The complexity of genotype-phenotype maps and its consequences for evolution

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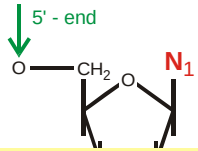
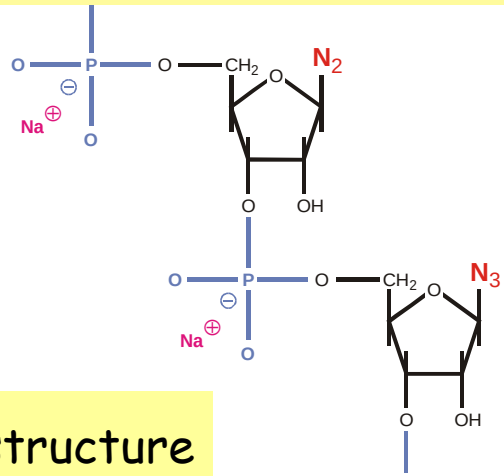
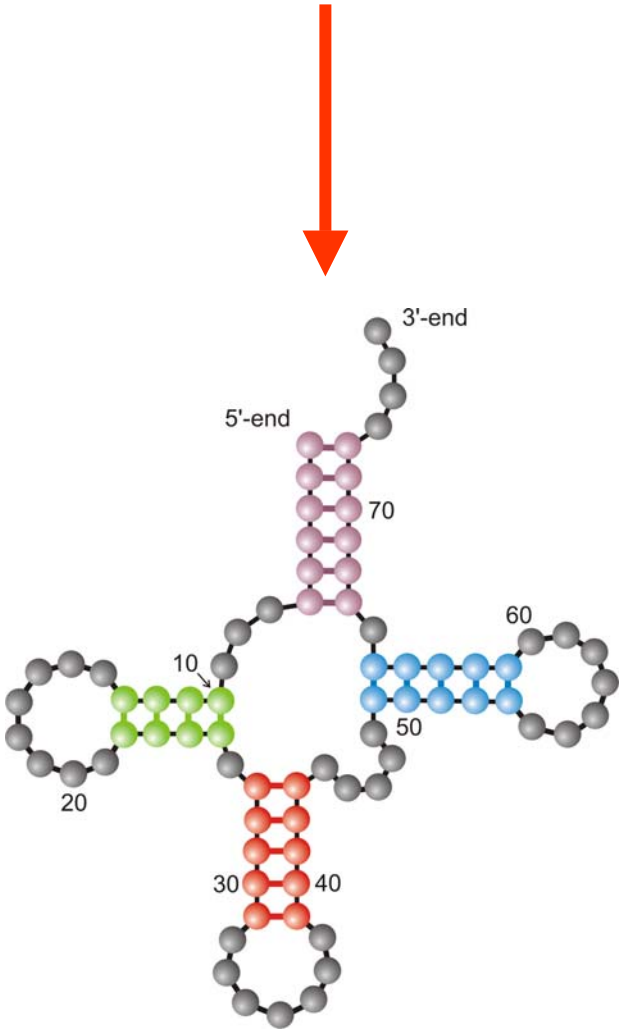
Web-Page for further information:

http://www.tbi.univie.ac.at/~pks

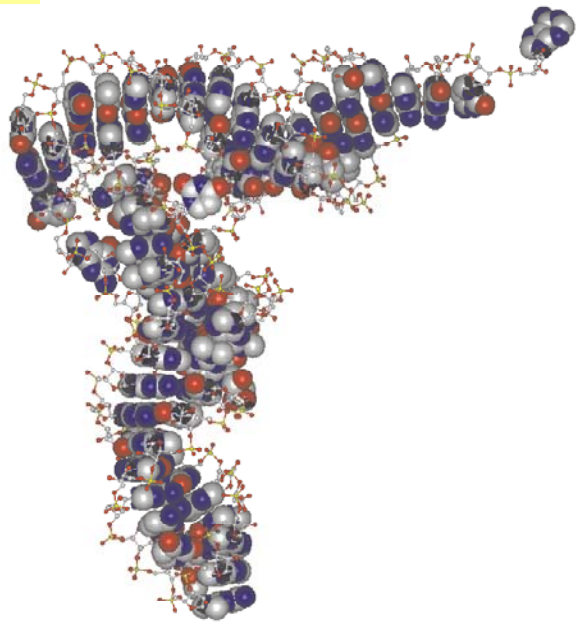


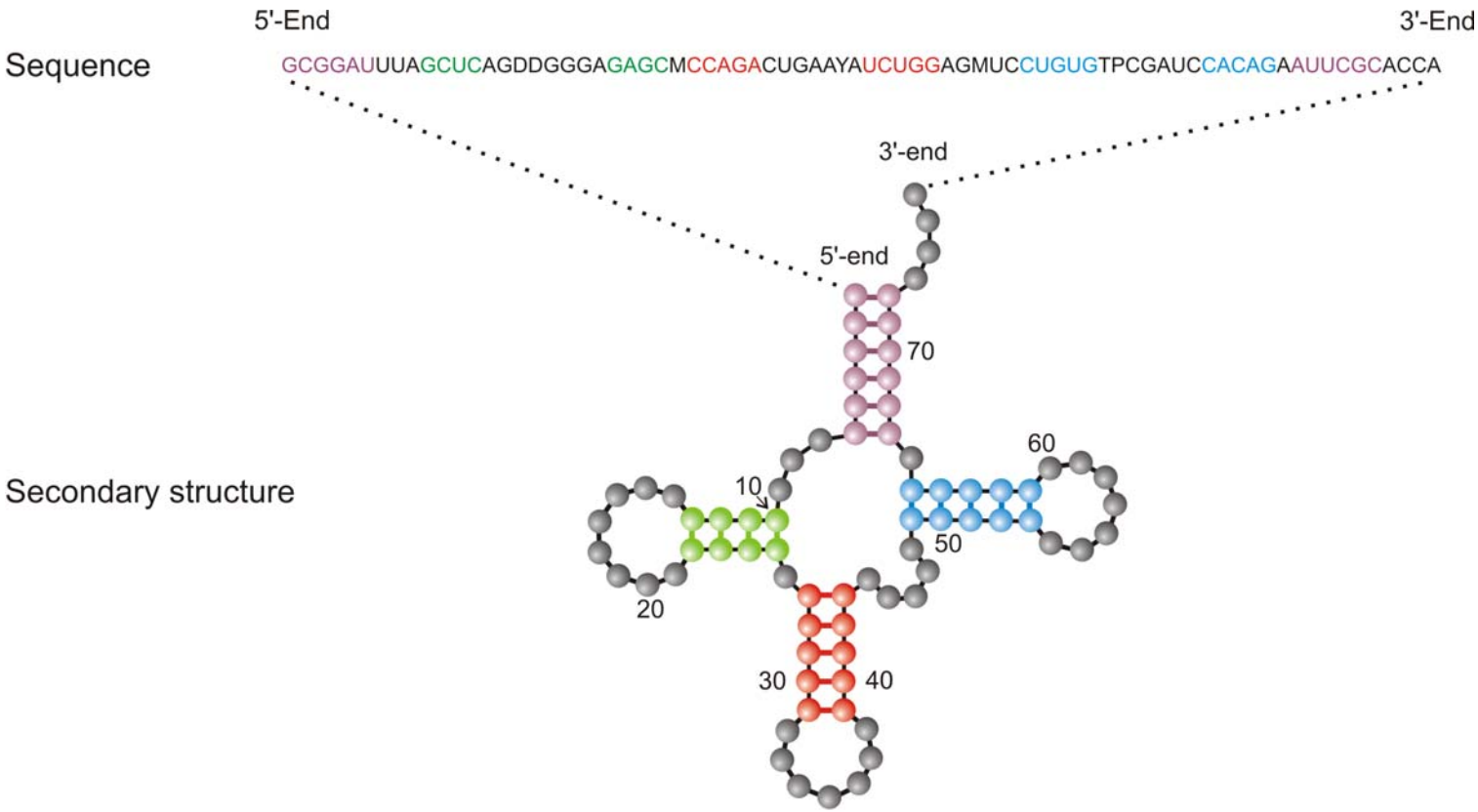
5'-end GCGGAUUUAGCUCAGUUGGGAGAGCGCCAGACUGAAGAUCUGGAGGUCCUGUGUUCGAUCCACAGAAUUCGCACCA 3'-end





Definition of RNA structure





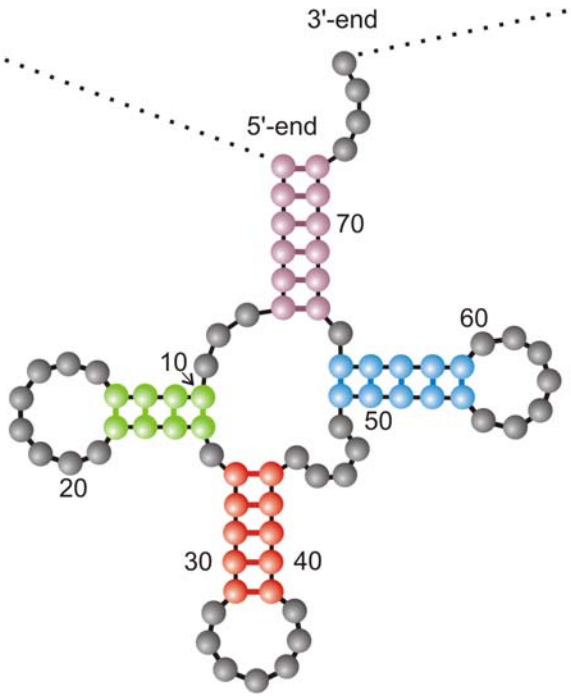
A symbolic notation of RNA secondary structure that is equivalent to the conventional graphs

Sequence

5'-End GCGGAUUUAGCUCAGDDGGGAGAGCMCCAGACUGAAYAUCUGGAGMUCCUGUGTPCGAUCCACAGAAUUCGCACCA 3'-End

$N = 4^n$

Secondary structure



Symbolic notation

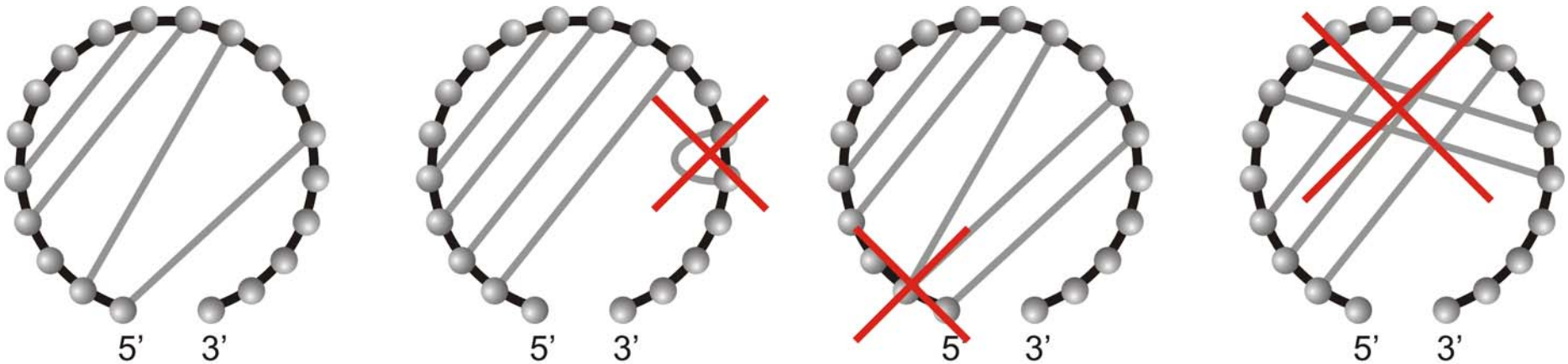
5'-End ((((((···((((·····))))·((((·····))))·····((((·····))))·))))))···· 3'-End

$N_S < 3^n$

Criterion: Minimum free energy (mfe)

Rules: _ (_) _ ∈ {AU,CG,GC,GU,UA,UG}

A symbolic notation of RNA secondary structure that is equivalent to the conventional graphs



Base pairs $\in$ {**AU**,**CG**,**GC**,**GU**,**UA**,**UG**}

Conventional definition of RNA secondary structures

1. Sequence space and shape space
2. Neutral networks
3. Evolutionary optimization of structure
4. Suboptimal structures and kinetic folding
5. Comparison of kinetic folding and evolution
6. How to model evolution of kinetic folding?

1. **Sequence space and shape space**
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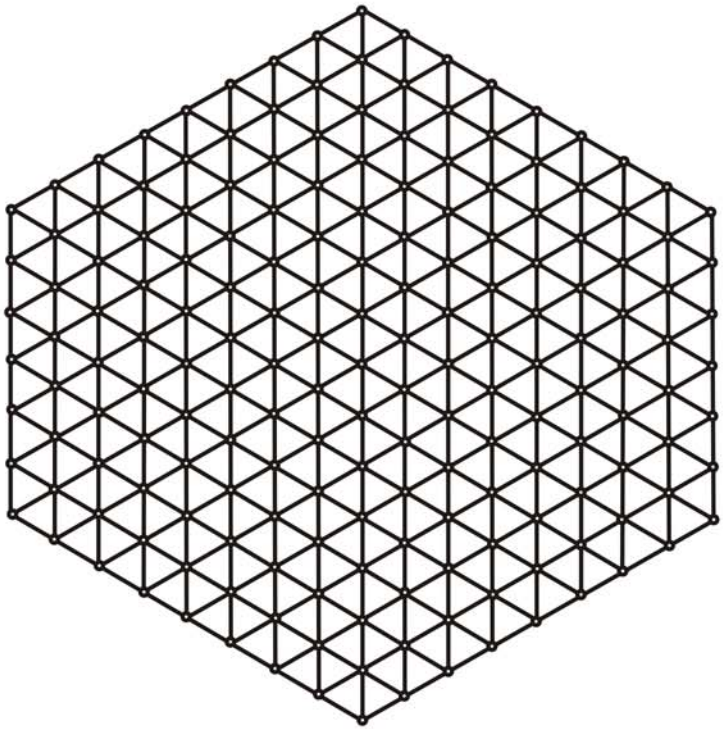
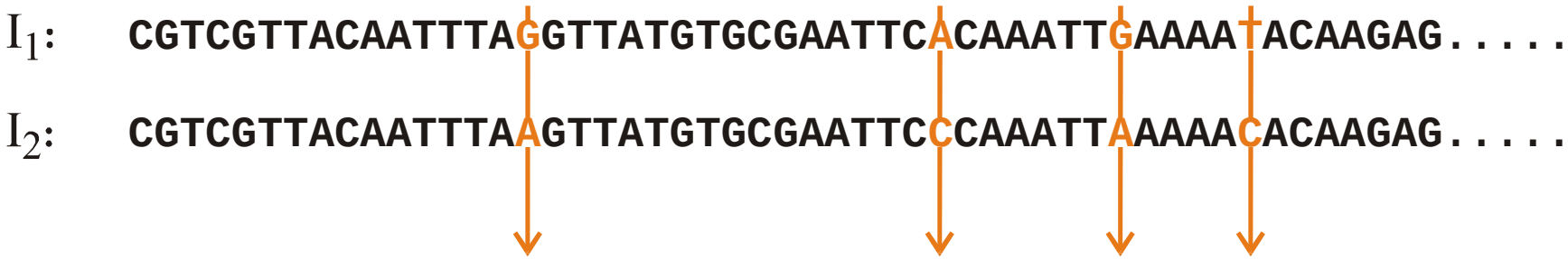


Sequence space

Sequence space



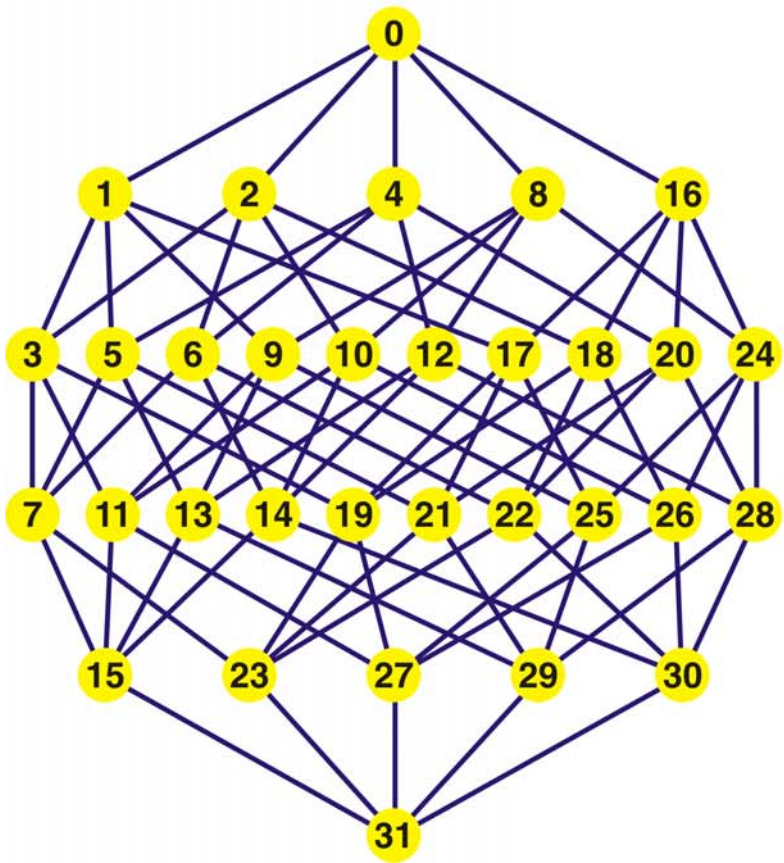
Hamming distance $d_H(I_1,I_2) = 4$

(i) $d_H(I_1,I_1) = 0$

(ii) $d_H(I_1,I_2) = d_H(I_2,I_1)$

(iii) $d_H(I_1,I_3) \leq d_H(I_1,I_2) + d_H(I_2,I_3)$

The Hamming distance between sequences induces a metric in sequence space



Mutant class

0

1

2

3

4

5

Binary sequences can be encoded by their decimal equivalents:

C = 0 and G = 1, for example,

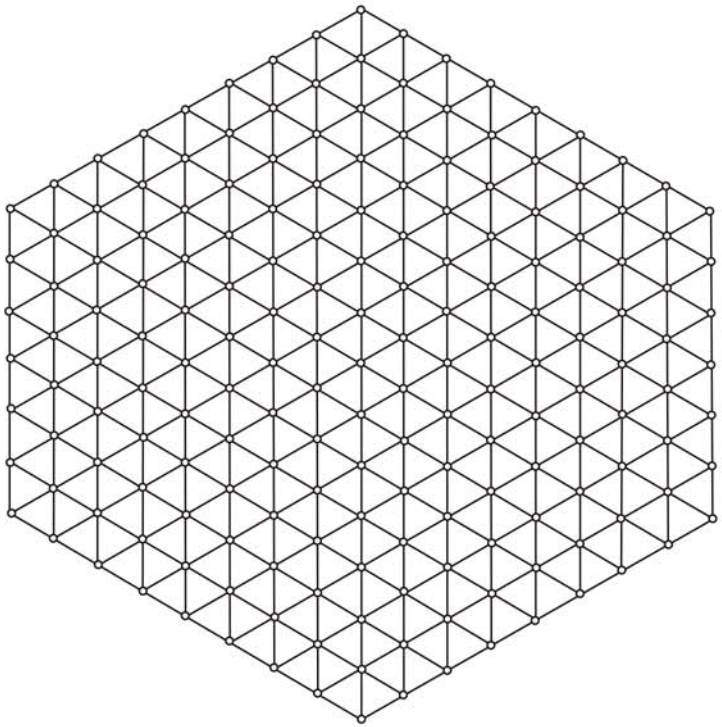
"0" ≡ 00000 = CCCCC,

"14" ≡ 01110 = CGGGC,

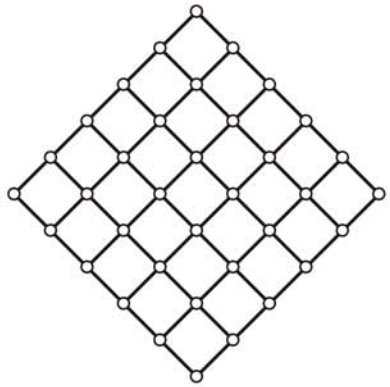
"29" ≡ 11101 = GGGCG, etc.

Every point in sequence space is equivalent

Sequence space of binary sequences with chain length $n = 5$

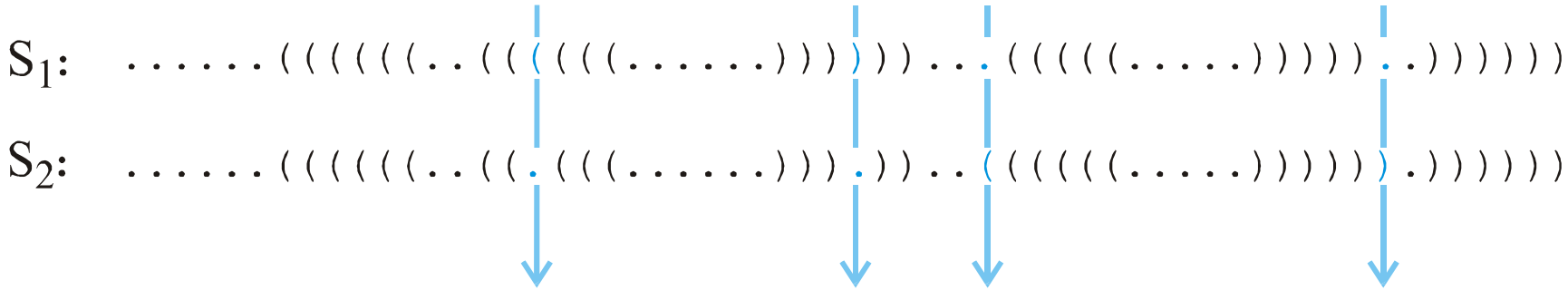


Sequence space



Structure space

Sequence space and structure space



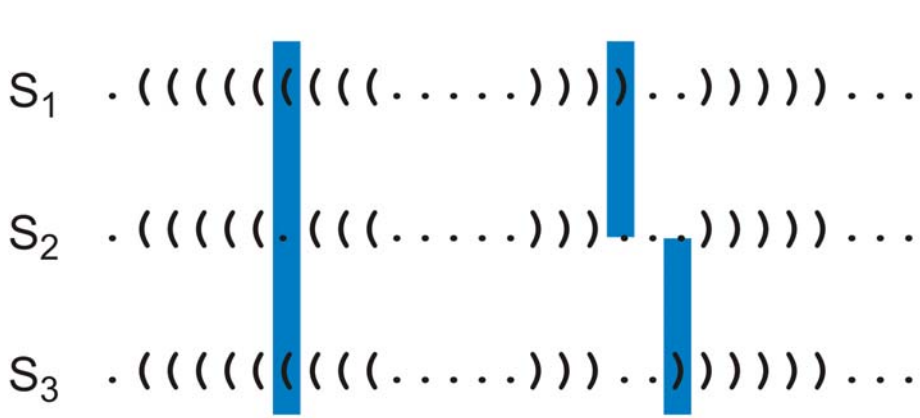
Hamming distance $d_H(S_1,S_2) = 4$

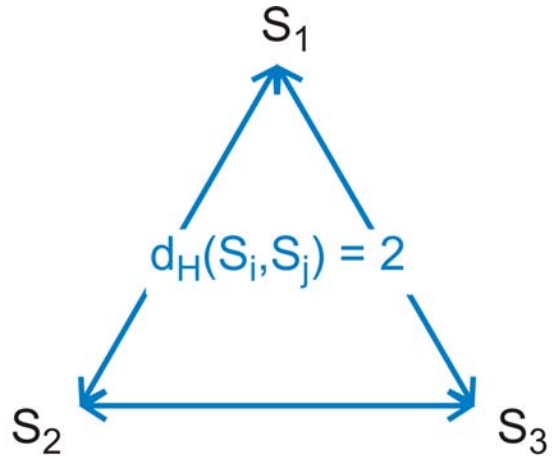
(i) $d_H(S_1,S_1) = 0$

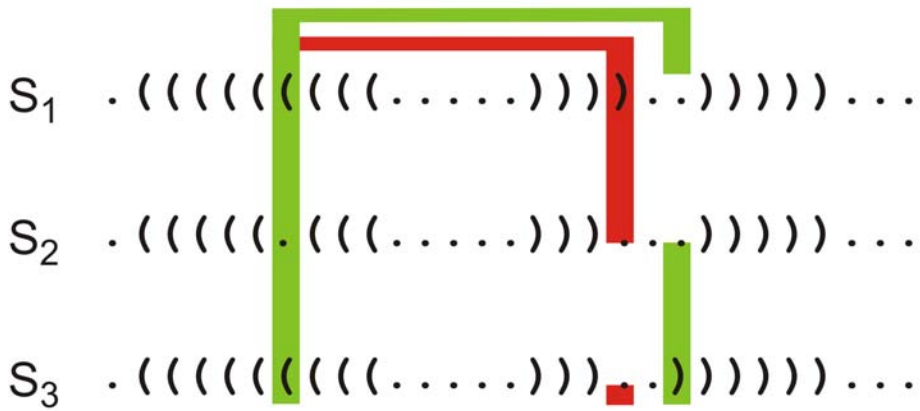
(ii) $d_H(S_1,S_2) = d_H(S_2,S_1)$

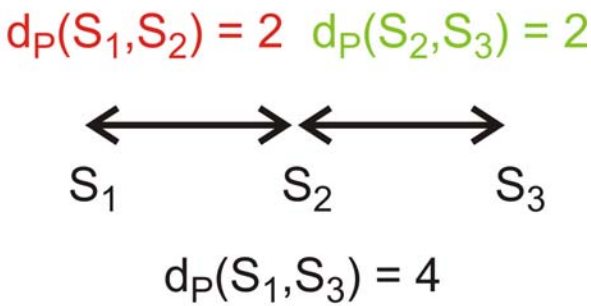
(iii) $d_H(S_1,S_3) \leq d_H(S_1,S_2) + d_H(S_2,S_3)$

The Hamming distance between structures in parentheses notation forms a metric in structure space



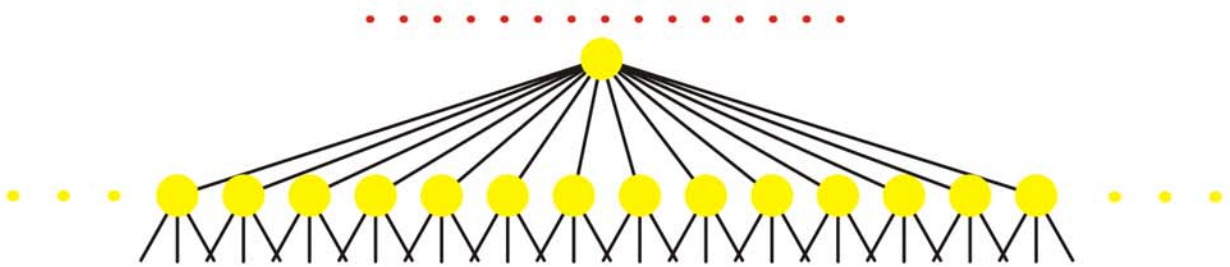






Two measures of distance in shape space:

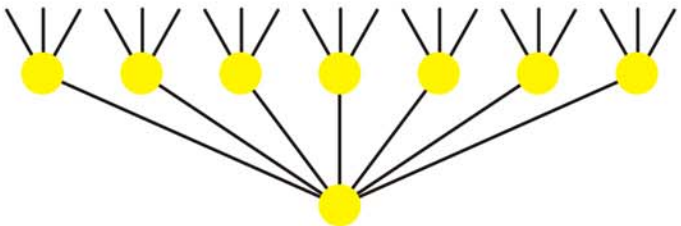
Hamming distance between structures, $d_H(S_i,S_j)$ and base pair distance, $d_P(S_i,S_j)$



open chain

number of edges $\frac{n\,(n-7)}{2}+6$

66 for n = 15



7 for n = 15

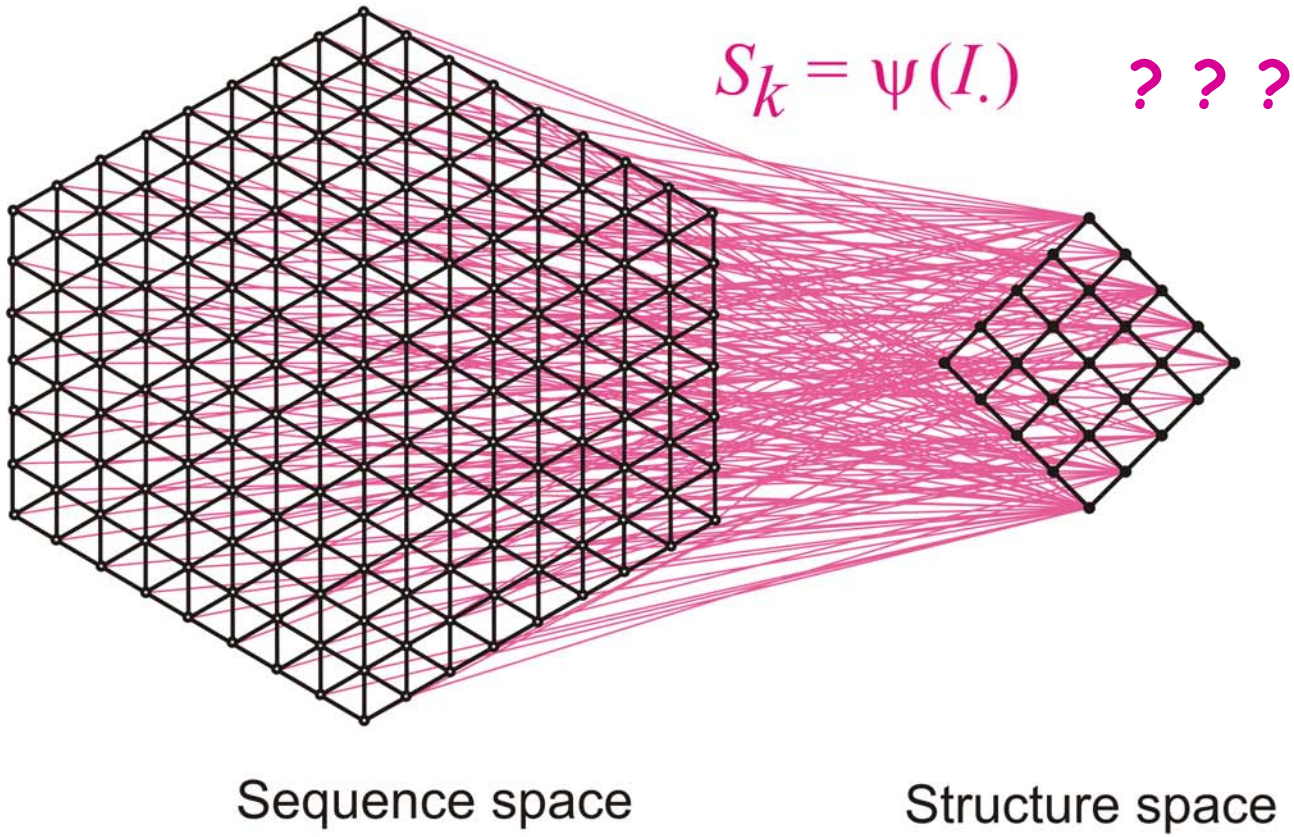
number of edges $\left\lfloor \frac{n-3}{2} \right\rfloor$

