



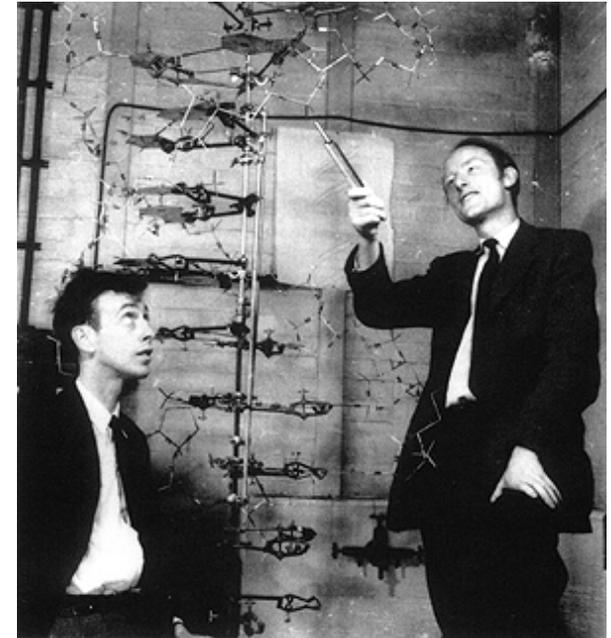
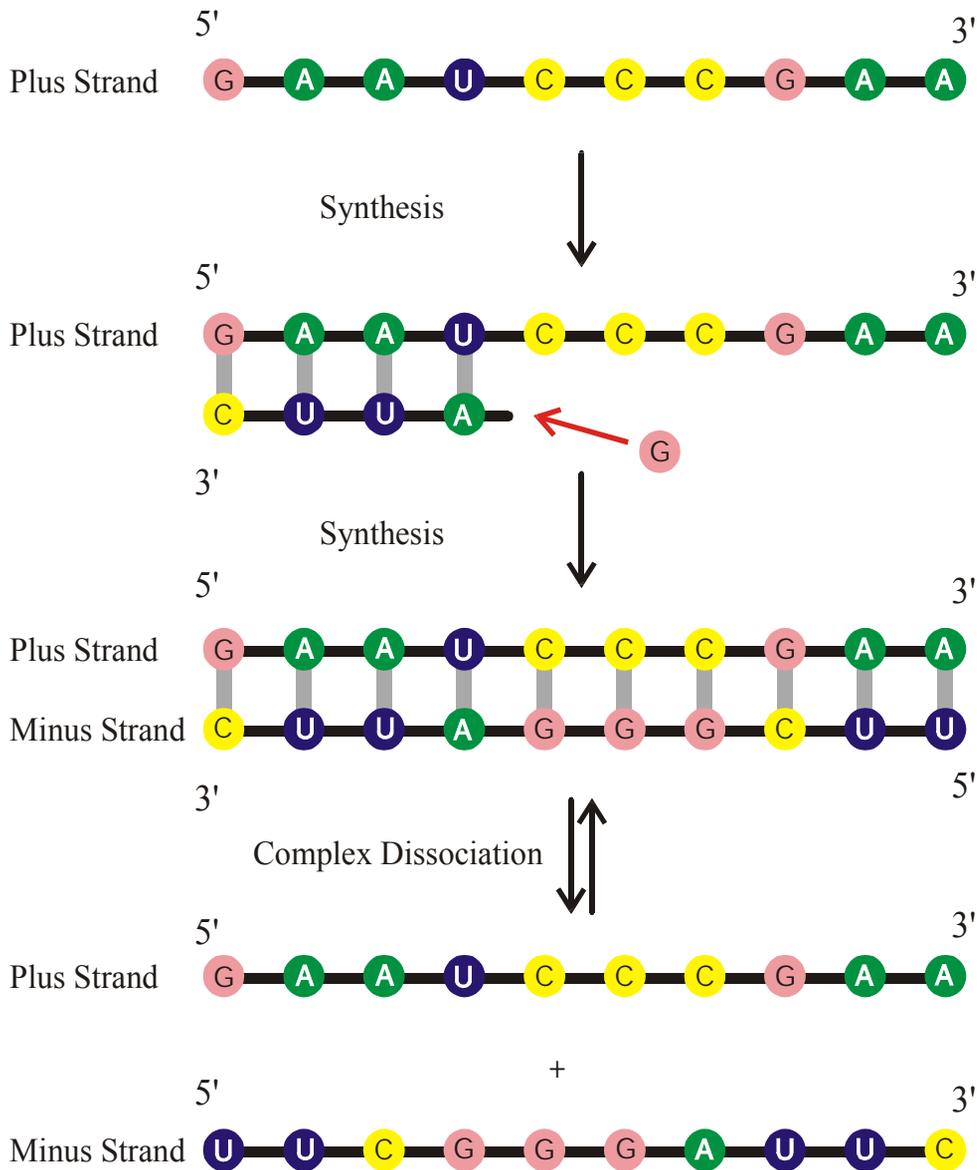


Web-Page for further information:

<http://www.tbi.univie.ac.at/~pks>

	Generation time	10 000 generations	10 <sup>6</sup> generations	10 <sup>7</sup> generations
RNA molecules	10 sec	27.8 h = 1.16 d	115.7 d	3.17 a
	1 min	6.94 d	1.90 a	19.01 a
Bacteria	20 min	138.9 d	38.03 a	380 a
	10 h	11.40 a	1 140 a	11 408 a
Higher multicellular organisms	10 d	274 a	27 380 a	273 800 a
	20 a	20 000 a	2 × 10 <sup>7</sup> a	2 × 10 <sup>8</sup> a

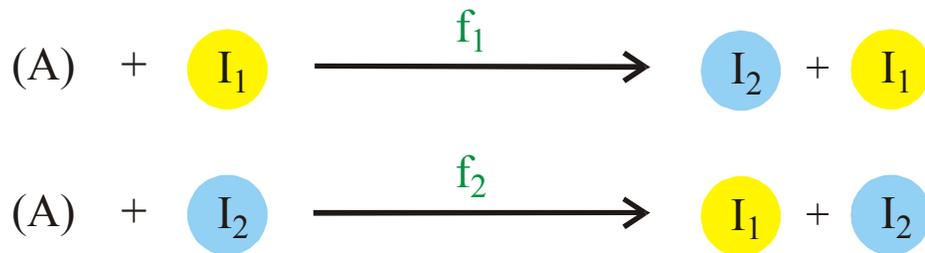
Time scales of evolutionary change



James Watson and Francis Crick, 1953

**Complementary replication** as the simplest copying mechanism of RNA  
 Complementarity is determined by Watson-Crick base pairs:





$$\begin{aligned} dx_1 / dt &= f_2 x_2 - x_1 \Phi \\ dx_2 / dt &= f_1 x_1 - x_2 \Phi \end{aligned}$$

$$\Phi = \sum_i f_i x_i ; \quad \sum_i x_i = 1 ; \quad i=1,2$$

**Complementary replication** as the simplest molecular mechanism of reproduction

**Equation for complementary replication:**  $[I_i] = x_i \notin 0, f_i > 0; i=1,2$

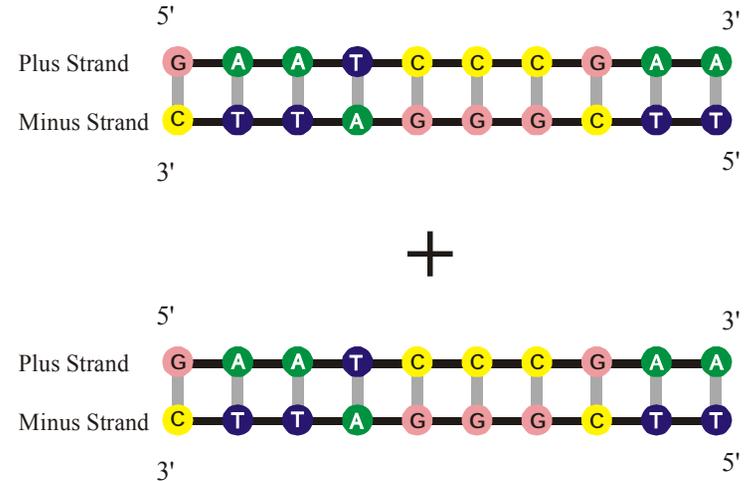
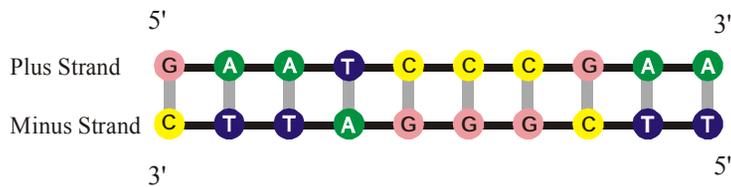
$$\frac{dx_1}{dt} = f_2 x_2 - x_1 \phi, \quad \frac{dx_2}{dt} = f_1 x_1 - x_2 \phi, \quad \phi = f_1 x_1 + f_2 x_2 = \bar{f}$$

**Solutions** are obtained by integrating factor transformation

$$x_{1,2}(t) = \frac{\sqrt{f_{2,1}} (\gamma_1(0) \cdot \exp(ft) + \gamma_2(0) \cdot \exp(-ft))}{(\sqrt{f_1} + \sqrt{f_2}) \gamma_1(0) \cdot \exp(ft) - (\sqrt{f_1} - \sqrt{f_2}) \gamma_1(0) \cdot \exp(-ft)}$$

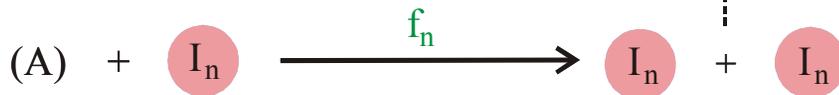
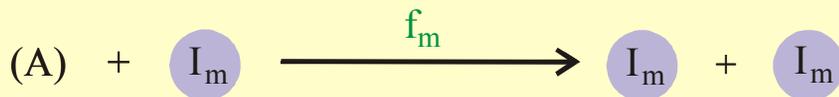
$$\gamma_1(0) = \sqrt{f_1} x_1(0) + \sqrt{f_2} x_2(0), \gamma_2(0) = \sqrt{f_1} x_1(0) - \sqrt{f_2} x_2(0), f = \sqrt{f_1 f_2}$$

$$x_1(t) \rightarrow \frac{\sqrt{f_2}}{\sqrt{f_1} + \sqrt{f_2}} \quad \text{and} \quad x_2(t) \rightarrow \frac{\sqrt{f_1}}{\sqrt{f_1} + \sqrt{f_2}} \quad \text{as} \quad \exp(-ft) \rightarrow 0$$



**Direct replication** of DNA is a highly complex copying mechanism involving more than ten different protein molecules. Complementarity is determined by Watson-Crick base pairs:





$$\frac{dx_i}{dt} = f_i x_i - x_i \Phi = x_i (f_i - \Phi)$$

$$\Phi = \sum_j f_j x_j ; \quad \sum_j x_j = 1 ; \quad i, j = 1, 2, \dots, n$$

$$[I_i] = x_i \geq 0 ; \quad i = 1, 2, \dots, n ;$$

$$[A] = a = \text{constant}$$

$$f_m = \max \{f_j ; j = 1, 2, \dots, n\}$$

$$x_m(t) \rightarrow 1 \text{ for } t \rightarrow \infty$$

**Reproduction of organisms or replication of molecules as the basis of selection**

**Selection equation:**  $[I_i] = x_i \neq 0, f_i > 0$

$$\frac{dx_i}{dt} = x_i (f_i - \phi), \quad i=1,2,\dots,n; \quad \sum_{i=1}^n x_i = 1; \quad \phi = \sum_{j=1}^n f_j x_j = \bar{f}$$

