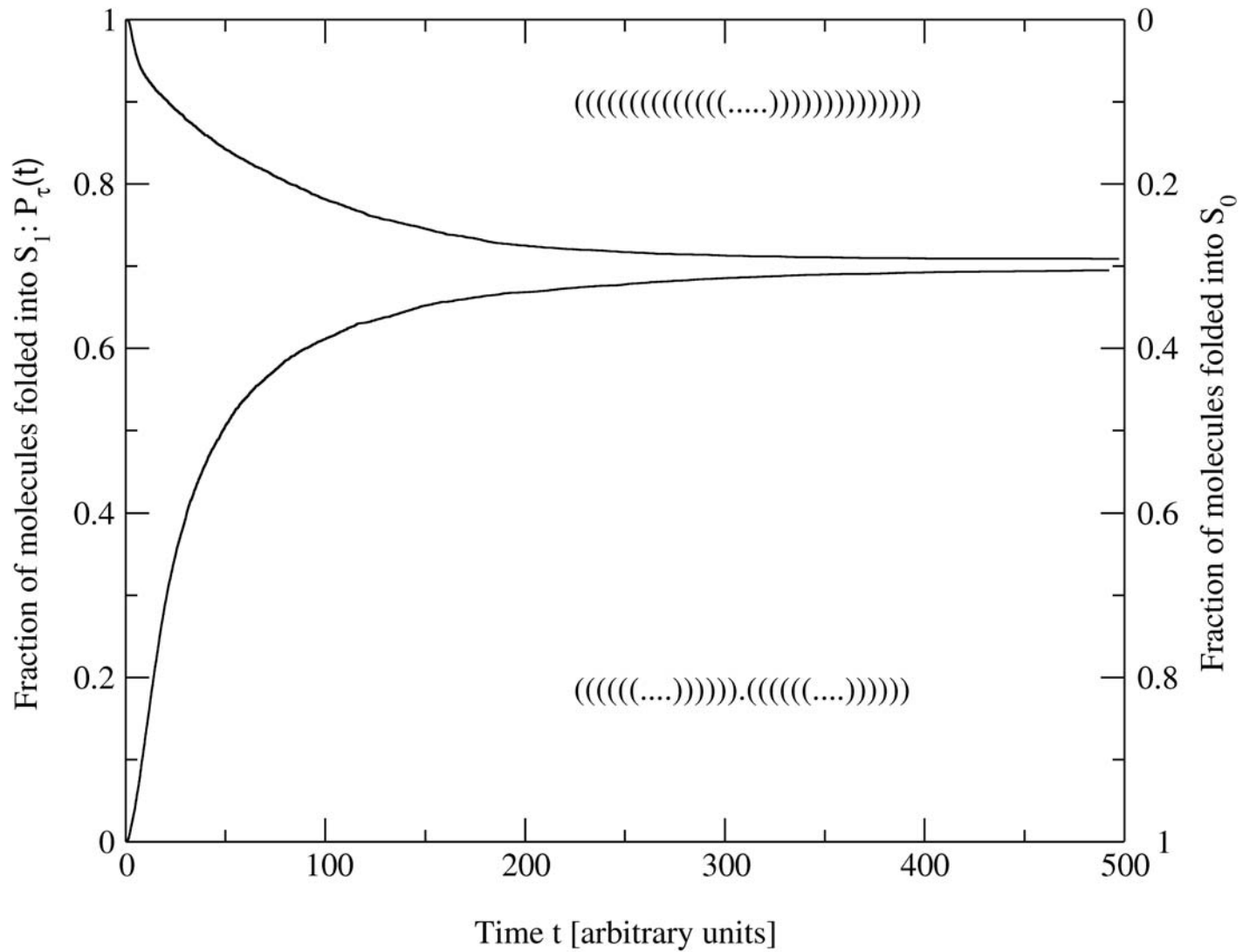


Example of an easily folding
and especially stable small
RNA molecule with $n = 15$