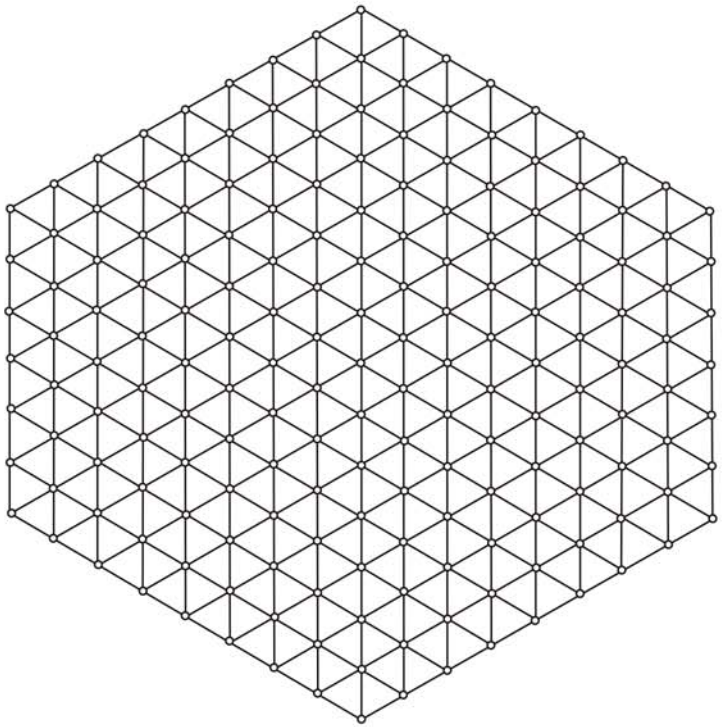
(((((((. . .)))))))

longest stack

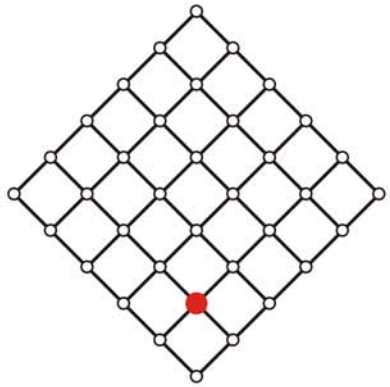
Structures are not equivalent in structure space

Sketch of structure space

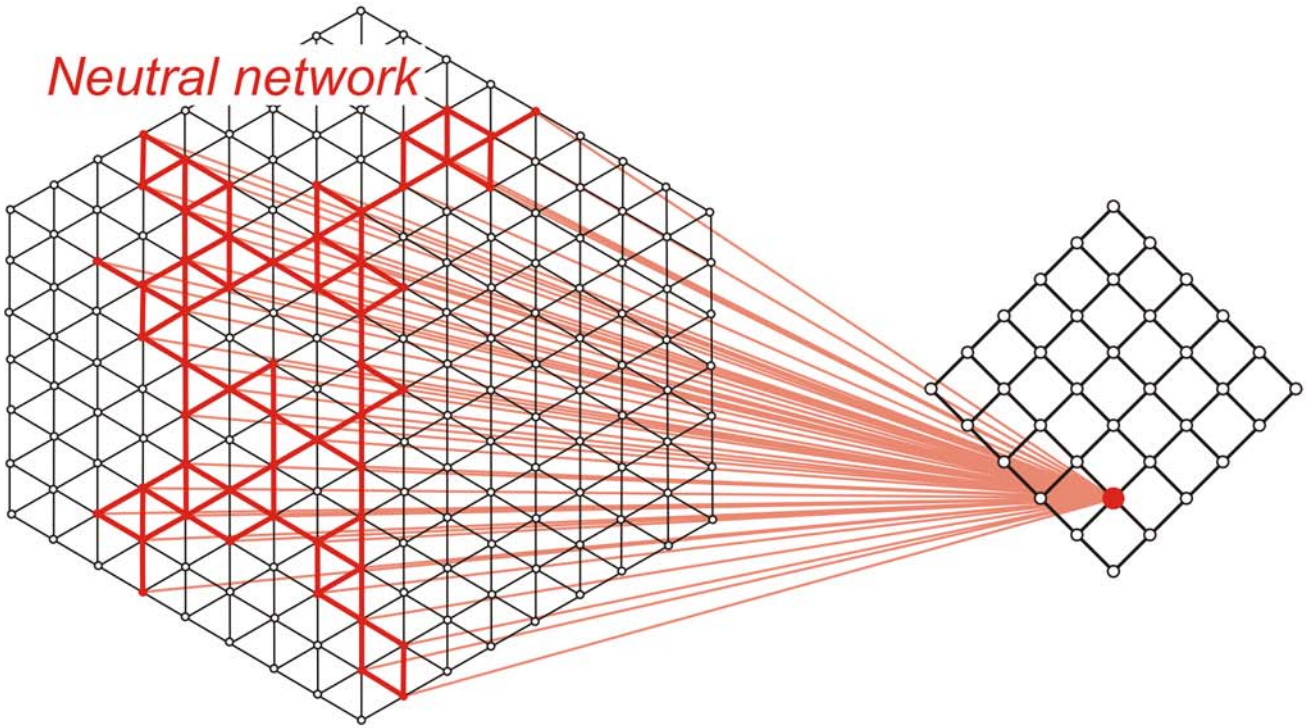

$S_k = \psi(I_.)$
? ? ?
Sequence space
Structure space

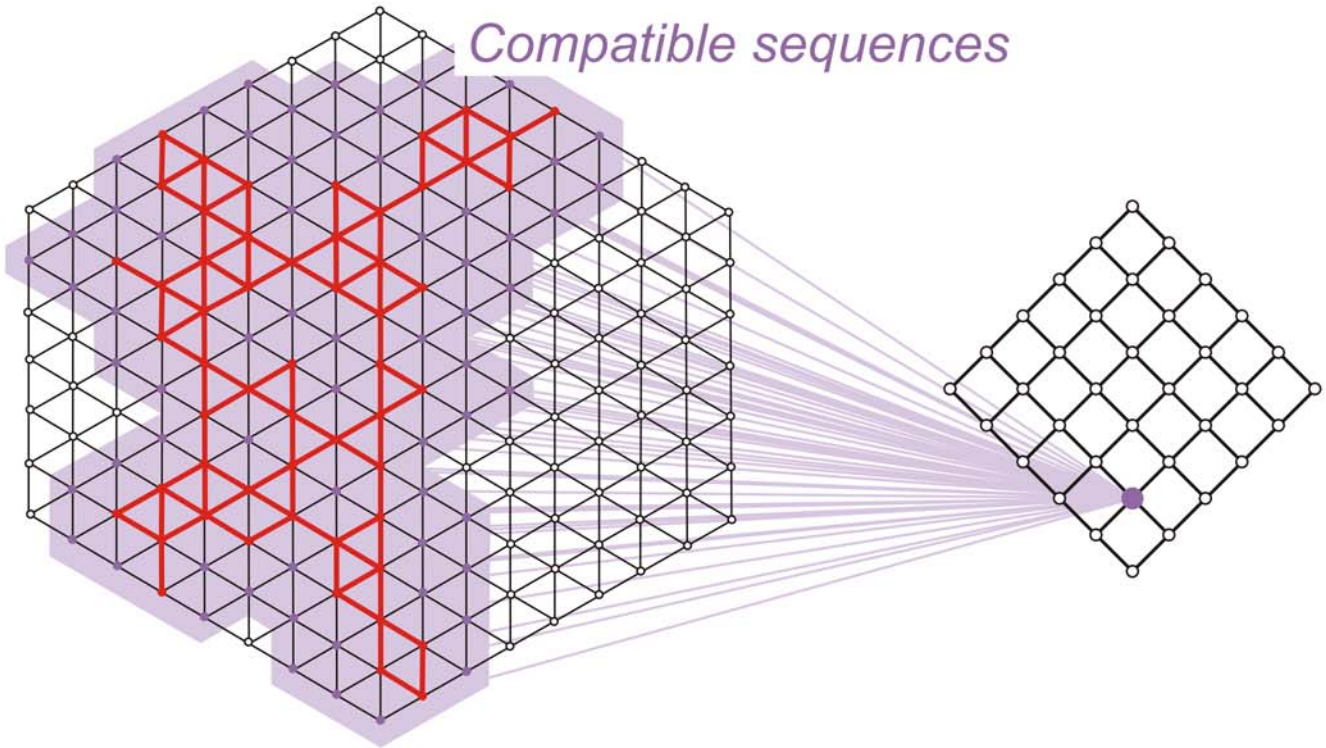


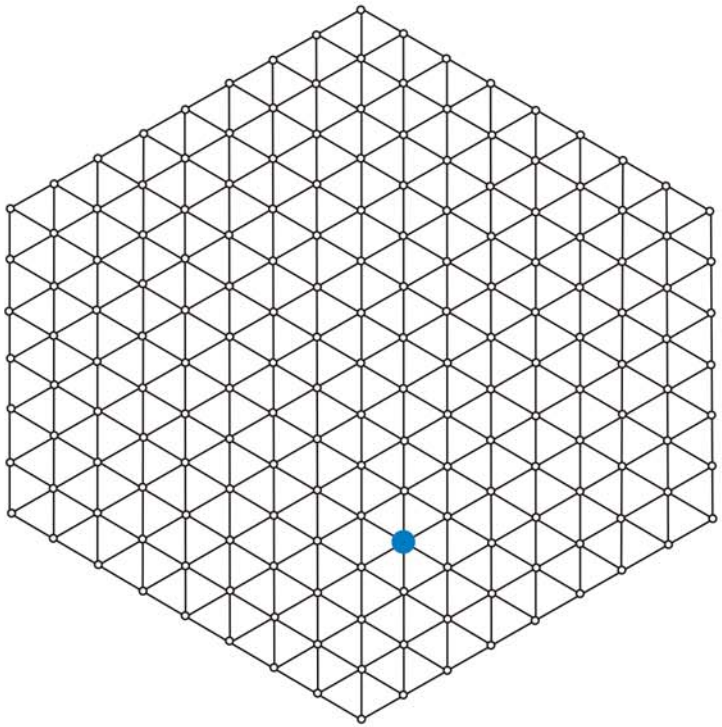
Sequence space



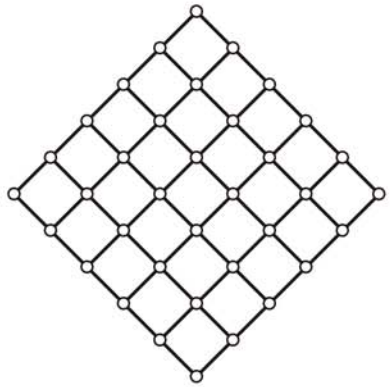
Structure space


Neutral network
Sequence space
Structure space

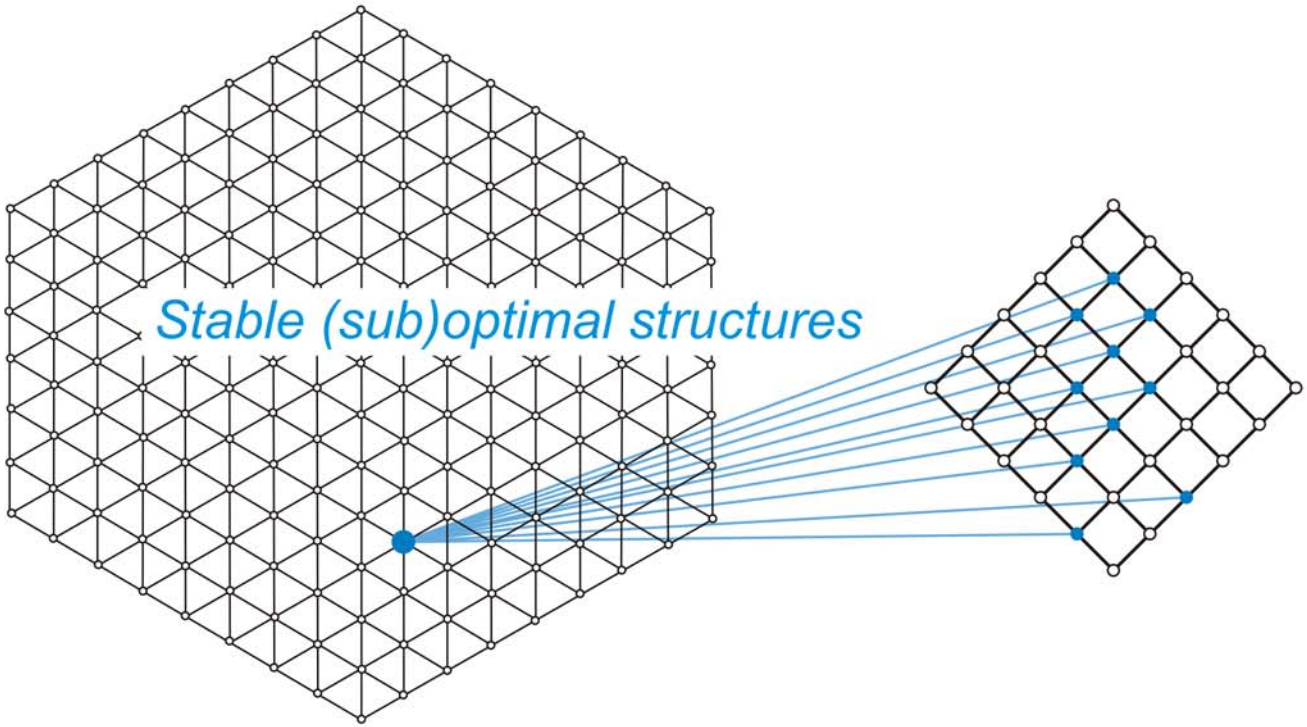

Compatible sequences
Sequence space
Structure space

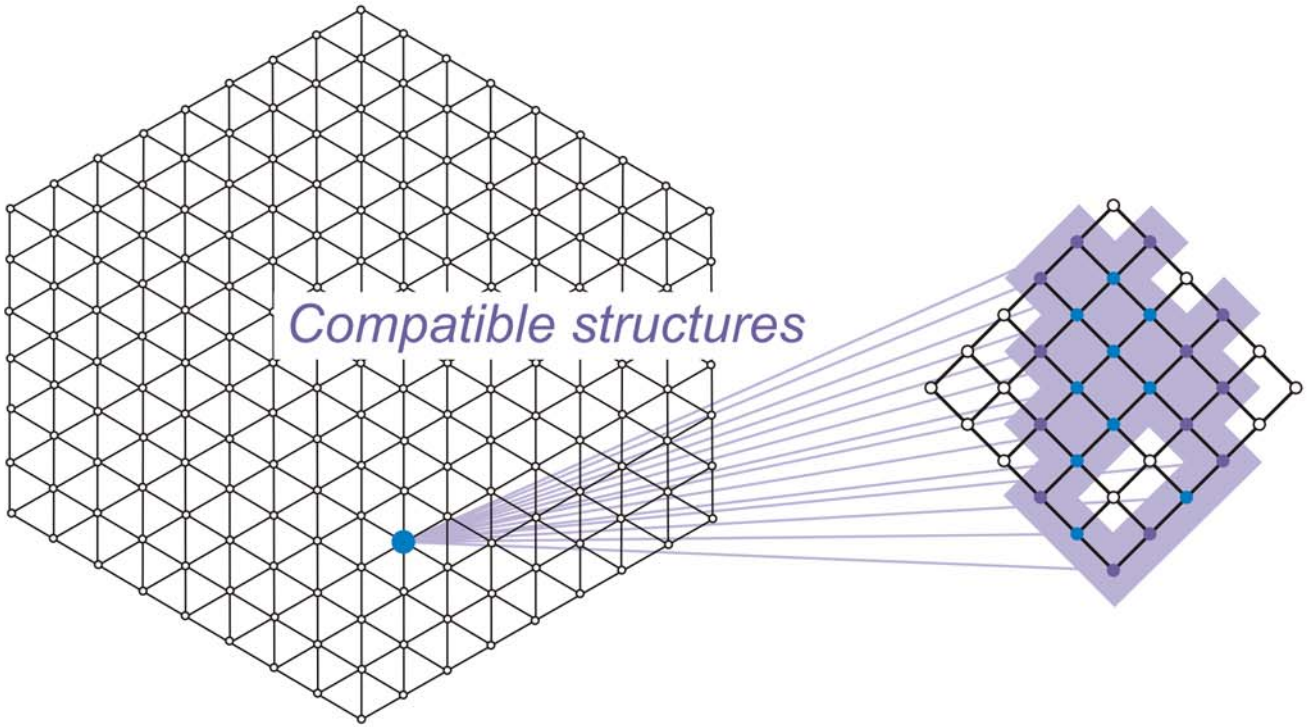


Sequence space



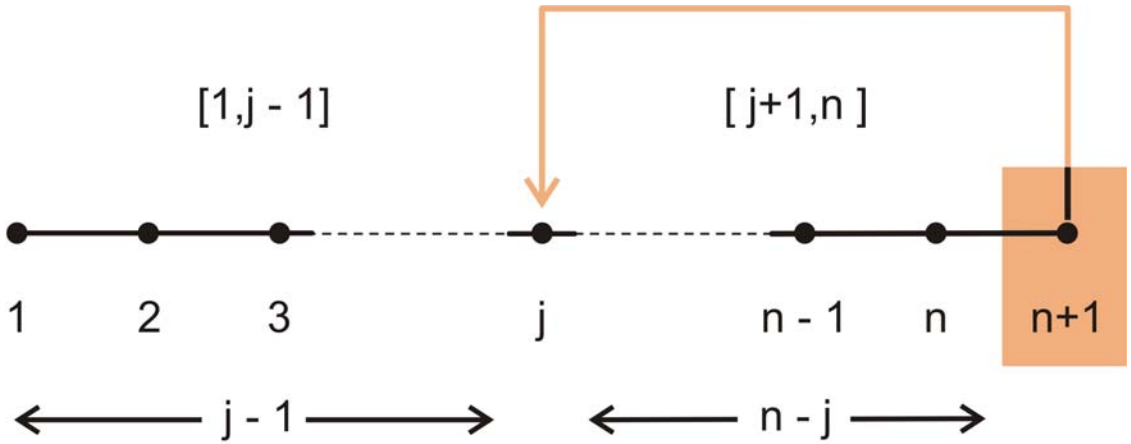
Structure space


Stable (sub)optimal structures
Sequence space
Structure space



Sequence space

Structure space



$$S_{n+1} = S_n + \sum_{j=1}^{n-1} S_{j-1} \cdot S_{n-j}$$

Counting the numbers of structures of chain length $n \Rightarrow n+1$

M.S. Waterman, T.F. Smith (1978) *Math.Bioscience* **42**:257-266

RNA sequence GUAUCGAAAUACGUAGCGUAUGGGGAUGCUGGACGGUCCCAUCGGUACUCCA

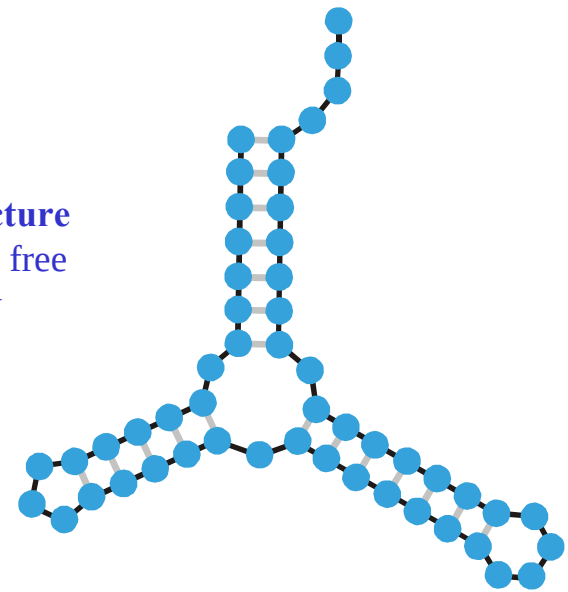
RNA folding:

Structural biology, spectroscopy of biomolecules, understanding **molecular function**

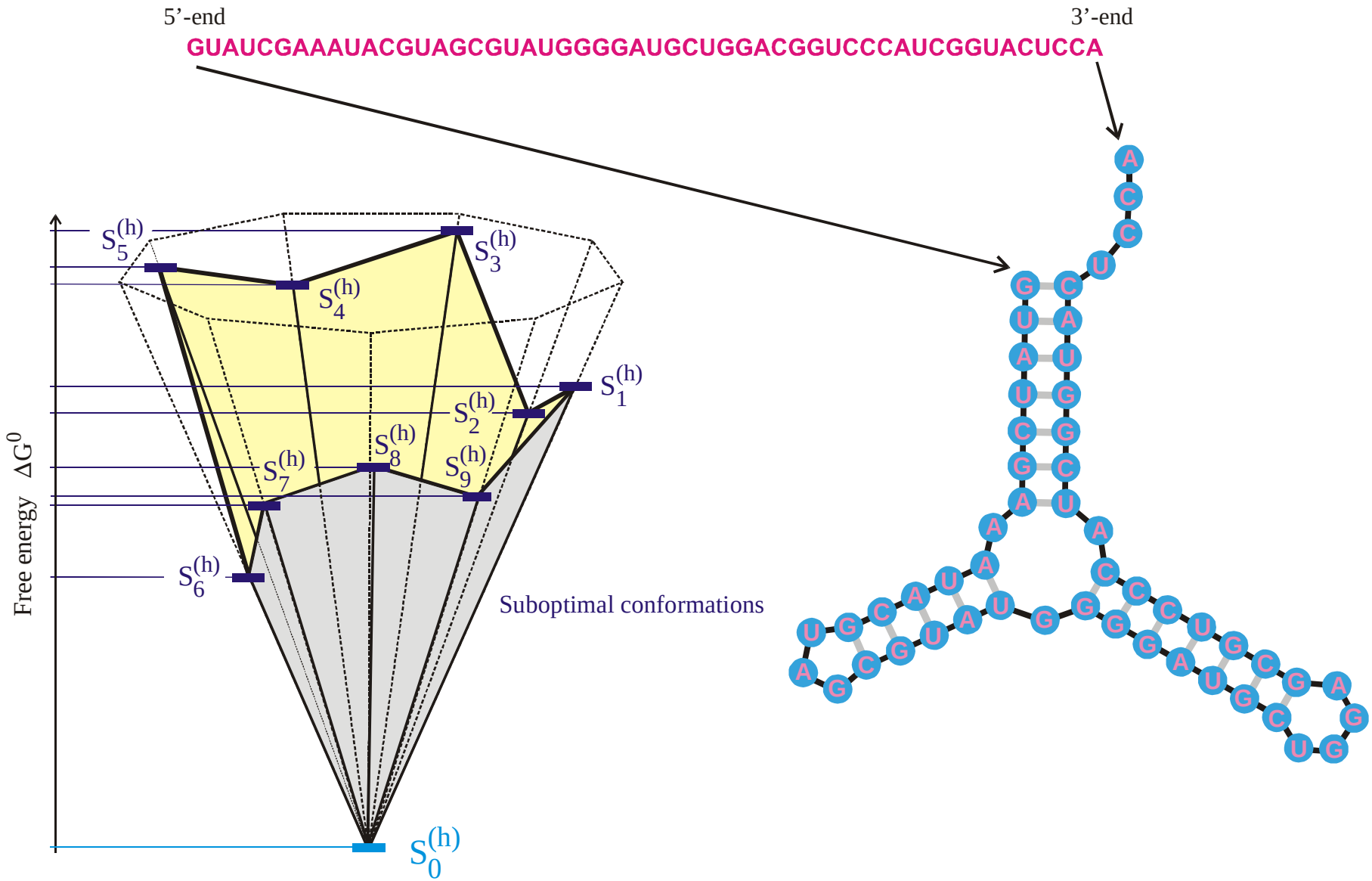
Biophysical chemistry: thermodynamics and kinetics

Empirical parameters

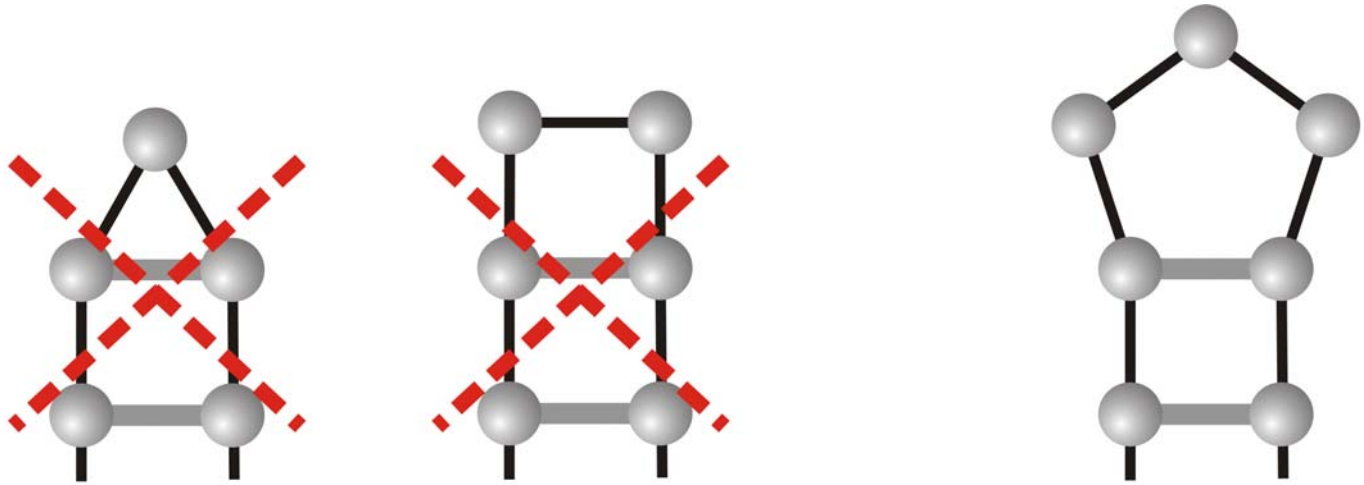
RNA structure of minimal free energy



Sequence, structure, and design



The minimum free energy structures on a discrete space of conformations



Impossible (extremely high free energies)
for steric reasons

High free energies because of lack of stacking and
very rare in minimum free energy structures

Restrictions on physically acceptable mfe-structures: $\lambda \geq 3$ and $\sigma \geq 2$

Size restriction of elements: (i) hairpin loop $n_{\text{loop}} \geq \lambda$

(ii) stack $n_{\text{stack}} \geq \sigma$

$$S_{m+1} = \Xi_{m+1} + \Phi_{m-1}$$

$$\Xi_{m+1} = S_m + \sum_{k=\lambda+2\sigma-2}^{m-2} \Phi_k \cdot S_{m-k+1}$$

$$\Phi_{m+1} = \sum_{k=\sigma-1}^{\lfloor (m-\lambda+1)/2 \rfloor} \Xi_{m-2k+1}$$

$S_n \approx$ # structures of a sequence with chain length n

Recursion formula for the number of physically acceptable stable structures

I.L.Hofacker, P.Schuster, P.F. Stadler. 1998. *Discr.Appl.Math*. **89**:177-207

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RNA sequence GUAUCGAAAUACGUAGCGUAUGGGGAUGCUGGACGGUCCCAUCGGUACUCCA

RNA folding:

Structural biology, spectroscopy of biomolecules, understanding **molecular function**

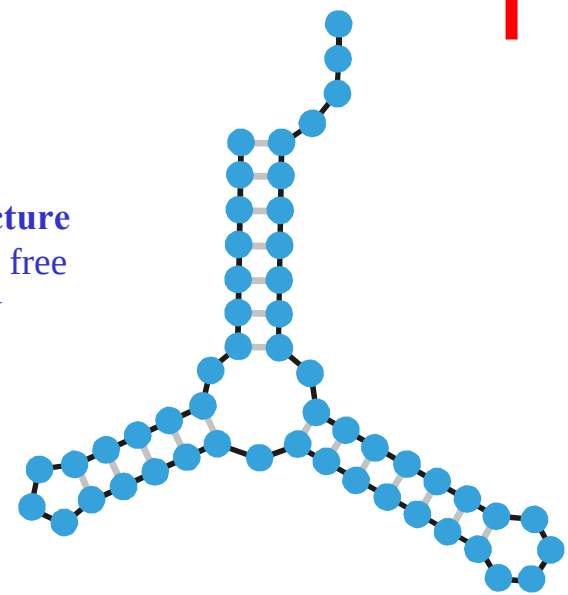
Iterative determination of a sequence for the given secondary structure

Inverse Folding Algorithm

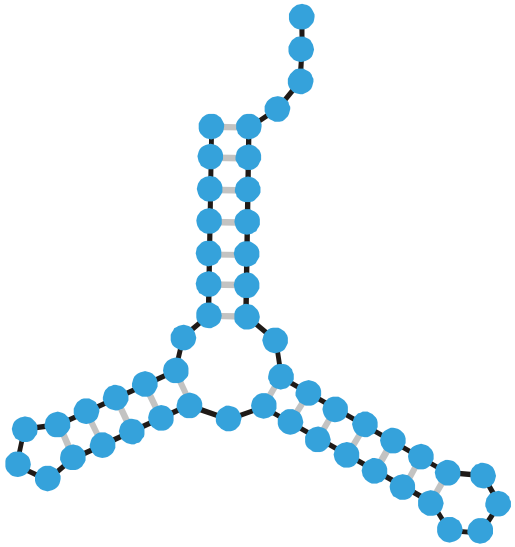
Inverse folding of RNA:

Biotechnology, **design of biomolecules** with predefined structures and functions

RNA structure of minimal free energy



Sequence, structure, and design



Minimum free energy criterion

1st
2nd
3rd trial
4th
5th

UUUAGCCAGCGCGAGUCGUGCGGACGGGGUUAUCUCUGUCGGGCUAGGGCGC

GUGAGCGCGGGGCACAGUUUCUCAAGGAUGUAAGUUUUUGCCGUUUAUCUGG

UUAGCGAGAGAGGAGGCUUCUAGACCCAGCUCUCUGGGUCGUUGCUGAUGCG

CAUUGGUGCUAAUGAUAUUAGGGCUGUAUUCCUGUAUAGCGAUCAGUGUCCG

GUAGGCCCUCUUGACAUAAGAUUUUUCCAAUGGUGGGAGAUGGCCAUUGCAG

Inverse folding

The **inverse folding algorithm** searches for sequences that form a given RNA secondary structure under the minimum free energy criterion.