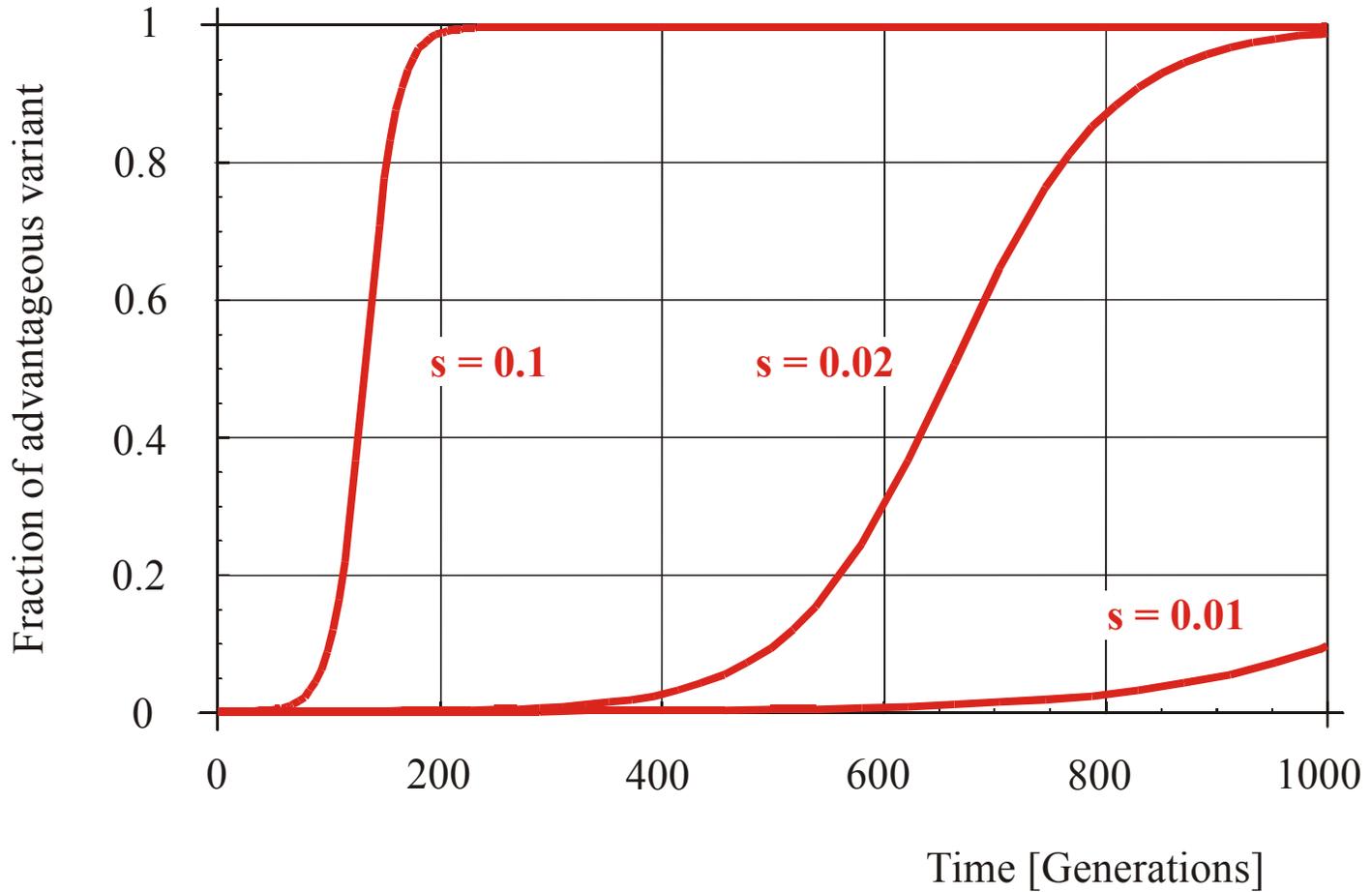
Mean fitness or dilution flux,  $\phi(t)$ , is a **non-decreasing function** of time,

$$\frac{d\phi}{dt} = \sum_{i=1}^n f_i \frac{dx_i}{dt} = \overline{f^2} - (\bar{f})^2 = \text{var}\{f\} \geq 0$$

**Solutions** are obtained by integrating factor transformation

$$x_i(t) = \frac{x_i(0) \cdot \exp(f_i t)}{\sum_{j=1}^n x_j(0) \cdot \exp(f_j t)}; \quad i = 1, 2, \dots, n$$

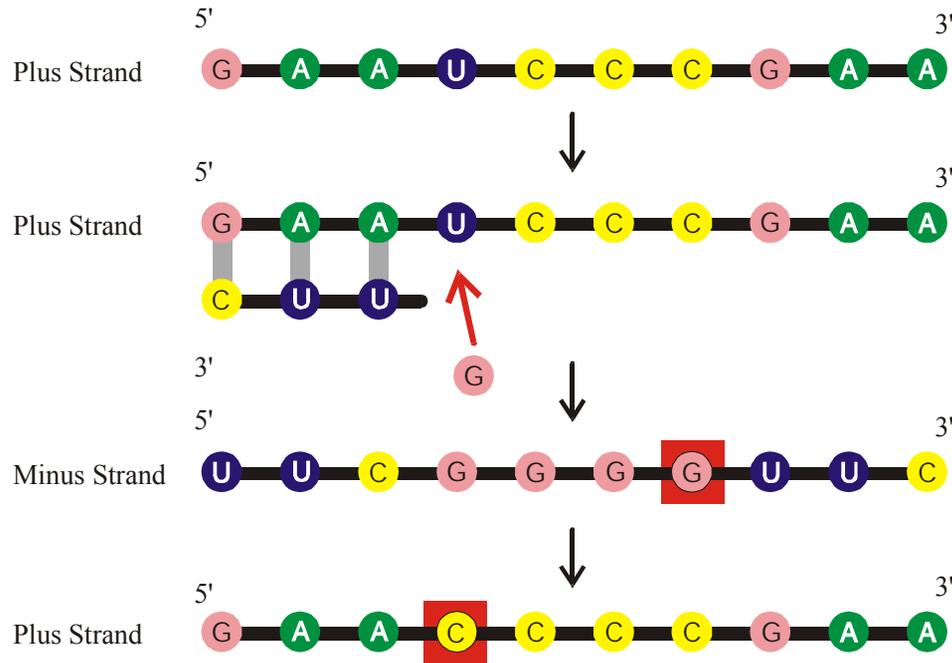
$$s = (f_2 - f_1) / f_1; f_2 > f_1; x_1(0) = 1 - 1/N; x_2(0) = 1/N$$



Selection of advantageous mutants in populations of  $N = 10\ 000$  individuals

Changes in RNA sequences originate from replication errors called **mutations**.

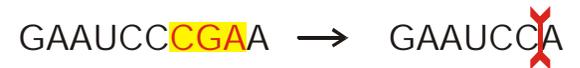
**Mutations** occur uncorrelated to their consequences in the selection process and are, therefore, commonly characterized as **random elements** of evolution.



**Point Mutation**



**Insertion**



**Deletion**

The origins of changes in RNA sequences are **replication errors** called **mutations**.

# Theory of molecular evolution

M.Eigen, *Self-organization of matter and the evolution of biological macromolecules*.

Naturwissenschaften **58** (1971), 465-526

C.J. Thompson, J.L. McBride, *On Eigen's theory of the self-organization of matter and the evolution of biological macromolecules*. Math. Biosci. **21** (1974), 127-142

B.L. Jones, R.H. Enns, S.S. Rangnekar, *On the theory of selection of coupled macromolecular systems*. Bull.Math.Biol. **38** (1976), 15-28

M.Eigen, P.Schuster, *The hypercycle. A principle of natural self-organization. Part A: Emergence of the hypercycle*. Naturwissenschaften **58** (1977), 465-526

M.Eigen, P.Schuster, *The hypercycle. A principle of natural self-organization. Part B: The abstract hypercycle*. Naturwissenschaften **65** (1978), 7-41

M.Eigen, P.Schuster, *The hypercycle. A principle of natural self-organization. Part C: The realistic hypercycle*. Naturwissenschaften **65** (1978), 341-369

J. Swetina, P. Schuster, *Self-replication with errors - A model for polynucleotide replication*.

Biophys.Chem. **16** (1982), 329-345

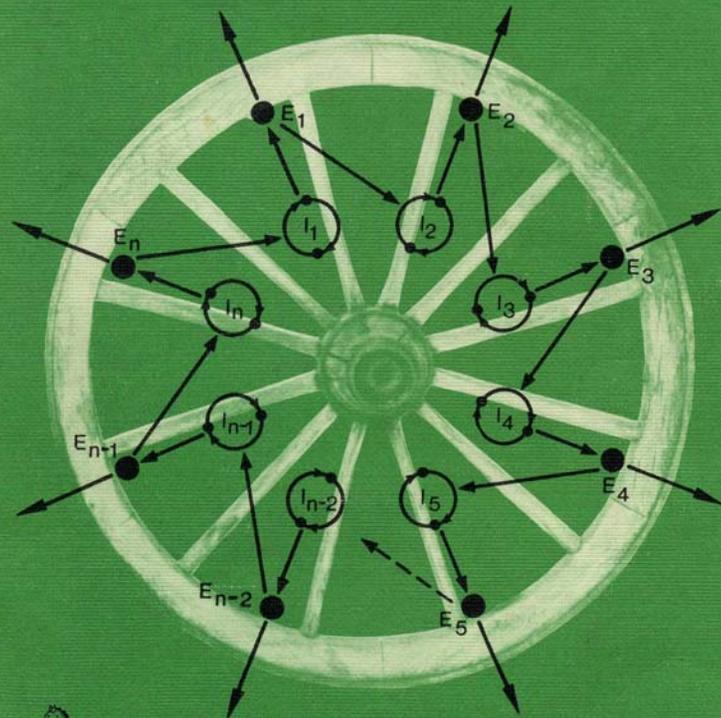
J.S. McCaskill, *A localization threshold for macromolecular quasispecies from continuously distributed replication rates*. J.Chem.Phys. **80** (1984), 5194-5202

M.Eigen, J.McCaskill, P.Schuster, *The molecular quasispecies*. Adv.Chem.Phys. **75** (1989), 149-263

C. Reidys, C.Forst, P.Schuster, *Replication and mutation on neutral networks*. Bull.Math.Biol. **63** (2001), 57-94

M. Eigen P. Schuster  
**The Hypercycle**

A Principle of Natural Self-Organization

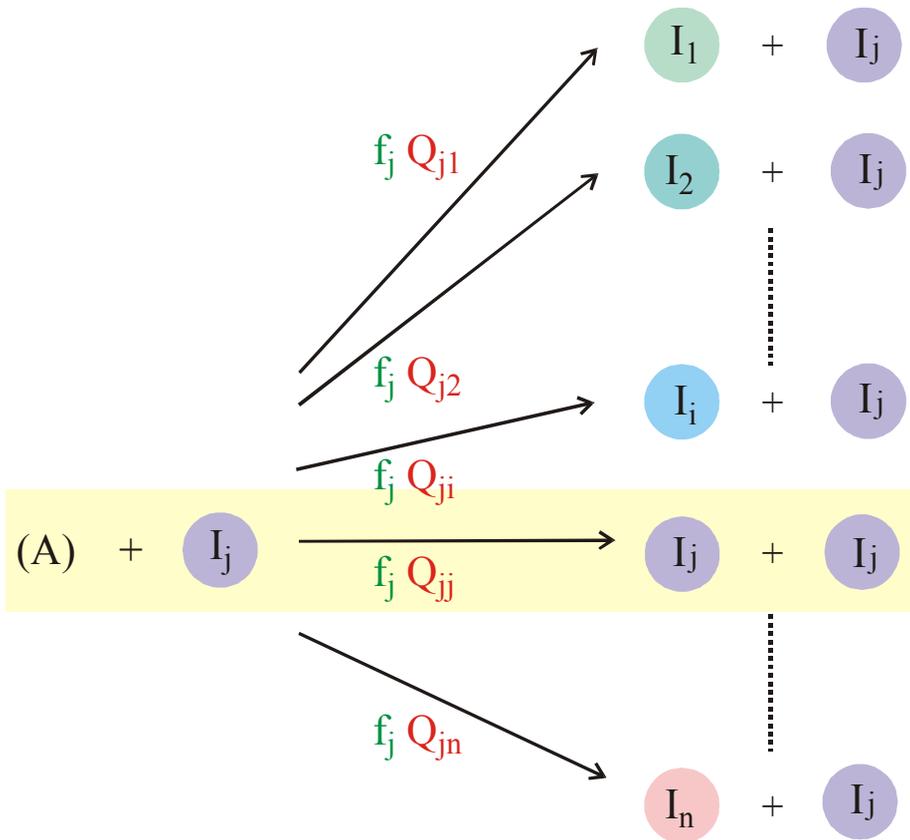


**Chemical kinetics of molecular evolution**

M. Eigen, P. Schuster, 'The Hypercycle',  
Springer-Verlag, Berlin 1979



Springer-Verlag Berlin Heidelberg New York



$$\frac{dx_i}{dt} = \sum_j f_j Q_{ji} x_j - x_i \Phi$$

$$\Phi = \sum_j f_j x_j ; \quad \sum_j x_j = 1 ; \quad \sum_i Q_{ij} = 1$$

$$[I_i] = x_i \ll 1 ; \quad i = 1, 2, \dots, n ;$$

$$[A] = a = \text{constant}$$

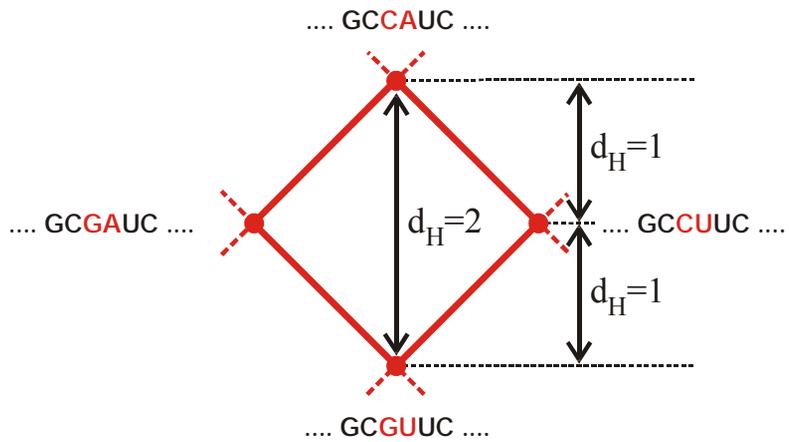
$$Q_{ij} = (1-p)^{\ell-d(i,j)} p^{d(i,j)}$$