open chain

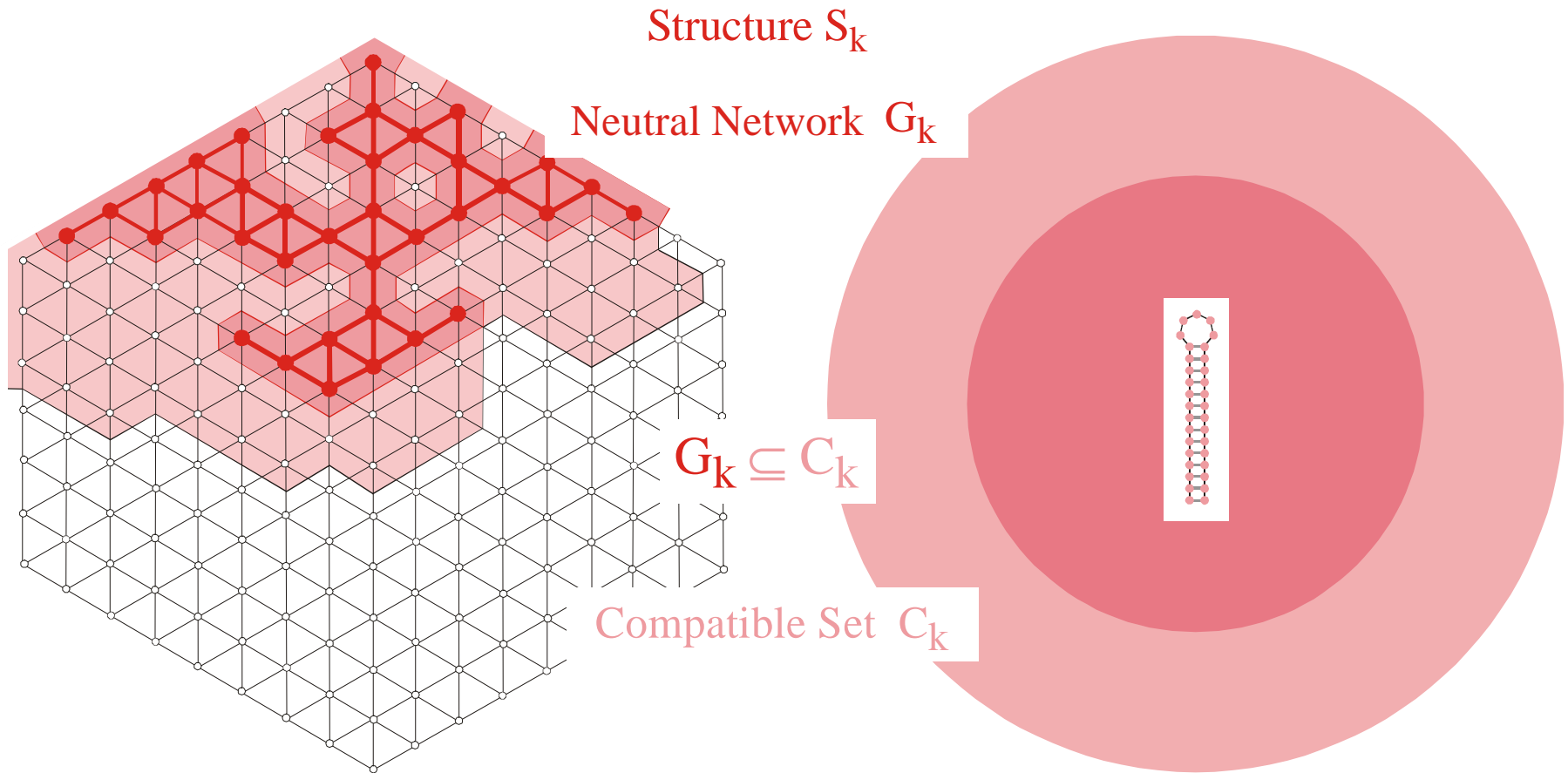


A nucleic acid molecule folding in two dominant conformations

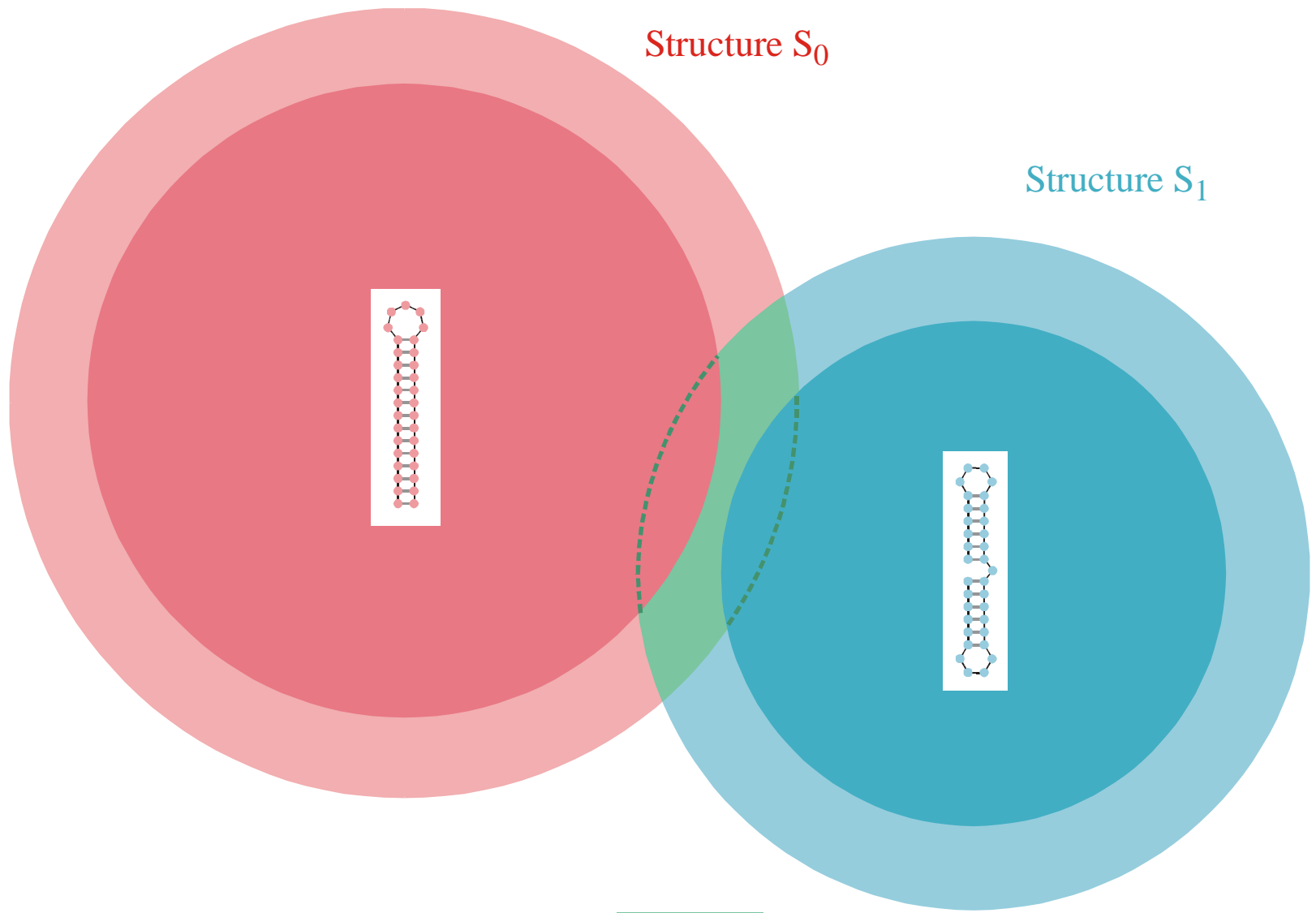


Folding dynamics of the sequence **GGCCCUUUGGGGCCAGACCCUAAAAGGGUC**

1. Folding and inverse folding of RNA
2. Neutral networks
3. Darwinian evolution of RNA
4. Learning by the Darwinian mechanism
5. Folding kinetics and metastable structures
- 6. Intersections and conformational switches**