Space of genotypes: $\mathcal{I} = \{I_1, I_2, I_3, I_4, \ldots, I_N\}$; Hamming metric

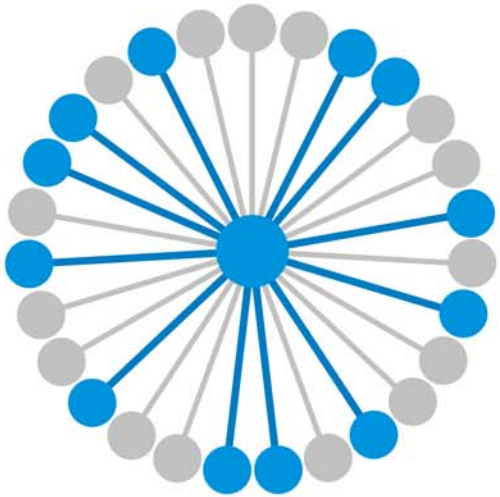
Space of phenotypes: $S = \{S_1, S_2, S_3, S_4, \ldots, S_M\}$; metric (not required)

$$N >> M$$

$$\psi(I_j) = S_k$$

$$\mathbf{G}_k = \psi^{-1}(S_k) \cup \{ I_j \mid \psi(I_j) = S_k \}$$

A mapping ψ and its inversion



$$G_k = \psi^{-1}(S_k) \doteq \{ I_j \mid \psi(I_j) = S_k \}$$

$$\bar{\lambda}_k = \frac{\sum_{j \in |G_k|} \lambda_j(k)}{|G_k|}$$

$\lambda_j = 12 / 27 = 0.444$

Alphabet size κ :

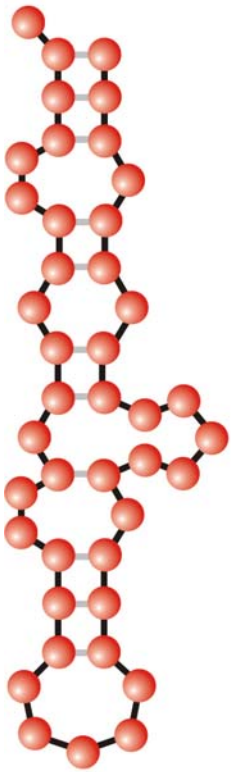
κ	λ_{cr}	
2	0.5	**AU,GC,DU**
3	0.423	**AUG , UGC**
4	0.370	**AUGC**

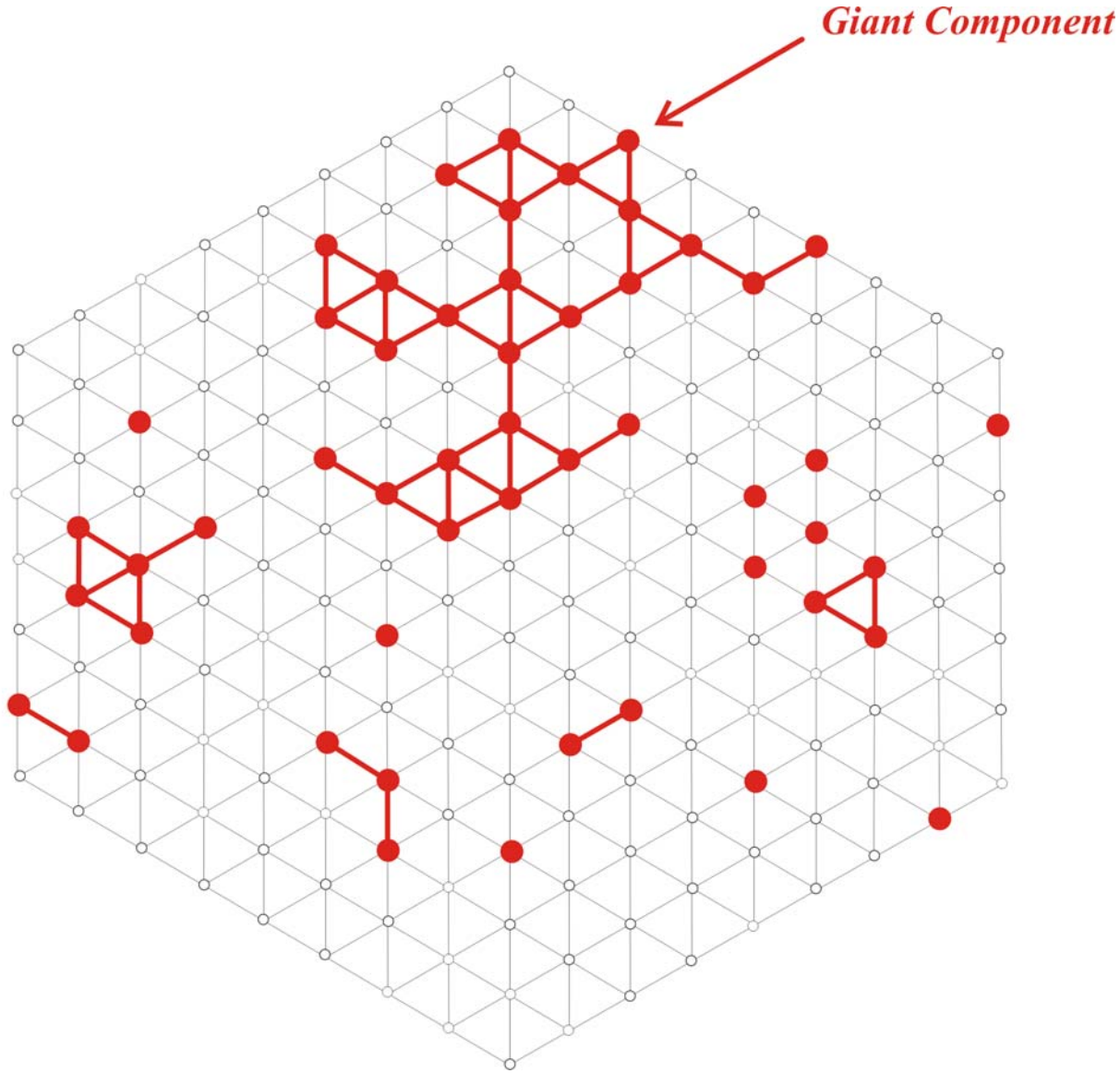
$\bar{\lambda}_k > \lambda_{cr}$ .... network G_k is connected

$\bar{\lambda}_k < \lambda_{cr}$ .... network G_k is **not** connected

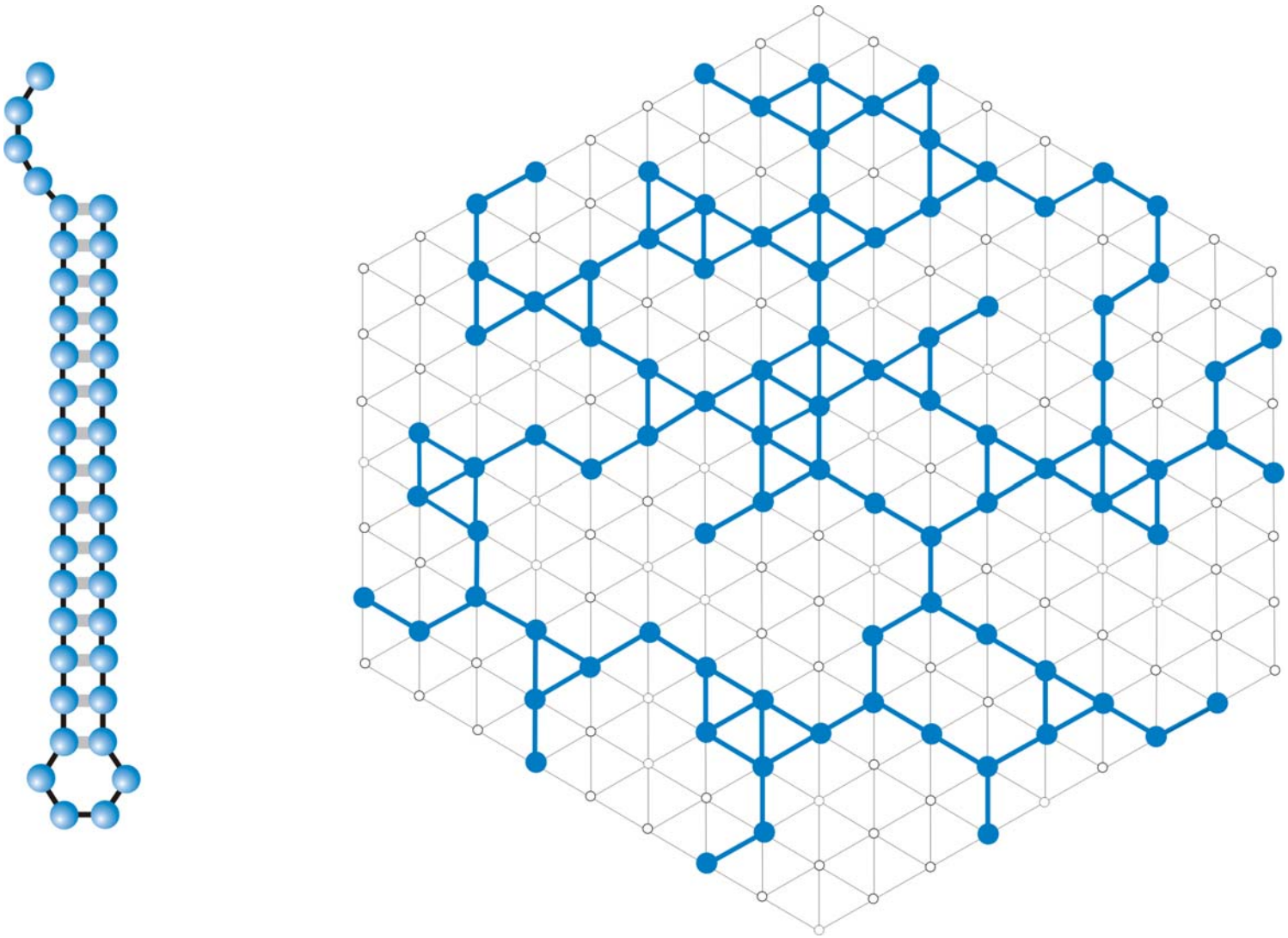
Connectivity threshold: $\lambda_{cr} = 1 - \kappa^{-1/(\kappa-1)}$

Degree of neutrality of neutral networks and the connectivity threshold

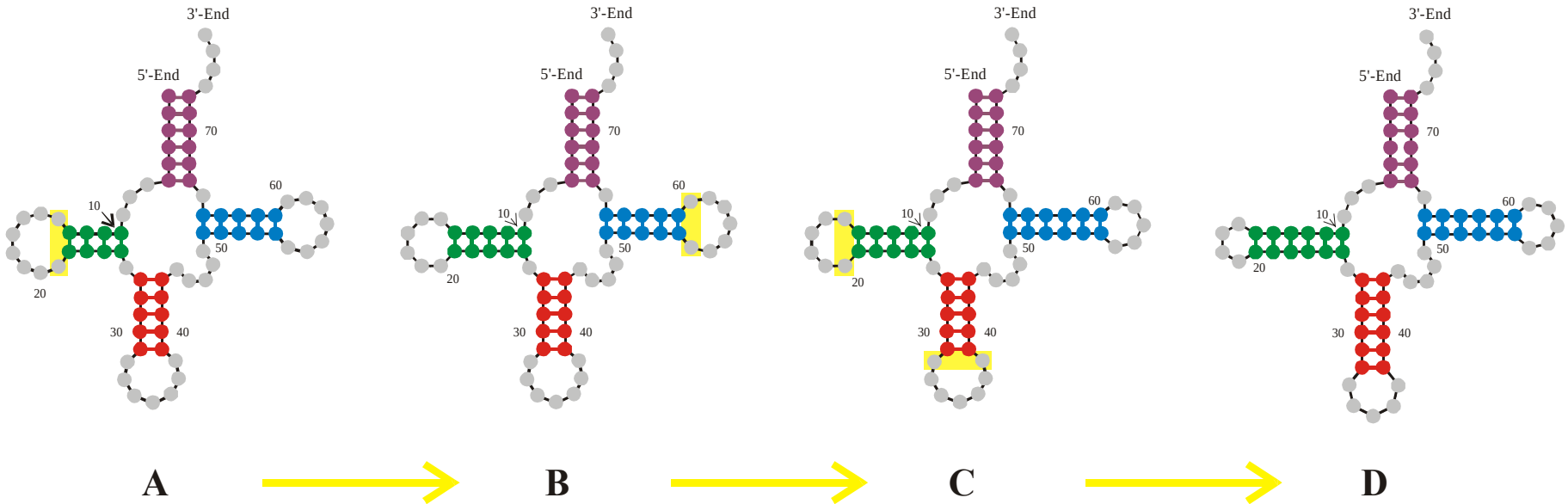




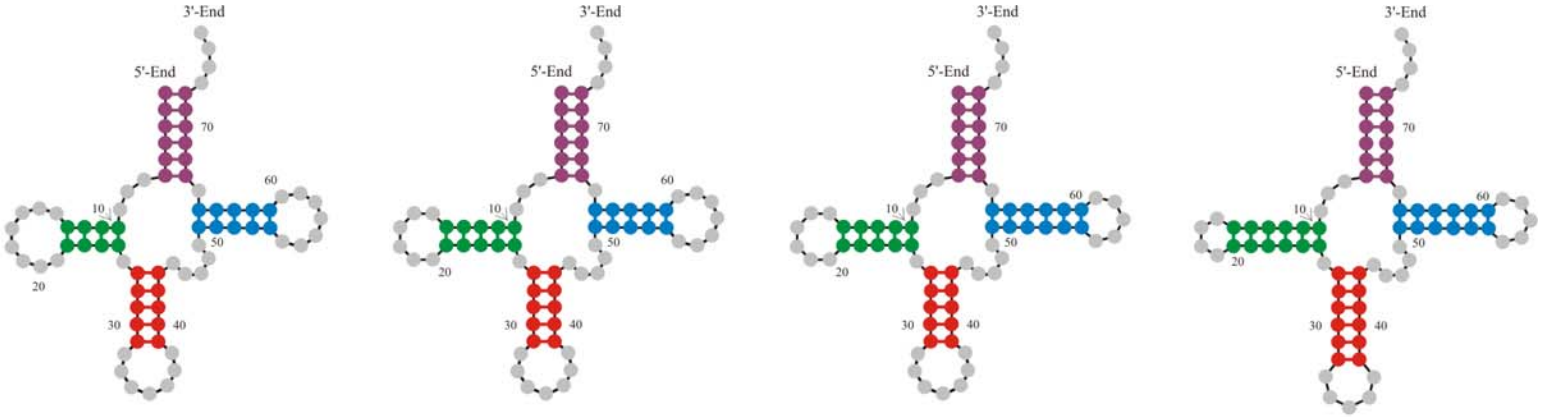
A multi-component neutral network formed by a rare structure: $\lambda < \lambda_{cr}$



A connected neutral network formed by a common structure: $\lambda > \lambda_{cr}$



RNA clover-leaf secondary structures of sequences with chain length n=76



Alphabet	Degree of neutrality $\bar{\lambda}$			
AU	- -	- -	- -	0.073 ± 0.032
AUG	- -	0.217 ± 0.051	0.207 ± 0.055	0.201 ± 0.056
AUGC	0.275 ± 0.064	0.279 ± 0.063	0.289 ± 0.062	0.313 ± 0.058
UGC	0.263 ± 0.071	0.257 ± 0.070	0.251 ± 0.068	0.250 ± 0.064
GC	0.052 ± 0.033	0.057 ± 0.034	0.060 ± 0.033	0.068 ± 0.034

Degree of neutrality of cloverleaf RNA secondary structures over different alphabets

From sequences to shapes and back: a case study in RNA secondary structures

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SUMMARY

RNA folding is viewed here as a map assigning secondary structures to sequences. At fixed chain length the number of sequences far exceeds the number of structures. Frequencies of structures are highly non-uniform and follow a generalized form of Zipf's law: we find relatively few common and many rare ones. By using an algorithm for inverse folding, we show that sequences sharing the same structure are distributed randomly over sequence space. All common structures can be accessed from an arbitrary sequence by a number of mutations much smaller than the chain length. The sequence space is percolated by extensive neutral networks connecting nearest neighbours folding into identical structures. Implications for evolutionary adaptation and for applied molecular evolution are evident: finding a particular structure by mutation and selection is much simpler than expected and, even if catalytic activity should turn out to be sparse in the space of RNA structures, it can hardly be missed by evolutionary processes.

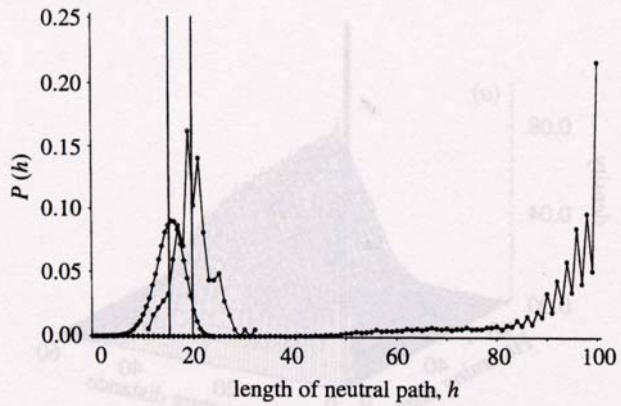

Figure 4. Neutral paths. A neutral path is defined by a series of nearest neighbour sequences that fold into identical structures. Two classes of nearest neighbours are admitted: neighbours of Hamming distance 1, which are obtained by single base exchanges in unpaired stretches of the structure, and neighbours of Hamming distance 2, resulting from base pair exchanges in stacks. Two probability densities of Hamming distances are shown that were obtained by searching for neutral paths in sequence space: (i) an upper bound for the closest approach of trial and target sequences (open circles) obtained as endpoints of neutral paths approaching the target from a random trial sequence (185 targets and 100 trials for each were used); (ii) a lower bound for the closest approach of trial and target sequences (open diamonds) derived from secondary structure statistics (Fontana *et al.* 1993*a*; see this paper, §4); and (iii) longest distances between the reference and the endpoints of monotonously diverging neutral paths (filled circles) (500 reference sequences were used).

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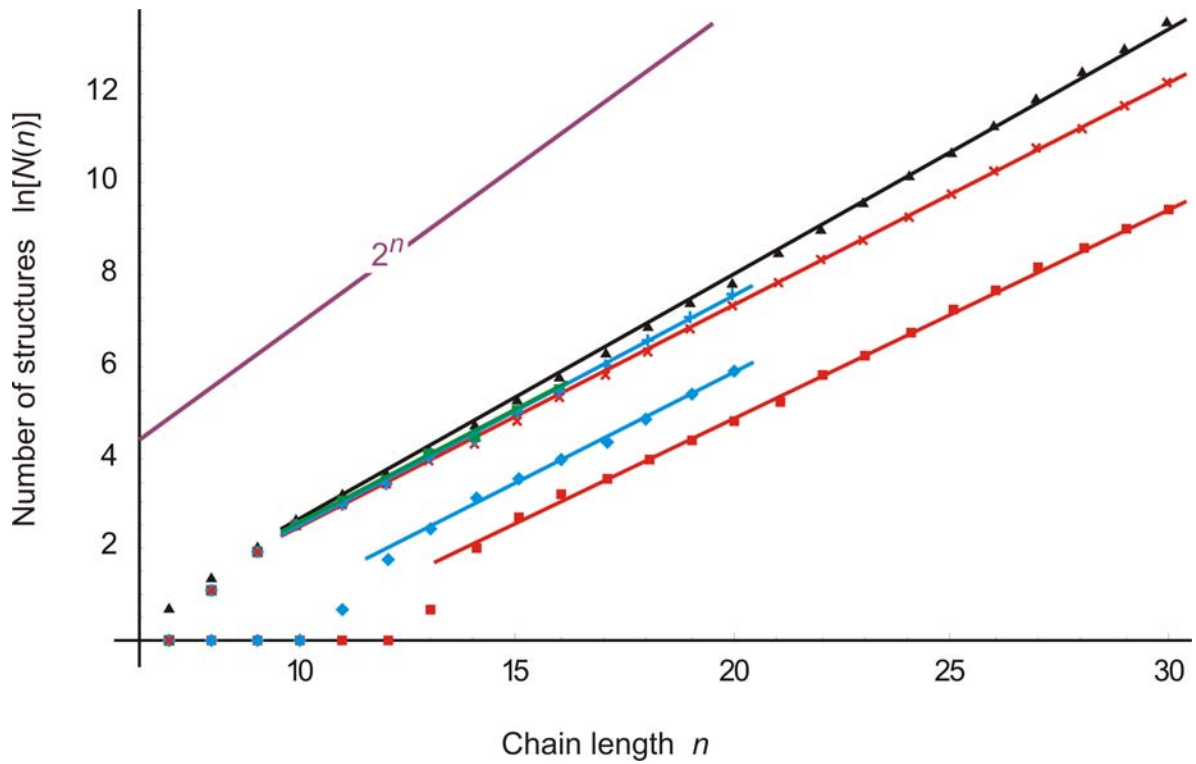
Reference for postulation and ***in silico*** verification of ***neutral networks***

Properties of RNA sequence to secondary structure mapping

1. More sequences than structures

Properties of RNA sequence to secondary structure mapping

1. More sequences than structures



Properties of RNA sequence to secondary structure mapping

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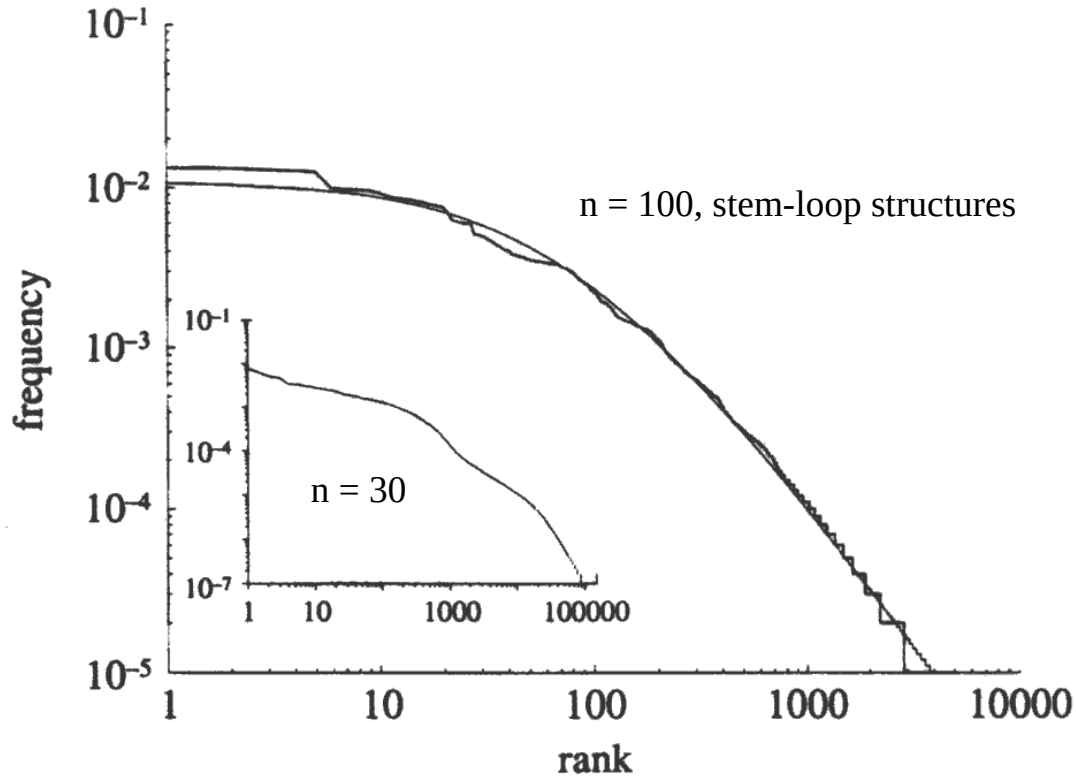

RNA secondary structures and Zipf's law

Properties of RNA sequence to secondary structure mapping

1. More sequences than structures
2. Few common versus many rare structures
3. Shape space covering of common structures

Properties of RNA sequence to secondary structure mapping

1. More sequences than structures
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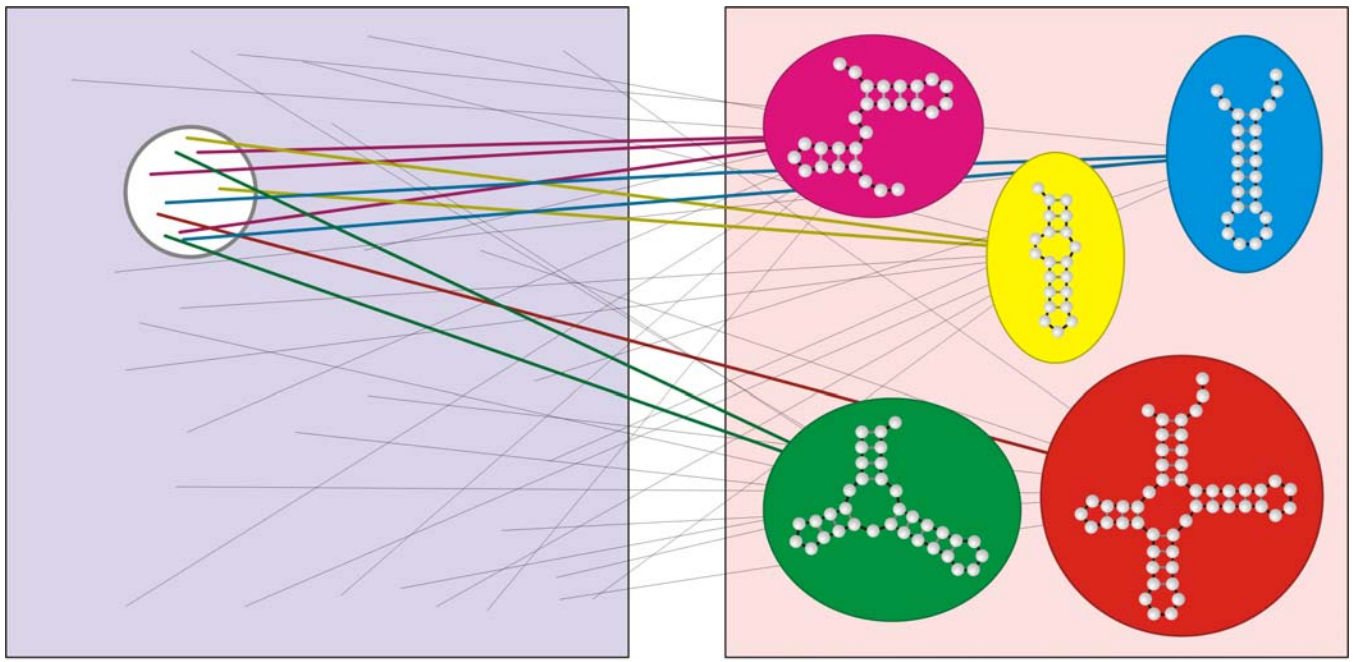

Properties of RNA sequence to secondary structure mapping

1. More sequences than structures
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4. Neutral networks of common structures are connected

Properties of RNA sequence to secondary structure mapping

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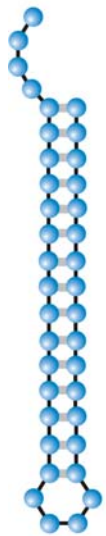
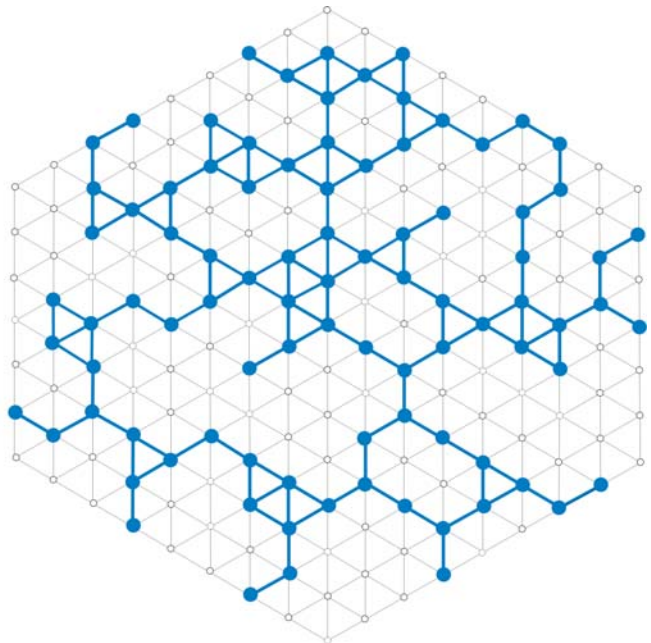
Alphabet size κ :

κ	λ_{cr}	
2	0.5	**AU,GC,DU**
3	0.423	**AUG , UGC**
4	0.370	**AUGC**





Evolution of aptamers with a new specificity and new secondary structures from an ATP aptamer

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ABSTRACT

Small changes in target specificity can sometimes be achieved, without changing aptamer structure, through mutation of a few bases. Larger changes in target geometry or chemistry may require more radical changes in an aptamer. In the latter case, it is unknown whether structural and functional solutions can still be found in the region of sequence space close to the original aptamer. To investigate these questions, we designed an in vitro selection experiment aimed at evolving specificity of an ATP aptamer. The ATP aptamer makes contacts with both the nucleobase and the sugar. We used an affinity matrix in which GTP was immobilized through the sugar, thus requiring extensive changes in or loss of sugar contact, as well as changes in recognition of the nucleobase. After just five rounds of selection, the pool was dominated by new aptamers falling into three major classes, each with secondary structures distinct from that of the ATP aptamer. The average sequence identity between the original aptamer and new aptamers is 76%. Most of the mutations appear to play roles either in disrupting the original secondary structure or in forming the new secondary structure or the new recognition loops. Our results show that there are novel structures that recognize a significantly different ligand in the region of sequence space close to the ATP aptamer. These examples of the emergence of novel functions and structures from an RNA molecule with a defined specificity and fold provide a new perspective on the evolutionary flexibility and adaptability of RNA.