$p$  ..... Error rate per digit

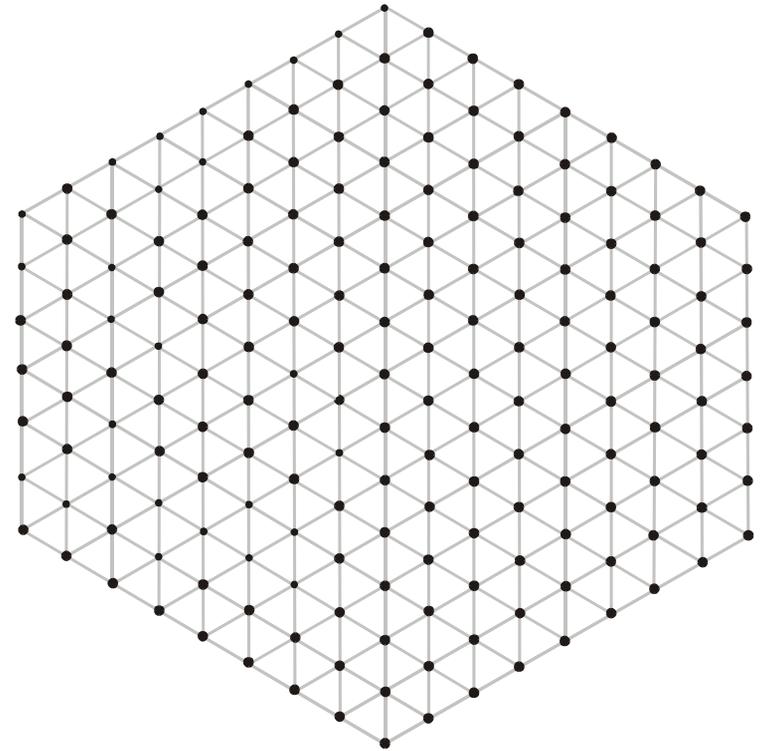
$\ell$  ..... Chain length of the polynucleotide

$d(i,j)$  .... Hamming distance between  $I_i$  and  $I_j$

Chemical kinetics of replication and mutation as parallel reactions



City-block distance in sequence space



2D Sketch of sequence space

Single point mutations as moves in sequence space

**Mutation-selection equation:**  $[I_i] = x_i \notin 0, f_i > 0, Q_{ij} \notin 0$

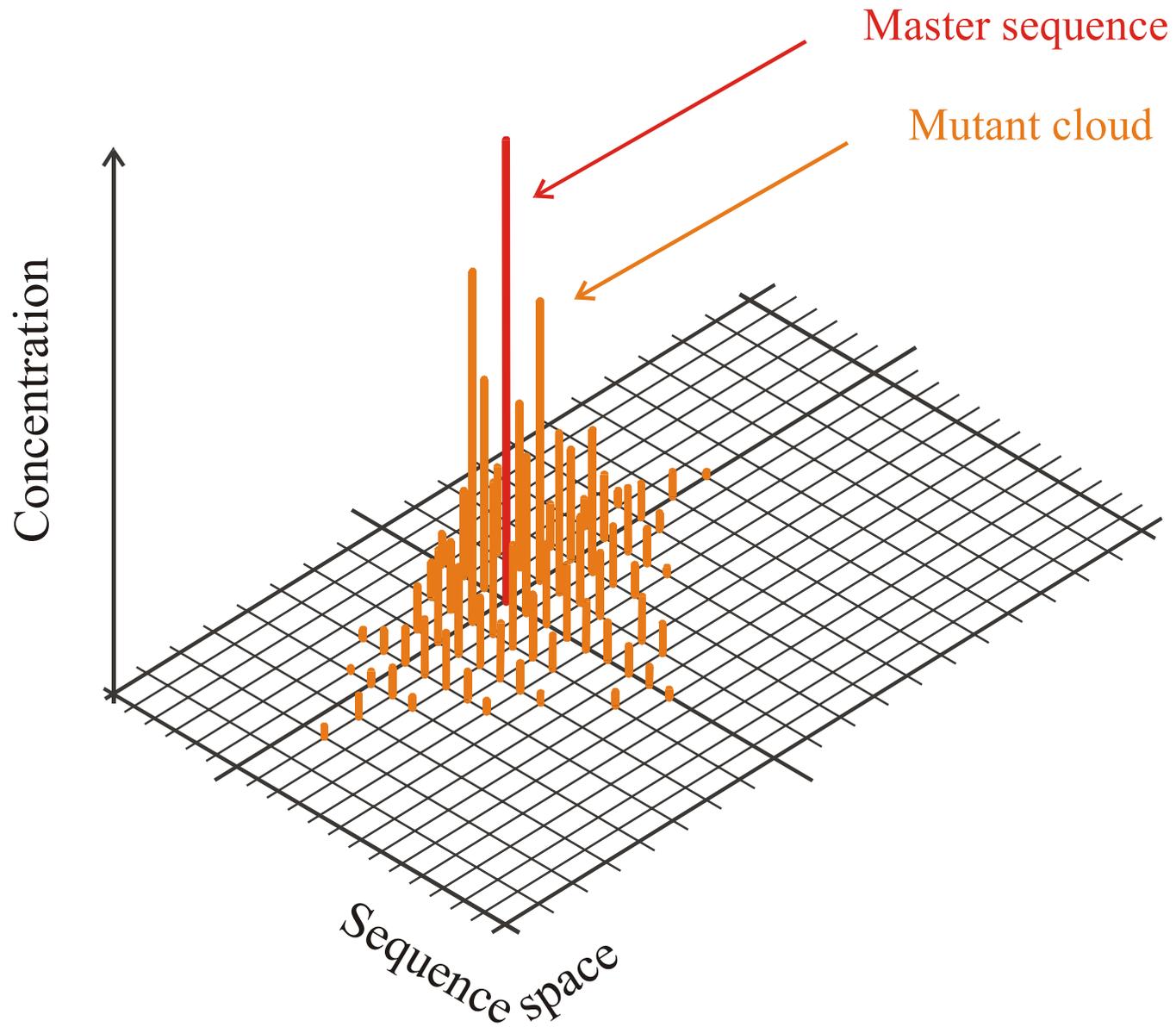
$$\frac{dx_i}{dt} = \sum_{j=1}^n f_j Q_{ji} x_j - x_i \phi, \quad i=1,2,\dots,n; \quad \sum_{i=1}^n x_i = 1; \quad \phi = \sum_{j=1}^n f_j x_j = \bar{f}$$

**Solutions** are obtained after integrating factor transformation by means of an eigenvalue problem

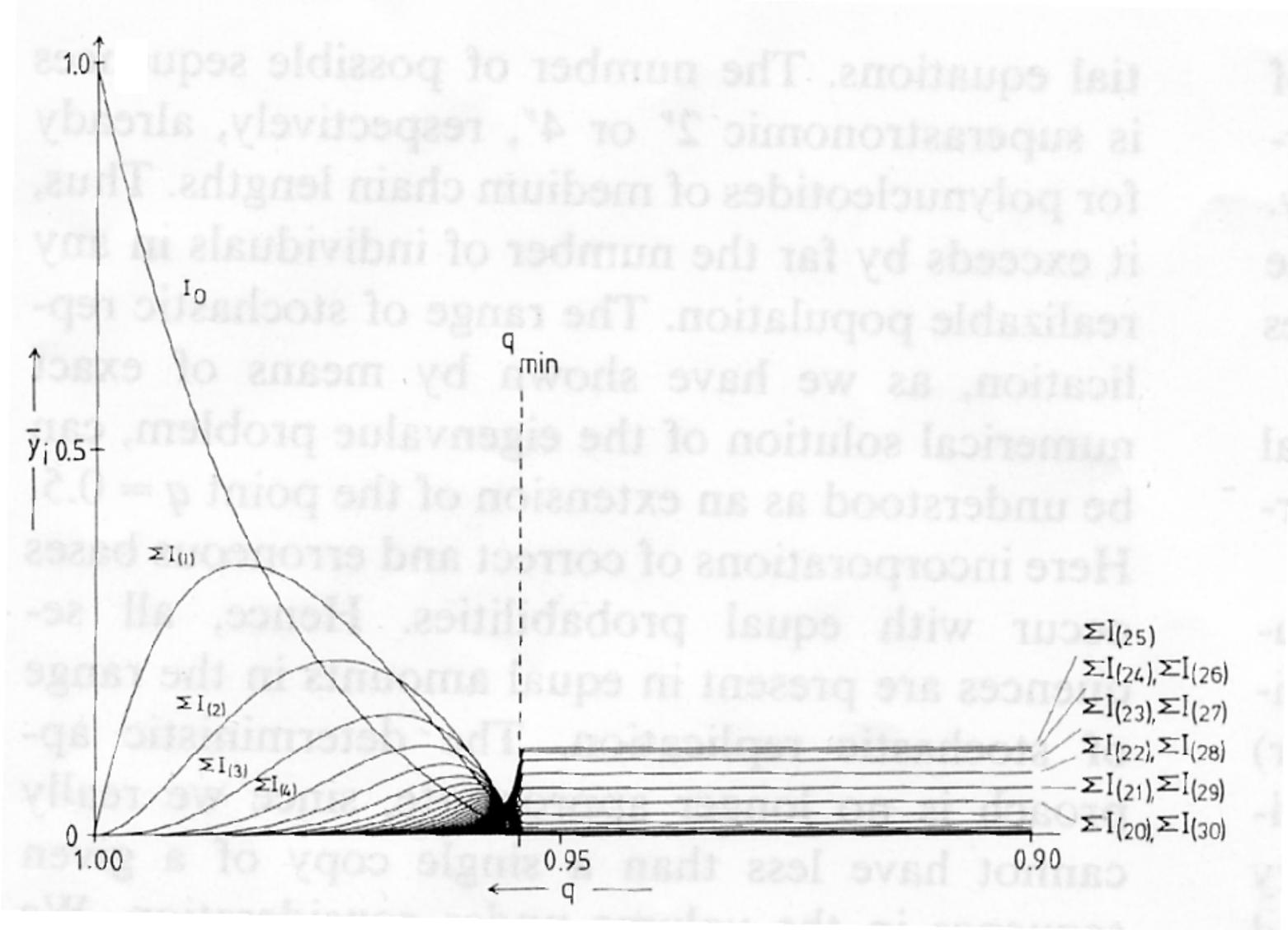
$$x_i(t) = \frac{\sum_{k=0}^{n-1} \ell_{ik} \cdot c_k(0) \cdot \exp(\lambda_k t)}{\sum_{j=1}^n \sum_{k=0}^{n-1} \ell_{jk} \cdot c_k(0) \cdot \exp(\lambda_k t)}; \quad i=1,2,\dots,n; \quad c_k(0) = \sum_{i=1}^n h_{ki} x_i(0)$$

$$W \doteq \{f_i Q_{ij}; i, j=1,2,\dots,n\}; \quad L = \{\ell_{ij}; i, j=1,2,\dots,n\}; \quad L^{-1} = H = \{h_{ij}; i, j=1,2,\dots,n\}$$

$$L^{-1} \cdot W \cdot L = \Lambda = \{\lambda_k; k=0,1,\dots,n-1\}$$



The molecular quasispecies in sequence space



**Quasispecies** as a function of the replication accuracy  $q$

In evolution **variation** occurs on **genotypes** but **selection** operates on the **phenotype**.

Mappings from genotypes into phenotypes are highly complex objects. The only computationally accessible case is in the evolution of RNA molecules.

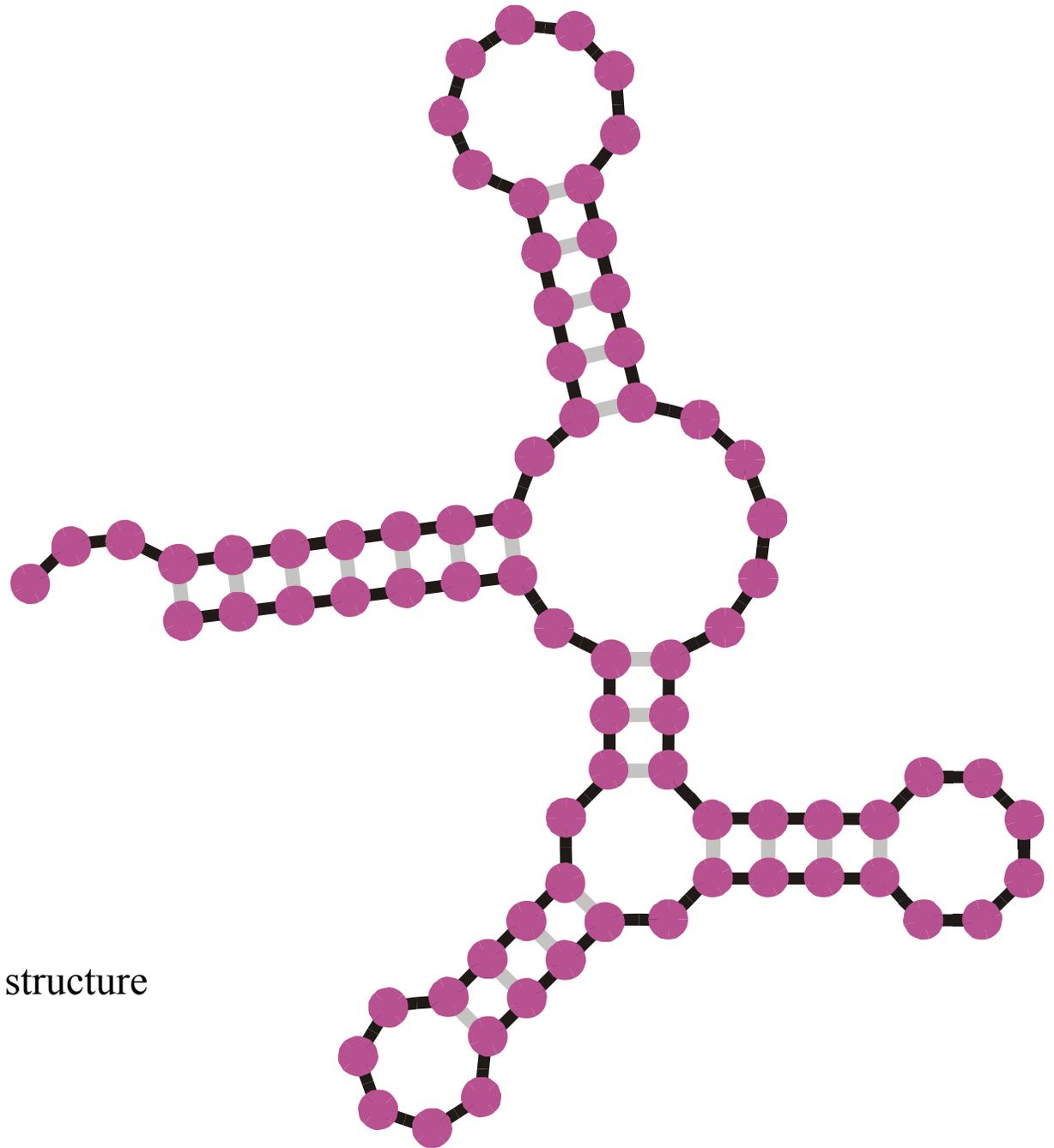
The mapping from RNA sequences into secondary structures and function,

**sequence** | **structure** | **function**,

is used as a model for the complex relations between genotypes and phenotypes. Fertile progeny measured in terms of **fitness** in population biology is determined quantitatively by **replication rate constants** of RNA molecules.