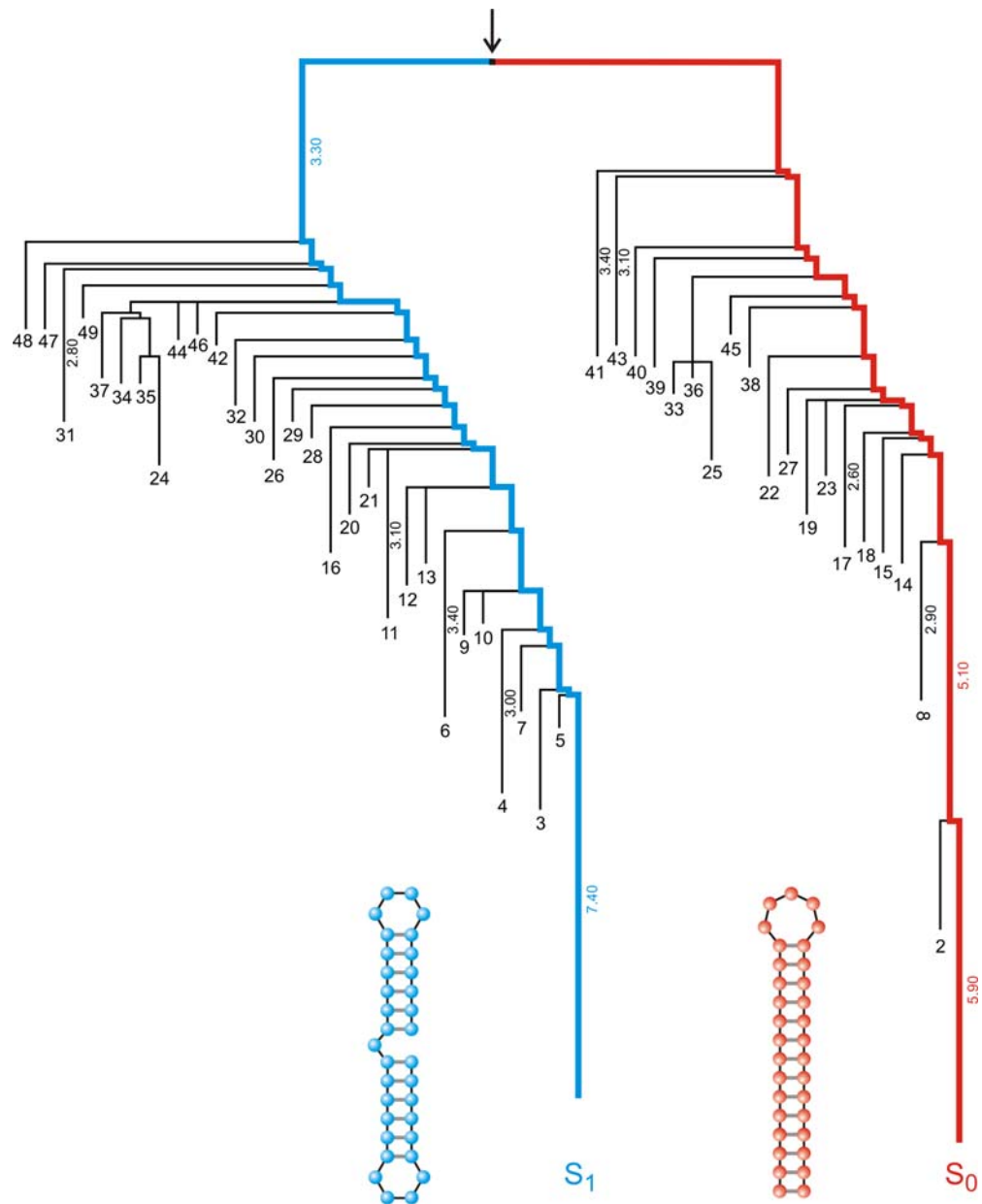


The **compatible set** C_k of a structure S_k consists of all sequences which form S_k as its minimum free energy structure (the **neutral network** G_k) or one of its suboptimal structures.