Keywords: Aptamer; specificity; fold; selection; RNA evolution

RNA **9**:1456-1463, 2003

Evidence for neutral networks and shape space covering

Evidence for neutral networks and intersection of apatamer functions

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DOI: 10.1007/s00239-003-2481-y

JOURNAL OF MOLECULAR EVOLUTION

Evolutionary Landscapes for the Acquisition of New Ligand Recognition by RNA Aptamers

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Abstract. The evolution of ligand specificity underlies many important problems in biology, from the appearance of drug resistant pathogens to the re-engineering of substrate specificity in enzymes. In studying biomolecules, however, the contributions of macromolecular sequence to binding specificity can be obscured by other selection pressures critical to bioactivity. Evolution of ligand specificity *in vitro*—unconstrained by confounding biological factors—is addressed here using variants of three flavin-binding RNA aptamers. Mutagenized pools based on the three aptamers were combined and allowed to compete during *in vitro* selection for GMP-binding activity. The sequences of the resulting selection isolates were diverse, even though most were derived from the same flavin-binding parent. Individual GMP aptamers differed from the parental flavin aptamers by 7 to 26 mutations (20 to 57% overall change). Acquisition of GMP recognition coincided with the loss of FAD (flavin-adenine dinucleotide) recognition in all isolates, despite the absence of a counter-selection to remove FAD-binding RNAs. To examine more precisely the proximity of these two activities within a defined sequence space, the complete set of all intermediate sequences between an FAD-binding aptamer and a GMP-binding aptamer were synthesized and assayed for activity. For this set of sequences, we observe a portion of a neutral network for FAD-binding function separated from GMP-binding function by a distance of three mutations. Furthermore, enzymatic probing of these aptamers revealed gross structural remodeling of the RNA coincident with the switch in ligand recognition. The capacity for neutral drift along an FAD-binding network in such close approach to RNAs with GMP-binding activity illustrates the degree of phenotypic buffering available to a set of closely related RNA sequences—defined as the set's functional tolerance for point mutations—and supports neutral evolutionary theory by demonstrating the facility with which a new phenotype becomes accessible as that buffering threshold is crossed.

Key words: Aptamers — RNA structure — Phenotypic buffering — Fitness landscapes — Neutral evolutionary theory — Flavin — GMP

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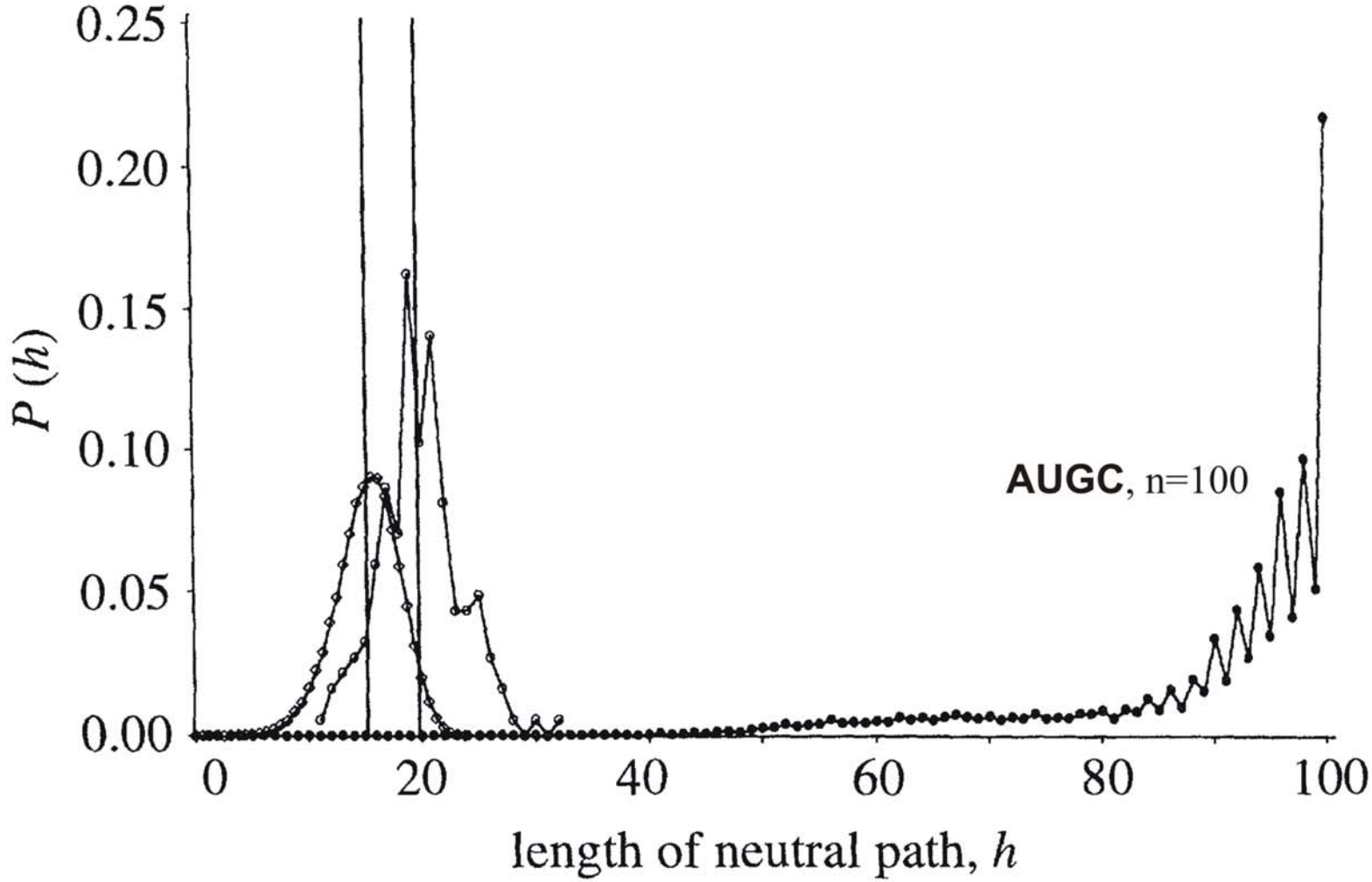
Introduction

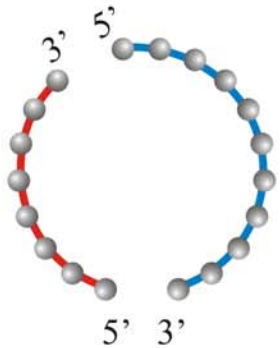
RNA aptamers targeting small molecules serve as useful model systems for the study of the evolution and biophysics of macromolecular binding interactions. Because of their small sizes, the structures of several such complexes have been determined to atomic resolution by NMR spectrometry or X-ray crystallography (reviewed by Herman and Patel 2000). Moreover, aptamers can be subjected to mutational and evolutionary pressures for which survival is based entirely on ligand binding, without the complicating effects of simultaneous selection pressures for bioactivity, thus allowing the relative contributions of each activity to be evaluated separately.



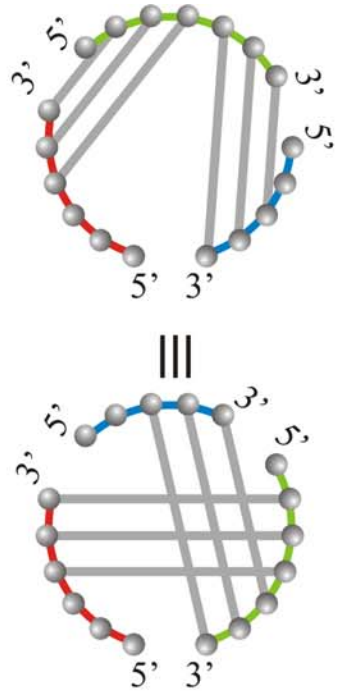
P. Schuster, W. Fontana, P.F. Stadler, I.L. Hofacker. 1994
Proc.Roy.Soc. London **B 255**:279-284.

Circular RNA

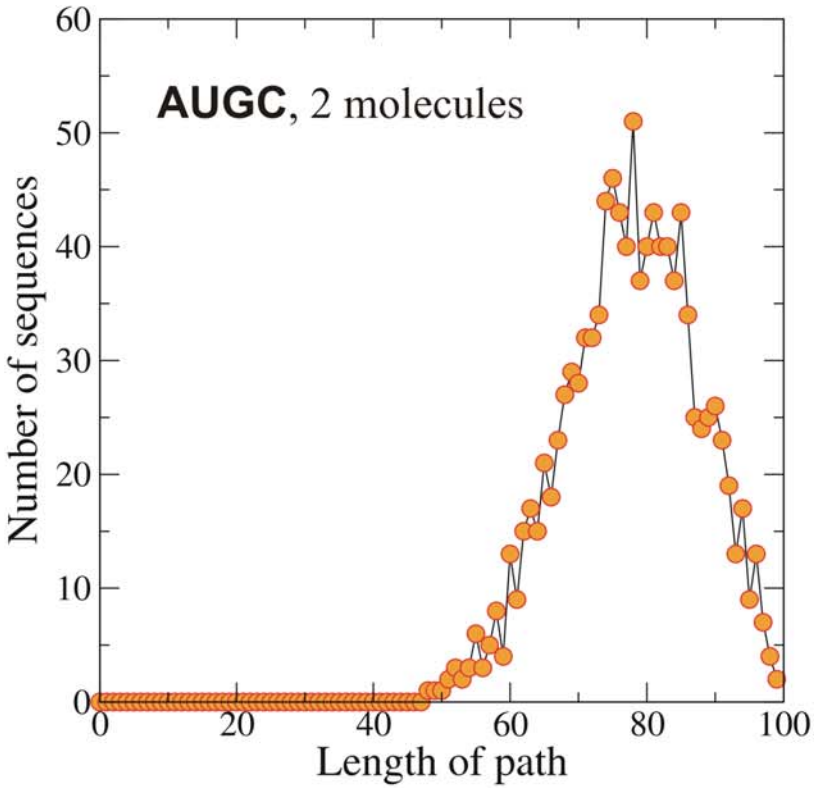
One RNA molecule

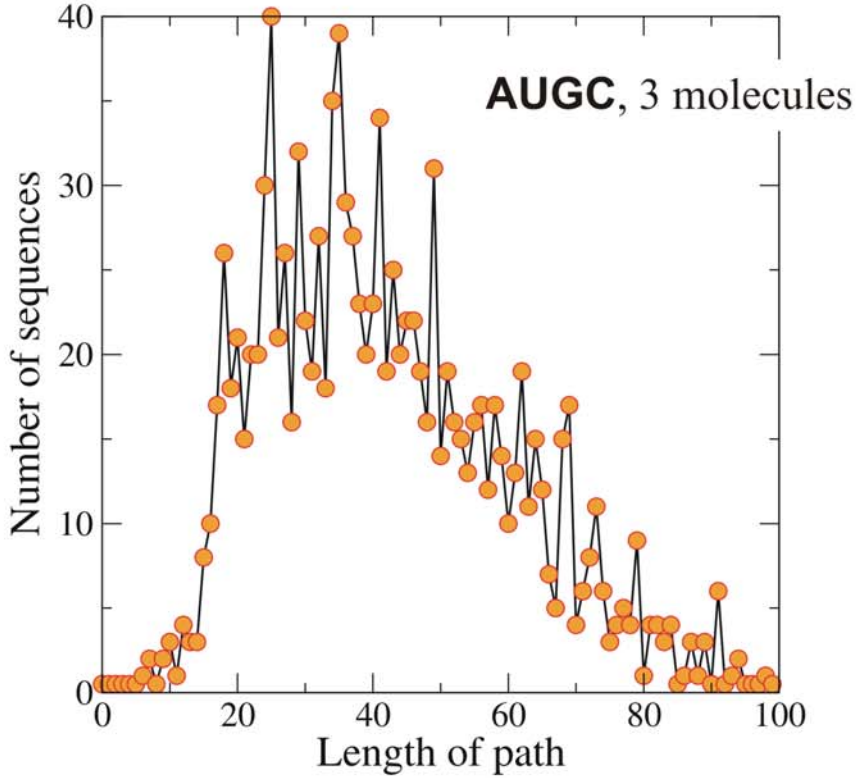


Two RNA molecules



Three RNA molecules





Total chain length: n=100 ; cofolding with one or two fixed sequences

C. Stephan-Otto Attolini, P.F. Stadler, 2005.
Adv.Complex Syst., in press.

tRNA clover leafs with increasing stack lengths (1→4), n = 76

Alphabet	Clover leaf 1	Clover leaf 2	Clover leaf 3	Clover leaf 4
AU	--	--	--	0.07
AUG	--	0.22	0.21	0.20
AUGC	**0.28**	**0.28**	**0.29**	**0.31**
UGC	0.26	0.26	0.25	0.25
GC	0.05	0.06	0.06	0.07

AUGC, n = 100

	Degree of neutrality λ	Mean length of path *h*
Unconstrained fold	0.33	> 95
Cofold with one sequence	0.32	75
Cofold with two sequences	0.18	40

Degree of neutrality and lengths of neutral path

1. Sequence space and shape space
2. Neutral networks
3. **Evolutionary optimization of structure**
4. Suboptimal structures and kinetic folding
5. Comparison of kinetic folding and evolution
6. How to model evolution of kinetic folding?

Evolution *in silico*

W. Fontana, P. Schuster,
Science **280** (1998), 1451-1455

random individuals. The primer pair used for genomic DNA amplification is 5′-TCTCCCTGGATTCT-CATTTA-3′ (forward) and 5′-TCTTTGTCTTCTGT-TCCACC-3′ (reverse). Reactions were performed in 25 μl using 1 unit of Taq DNA polymerase with each primer at 0.4 μM; 200 μM each dATP, dTTP, dGTP, and dCTP; and PCR buffer [10 mM tris-HCl (pH 8.3), 50 mM KCl_2,1.5 mM $MgCl_2$] in a cycle condition of 94°C for 1 min and then 35 cycles of 94°C for 30 s, 55°C for 30 s, and 72°C for 30 s followed by 72°C for 6 min. PCR products were purified (Qiagen), digested with Xmn I, and separated in a 2% agarose gel.

32. A nonsense mutation may affect mRNA stability and result in degradation of the transcript [L. Maquat, *Am. J. Hum. Genet.* **59**, 279 (1996)].
33. Data not shown; a dot blot with poly (A)$^+$ RNA from 50 human tissues (The Human RNA Master Blot, 7770-1, Clontech Laboratories) was hybridized with a probe from exons 29 to 47 of *MYO15* using the same condition as Northern blot analysis (*13*).
34. Smith–Magenis syndrome (SMS) is due to deletions of 17p11.2 of various sizes, the smallest of which includes *MYO15* and perhaps 20 other genes [(*6*); K-S Chen, L. Potocki, J. R. Lupski, *MRDD Res. Rev.* **2**, 122 (1996)]. *MYO15* expression is easily detected in the pituitary gland (data not shown). Haploinsufficiency for *MYO15* may explain a portion of the SMS phenotype such as short stature. Moreover, a few SMS patients have sensorineural hearing loss, possibly because of a point mutation in *MYO15* in trans to the SMS 17p11.2 deletion.
35. R. A. Fridell, data not shown.
36. K. B. Avraham *et al.*, *Nature Genet.* **11**, 369 (1995); X-Z. Liu *et al.*, *ibid.* **17**, 268 (1997); F. Gibson *et al.*, *Nature* **374**, 62 (1995); D. Weil *et al.*, *ibid.*, p. 60.
37. RNA was extracted from cochlea (membranous labyrinths) obtained from human fetuses at 18 to 22 weeks of development in accordance with guidelines established by the Human Research Committee at the Brigham and Women's Hospital. Only samples without evidence of degradation were pooled for poly (A)$^+$ selection over oligo(dT) columns. First-strand cDNA was prepared using an Advantage RT-for-PCR kit (Clontech Laboratories). A portion of the first-strand cDNA (4%) was amplified by PCR with Advantage cDNA polymerase mix (Clontech Laboratories) using human *MYO15*-specific oligonucleotide primers (forward, 5′-GCATGACCTGCCGGCTAAT-GGG-3′; reverse, 5′-CTCACGGCTTCTGCATGGT-GCTCGGCTGGC-3′). Cycling conditions were 40 s at 94°C; 40 s at 66°C (3 cycles), 60°C (5 cycles), and 55°C (29 cycles); and 45 s at 68°C. PCR products were visualized by ethidium bromide staining after fractionation in a 1% agarose gel. A 688-bp PCR product is expected from amplification of the human *MYO15* cDNA. Amplification of human genomic DNA with this primer pair would result in a 2903-bp fragment.

38. We are grateful to the people of Bengkala, Bali, and the two families from India. We thank J. R. Lupski and K.-S. Chen for providing the human chromosome 17 cosmid library. For technical and computational assistance, we thank N. Dietrich, M. Fergusson, A. Gupta, E. Sorbello, R. Torkzadeh, C. Varner, M. Walker, G. Bouffard, and S. Beckstrom-Sternberg (National Institutes of Health Intramural Sequencing Center). We thank J. T. Hinnant, I. N. Arhya, and S. Winata for assistance in Bali, and T. Barber, S. Sullivan, E. Green, D. Drayna, and J. Battey for helpful comments on this manuscript. Supported by the National Institute on Deafness and Other Communication Disorders (NIDCD) (Z01 DC 00035-01 and Z01 DC 00038-01 to T.B.F. and E.R.W. and R01 DC 03402 to C.C.M.), the National Institute of Child Health and Human Development (R01 HD30428 to S.A.C.) and a National Science Foundation Graduate Research Fellowship to F.J.P. This paper is dedicated to J. B. Snow Jr. on his retirement as the Director of the NIDCD.

9 March 1998; accepted 17 April 1998

Continuity in Evolution: On the Nature of Transitions

Walter Fontana and Peter Schuster

To distinguish continuous from discontinuous evolutionary change, a relation of nearness between phenotypes is needed. Such a relation is based on the probability of one phenotype being accessible from another through changes in the genotype. This nearness relation is exemplified by calculating the shape neighborhood of a transfer RNA secondary structure and provides a characterization of discontinuous shape transformations in RNA. The simulation of replicating and mutating RNA populations under selection shows that sudden adaptive progress coincides mostly, but not always, with discontinuous shape transformations. The nature of these transformations illuminates the key role of neutral genetic drift in their realization.

A much-debated issue in evolutionary biology concerns the extent to which the history of life has proceeded gradually or has been punctuated by discontinuous transitions at the level of phenotypes (*1*). Our goal is to make the notion of a discontinuous transition more precise and to understand how it arises in a model of evolutionary adaptation.

We focus on the narrow domain of RNA secondary structure, which is currently the simplest computationally tractable, yet realistic phenotype (*2*). This choice enables the definition and exploration of concepts that may prove useful in a wider context. RNA secondary structures represent a coarse level of analysis compared with the three-dimensional structure at atomic resolution. Yet, secondary structures are empirically well defined and obtain their biophysical and biochemical importance from being a scaffold for the tertiary structure. For the sake of brevity, we shall refer to secondary structures as "shapes." RNA combines in a single molecule both genotype (replicatable sequence) and phenotype (selectable shape), making it ideally suited for in vitro evolution experiments (*3*, *4*).

To generate evolutionary histories, we used a stochastic continuous time model of an RNA population replicating and mutating in a capacity-constrained flow reactor under selection (*5*, *6*). In the laboratory, a goal might be to find an RNA aptamer binding specifically to a molecule (*4*). Although in the experiment the evolutionary end product was unknown, we thought of its shape as being specified implicitly by the imposed selection criterion. Because our intent is to study evolutionary histories rather than end products, we defined a target shape in advance and assumed the replication rate of a sequence to be a function of the similarity between its shape and the target. An actual situation may involve more than one best shape, but this does not affect our conclusions.

An instance representing in its qualitative features all the simulations we performed is shown in Fig. 1A. Starting with identical sequences folding into a random shape, the simulation was stopped when the population became dominated by the target, here a canonical tRNA shape. The black curve traces the average distance to the target (inversely related to fitness) in the population against time. Aside from a short initial phase, the entire history is dominated by steps, that is, flat periods of no apparent adaptive progress, interrupted by sudden approaches toward the target structure (*7*). However, the dominant shapes in the population not only change at these marked events but undergo several fitness-neutral transformations during the periods of no apparent progress. Although discontinuities in the fitness trace are evident, it is entirely unclear when and on the basis of what the series of successive phenotypes itself can be called continuous or discontinuous.

A set of entities is organized into a (topological) space by assigning to each entity a system of neighborhoods. In the present case, there are two kinds of entities: sequences and shapes, which are related by a thermodynamic folding procedure. The set of possible sequences (of fixed length) is naturally organized into a space because point mutations induce a canonical neighborhood. The neighborhood of a sequence consists of all its one-error mutants. The problem is how to organize the set of possible shapes into a space. The issue arises because, in contrast to sequences, there are

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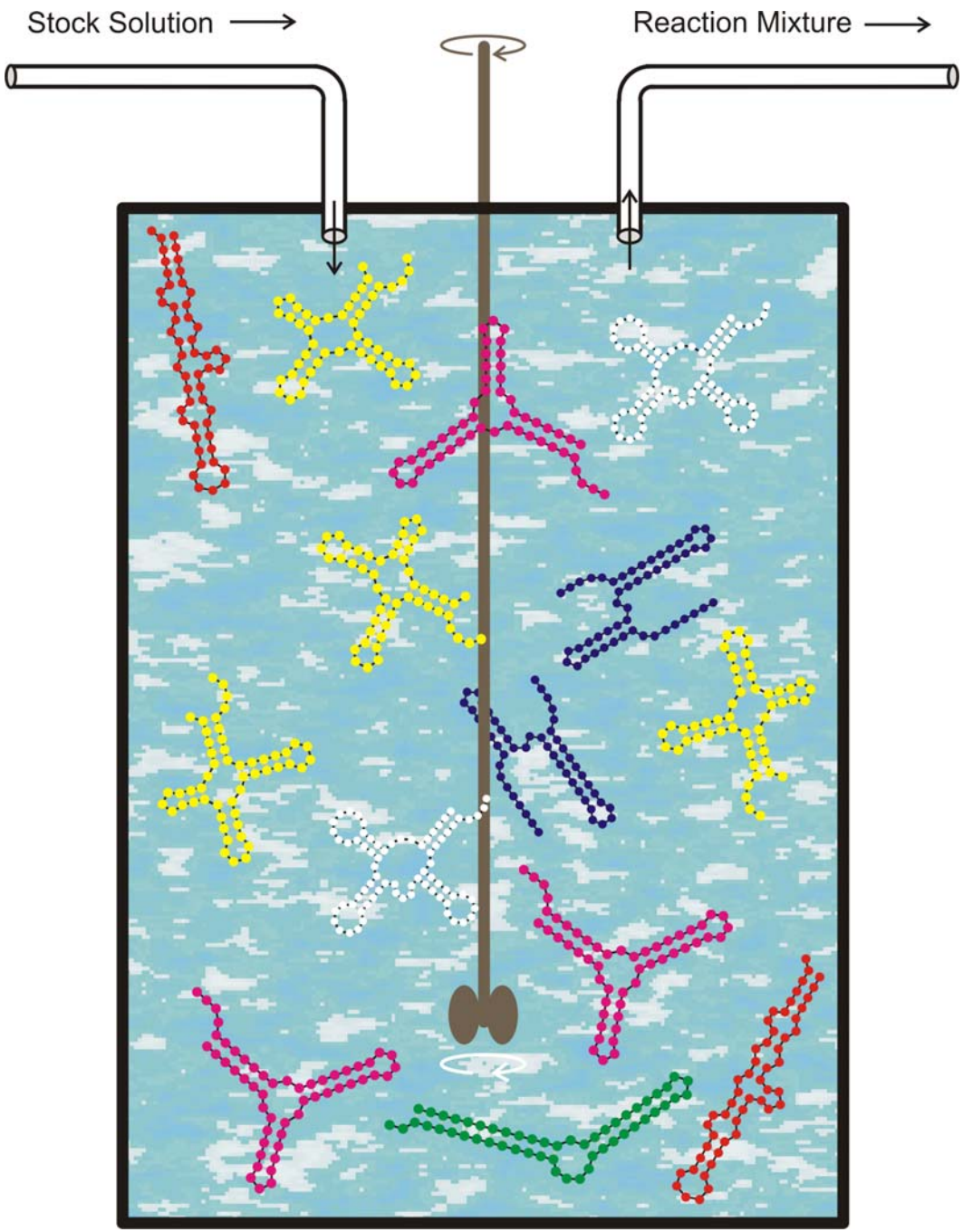


Replication rate constant:

$$f_k = \gamma / [\alpha + \Delta d_S^{(k)}]$$

$$\Delta d_S^{(k)} = d_H(S_k, S_\tau)$$

Selection constraint:

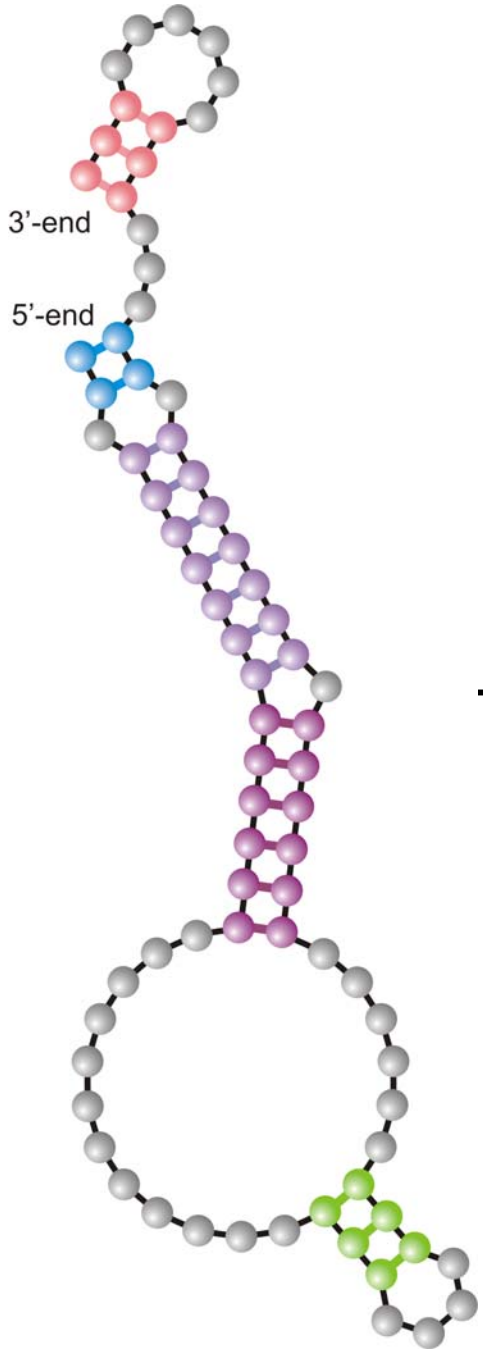
Population size, N = # RNA molecules, is controlled by the flow