Population biology	Molecular genetics	Evolution of RNA molecules
<b>Genotype</b>	<b>Genome</b>	<b>RNA sequence</b>
<b>Phenotype</b>	<b>Organism</b>	<b>RNA structure and function</b>
<b>Fitness</b>	<b>Reproductive success</b>	<b>Replication rate constant</b>

The RNA model



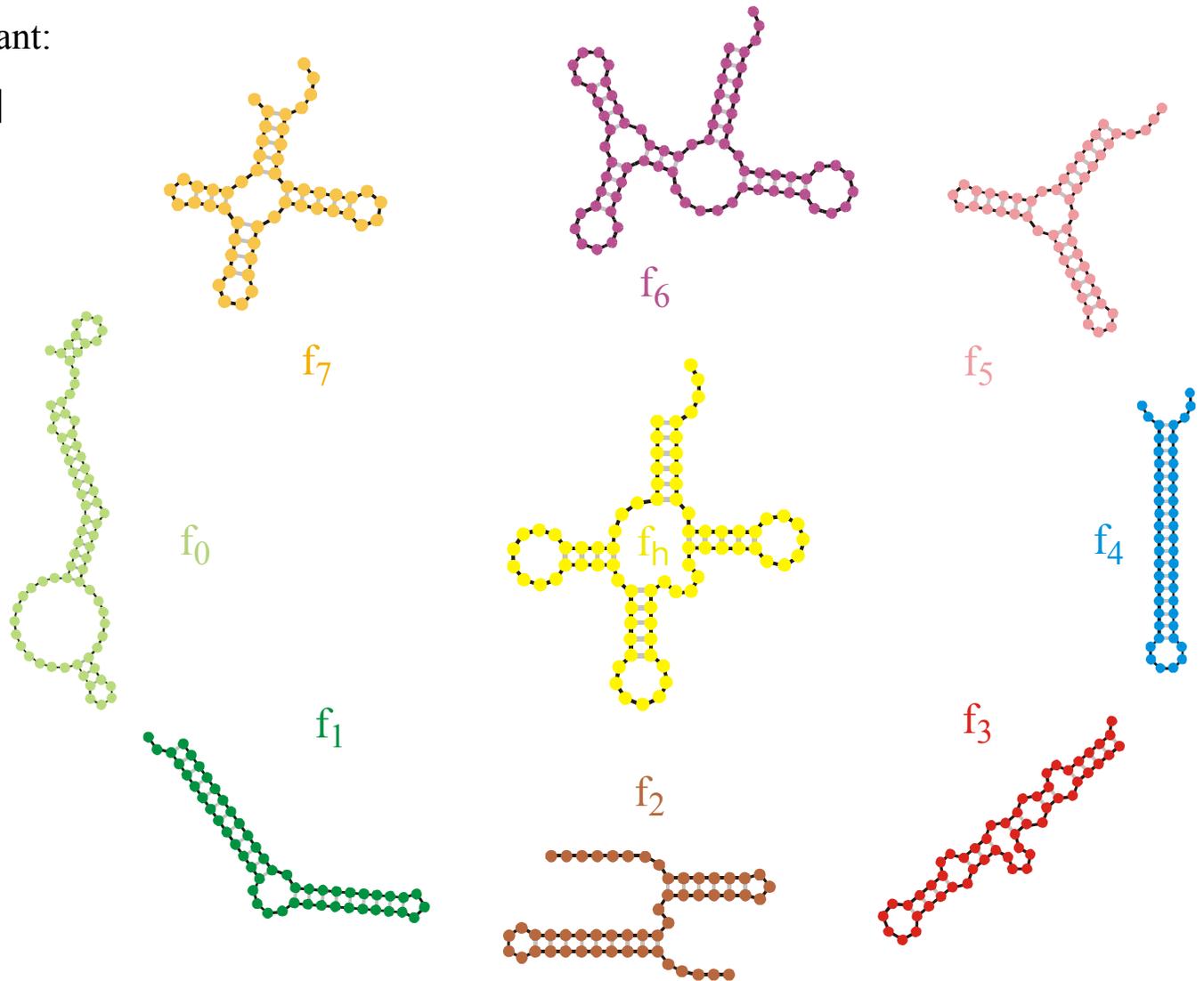
Optimized element: RNA structure



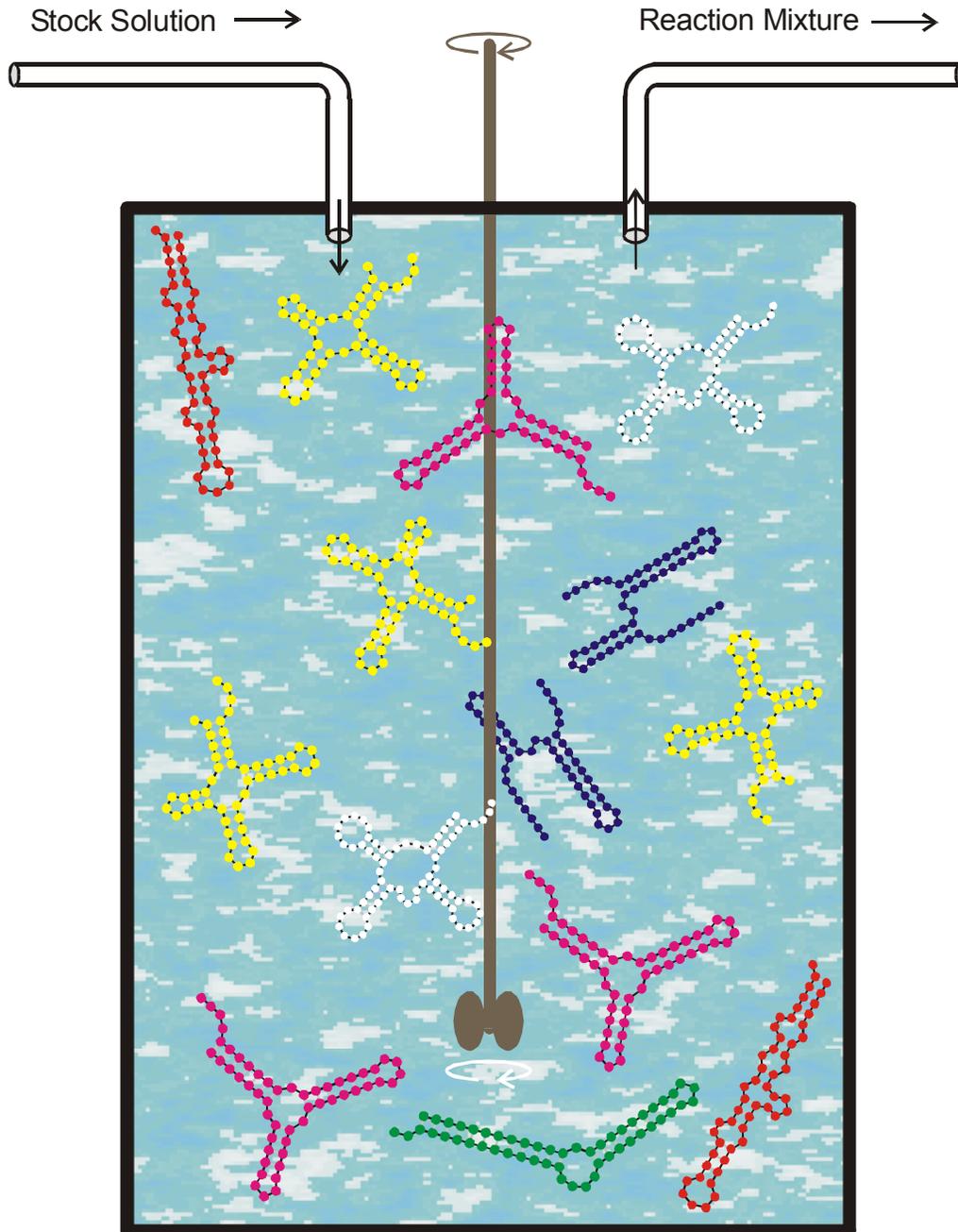
Replication rate constant:

$$f_k = \frac{[S_k]}{[U] + \sum d_S^{(k)}} \quad (1)$$

$$d_S^{(k)} = d_H(S_k, S_h) \quad (2)$$



Evaluation of RNA secondary structures yields replication rate constants



Replication rate constant:

$$f_k = [ / [U + \delta d_S^{(k)}]$$

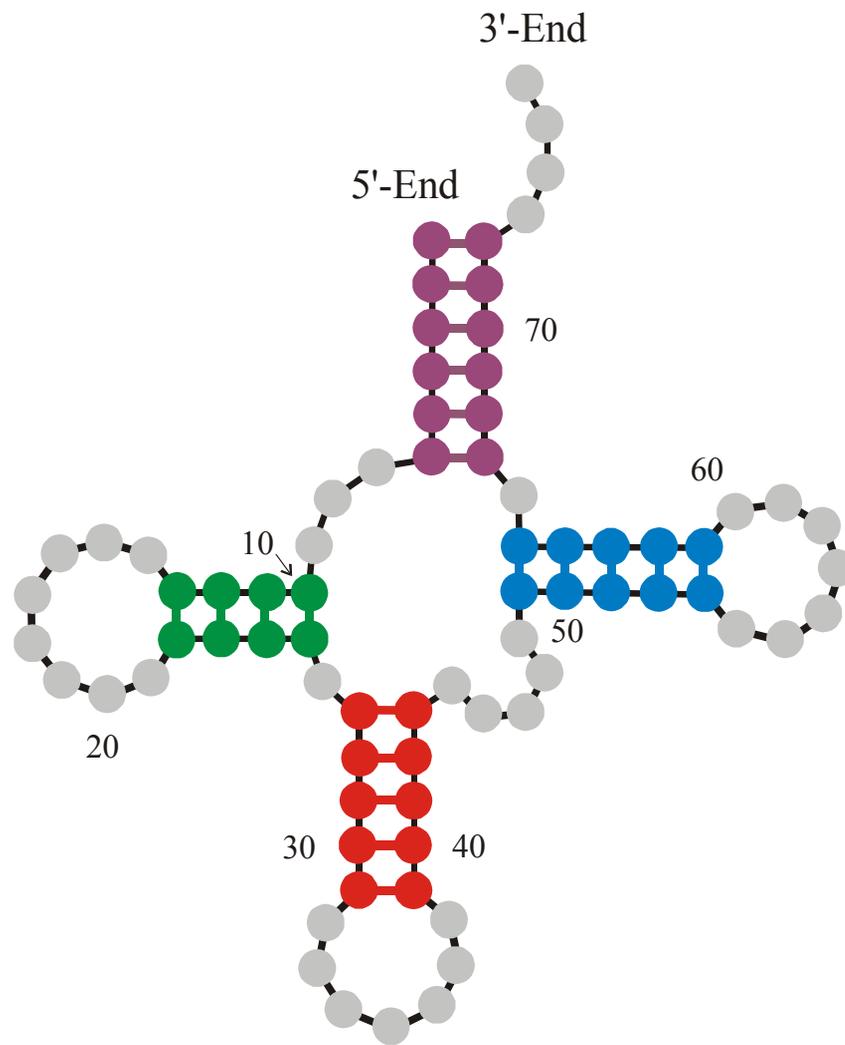
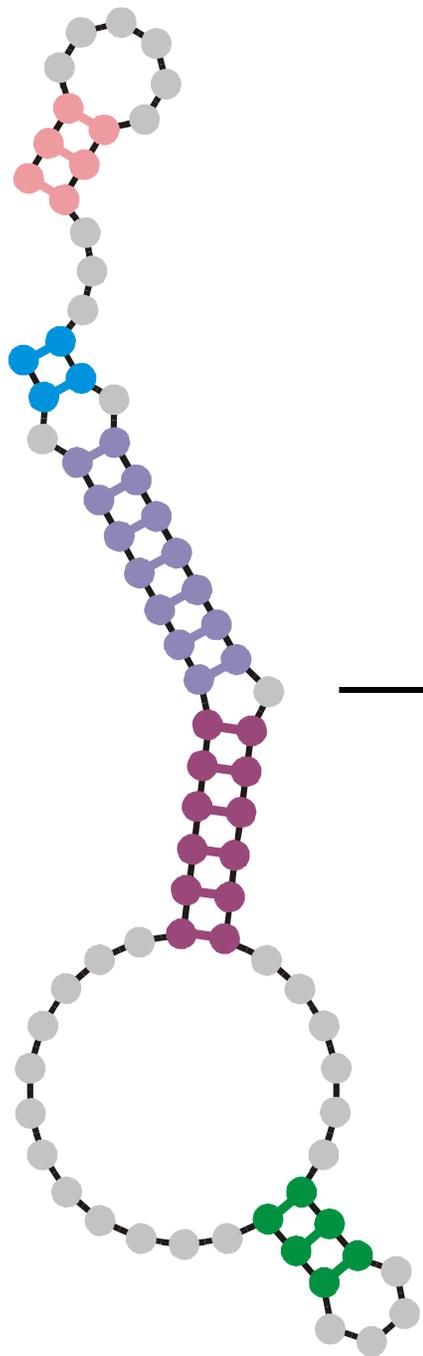
$$\delta d_S^{(k)} = d_H(S_k, S_h)$$