Intersection of two compatible sets: $C_0 \cap C_1$

The intersection of two compatible sets is always non empty: $C_0 \cap C_1 \neq \emptyset$



The barrier tree
connecting S_1 and S_0





- minus the background levels observed in the HSP in the control (Sar1-GDP-containing) incubation that prevents COPII vesicle formation. In the microsome control, the level of p115-SNARE associations was less than 0.1%.
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 50. GST-SNARE proteins were expressed in bacteria and purified on glutathione-Sepharose beads using standard methods. Immobilized GST-SNARE protein (0.5 μ M) was incubated with rat liver cytosol (20 mg) or purified recombinant p115 (0.5 μ M) in 1 ml of NS buffer containing 1% BSA for 2 hours at 4°C with rotation. Beads were briefly spun (3000 rpm for 10 s) and sequentially washed three times with NS buffer and three times with NS buffer supplemented with 150 mM NaCl. Bound proteins were eluted three times in 50 μ l of 50 mM tris-HCl (pH 8.5), 50 mM reduced glutathione, 150 mM NaCl, and 0.1% Triton X-100 for 15 min at 4°C with intermittent mixing, and elutes were pooled. Proteins were precipitated by MeOH/CH₂Cl₂ and separated by SDS-polyacrylamide gel electrophoresis (PAGE) followed by immunoblotting using p115 mAb 13F12.
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 69. We thank G. Waters for p115 cDNA and p115 mAbs; G. Warren for p97 and p47 antibodies; R. Scheller for rbt1, memrin, and sec22 cDNAs; H. Plutner for excellent technical assistance; and P. Tan for help during the initial phase of this work. Supported by NIH grants GM 33301 and GM42336 and National Cancer Institute grant CA58689 (W.E.B.), a NIH National Research Service Award (B.D.M.), and a Wellcome Trust International Traveling Fellowship (B.B.A.).

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One Sequence, Two Ribozymes: Implications for the Emergence of New Ribozyme Folds

Erik A. Schultes and David P. Bartel*

We describe a single RNA sequence that can assume either of two ribozyme folds and catalyze the two respective reactions. The two ribozyme folds share no evolutionary history and are completely different, with no base pairs (and probably no hydrogen bonds) in common. Minor variants of this sequence are highly active for one or the other reaction, and can be accessed from prototype ribozymes through a series of neutral mutations. Thus, in the course of evolution, new RNA folds could arise from preexisting folds, without the need to carry inactive intermediate sequences. This raises the possibility that biological RNAs having no structural or functional similarity might share a common ancestry. Furthermore, functional and structural divergence might, in some cases, precede rather than follow gene duplication.

Related protein or RNA sequences with the same folded conformation can often perform very different biochemical functions, indicating that new biochemical functions can arise from preexisting folds. But what evolutionary mechanisms give rise to sequences with new macromolecular folds? When considering the origin of new folds, it is useful to picture, among all sequence possibilities, the distribution of sequences with a particular fold and function. This distribution can range very far in sequence space (1). For example, only seven nucleotides are strictly conserved among the group I self-splicing introns, yet secondary (and presumably tertiary) structure within the core of the ribozyme is preserved (2). Because these dis-

parate isolates have the same fold and function, it is thought that they descended from a common ancestor through a series of mutational variants that were each functional. Hence, sequence heterogeneity among divergent isolates implies the existence of paths through sequence space that have allowed neutral drift from the ancestral sequence to each isolate. The set of all possible neutral paths composes a "neutral network," connecting in sequence space those widely dispersed sequences sharing a particular fold and activity, such that any sequence on the network can potentially access very distant sequences by neutral mutations (3–5).

Theoretical analyses using algorithms for predicting RNA secondary structure have suggested that different neutral networks are interwoven and can approach each other very closely (3, 5–8). Of particular interest is whether ribozyme neutral networks approach each other so closely that they intersect. If so, a single sequence would be capable of folding into two different conformations, would

have two different catalytic activities, and could access by neutral drift every sequence on both networks. With intersecting networks, RNAs with novel structures and activities could arise from previously existing ribozymes, without the need to carry non-functional sequences as evolutionary intermediates. Here, we explore the proximity of neutral networks experimentally, at the level of RNA function. We describe a close apposition of the neutral networks for the hepatitis delta virus (HDV) self-cleaving ribozyme and the class III self-ligating ribozyme.

In choosing the two ribozymes for this investigation, an important criterion was that they share no evolutionary history that might confound the evolutionary interpretations of our results. Choosing at least one artificial ribozyme ensured independent evolutionary histories. The class III ligase is a synthetic ribozyme isolated previously from a pool of random RNA sequences (9). It joins an oligonucleotide substrate to its 5' terminus. The prototype ligase sequence (Fig. 1A) is a shortened version of the most active class III variant isolated after 10 cycles of *in vitro* selection and evolution. This minimal construct retains the activity of the full-length isolate (10). The HDV ribozyme carries out the site-specific self-cleavage reactions needed during the life cycle of HDV, a satellite virus of hepatitis B with a circular, single-stranded RNA genome (11). The prototype HDV construct for our study (Fig. 1B) is a shortened version of the antigenomic HDV ribozyme (12), which undergoes self-cleavage at a rate similar to that reported for other antigenomic constructs (13, 14).