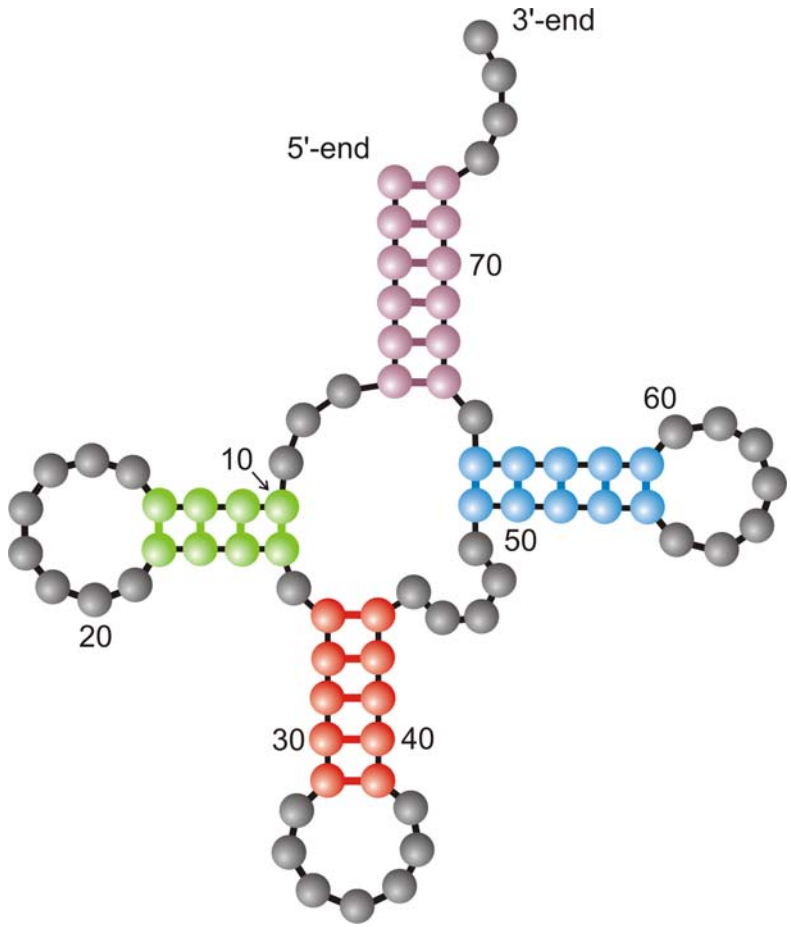
$$N(t) \approx \overline{N} \pm \sqrt{\overline{N}}$$

Mutation rate:

p = 0.001 / site × replication

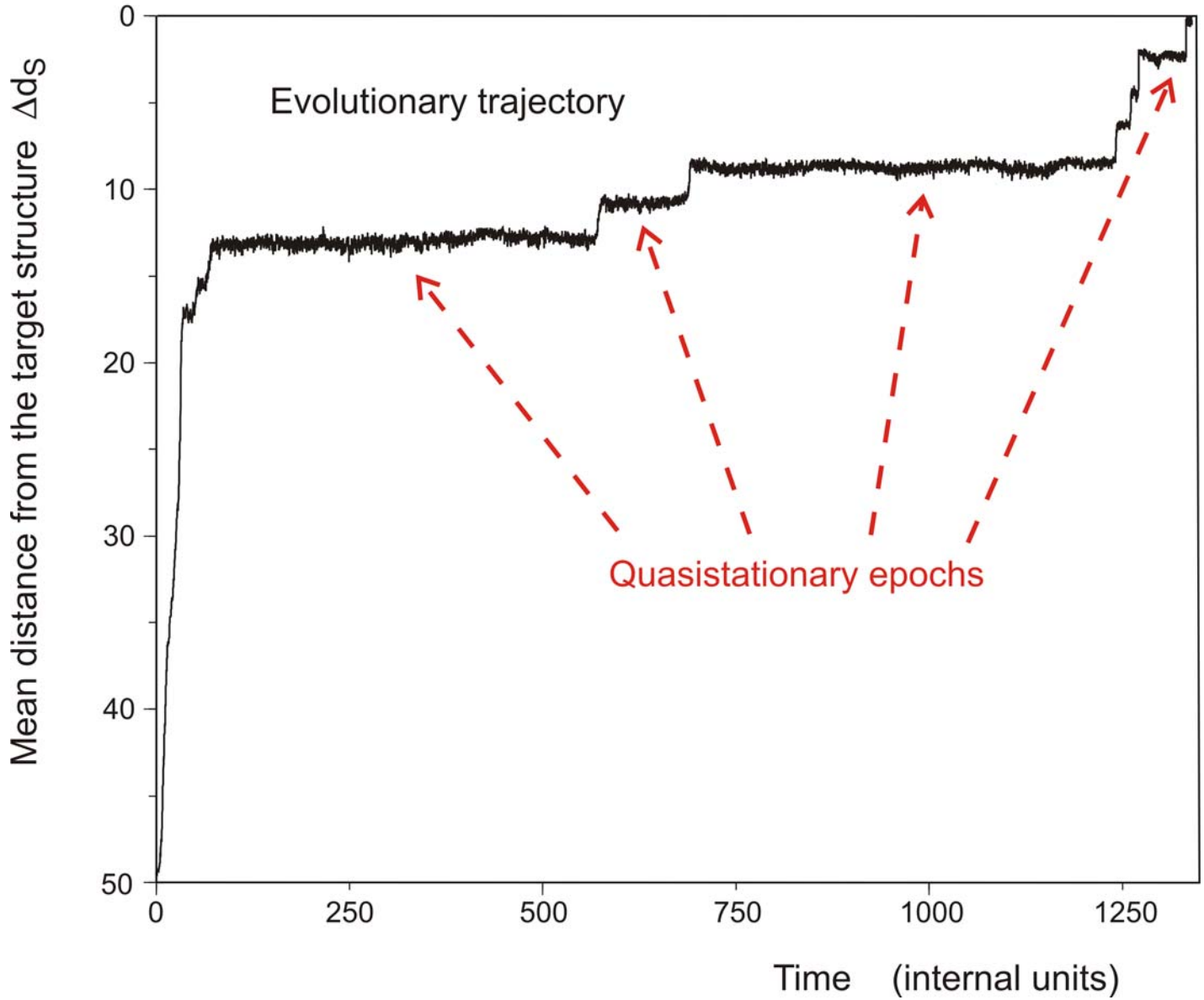
The flowreactor as a device for **studies** of evolution ***in vitro*** and ***in silico***





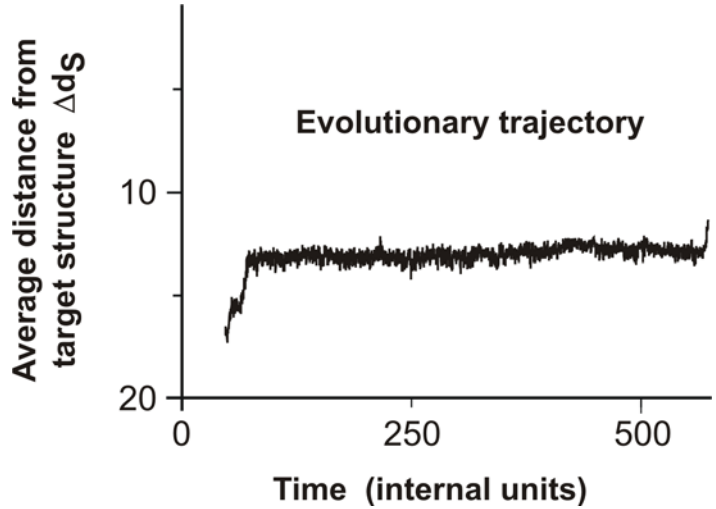
Randomly chosen initial structure

Phenylalanyl-tRNA as target structure



In silico optimization in the flow reactor: Evolutionary Trajectory

28 neutral point mutations during a long quasi-stationary epoch




```
entry   GGUAUGGGCGUUGAAUAGUAGGGUUUAAACCAAUCGGCCAACGAUCUCGUGUGCGCAUUUCAUAUCCCGUACAGAA
8       .((((((((((((.........(((....)))........)))))).....((((.........))))))))))....
exit    GGUAUGGGCGUUGAAUAAUAGGGUUUAAACCAAUCGGCCAACGAUCUCGUGUGCGCAUUUCAUAUCCCAUACAGAA
entry   GGUAUGGGCGUUGAAUAAUAGGGUUUAAACCAAUCGGCCAACGAUCUCGUGUGCGCAUUUCAUAUACCAUACAGAA
9       .((((((.((((.........(((....)))........)))))).....((((.........))))).))))))....
exit    UGGAUGGACGUUGAAUAACAAGGUAUCGACCAAACAACCAACGAGUAAGUGUGUACGCCCCACACACCGUCCCAAG
entry   UGGAUGGACGUUGAAUAACAAGGUAUCGACCAAACAACCAACGAGUAAGUGUGUACGCCCCACACAGCGUCCCAAG
10      .(((((..((((.........(((....)))........)))))).....((((.........))))).)))))....
exit    UGGAUGGACGUUGAAUAACAAGGUAUCGACCAAACAACCAACGAGUAAGUGUGUACGCCCCACACAGCGUCCCAAG
```

Transition inducing point mutations change the molecular structure

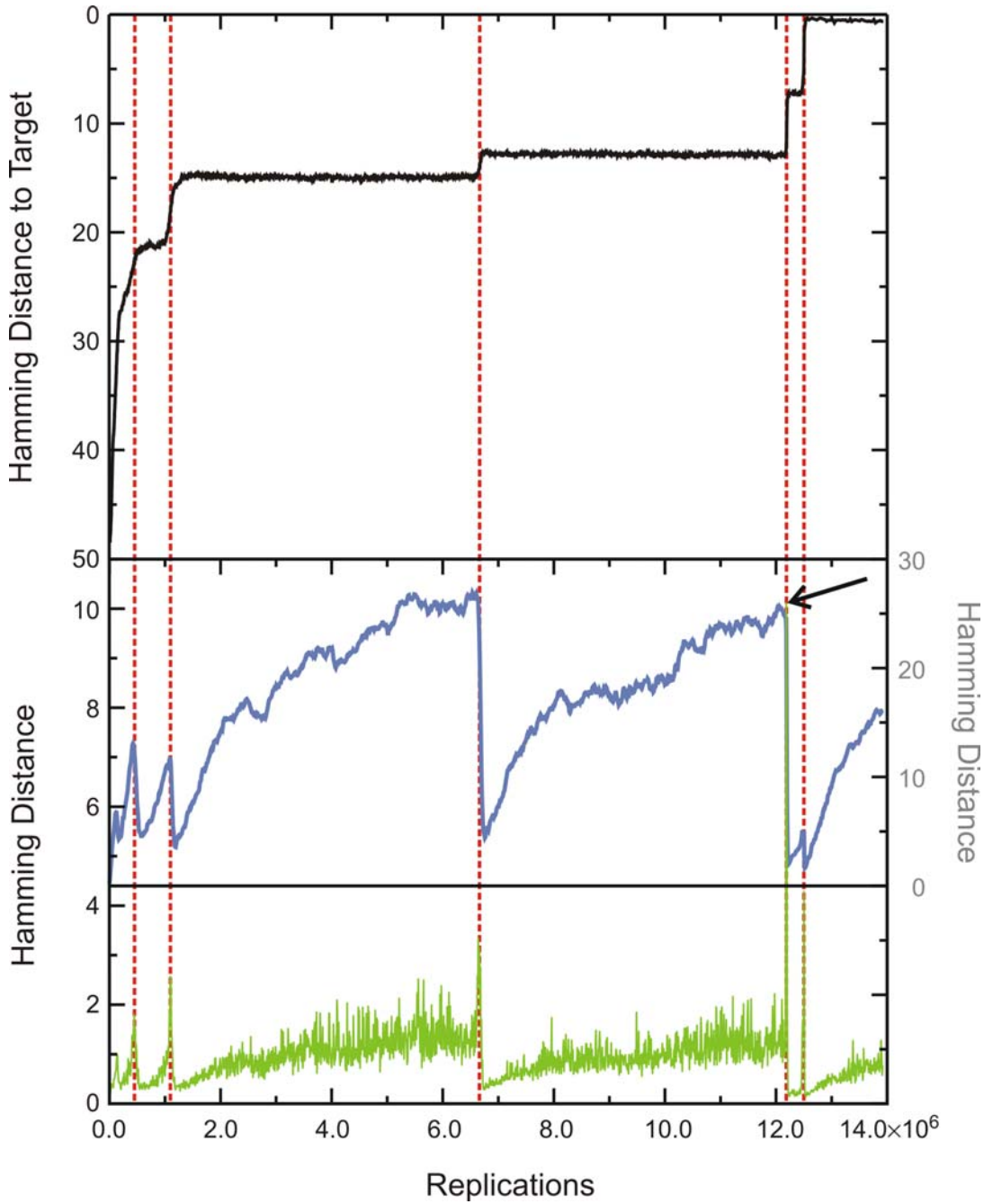
Neutral point mutations leave the molecular structure unchanged

Neutral genotype evolution during phenotypic stasis

Evolutionary trajectory

Spreading of the population on neutral networks

Drift of the population center in sequence space



Alphabet	Runtime	Transitions	Main transitions	No. of runs
AUGC	385.6	22.5	12.6	1017
GUC	448.9	30.5	16.5	611
GC	2188.3	40.0	20.6	107

Mean population size: $N = 3000$; mutation rate: $p = 0.001$

Statistics of trajectories and relay series (mean values of log-normal distributions).

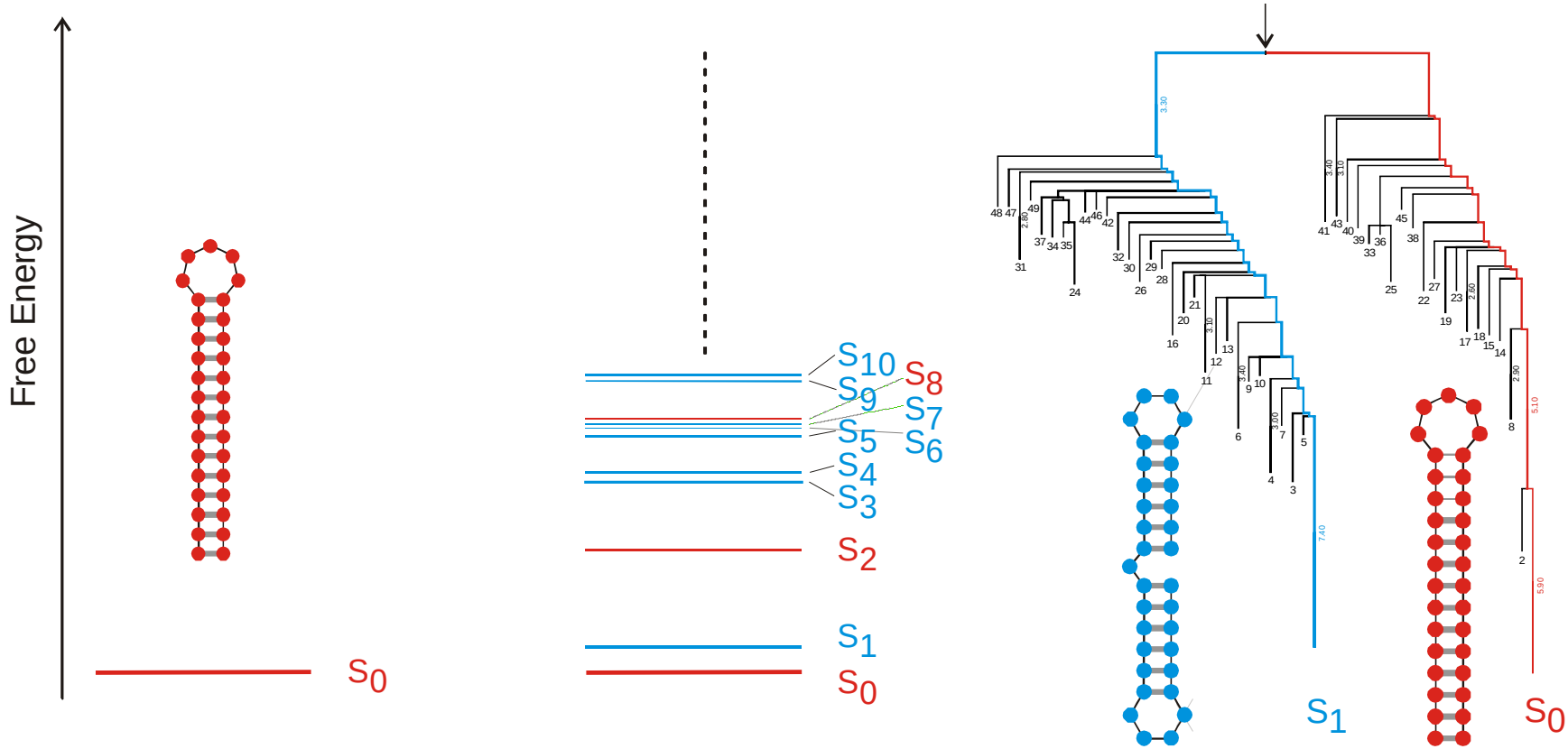
AUGC neutral networks of tRNAs are near the connectivity threshold, **GC** neutral networks are way below.

1. Sequence space and shape space
2. Neutral networks
3. Evolutionary optimization of structure
4. **Suboptimal structures and kinetic folding**
5. Comparison of kinetic folding and evolution
6. How to model evolution of kinetic folding?

One sequence - one structure

Many suboptimal structures
Partition function

Metastable structures
Conformational switches



Minimum free energy structure

Suboptimal structures

Kinetic structures

RNA secondary structures derived from a single sequence

The Folding Algorithm

A sequence I specifies an energy ordered set of compatible structures $\mathfrak{S}(I)$:

$$\mathfrak{S}(I) = \{S_0, S_1, \ldots, S_m, \mathbf{O}\}$$

A trajectory $\mathfrak{T}_k(I)$ is a time ordered series of structures in $\mathfrak{S}(I)$. A folding trajectory is defined by starting with the open chain **O** and ending with the global minimum free energy structure S_0 or a metastable structure S_k which represents a local energy minimum:

$$\mathfrak{T}_0(I) = \{\mathbf{O}, S(1), \ldots, S(t-1), S(t), S(t+1), \ldots, \mathbf{S_0}\}$$
$$\mathfrak{T}_k(I) = \{\mathbf{O}, S(1), \ldots, S(t-1), S(t), S(t+1), \ldots, \mathbf{S_k}\}$$

Master equation

$$\frac{dP_k}{dt} = \sum_{i=0}^{m+1}\left(P_{ik}(t) - P_{ki}(t)\right) = \sum_{i=0}^{m+1} k_{ik} P_i - P_k \sum_{i=0}^{m+1} k_{ki}$$

$$k = 0,1,\ldots,m+1$$

Transition probabilities $P_{ij}(t) = \mathcal{Prob}\{S_i \rightarrow S_j\}$ are defined by

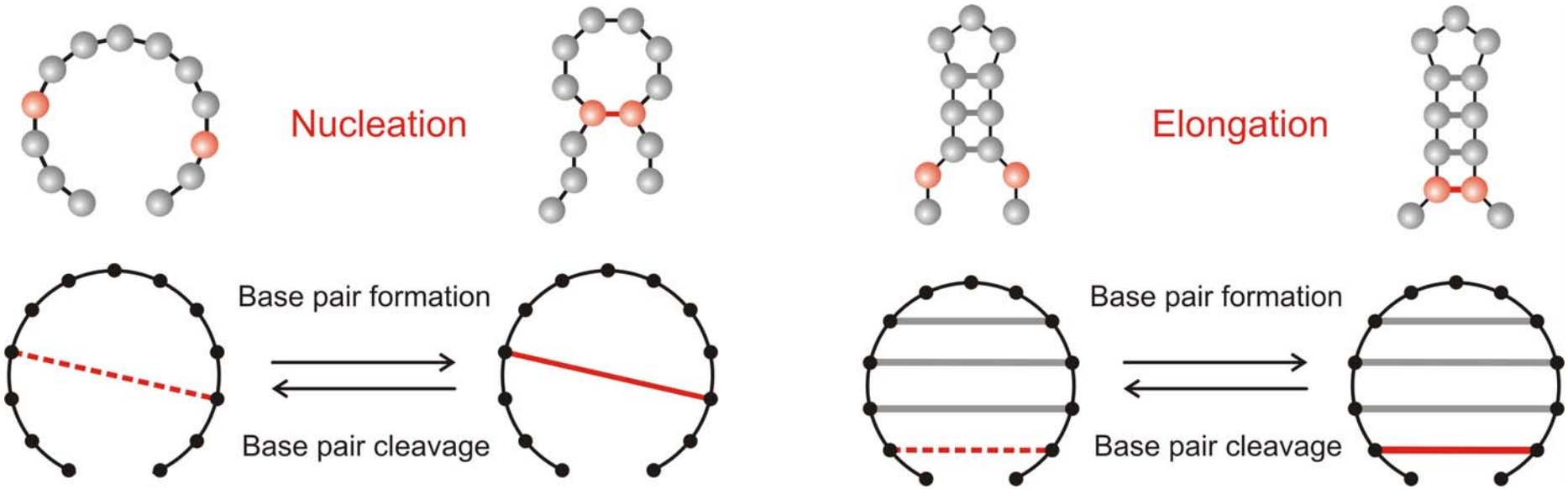
$$P_{ij}(t) = P_i(t)\, k_{ij} = P_i(t) \exp(-\Delta G_{ij}/2RT) / \Sigma_i$$

$$P_{ji}(t) = P_j(t)\, k_{ji} = P_j(t) \exp(-\Delta G_{ji}/2RT) / \Sigma_j$$

$$\Sigma_k = \sum_{k=1, k\neq i}^{m+2} \exp(-\Delta G_{ki}/2RT)$$

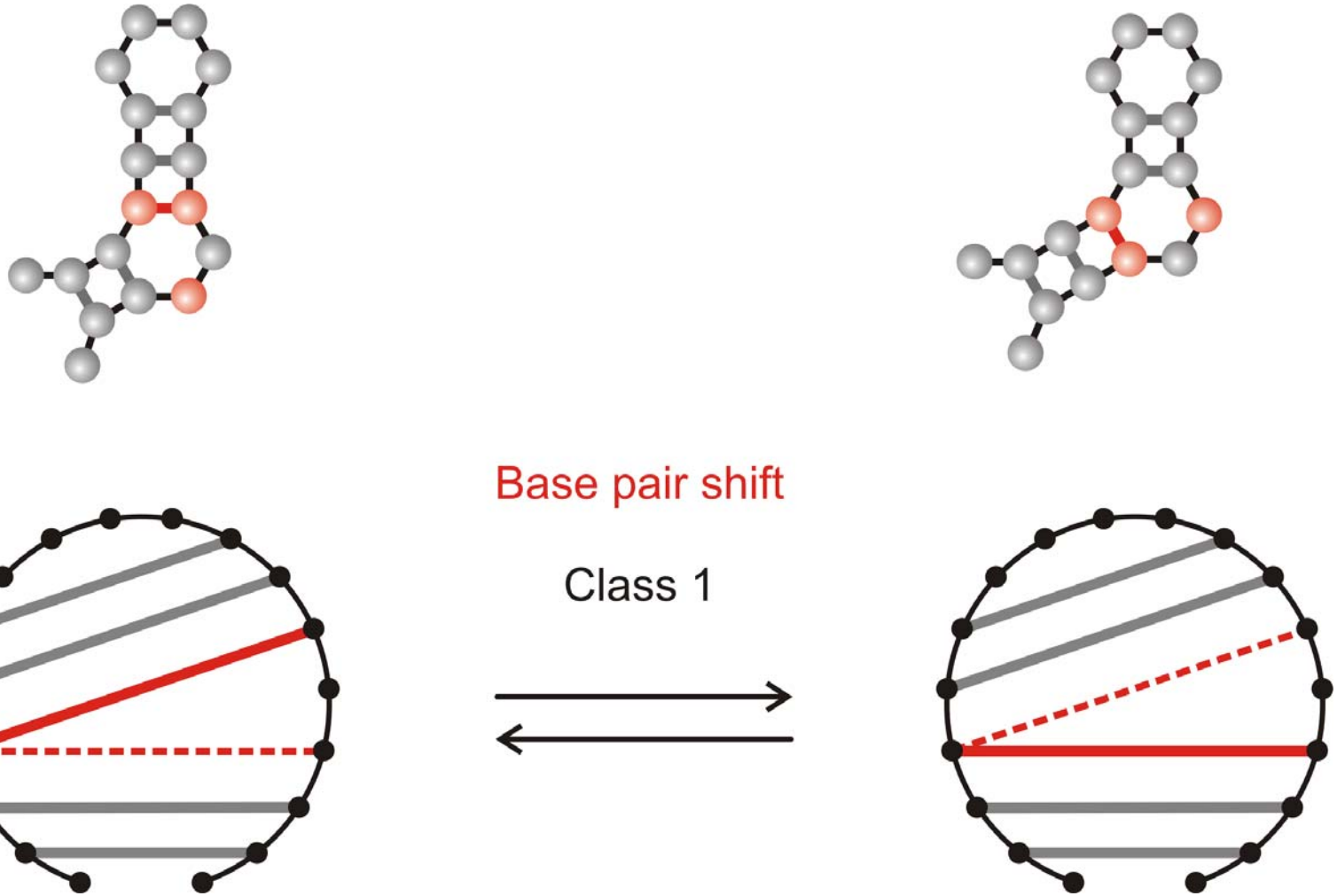
The symmetric rule for transition rate parameters is due to Kawasaki (K. Kawasaki, *Diffusion constants near the critical point for time depen-dent Ising models*. Phys.Rev. **145**:224-230, 1966).