Selection constraint:

# RNA molecules is controlled by the flow

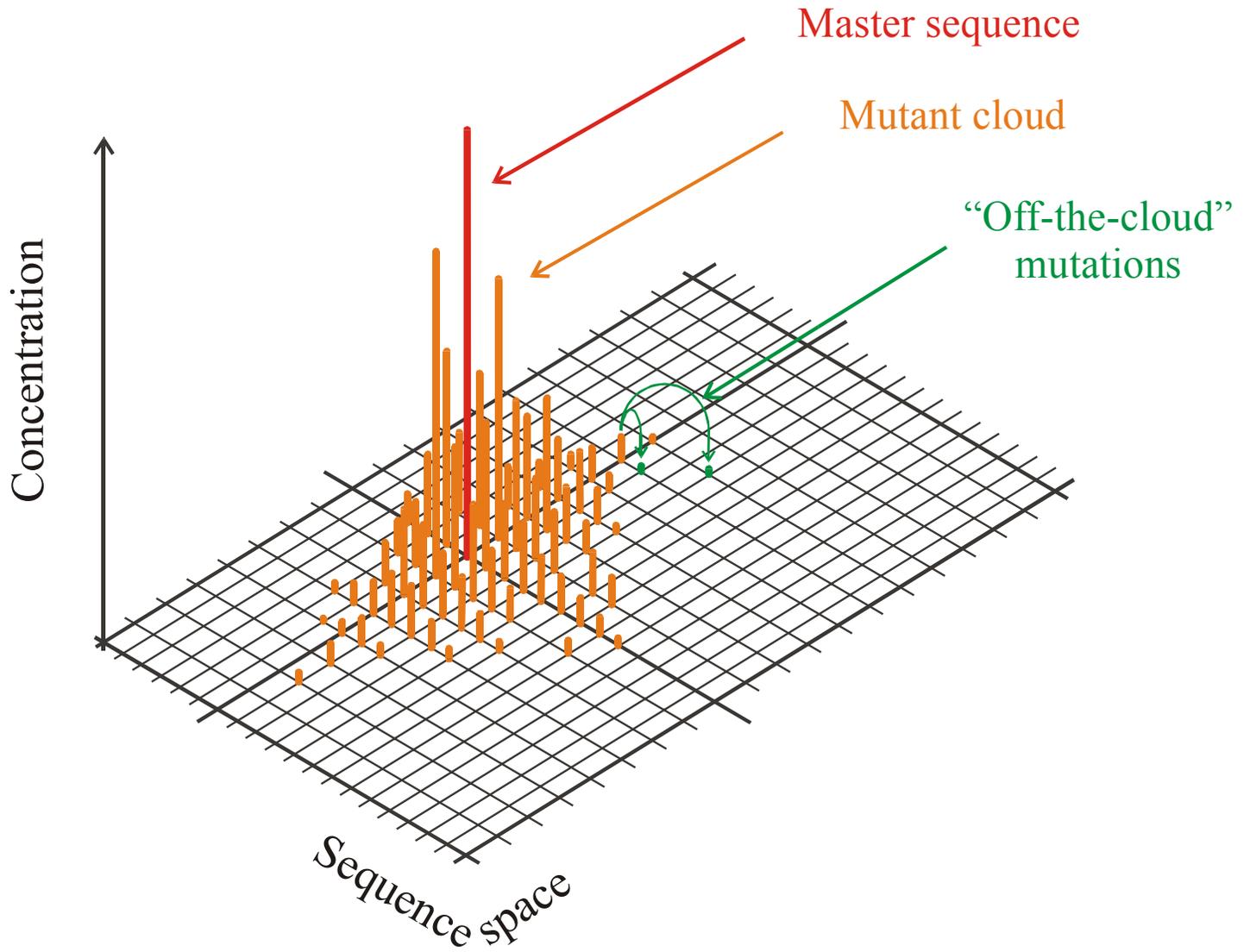
$$N(t) \approx \bar{N} \pm \sqrt{\bar{N}}$$