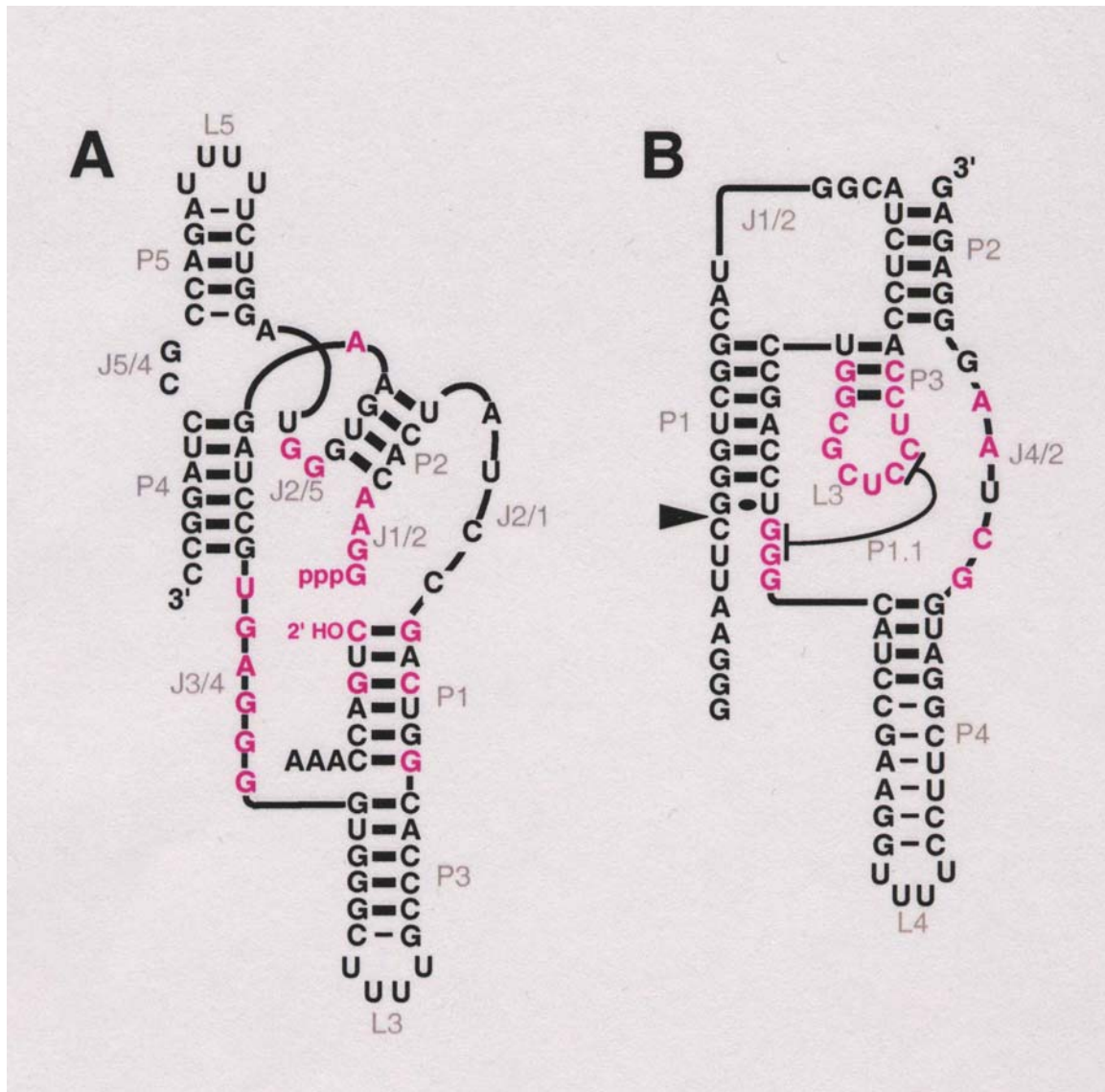
The prototype class III and HDV ribozymes have no more than the 25% sequence identity expected by chance and no fortuitous structural similarities that might favor an intersection of their two neutral networks. Nevertheless, sequences can be designed that simultaneously satisfy the base-pairing requirements

A ribozyme switch

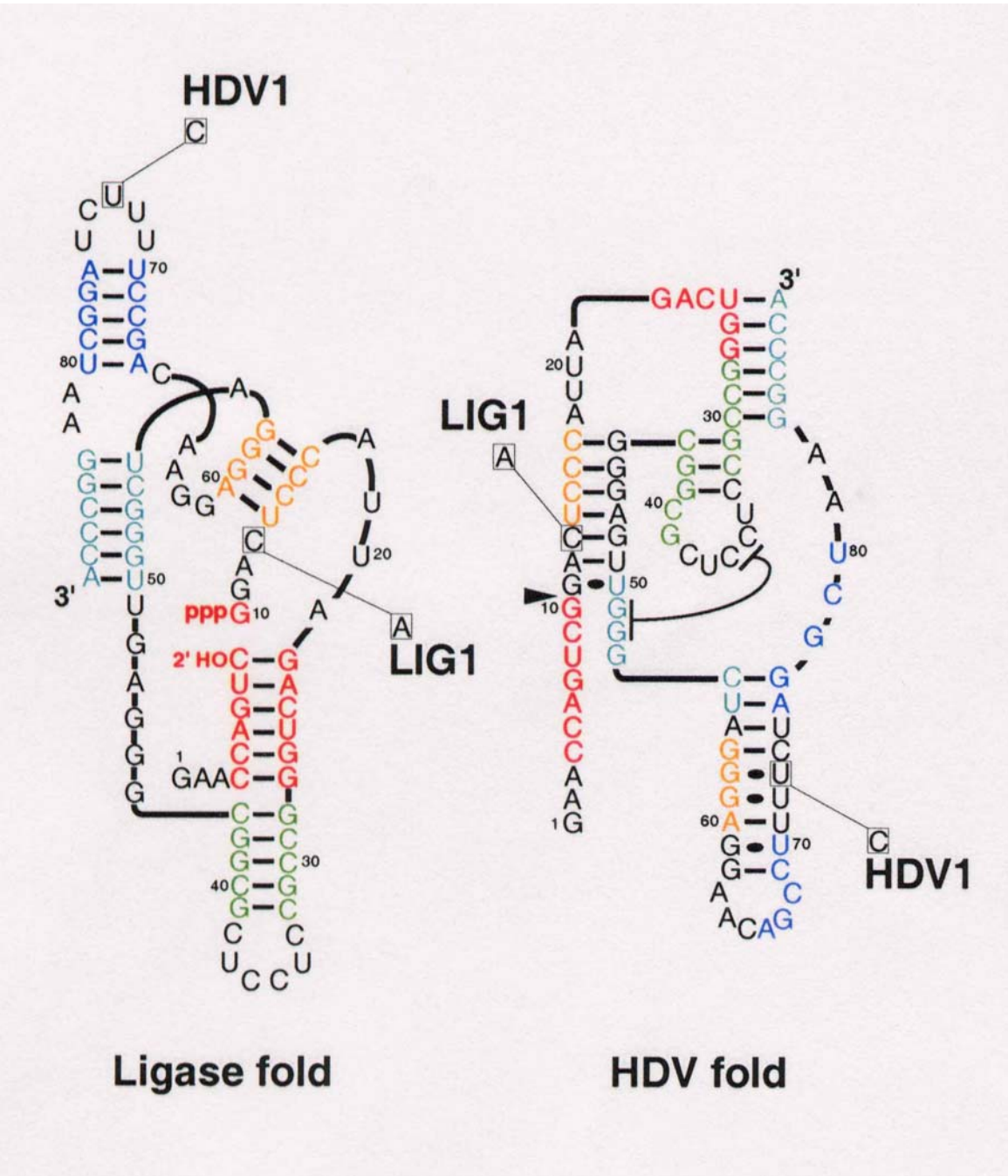
E.A.Schultes, D.B.Bartel, *Science*
289 (2000), 448-452