Formulation of kinetic RNA folding as a stochastic process



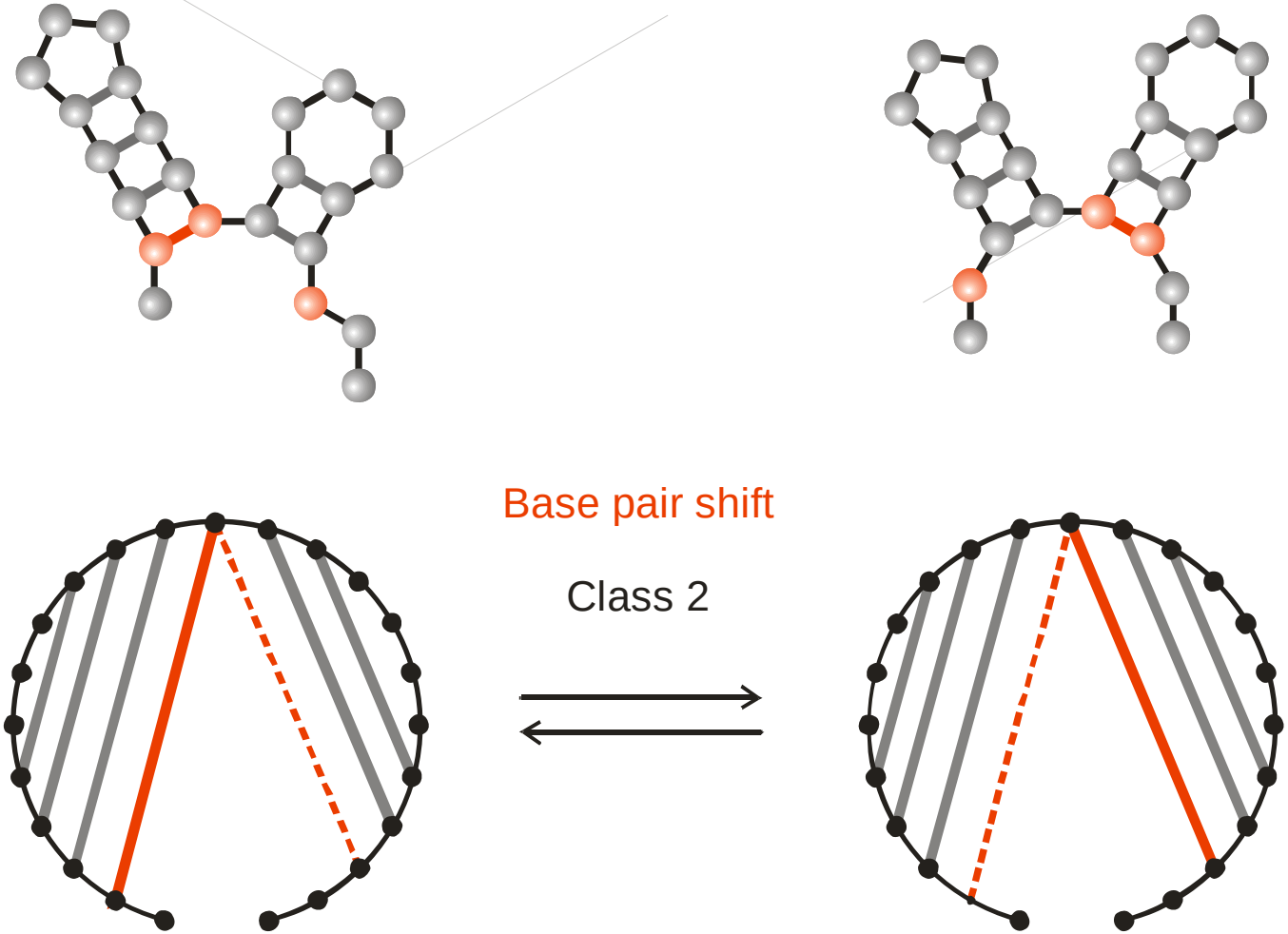
Corresponds to base pair distance: $d_p(S_1,S_2)$

Base pair formation and base pair cleavage moves for nucleation and elongation of stacks



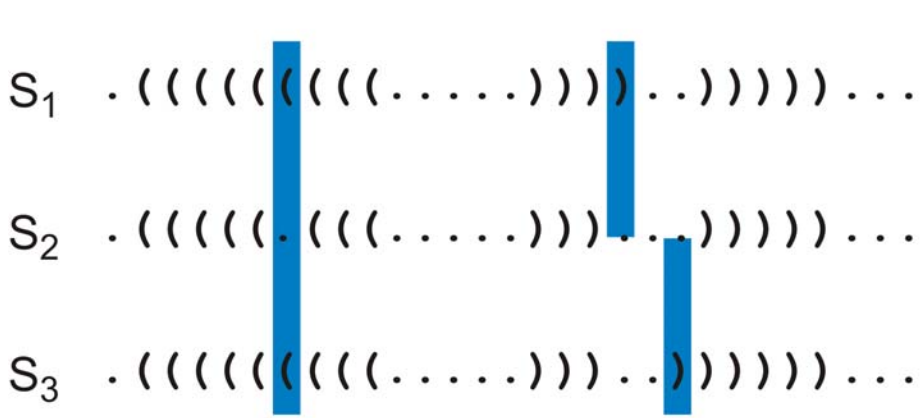
Base pair closure, opening and shift corresponds to Hamming distance: $d_H(S_1,S_2)$

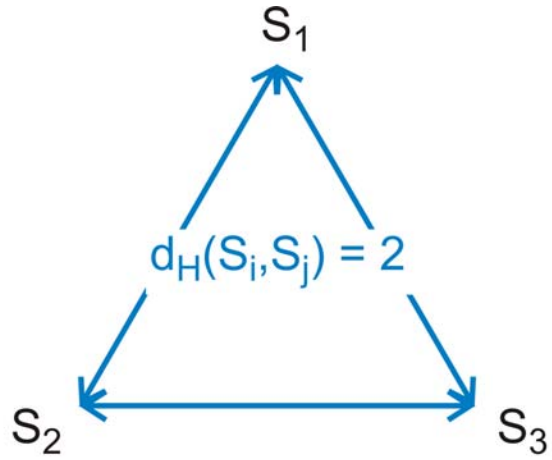
Base pair shift move of class 1: Shift inside internal loops or bulges

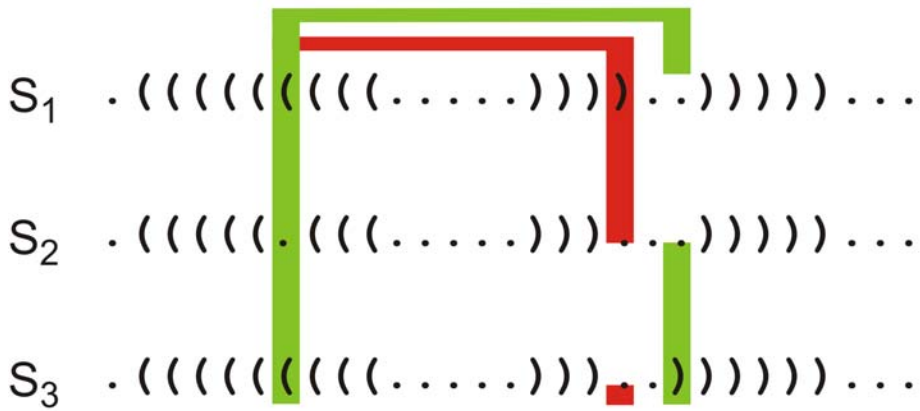


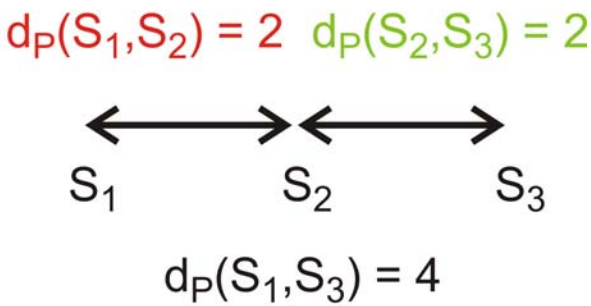
Base pair closure, opening and shift corresponds to Hamming distance: $d_H(S_1,S_2)$

Base pair shift move of class 2: Shift involves free ends





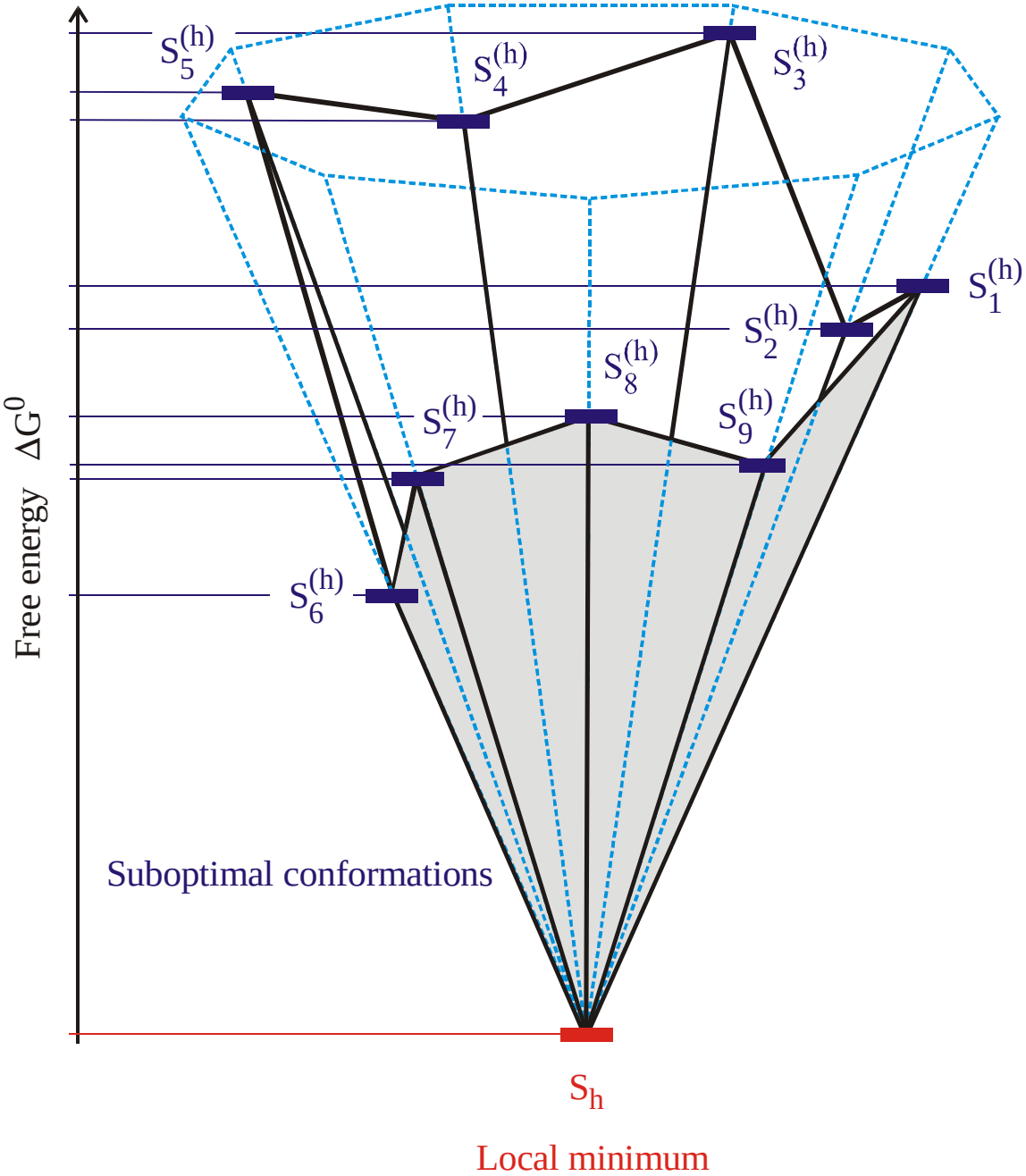


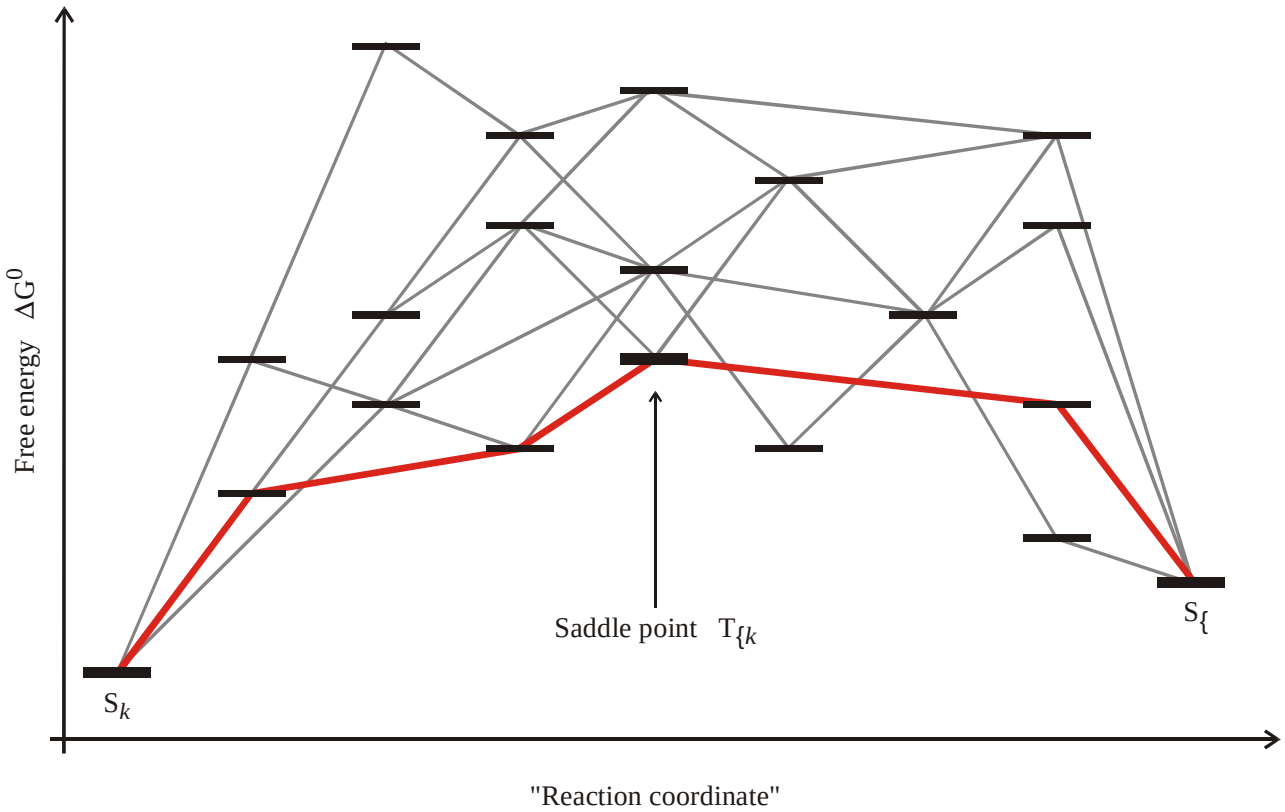


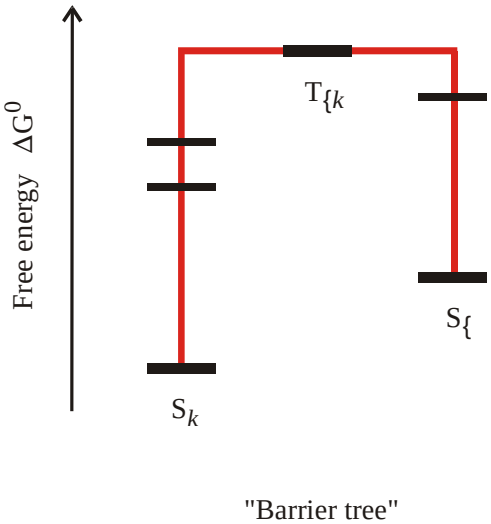
Two measures of distance in shape space:

Hamming distance between structures, $d_H(S_i,S_j)$ and base pair distance, $d_P(S_i,S_j)$

Search for local minima in conformation space

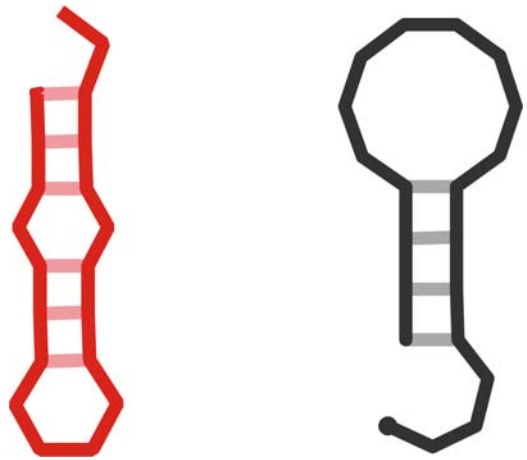






Definition of a ‚barrier tree‘

```
CUGCGGCUUUGGCUCUAGCC
....((((........))))  -4.30
(((.(((....))).)))..  -3.50
(((..((....))..))))..  -3.10
..........(((....)))  -2.80
..(((((....)))...)).  -2.20
....((.........)))  -2.20
((..(((....)))..))..  -2.00
..((.((....)).....)).  -1.60
....(((....)))......  -1.60
.....((........))).  -1.50
.((.(((....))).))...  -1.40
....((((..(...).))))  -1.40
.((..((....))..))...  -1.00
(((.(((....)).))))..  -0.90
(((.((......)).)))..  -0.90
....((((..(....))))) -0.80
.....((....)).......  -0.80
..(.(((....)))).....  -0.60
....(((....)).).....  -0.60
(((..(......)..)))..  -0.50
..(((((....)).)..)).  -0.50
..(.(((....))).)....  -0.40
..((.......))......  -0.30
..........((......))  -0.30
..........((....)).  -0.30
(((.(((....)))).))..  -0.20
....(((.(.......))))  -0.20
....(((..((....)))))  -0.20
..(..((....))..)....  0.00
....................  0.00
.(..(((....)))..)...  0.10
```