The flowreactor as a device for studies of evolution *in vitro* and *in silico*

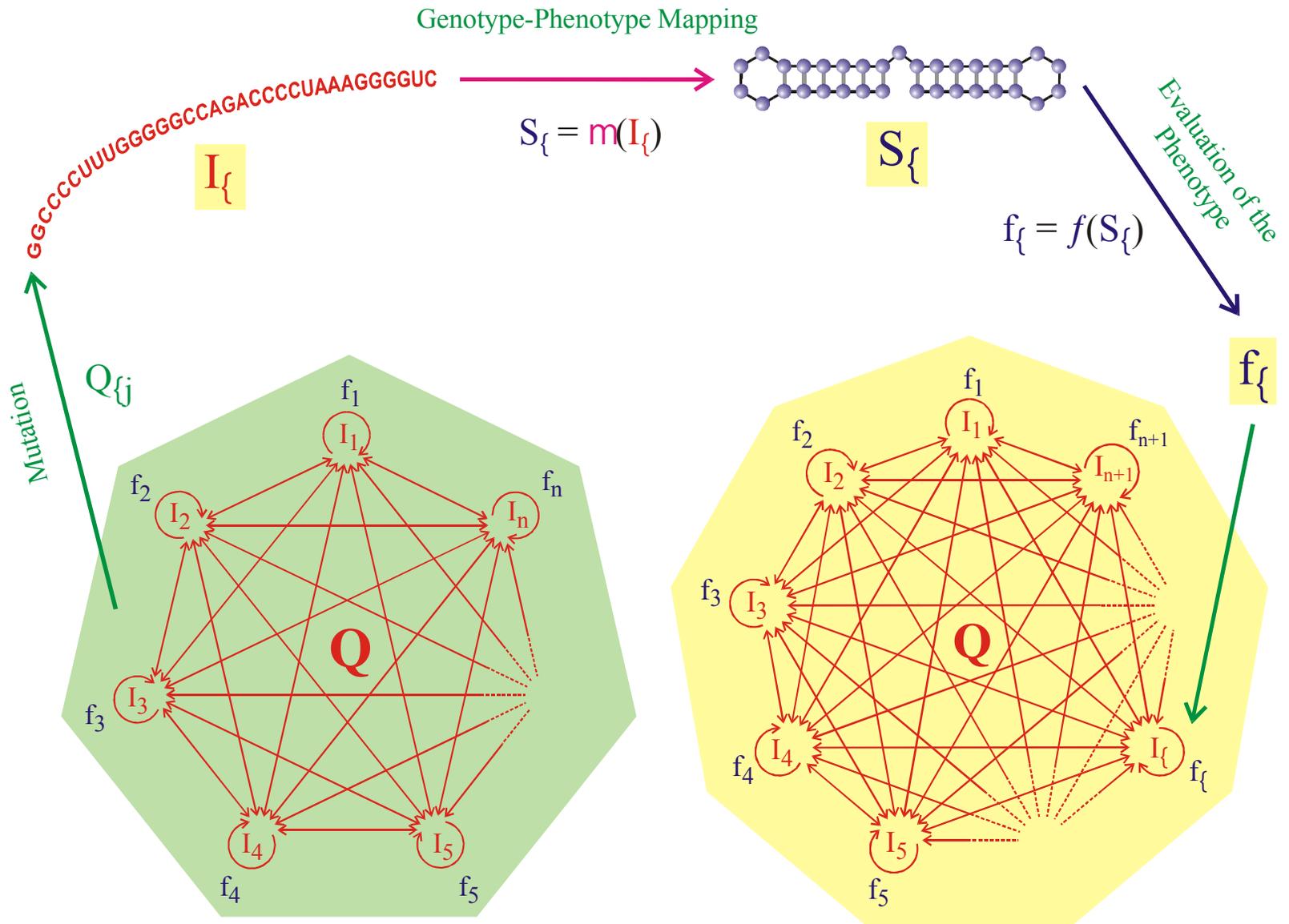


Randomly chosen  
initial structure

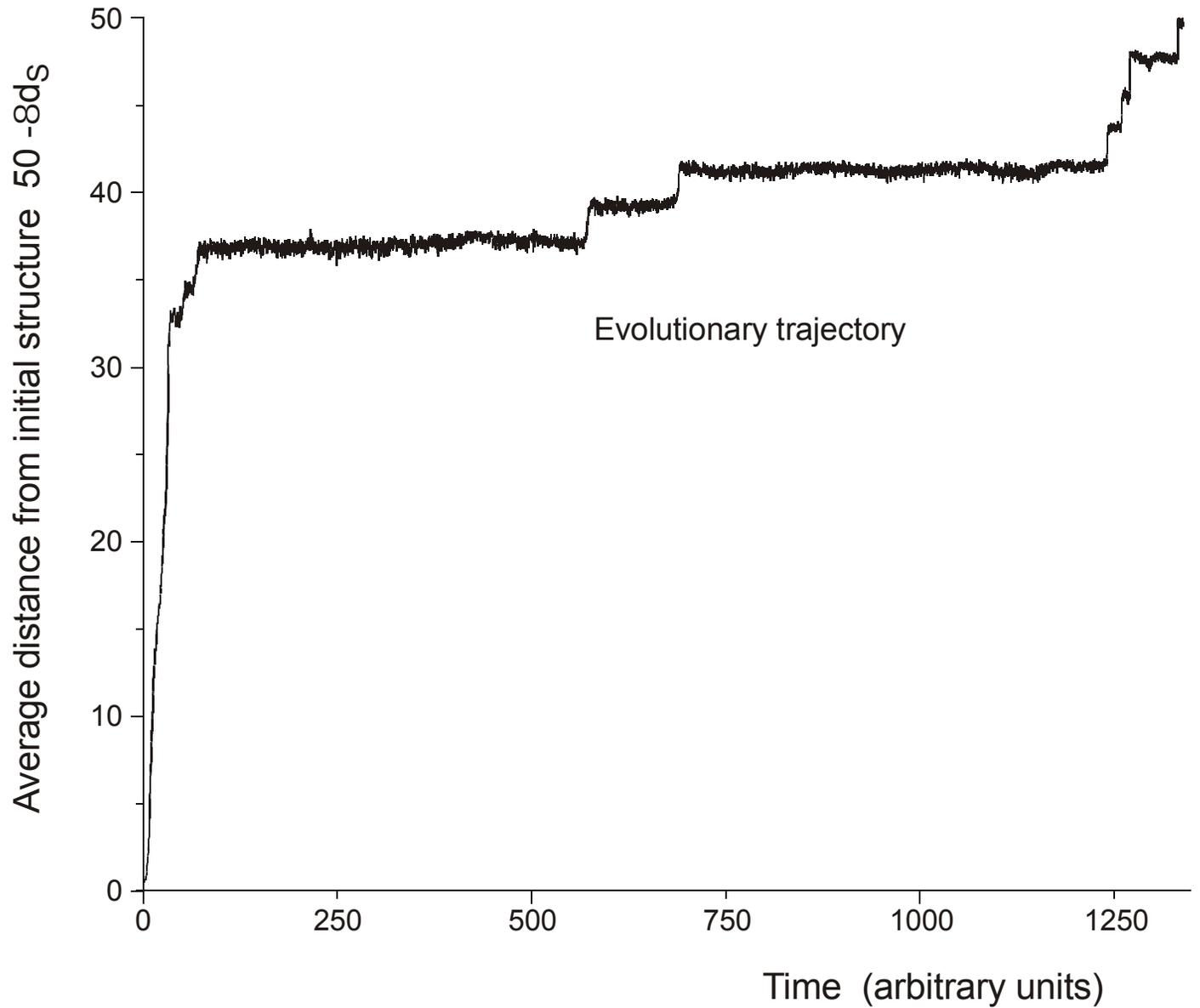
Phenylalanyl-tRNA as  
target structure



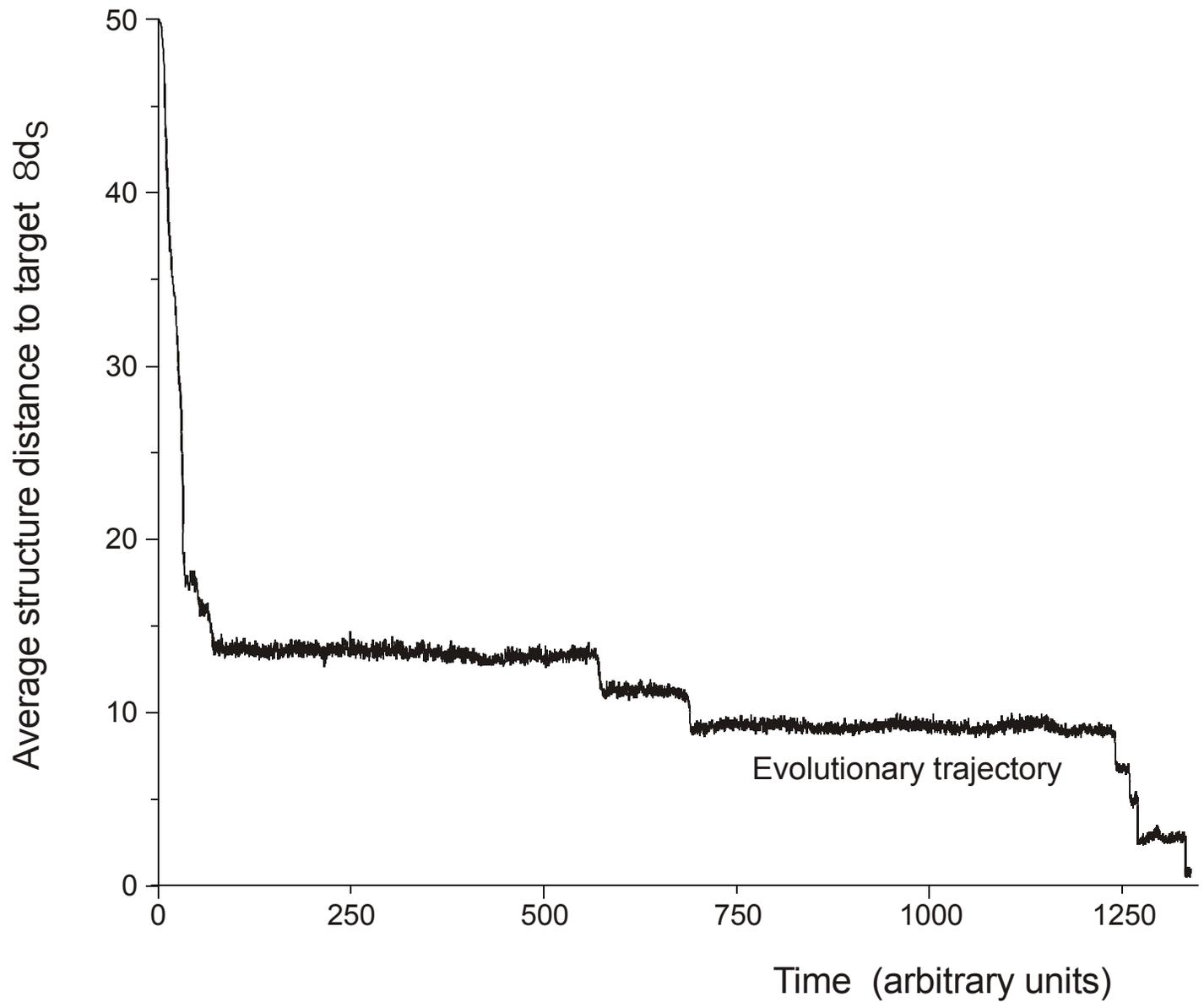
The molecular quasispecies  
in sequence space



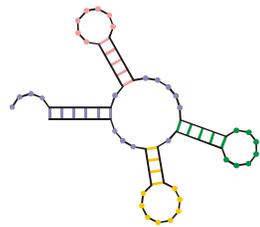
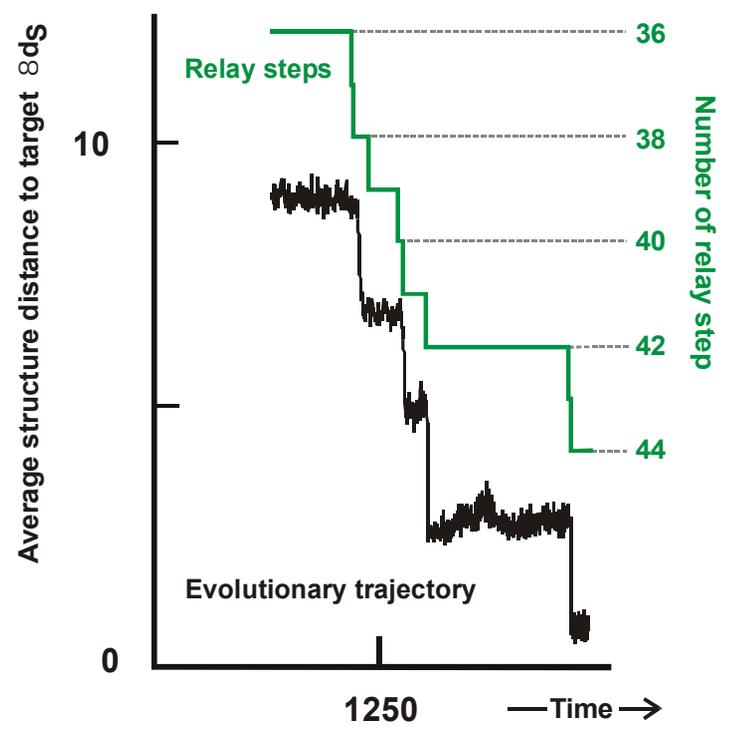
Evolutionary dynamics  
including molecular phenotypes



*In silico* optimization in the flow reactor: Trajectory (**biologists' view**)

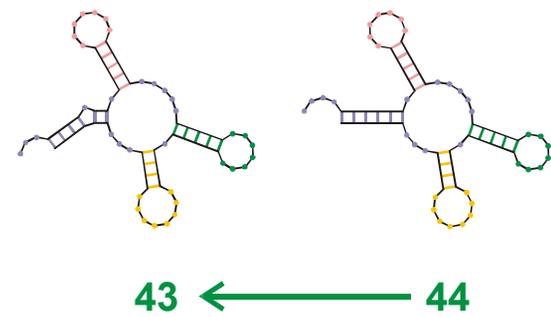
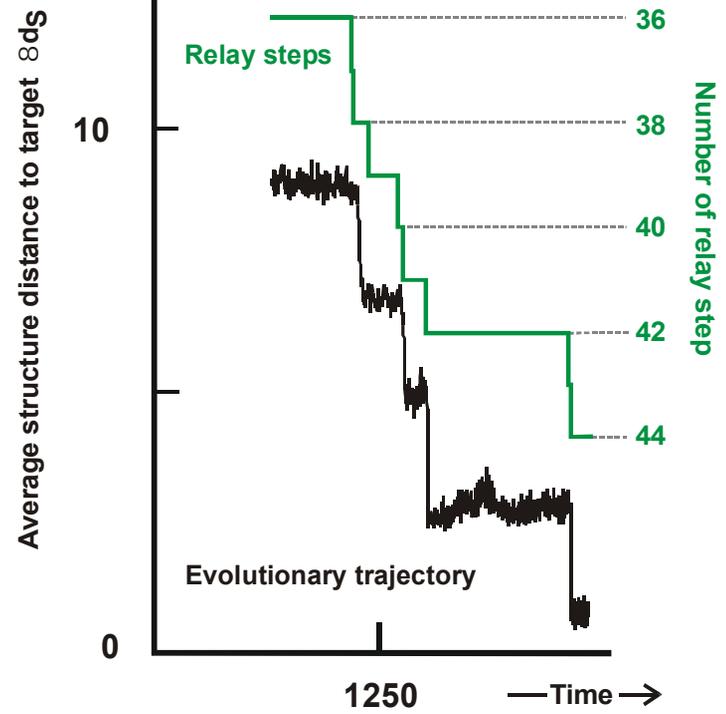


*In silico* optimization in the flow reactor: Trajectory (**physicists' view**)

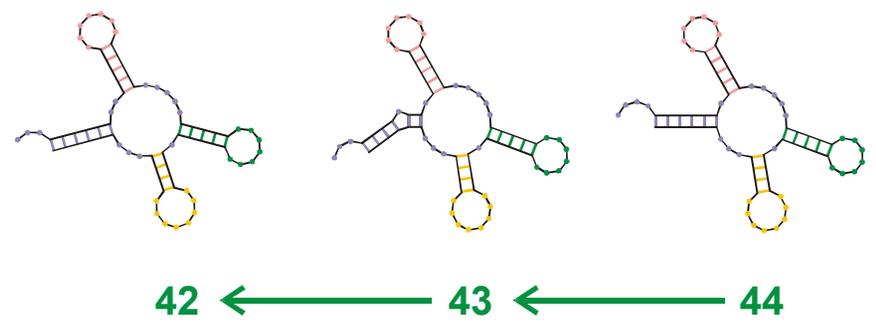
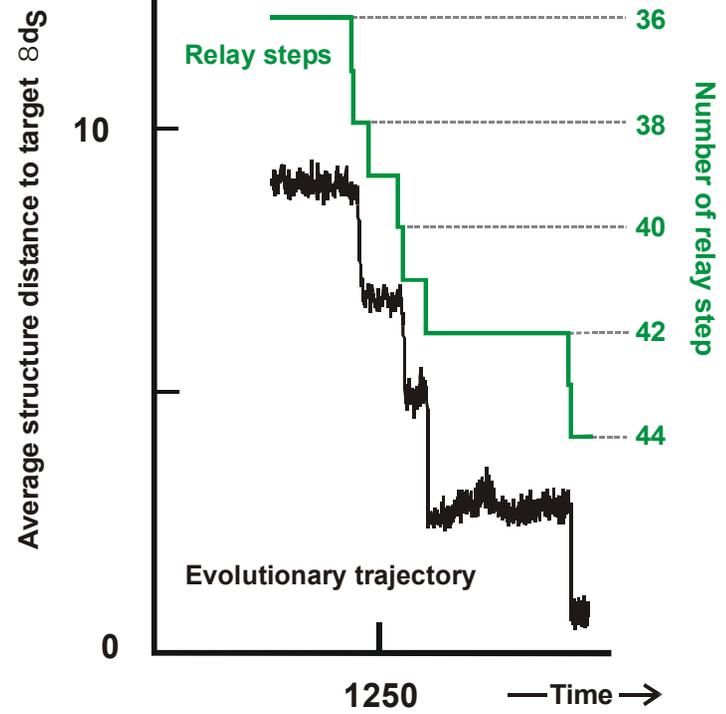


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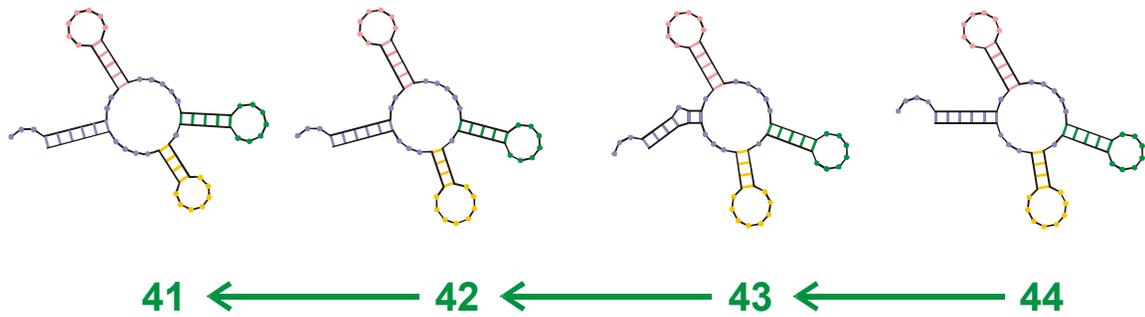
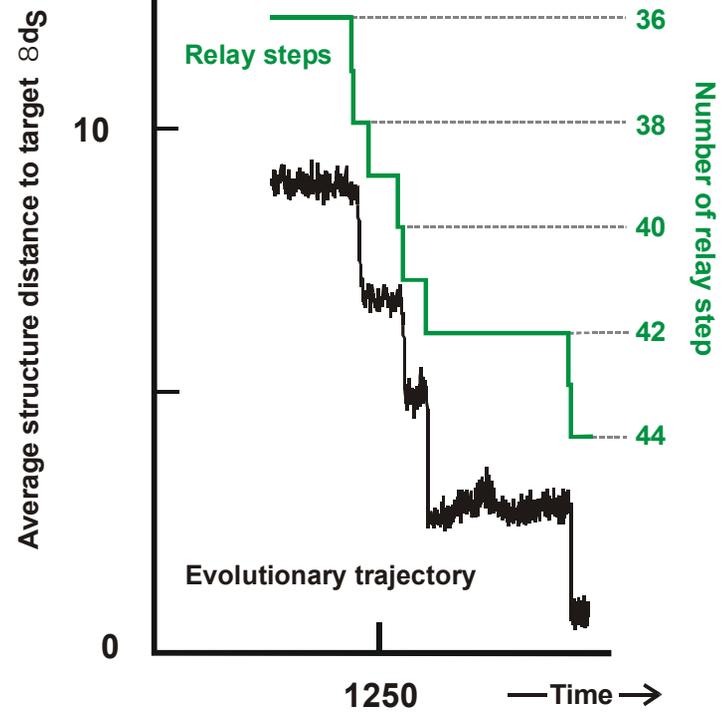
Endconformation of optimization