Whitehead Institute for Biomedical Research and Department of Biology, Massachusetts Institute of Technology, 9 Cambridge Center, Cambridge, MA 02142, USA.

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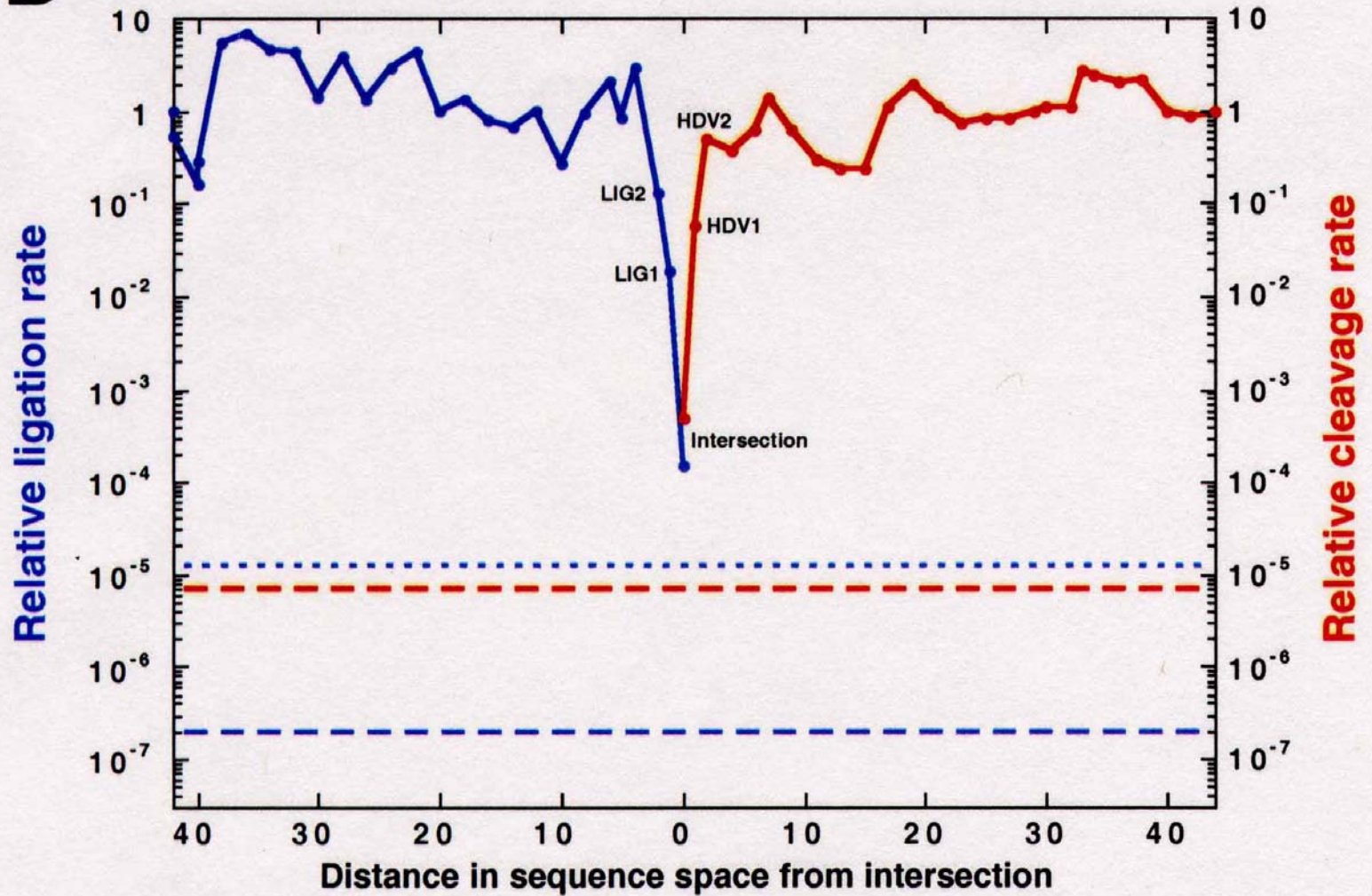


Two ribozymes of chain lengths $n = 88$ nucleotides: An artificial ligase (**A**) and a natural cleavage ribozyme of hepatitis- δ -virus (**B**)



The sequence at the *intersection*:

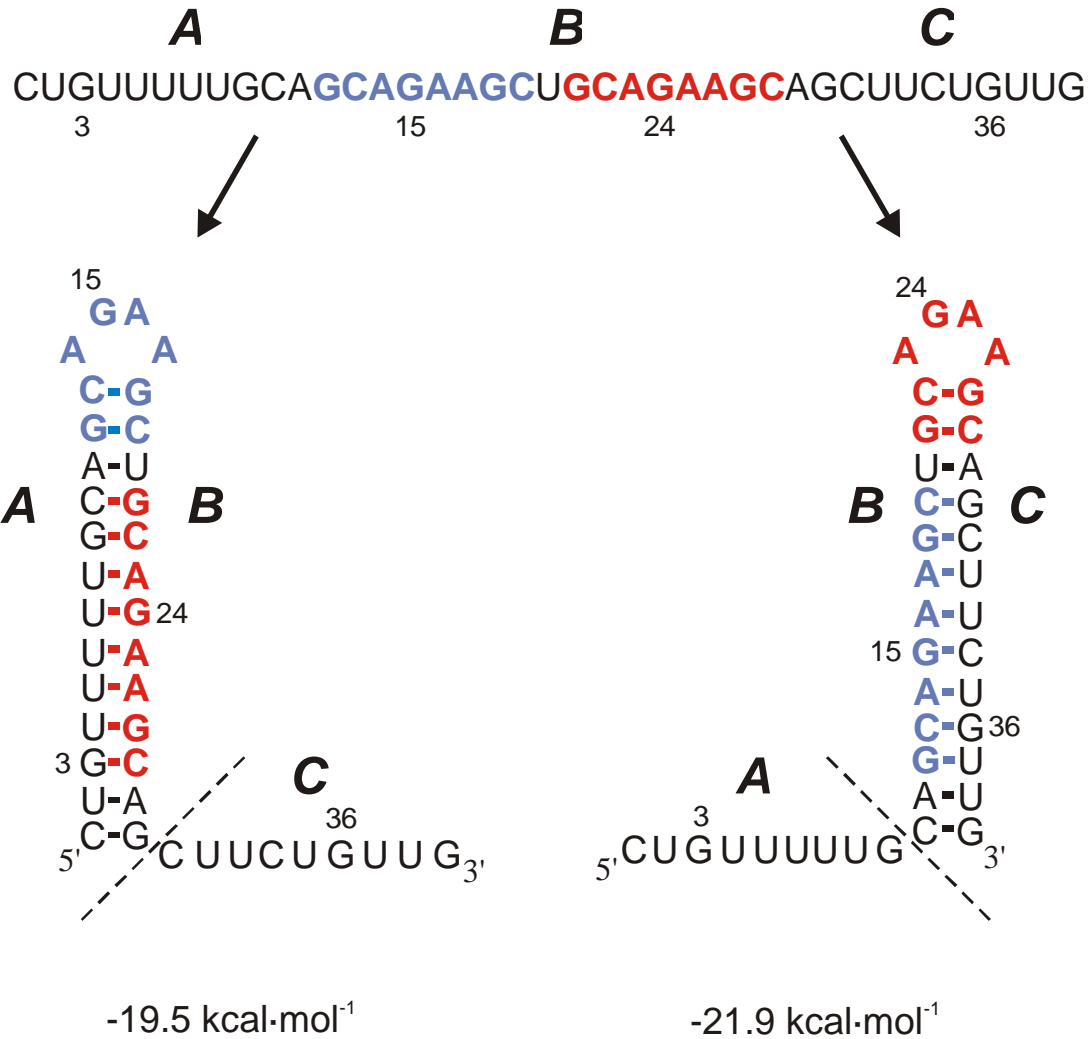
An RNA molecules which is 88 nucleotides long and can form both structures

B

Two neutral walks through sequence space with conservation of structure and catalytic activity

J. H. A. Nagel, C. Flamm, I. L. Hofacker, K. Franke, M. H. de Smit, P. Schuster, and C. W. A. Pleij. *Structural parameters affecting the kinetic competition of RNA hairpin formation*, in press 2005.

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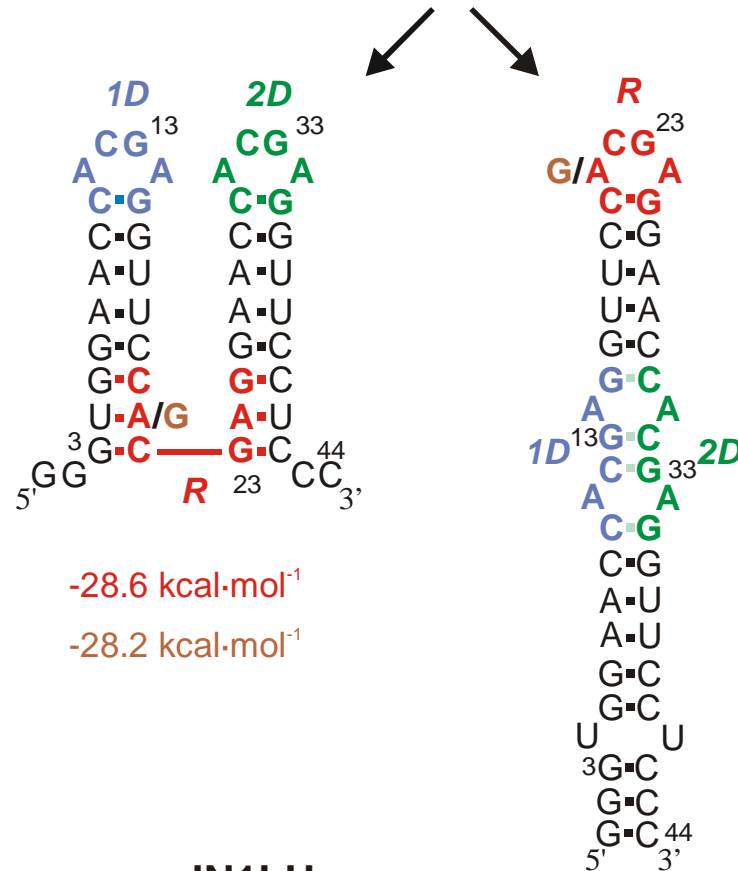


JN2C

J.H.A. Nagel, C. Flamm, I.L. Hofacker, K. Franke,
M.H. de Smit, P. Schuster, and C.W.A. Pleij.