M.T. Wolfinger, W.A. Svrcek-Seiler, C. Flamm, I.L. Hofacker, P.F. Stadler. 2004. *J.Phys.A: Math.Gen.* **37**:4731-4741.

```
CUGCGGCUUUGGCUCUAGCC
....((((........))))  -4.30
(((.(((....))).)))..  -3.50
(((..((....))..))))..  -3.10
..........(((....)))  -2.80
..(((((....)))...)).  -2.20
....(((.........)))  -2.20
((..(((....)))..))..  -2.00
..((.((....))....)).  -1.60
....(((....)))......  -1.60
.....(((........))).  -1.50
.((.(((....))).))...  -1.40
....((((..(...).))))  -1.40
.((..((....))..))...  -1.00
(((.(((....)).))))..  -0.90
(((.((......)).)))..  -0.90
....((((..(....))))) -0.80
.....((....)).......  -0.80
..(.(((....)))).....  -0.60
....(((....)).).....  -0.60
(((..(......)..)))..  -0.50
..(((((....)).)..)).  -0.50
..(.(((....))).)....  -0.40
..((.......))......   -0.30
..........((......))  -0.30
..........((....)).   -0.30
(((.(((....)))).))..  -0.20
....(((.(.......))))  -0.20
....(((..((....)))))  -0.20
..(..((....))..)....   0.00
....................   0.00
.(..(((....)))..)...   0.10
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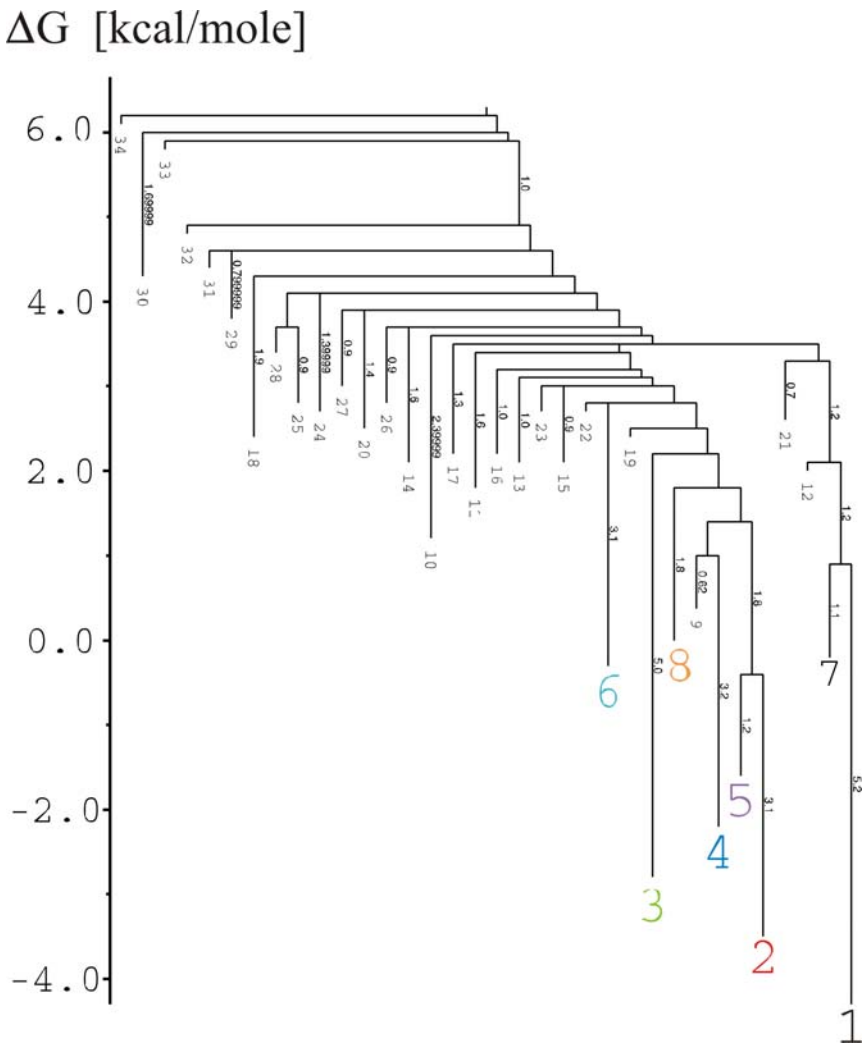
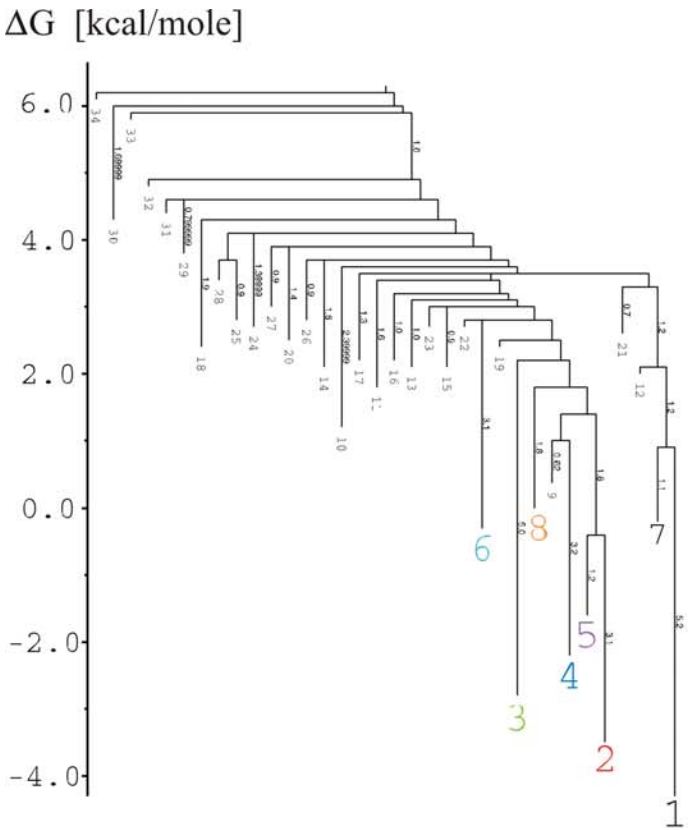
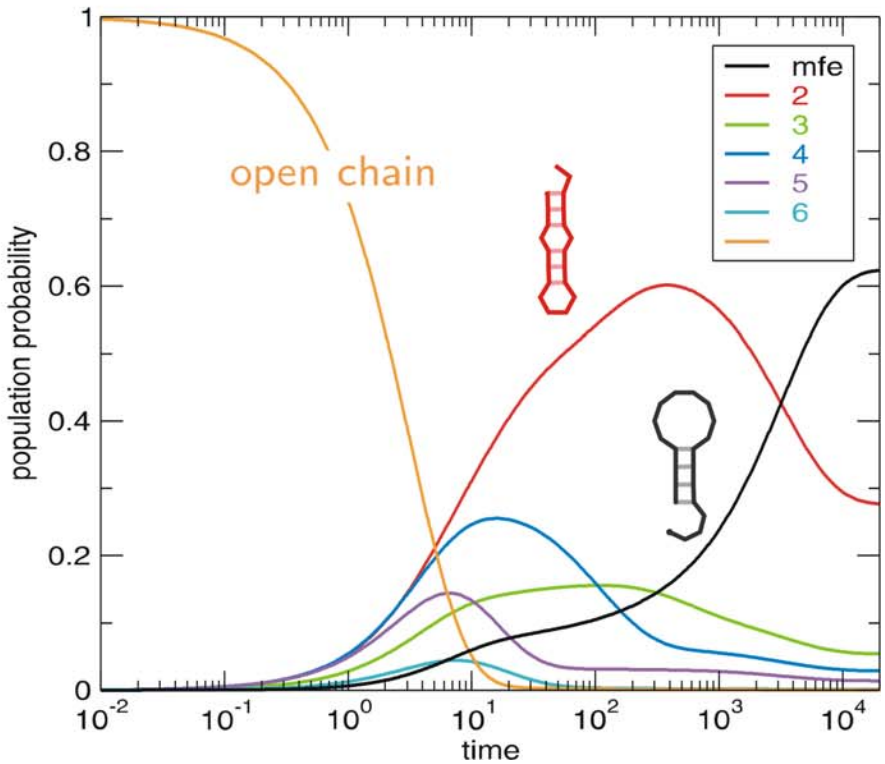


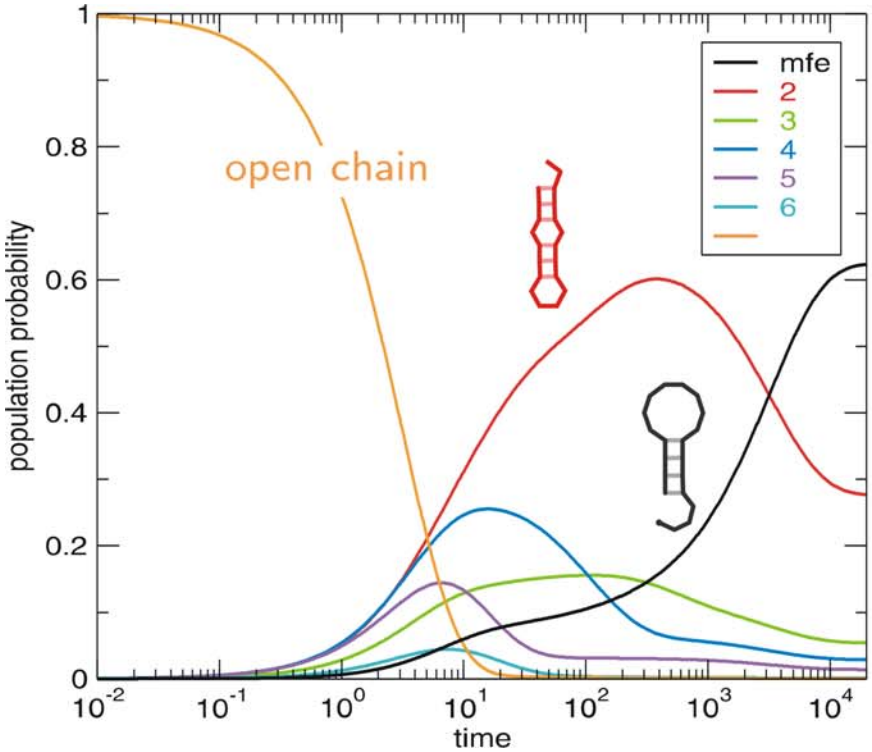
M.T. Wolfinger, W.A. Svrcek-Seiler, C. Flamm, I.L. Hofacker, P.F. Stadler. 2004. *J.Phys.A: Math.Gen.* **37**:4731-4741.



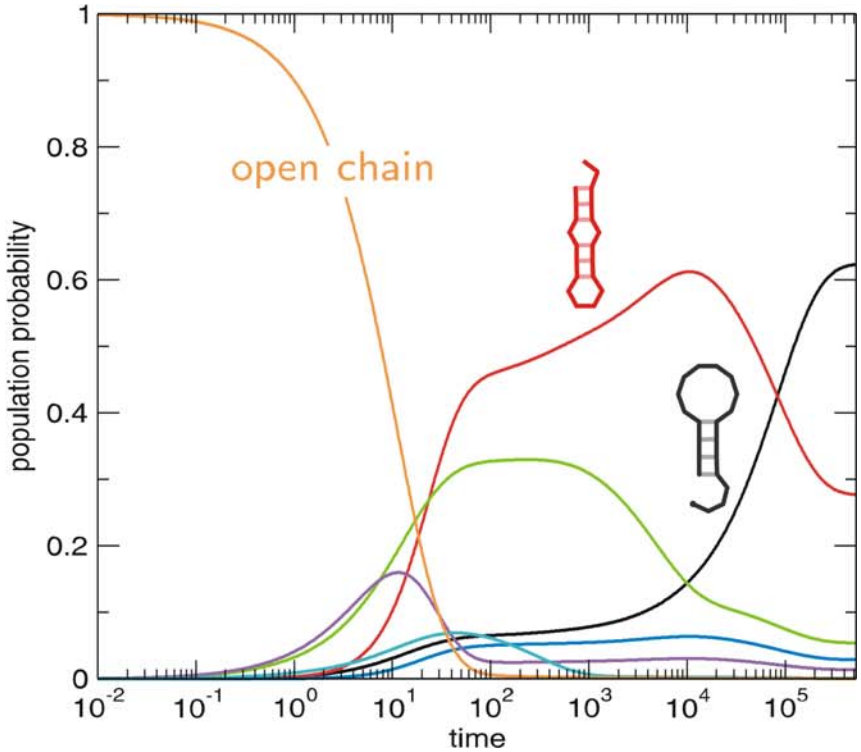


Arrhenius kinetics

M.T. Wolfinger, W.A. Svrcek-Seiler, C. Flamm, I.L. Hofacker, P.F. Stadler. 2004. *J.Phys.A: Math.Gen.* **37**:4731-4741.



Arrhenius kinetic



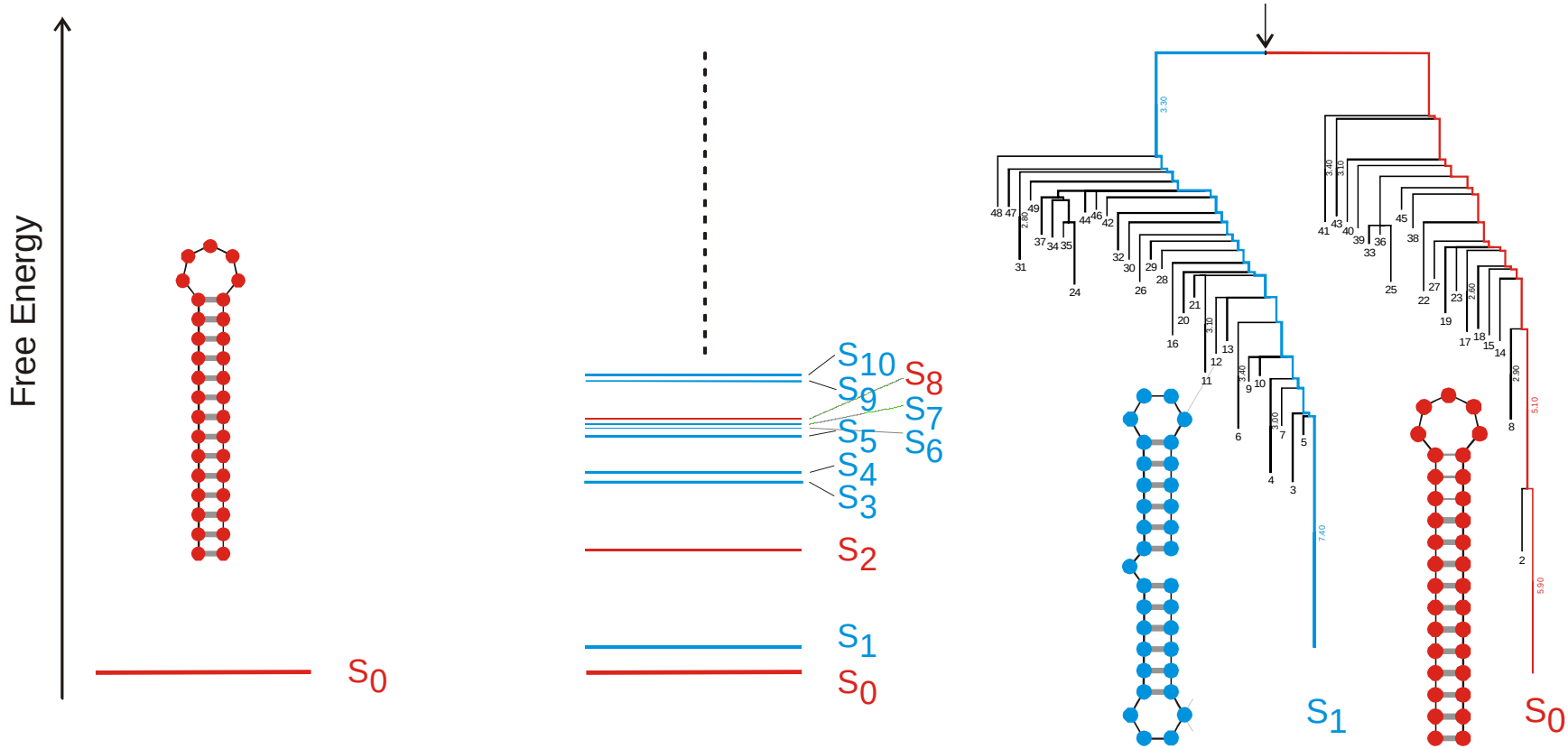
Exact solution of the master equation

M.T. Wolfinger, W.A. Svrcek-Seiler, C. Flamm, I.L. Hofacker, P.F. Stadler. 2004. *J.Phys.A: Math.Gen.* **37**:4731-4741.

One sequence - one structure

Many suboptimal structures
Partition function

Metastable structures
Conformational switches

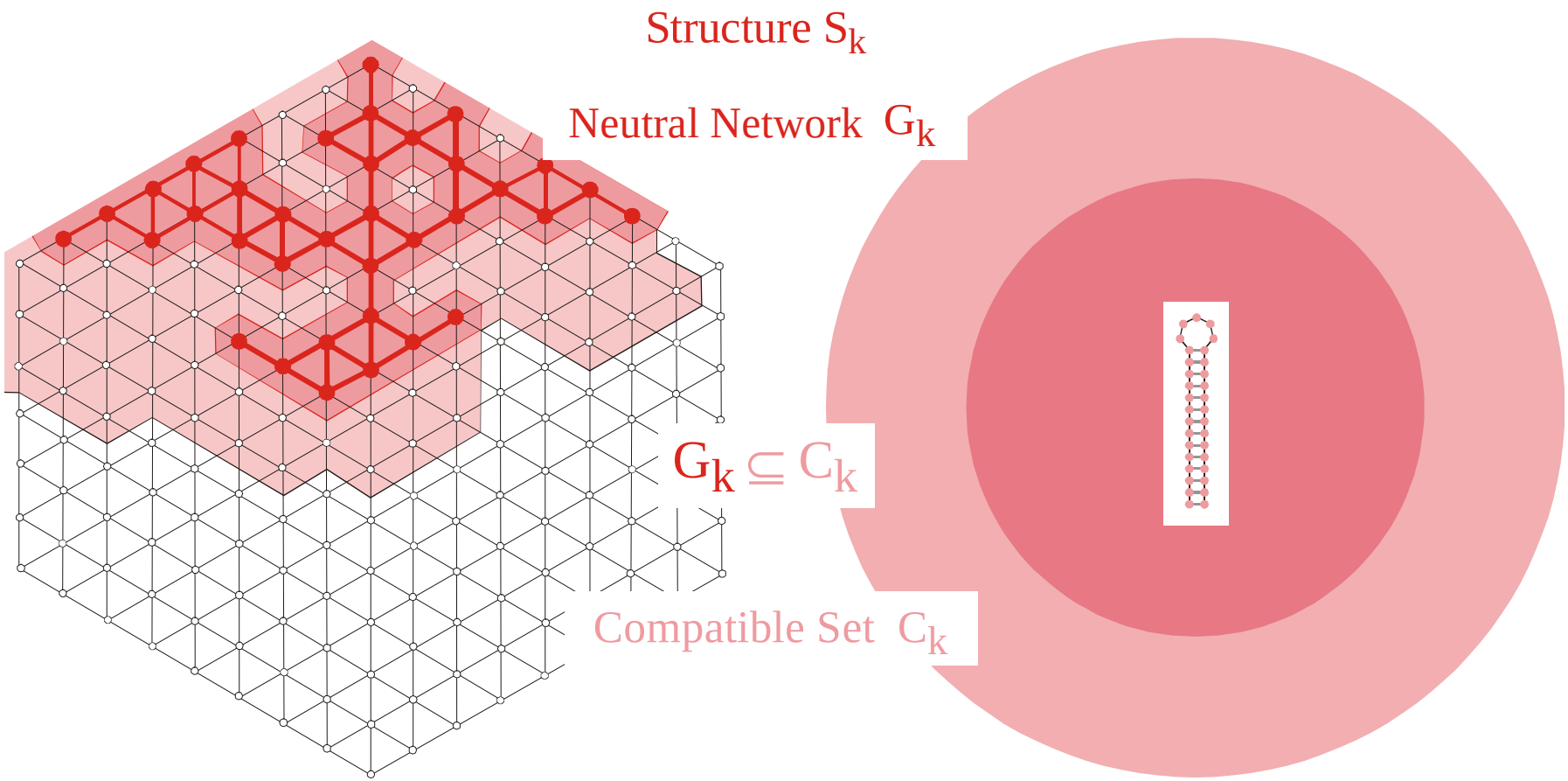


Minimum free energy structure

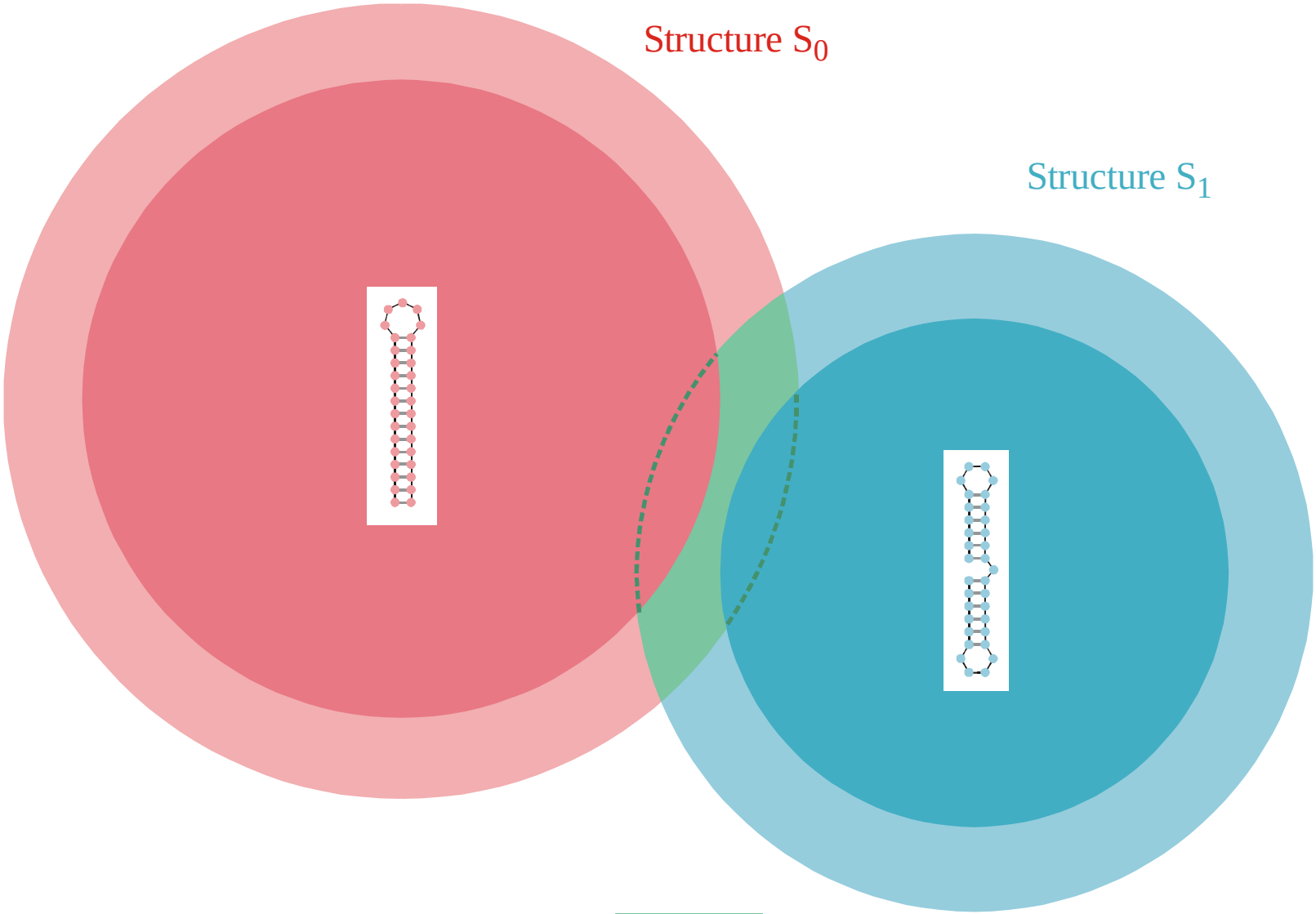
Suboptimal structures

Kinetic structures

RNA secondary structures derived from a single sequence



The **compatible set C_k** of a structure **S_k** consists of all sequences which form **S_k** as its minimum free energy structure (the neutral network **G_k**) or one of its suboptimal structures.



Intersection of two compatible sets: $C_0 \cap C_1$

The intersection of two compatible sets is always non empty: $C_0 \cap C_1 \notin \varnothing$

Bulletin of Mathematical Biology, Vol. 59, No. 2, pp. 339–397, 1997
Elsevier Science Inc.

0092-8240/97 $17.00 + 0.00

S0092-8240(96)00089-4

GENERIC PROPERTIES OF COMBINATORY MAPS: NEUTRAL NETWORKS OF RNA SECONDARY STRUCTURES[1]

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Santa Fe, NM 87501, U.S.A.

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Random graph theory is used to model and analyse the relationships between sequences and secondary structures of RNA molecules, which are understood as mappings from sequence space into shape space. These maps are non-invertible since there are always many orders of magnitude more sequences than structures. Sequences folding into identical structures form *neutral networks*. A neutral network is embedded in the set of sequences that are *compatible* with the given structure. Networks are modeled as graphs and constructed by random choice of vertices from the space of compatible sequences. The theory characterizes neutral networks by the mean fraction of neutral neighbors (λ). The networks are connected and percolate sequence space if the fraction of neutral nearest neighbors exceeds a threshold value ($\lambda > \lambda^*$). Below threshold ($\lambda < \lambda^*$), the networks are partitioned into a largest "giant" component and several smaller components. Structures are classified as "common" or "rare" according to the sizes of their pre-images, i.e. according to the fractions of sequences folding into them. The neutral networks of any pair of two different common structures almost touch each other, and, as expressed by the conjecture of *shape space covering* sequences folding into almost all common structures, can be found in a small ball of an arbitrary location in sequence space. The results from random graph theory are compared to data obtained by folding large samples of RNA sequences. Differences are explained in terms of specific features of RNA molecular structures. © *1997 Society for Mathematical Biology*

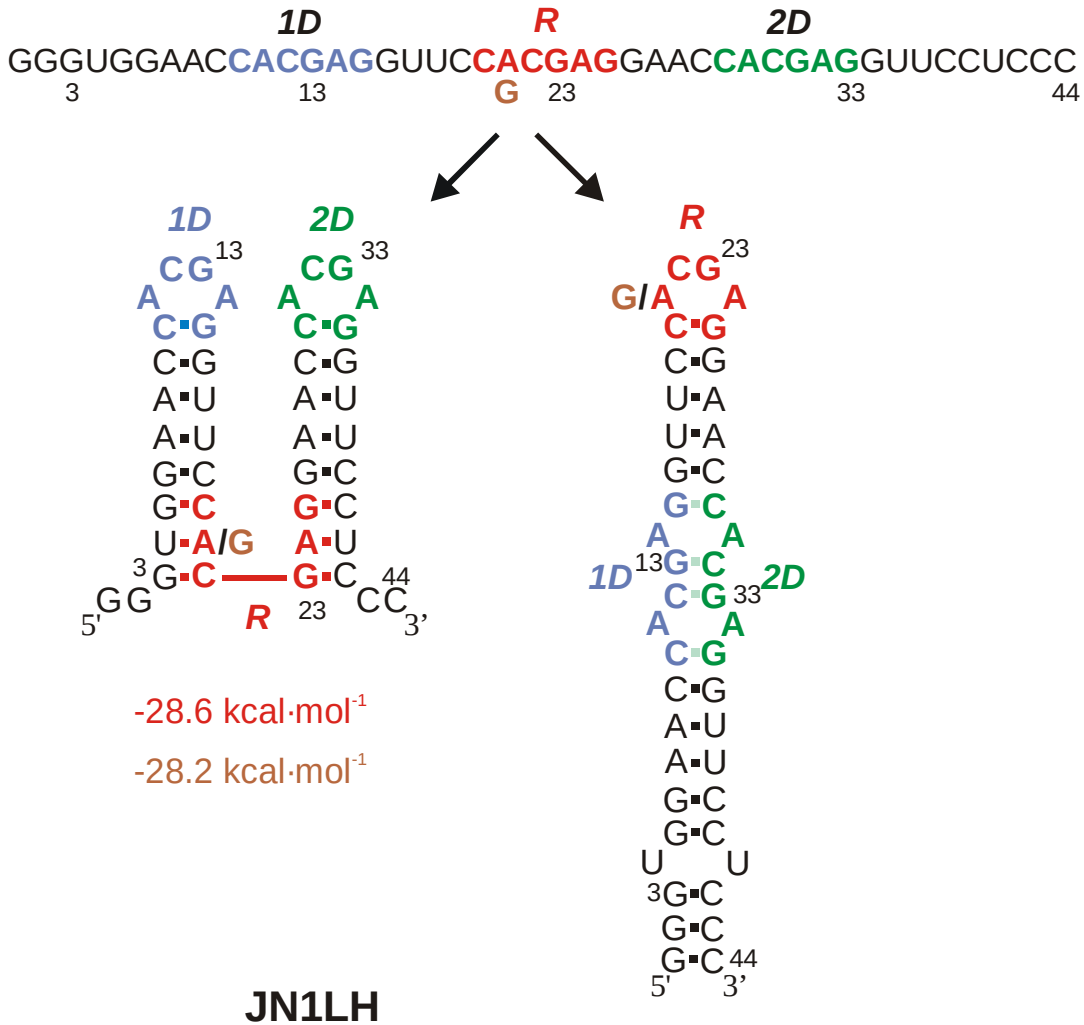
THEOREM 5. INTERSECTION-THEOREM. *Let* s *and* s′ *be arbitrary secondary structures and* **C**[s], **C**[s′] *their corresponding compatible sequences. Then,*

$$\mathbf{C}[s] \cap \mathbf{C}[s'] \neq \varnothing.$$

Proof. Suppose that the alphabet admits only the complementary base pair $[XY]$ and we ask for a sequence x compatible to both s and s'. Then $\gamma(s, s') \cong D_m$ operates on the set of all positions $\{x_1, \ldots, x_n\}$. Since we have the operation of a dihedral group, the orbits are either cycles or chains and the cycles have even order. A constraint for the sequence compatible to both structures appears only in the cycles where the choice of bases is not independent. It remains to be shown that there is a valid choice of bases for each cycle, which is obvious since these have even order. Therefore, it suffices to choose an alternating sequence of the pairing partners X and Y. Thus, there are at least two different choices for the first base in the orbit. ■

Remark. A generalization of the statement of theorem 5 to three different structures is false.

Reference for the definition of the intersection and the proof of the **intersection theorem**

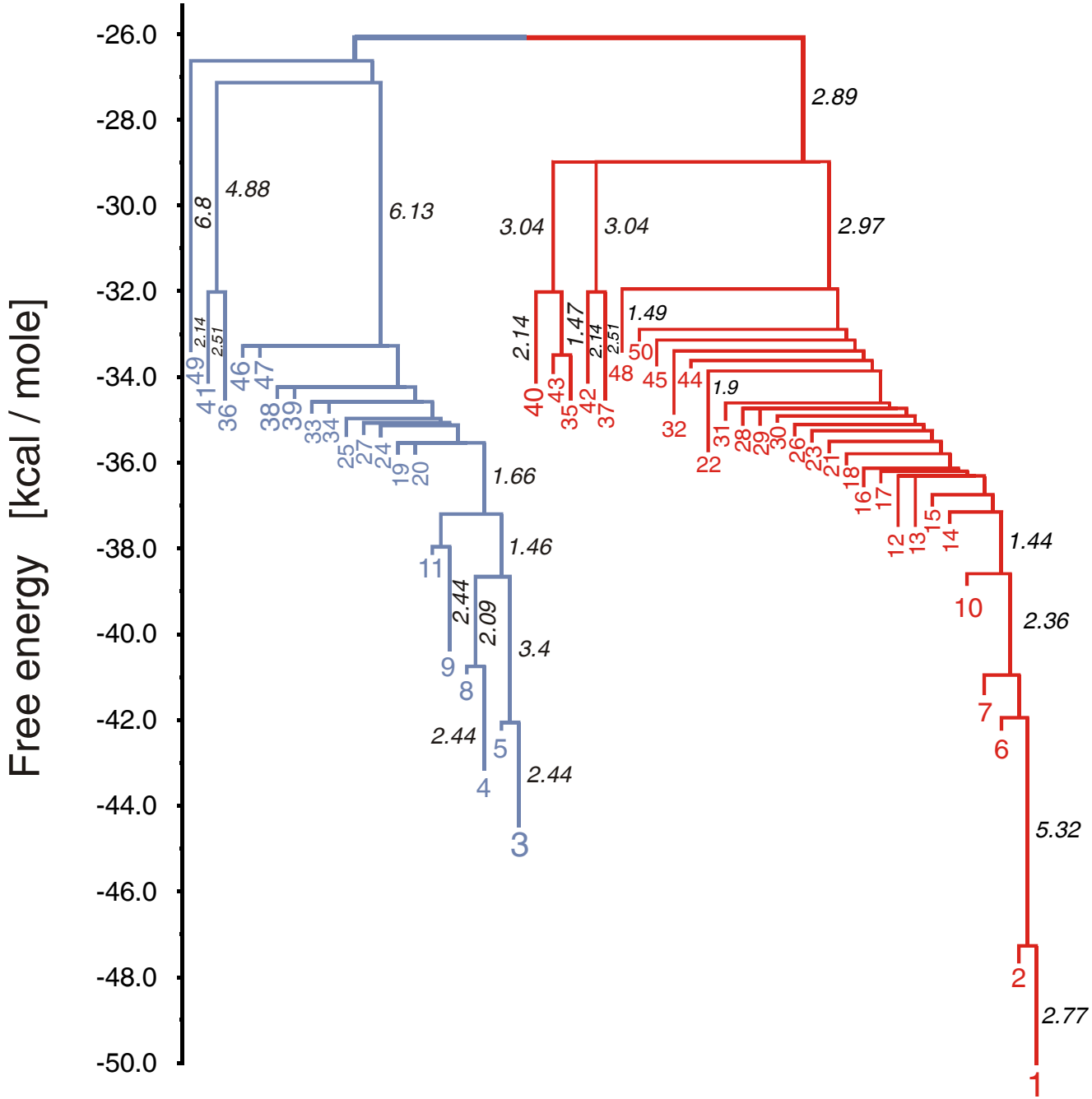


An RNA switch

JN1LH

J.H.A. Nagel, C. Flamm, I.L. Hofacker, K. Franke,
M.H. de Smit, P. Schuster, and C.W.A. Pleij.

Structural parameters affecting the kinetic competition of RNA hairpin formation, Nucleic Acids Res., in press 2005.



J1LH barrier tree

A ribozyme switch

E.A.Schultes, D.B.Bartel, Science **289** (2000), 448-452

minus the background levels observed in the HSP in the control (Sar1-GDP–containing) incubation that prevents COPII vesicle formation. In the microsome control, the level of p115-SNARE associations was less than 0.1%.
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50. GST-SNARE proteins were expressed in bacteria and purified on glutathione-Sepharose beads using standard methods. Immobilized GST-SNARE protein (0.5 μM) was incubated with rat liver cytosol (20 mg) or purified recombinant p115 (0.5 μM) in 1 ml of NS buffer containing 1% BSA for 2 hours at 4°C with rotation. Beads were briefly spun (3000 rpm for 10 s) and sequentially washed three times with NS buffer and three times with NS buffer supplemented with 150 mM NaCl. Bound proteins were eluted three times in 50 μl of 50 mM tris-HCl (pH 8.5), 50 mM reduced glutathione, 150 mM NaCl, and 0.1% Triton X-100 for 15 min at 4°C with intermittent mixing, and elutes were pooled. Proteins were precipitated by MeOH/CH_3Cl and separated by SDS–polyacrylamide gel electrophoresis (PAGE) followed by immunoblotting using p115 mAb 13F12.
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69. We thank G. Waters for p115 cDNA and p115 mAbs; G. Warren for p97 and p47 antibodies; R. Scheller for rbet1, membrin, and sec22 cDNAs; H. Plutner for excellent technical assistance; and P. Tan for help during the initial phase of this work. Supported by NIH grants GM 33301 and GM42336 and National Cancer Institute grant CA58689 (W.E.B.), a NIH National Research Service Award (B.D.M.), and a Wellcome Trust International Traveling Fellowship (B.B.A.).