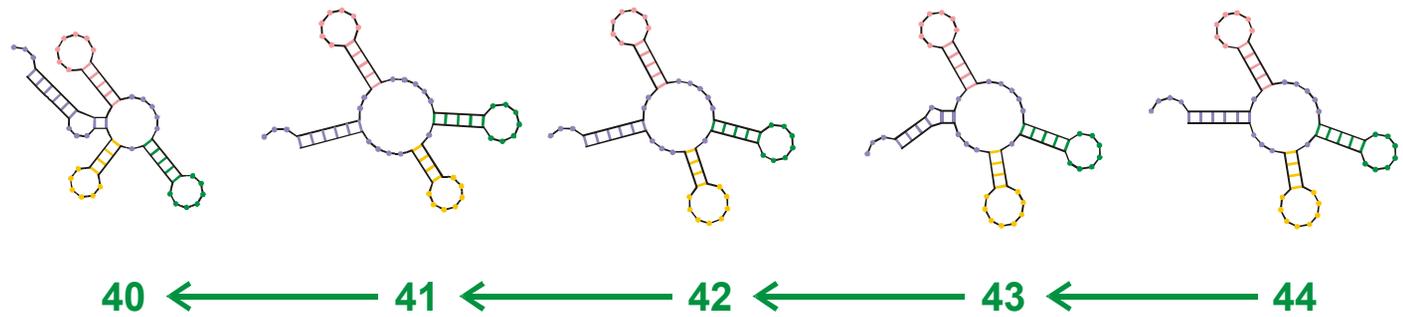
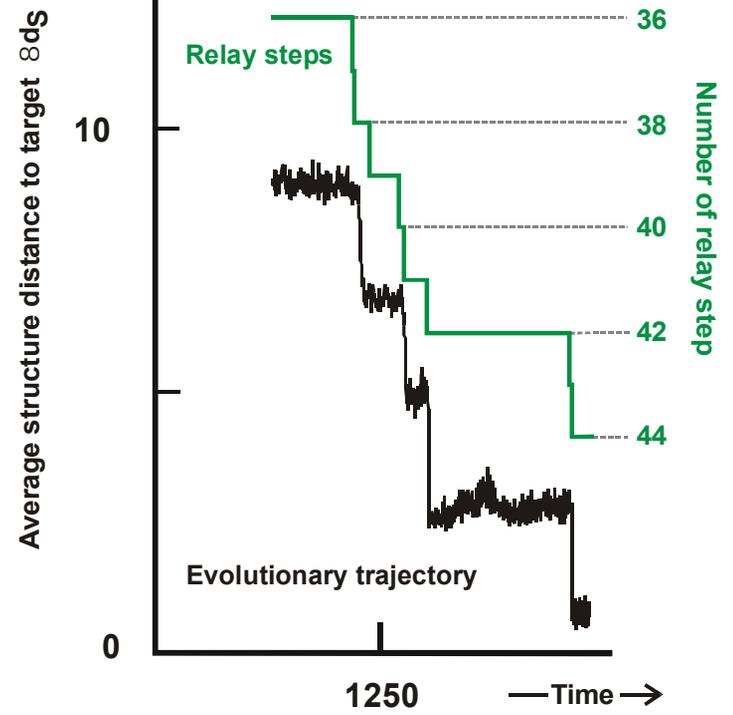
Reconstruction of the last step 43  $\dot{\sim}$  44



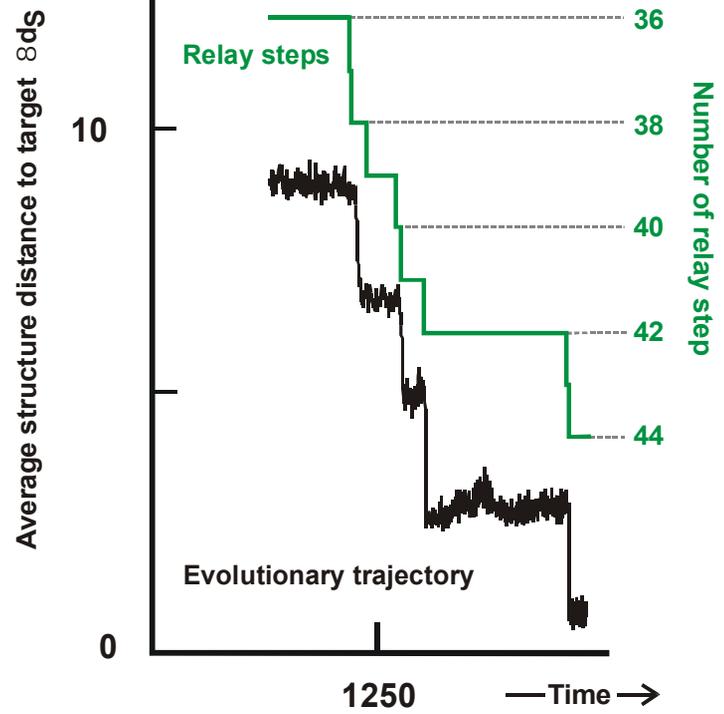
Reconstruction of last-but-one step 42  $\checkmark$  43 ( $\checkmark$  44)



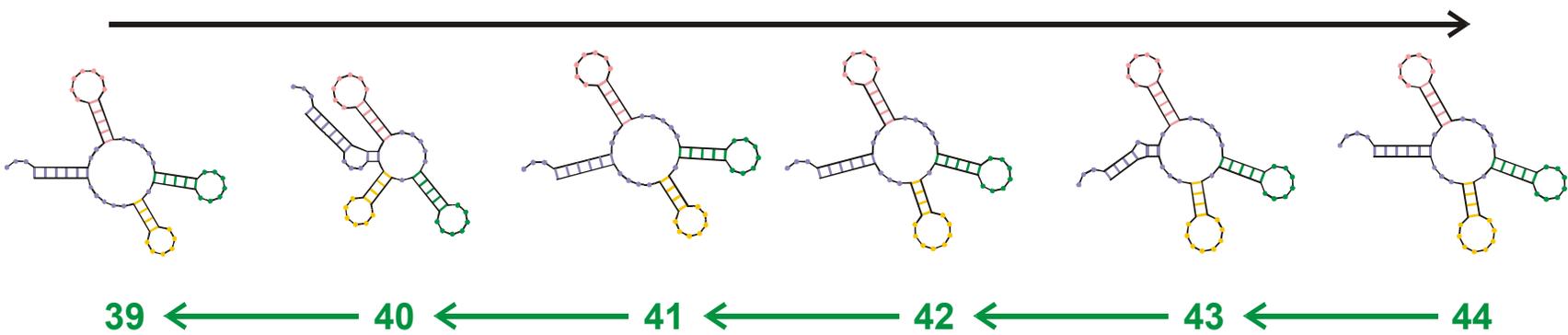
Reconstruction of step 41 š 42 (š 43 š 44)



Reconstruction of step 40 š 41 (š 42 š 43 š 44)



Evolutionary process



39 ← 40 ← 41 ← 42 ← 43 ← 44

Reconstruction

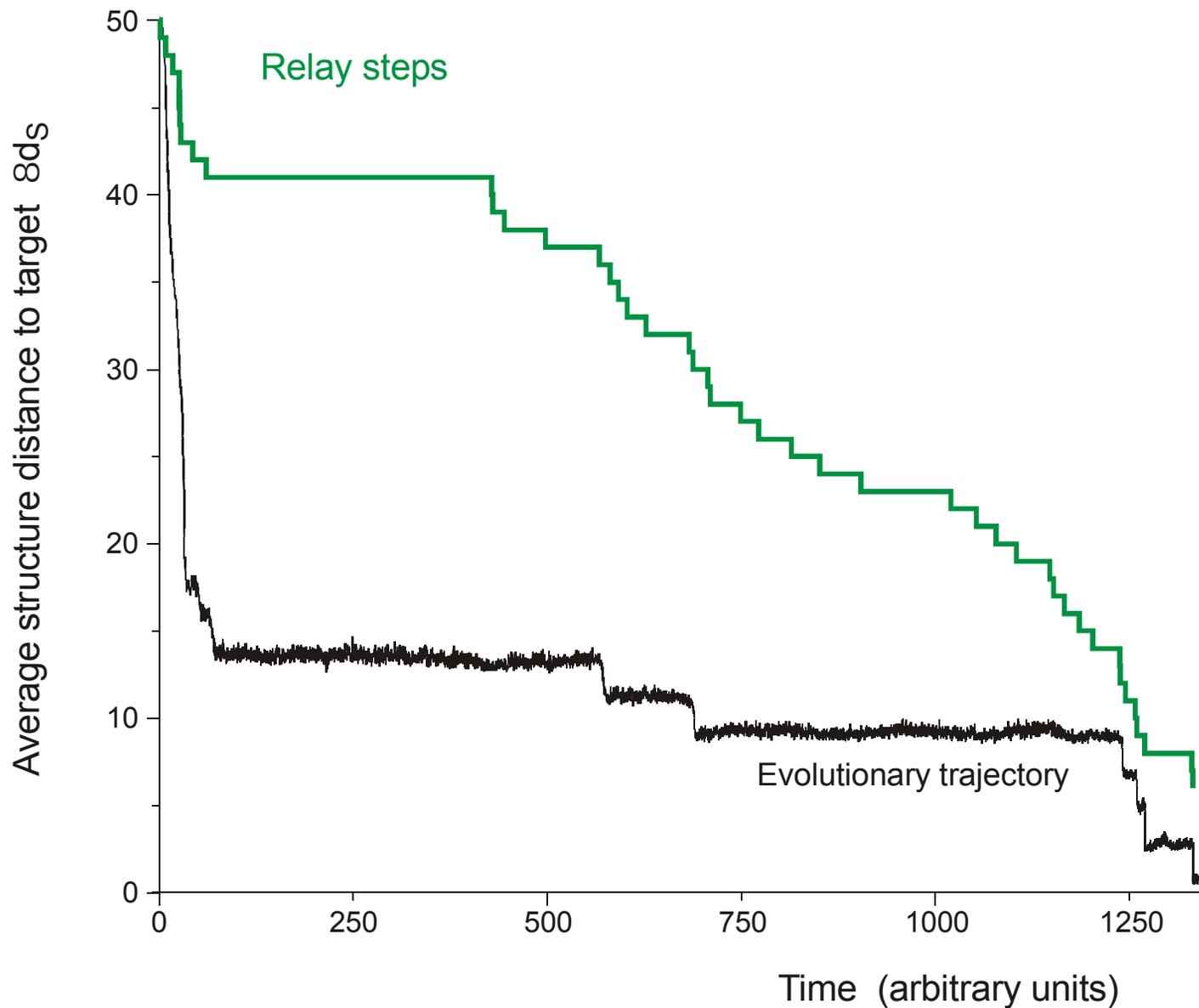
Reconstruction of the relay series

entry 39 GGGAUACAUGUGGCCCCUCAAGGCCCUAGCGAAACUGCUGCUGAAACCGUGUGAAUAAUCCGCACCCUGUCCCGA  
 ((((((.....(((.....))))).(((.....))))).(((.....))))).(((.....))))).(((.....))))).  
 exit GGGAUAUACGAGGCCCGUCAAGGCCGUAAGCGAACGACUGUUGAAACUGUGCGAAUAAUCCGCACCCUGUCCCGGG  
 entry 40 GGGAUAUACGGGGGCCCGUCAAGGCCGUAAGCGAACCGACUGUUGAAACUGUGCGAAUAAUCCGCACCCUGUCCCGGG  
 ((((((.....(((.....))))).(((.....))))).(((.....))))).(((.....))))).(((.....))))).  
 exit GGGAUAUACGGGGGCCCGUCAAGGCCGUAAGCGAACCGACUGUUGAGACUGUGCGAAUAAUCCGCACCCUGUCCCGGG  
 entry 41 GGGAUAUACGGGGGCCCGUCAAGGCCGUAAGCGAACCGACUGUUGAGACUGUGCGAAUAAUCCGCACCCUGUCCCGGG  
 ((((((.....(((.....))))).(((.....))))).(((.....))))).(((.....))))).(((.....))))).  
 exit GGGAUAUACGGGGGCCCUUCAAGGCCAUAAGCGAACCGACUGUUGAAACUGUGCGAAUAAUCCGCACCCUGUCCCGGA  
 entry 42 GGGAUAUACGGGGGCCCUUCAAGGCCAUAAGCGAACCGACUGUUGAAACUGUGCGAAUAAUCCGCACCCUGUCCCGGA  
 ((((((.....(((.....))))).(((.....))))).(((.....))))).(((.....))))).(((.....))))).  
 exit GGGAUGAUAGGGCGUGUGAUAGCCCAUAGCGAACCCCGCUGAGCUUGUGCGACGUUUGUGCACCUGUCCCGCU  
 entry 43 GGGAGAUAGGGCGUGUGAUAGCCCAUAGCGAACCCCGCUGAGCUUGUGCGACGUUUGUGCACCUGUCCCGCU  
 ((((((.....(((.....))))).(((.....))))).(((.....))))).(((.....))))).(((.....))))).  
 exit GGGAGAUAGGGCGUGUGAUAGCCCAUAGCGAACCCCGCUGAGCUUGUGCGACGUUUGUGCACCUGUCCCGCU  
 entry 44 GGGAGAUAGGGCGUGUGAUAGCCCAUAGCGAACCCCGCUGAGCUUGUGCGACGUUUGUGCACCUGUCCCGCU  
 ((((((.....(((.....))))).(((.....))))).(((.....))))).(((.....))))).(((.....))))).  
 exit