*Structural parameters affecting the kinetic competition of
RNA hairpin formation*, in press 2004.

GGGUGGAAC**1D**CGAGGUUCC**R**CACGAGGAACC**2D**CACGAGGUUCCUCCC
 3 13 23 33 44



-28.6 kcal·mol⁻¹

-28.2 kcal·mol⁻¹

JN1LH

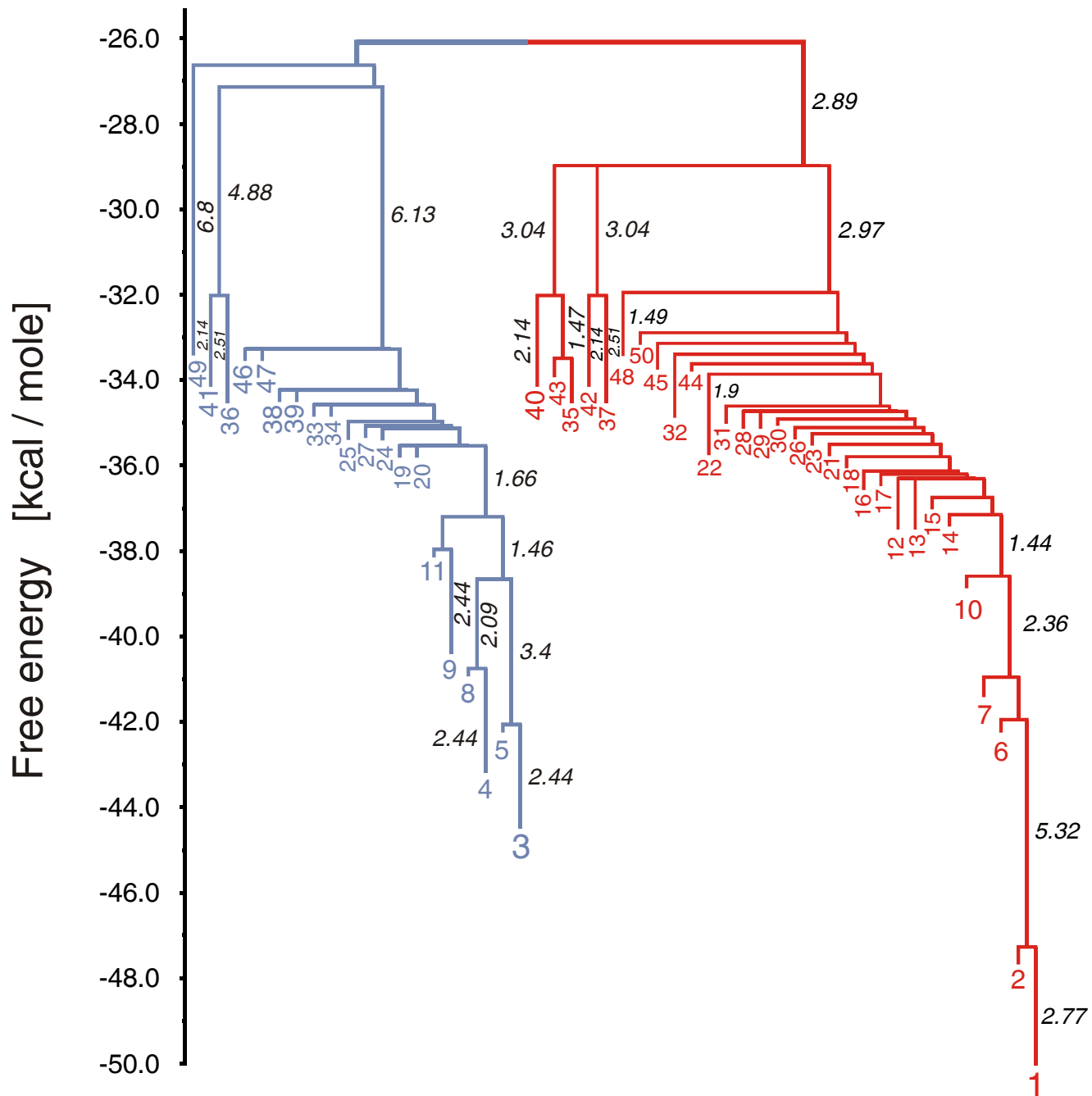
-28.6 kcal·mol⁻¹

-31.8 kcal·mol⁻¹

J.H.A. Nagel, C. Flamm, I.L. Hofacker, K. Franke,
 M.H. de Smit, P. Schuster, and C.W.A. Pleij.

*Structural parameters affecting the kinetic competition of
 RNA hairpin formation*, in press 2004.

J1LH barrier tree



Conclusions

- I. The Darwinian mechanism of optimization through variation and selection operates equally well on simple and complex reproducing elements because only the number of fertile offspring counts.
- II. Darwinian learning through trial and error takes place on the level of populations. It does not require sophisticated elements and occurs even with self-replicating molecules.
- III. Even simple molecules have the capacity for a rich repertoire of properties and interactions. For example, they can have multiple structures and functions.

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