20 March 2000; accepted 22 May 2000

One Sequence, Two Ribozymes: Implications for the Emergence of New Ribozyme Folds

Erik A. Schultes and David P. Bartel*

We describe a single RNA sequence that can assume either of two ribozyme folds and catalyze the two respective reactions. The two ribozyme folds share no evolutionary history and are completely different, with no base pairs (and probably no hydrogen bonds) in common. Minor variants of this sequence are highly active for one or the other reaction, and can be accessed from prototype ribozymes through a series of neutral mutations. Thus, in the course of evolution, new RNA folds could arise from preexisting folds, without the need to carry inactive intermediate sequences. This raises the possibility that biological RNAs having no structural or functional similarity might share a common ancestry. Furthermore, functional and structural divergence might, in some cases, precede rather than follow gene duplication.

Related protein or RNA sequences with the same folded conformation can often perform very different biochemical functions, indicating that new biochemical functions can arise from preexisting folds. But what evolutionary mechanisms give rise to sequences with new macromolecular folds? When considering the origin of new folds, it is useful to picture, among all sequence possibilities, the distribution of sequences with a particular fold and function. This distribution can range very far in sequence space (*1*). For example, only seven nucleotides are strictly conserved among the group I self-splicing introns, yet secondary (and presumably tertiary) structure within the core of the ribozyme is preserved (*2*). Because these disparate isolates have the same fold and function, it is thought that they descended from a common ancestor through a series of mutational variants that were each functional. Hence, sequence heterogeneity among divergent isolates implies the existence of paths through sequence space that have allowed neutral drift from the ancestral sequence to each isolate. The set of all possible neutral paths composes a "neutral network," connecting in sequence space those widely dispersed sequences sharing a particular fold and activity, such that any sequence on the network can potentially access very distant sequences by neutral mutations (*3–5*).

Theoretical analyses using algorithms for predicting RNA secondary structure have suggested that different neutral networks are interwoven and can approach each other very closely (*3*, *5–8*). Of particular interest is whether ribozyme neutral networks approach each other so closely that they intersect. If so, a single sequence would be capable of folding into two different conformations, would have two different catalytic activities, and could access by neutral drift every sequence on both networks. With intersecting networks, RNAs with novel structures and activities could arise from previously existing ribozymes, without the need to carry nonfunctional sequences as evolutionary intermediates. Here, we explore the proximity of neutral networks experimentally, at the level of RNA function. We describe a close apposition of the neutral networks for the hepatitis delta virus (HDV) self-cleaving ribozyme and the class III self-ligating ribozyme.

In choosing the two ribozymes for this investigation, an important criterion was that they share no evolutionary history that might confound the evolutionary interpretations of our results. Choosing at least one artificial ribozyme ensured independent evolutionary histories. The class III ligase is a synthetic ribozyme isolated previously from a pool of random RNA sequences (*9*). It joins an oligonucleotide substrate to its 5′ terminus. The prototype ligase sequence (Fig. 1A) is a shortened version of the most active class III variant isolated after 10 cycles of in vitro selection and evolution. This minimal construct retains the activity of the full-length isolate (*10*). The HDV ribozyme carries out the site-specific self-cleavage reactions needed during the life cycle of HDV, a satellite virus of hepatitis B with a circular, single-stranded RNA genome (*11*). The prototype HDV construct for our study (Fig. 1B) is a shortened version of the antigenomic HDV ribozyme (*12*), which undergoes self-cleavage at a rate similar to that reported for other antigenomic constructs (*13*, *14*).

The prototype class III and HDV ribozymes have no more than the 25% sequence identity expected by chance and no fortuitous structural similarities that might favor an intersection of their two neutral networks. Nevertheless, sequences can be designed that simultaneously satisfy the base-pairing requirements

Whitehead Institute for Biomedical Research and Department of Biology, Massachusetts Institute of Technology, 9 Cambridge Center, Cambridge, MA 02142, USA.

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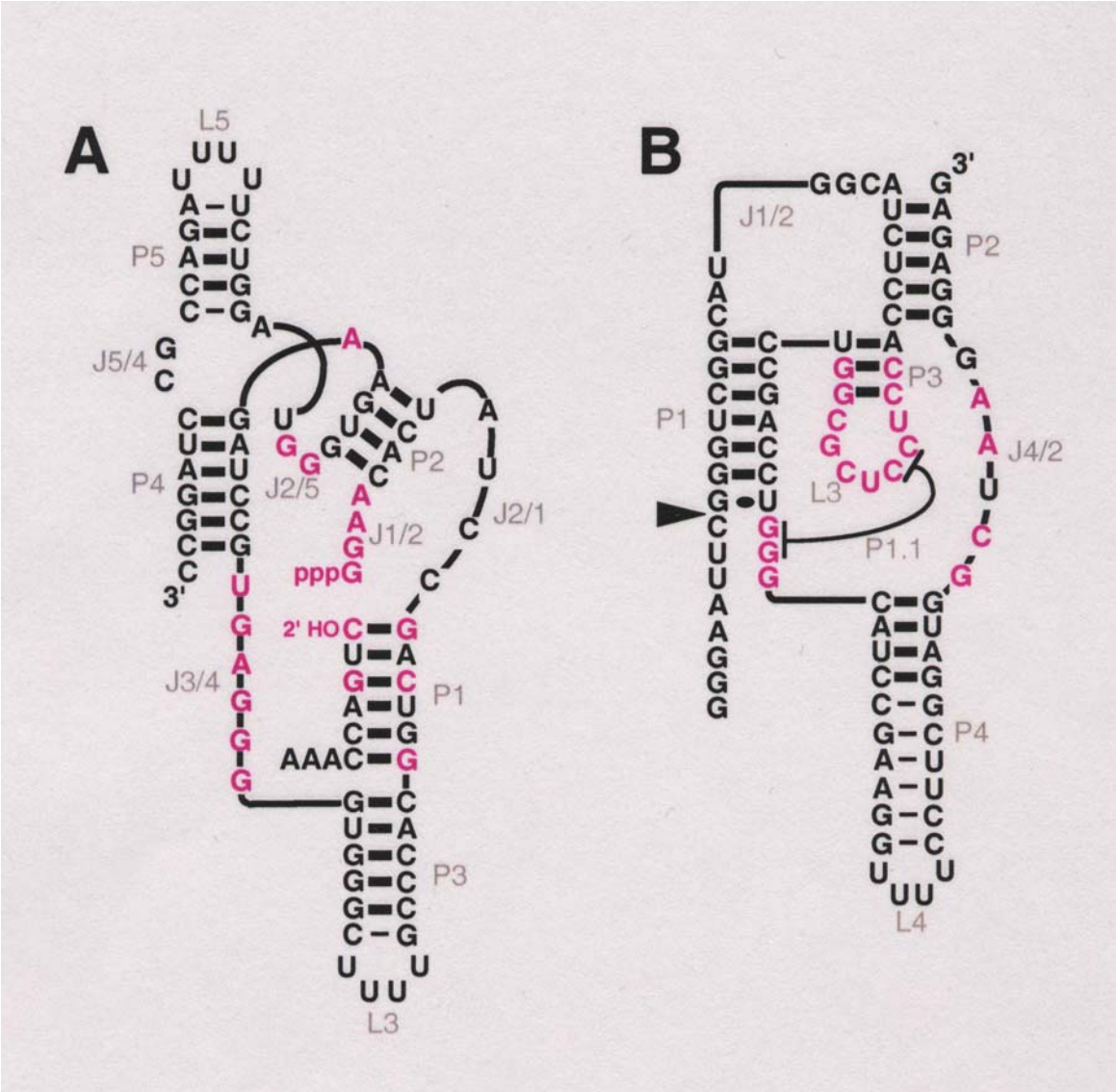
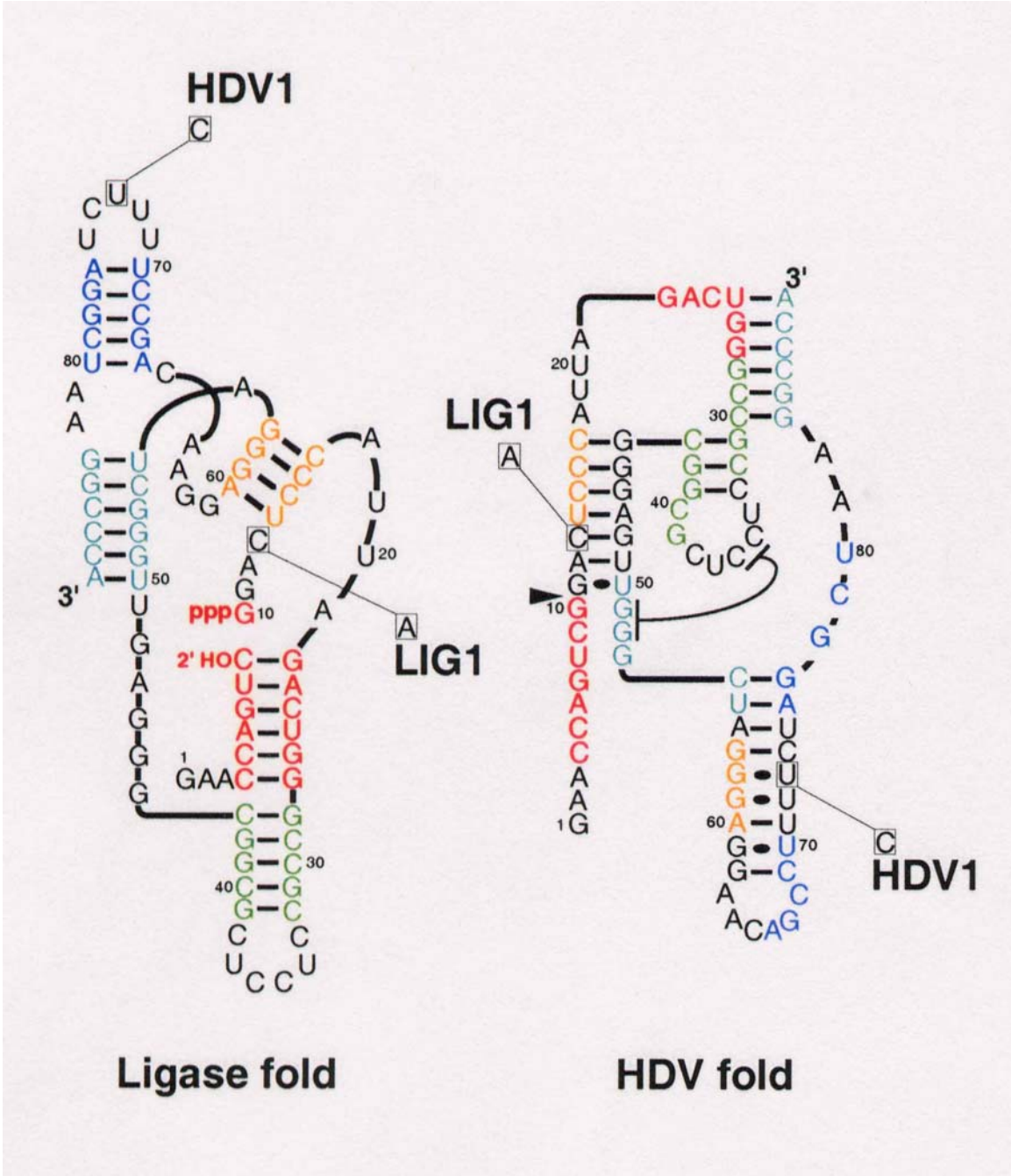
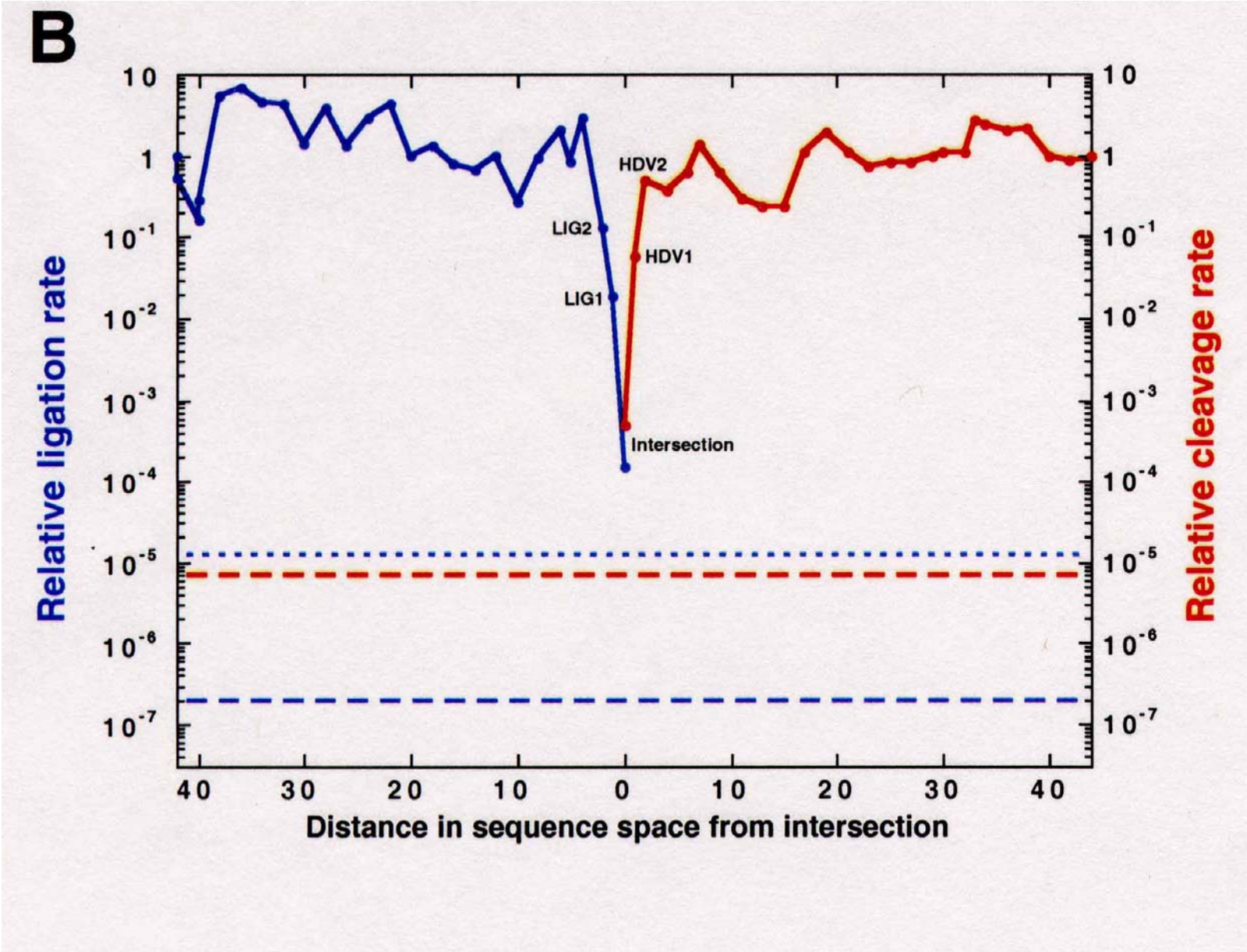


Two ribozymes of chain lengths n = 88 nucleotides: An artificial ligase (**A**) and a natural cleavage ribozyme of hepatitis-δ-virus (**B**)

The sequence at the ***intersection***:

An RNA molecules which is 88 nucleotides long and can form both structures





Two neutral walks through sequence space with conservation of structure and catalytic activity

1. Sequence space and shape space
2. Neutral networks
3. Evolutionary optimization of structure
4. Suboptimal structures and kinetic folding
5. **Comparison of kinetic folding and evolution**
6. How to model evolution of kinetic folding?

Kinetic Folding

Compatible structures:

Set of **stuctures compatible with** a given **sequence**

stability restriction ↓

Conformation space

Folding trajectory in conformation space:

Time ordered series of structures

Folding process:

Average of trajectories on the ensemble level

Criterium: **minimizing free energy**

Evolutionary optimization

Compatible sequences:

Set of **sequences compatible with** a given **structure**

mfe restriction ↓

Neutral network

Genealogy on a neutral network:

Time ordered series of sequences

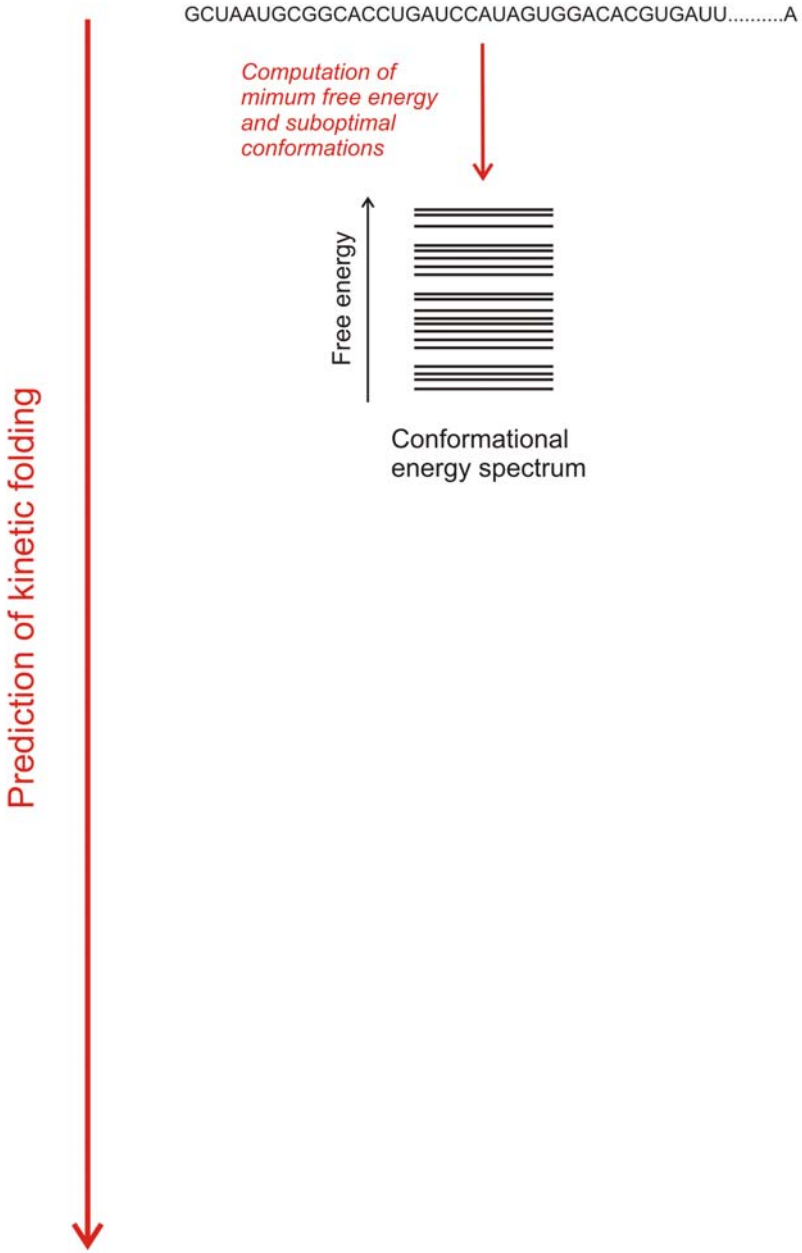
Optimization process:

Average over genealogies on the population level

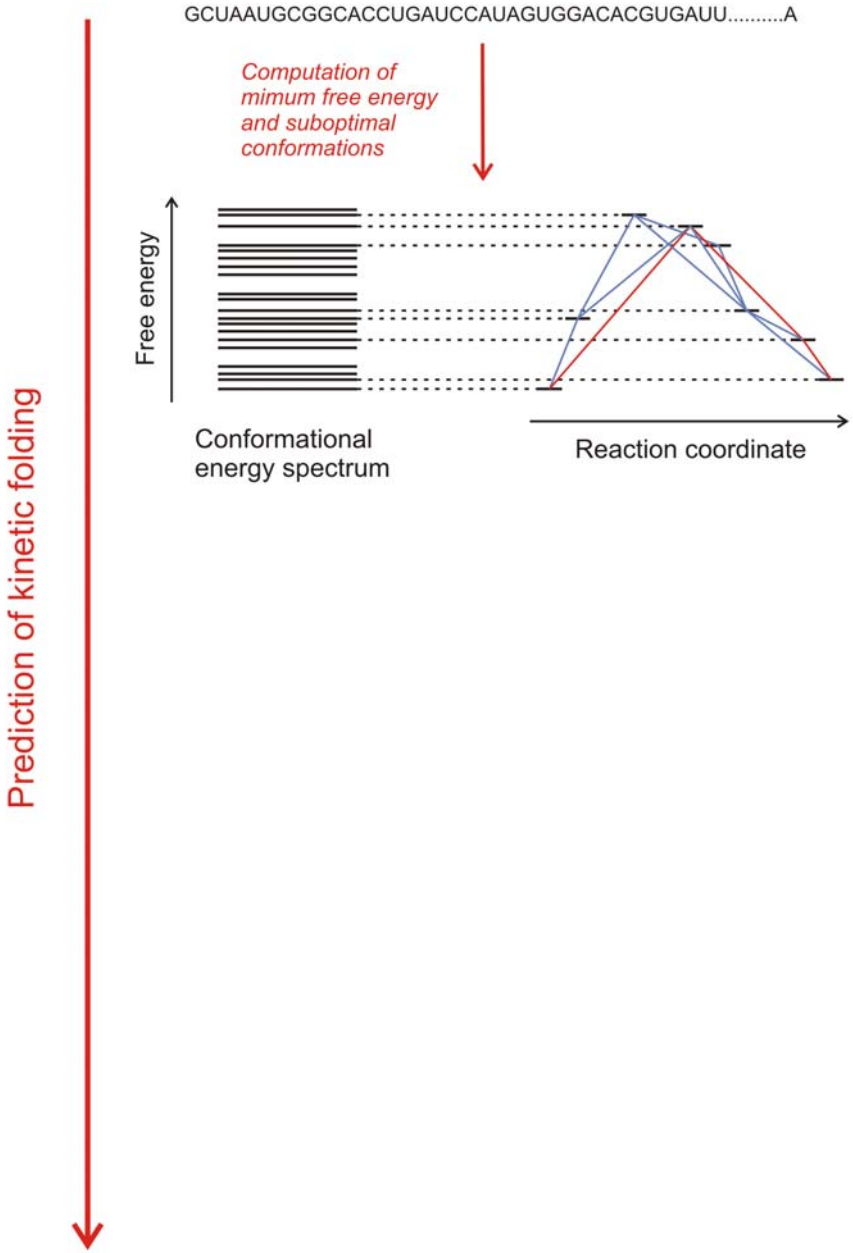
Criterium: **maximizing fitness**

1. Sequence space and shape space
2. Neutral networks
3. Evolutionary optimization of structure
4. Suboptimal structures and kinetic folding
5. Comparison of kinetic folding and evolution
6. **How to model evolution of kinetic folding?**

Prediction of RNA kinetic folding of secondary structures based on Arrhenius kinetics



Prediction of RNA kinetic folding of secondary structures based on Arrhenius kinetics



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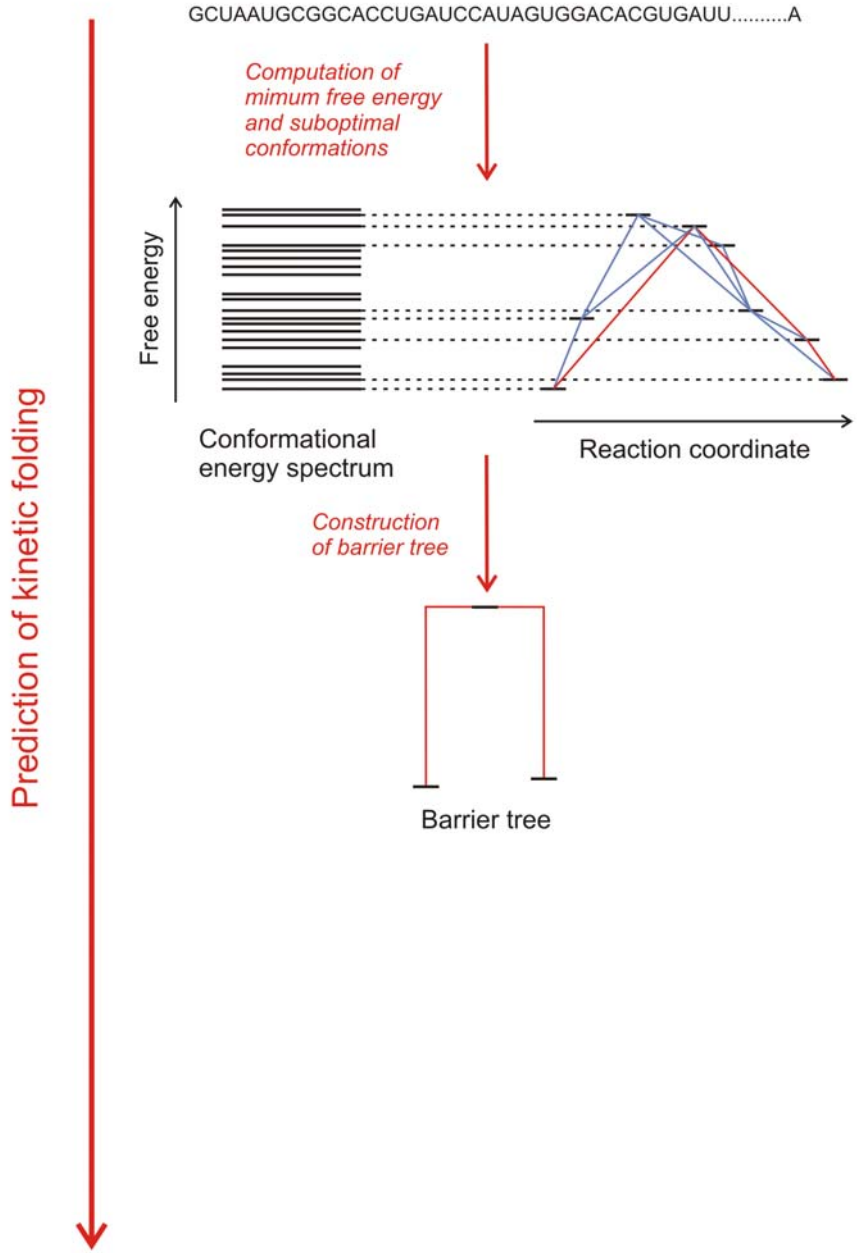
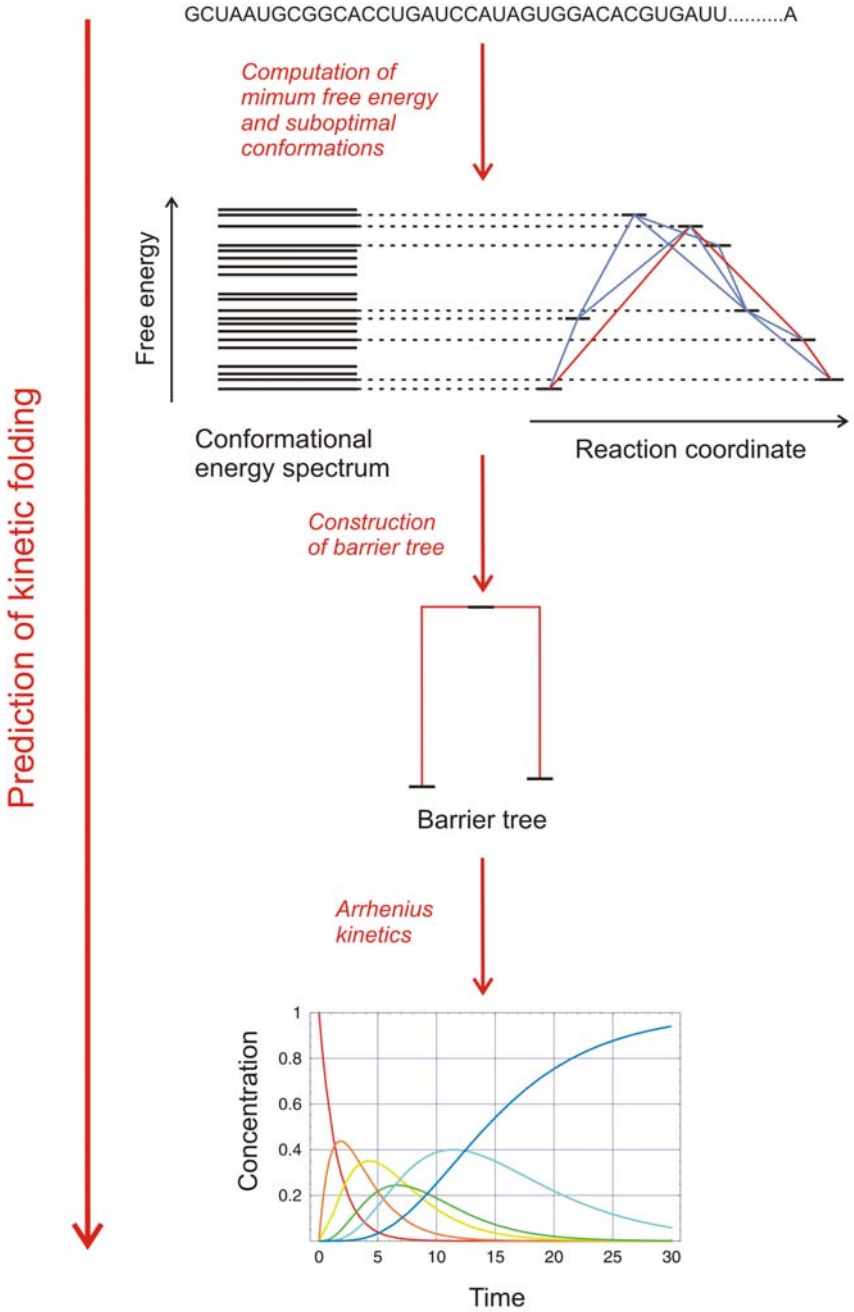
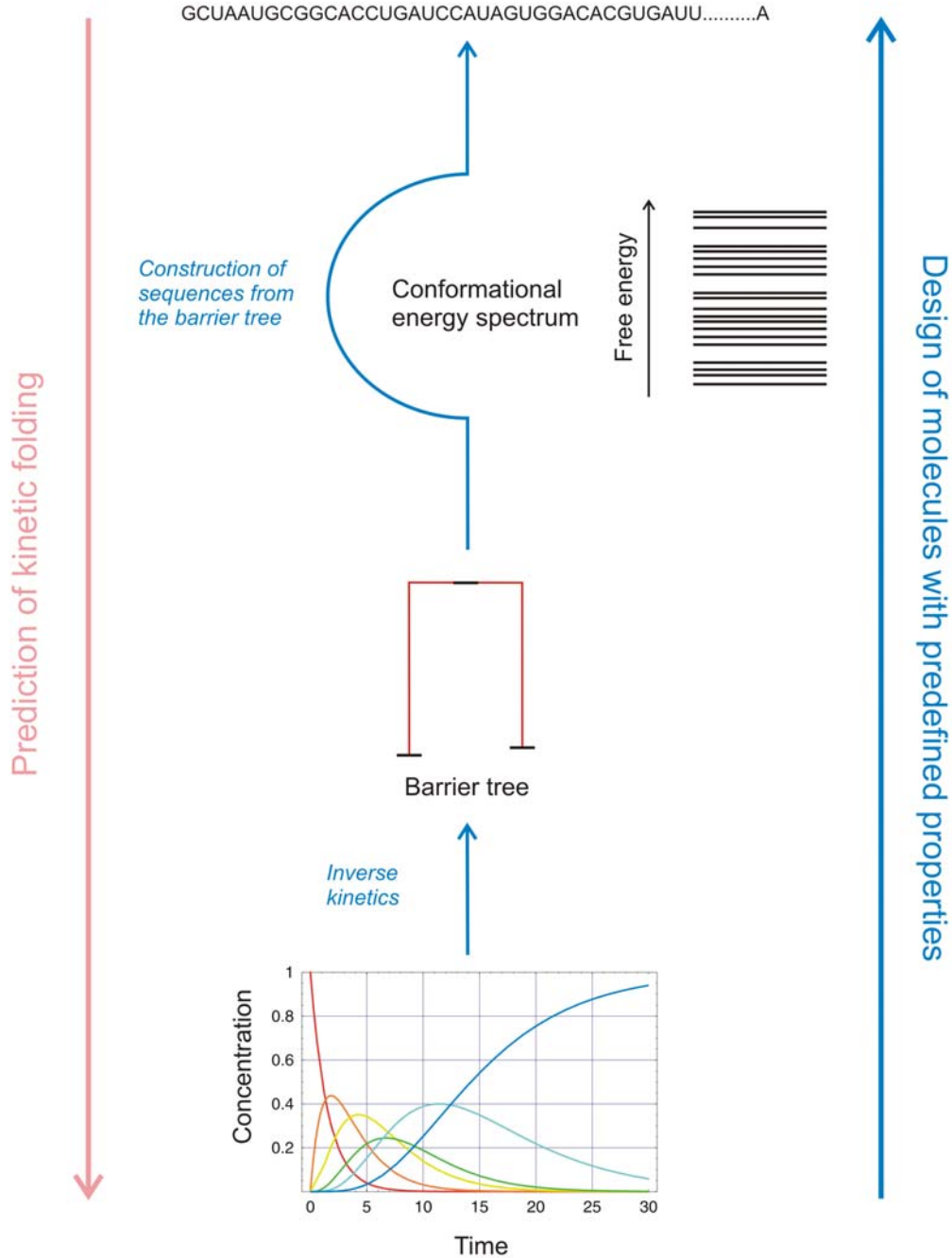


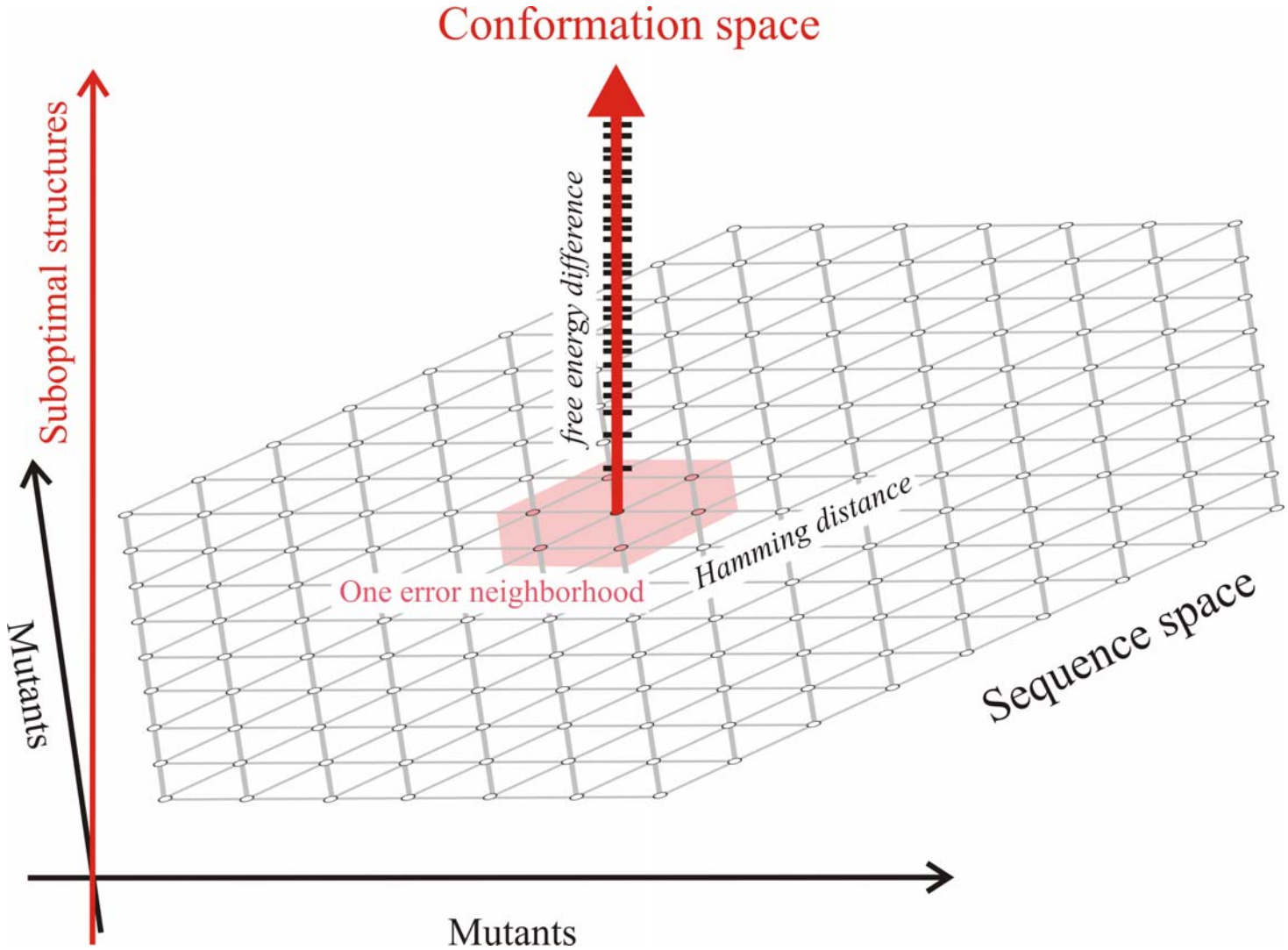
Prediction of RNA kinetic folding of secondary structures based on Arrhenius kinetics



Prediction of RNA kinetic folding of secondary structures based on Arrhenius kinetics

Design of RNA molecules with
with predefined folding kinetics





Construction of a combined landscape for folding and evolution

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