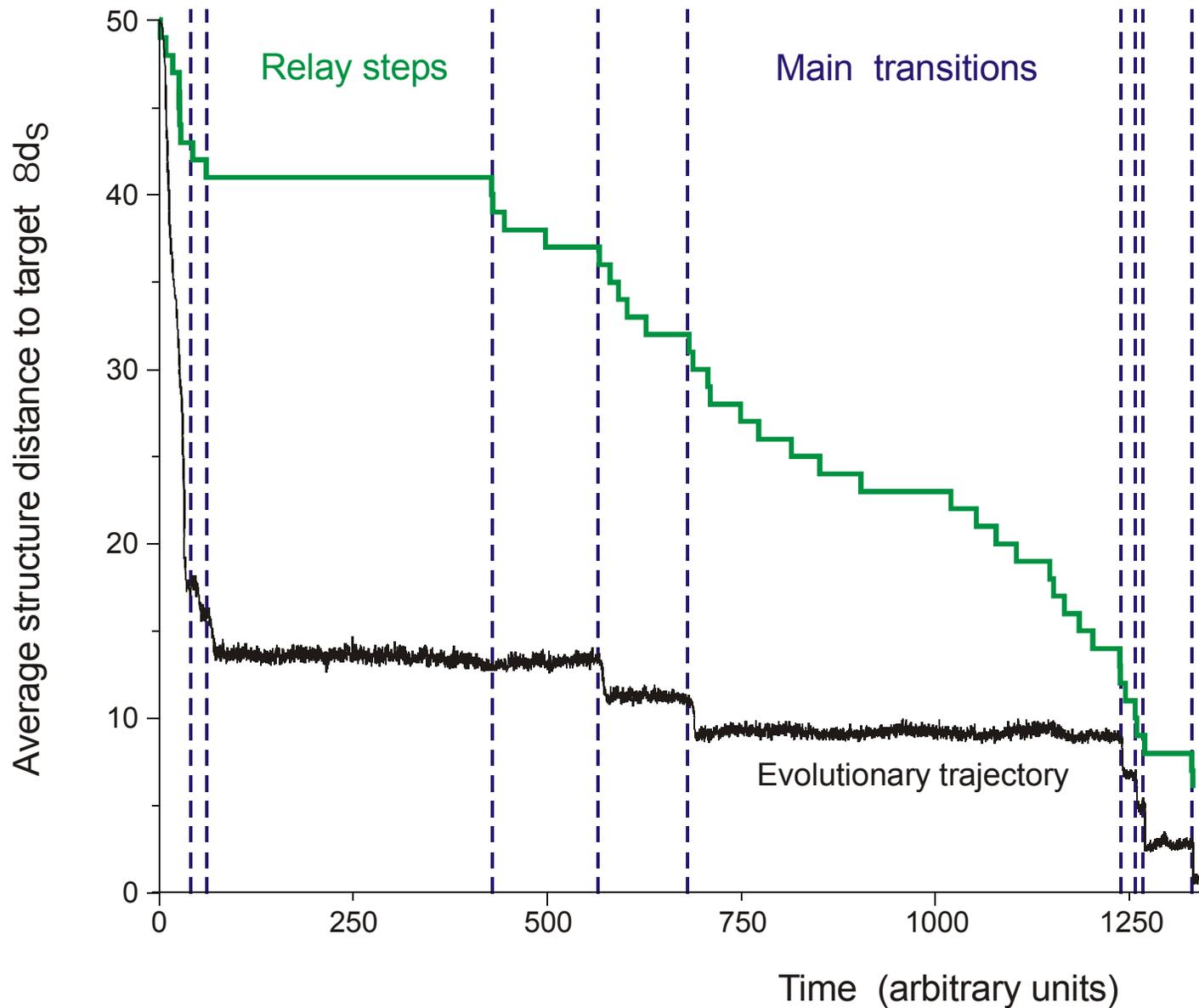
**Transition inducing point mutations**

**Neutral point mutations**

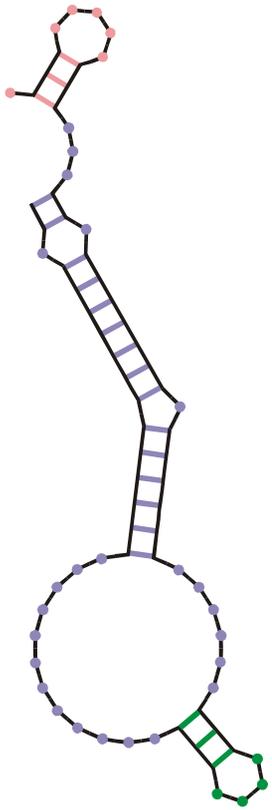
Change in RNA sequences during the final five relay steps 39 § 44



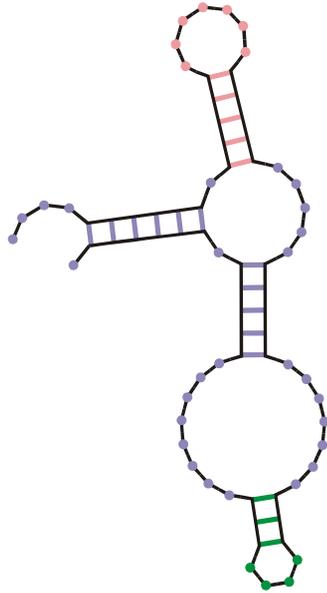
*In silico* optimization in the flow reactor: Trajectory and relay steps



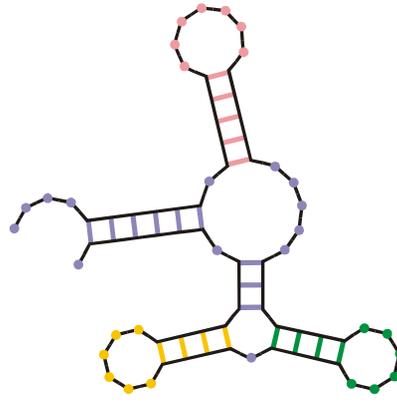
*In silico* optimization in the flow reactor: Main transitions



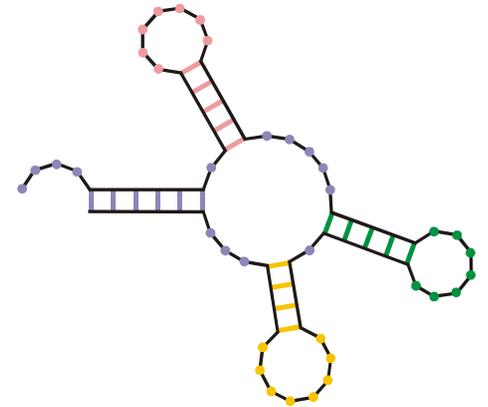
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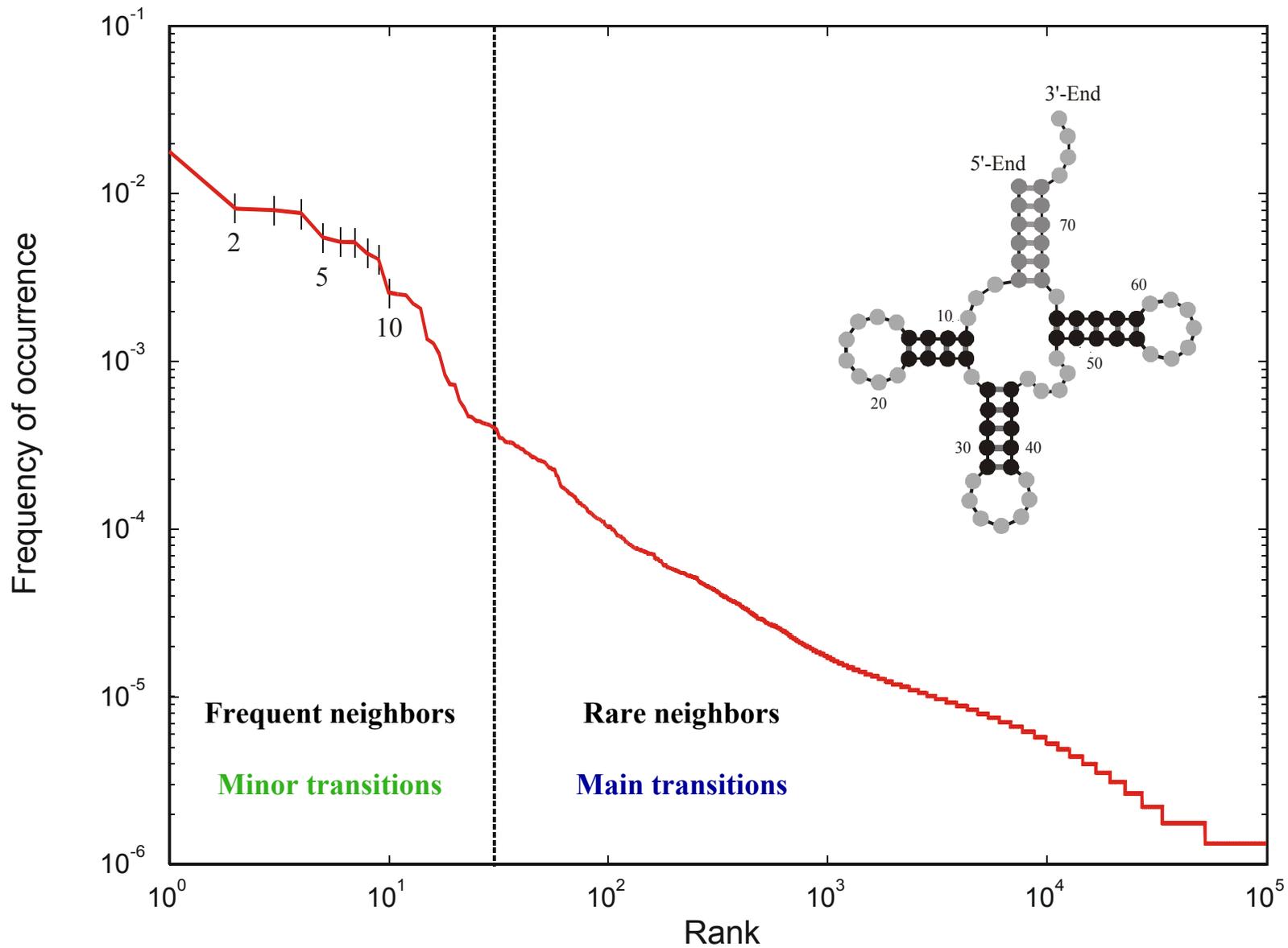


31



44

Three important steps in the formation of the tRNA clover leaf from a randomly chosen initial structure corresponding to three **main transitions**.



Probability of occurrence of different structures in the mutational neighborhood of tRNA<sup>Phe</sup>

## Definition of an **Y-neighborhood** of structure $S_k$

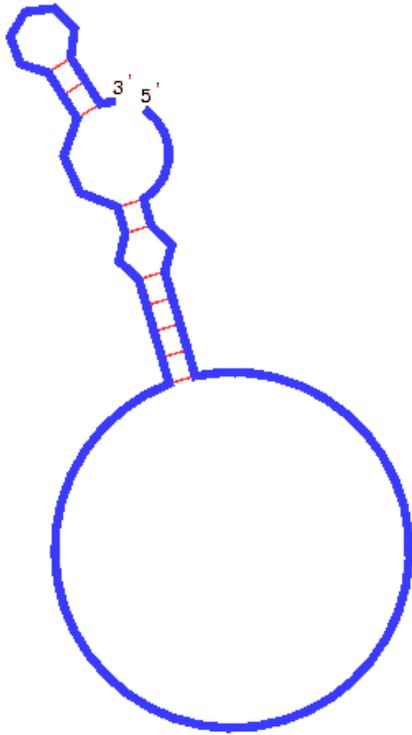
$Y(S_k)$  ... set of all structures occurring in the Hamming distance one neighborhood of the neutral network  $G_k$  of  $S_k$

$l_{jk}$  ... number of contacts between the two neutral networks  $G_j$  and  $G_k$

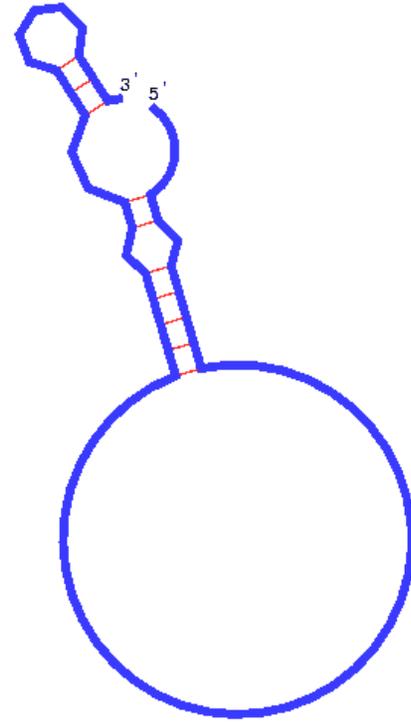
$$l_{jk} = l_{kj}$$

Probability of occurrence:  $\rho(S_j; S_k) = \frac{\gamma_{jk}}{n(\kappa-1)|G_k|}$ ;  $\rho(S_k; S_j) \neq \rho(S_j; S_k)$

$\varepsilon$  – neighborhood of  $S_k$  :  $\Psi_\varepsilon(S_k) = \{ S_j \in Y(S_k) \mid \rho(S_j; S_k) > \varepsilon \}$

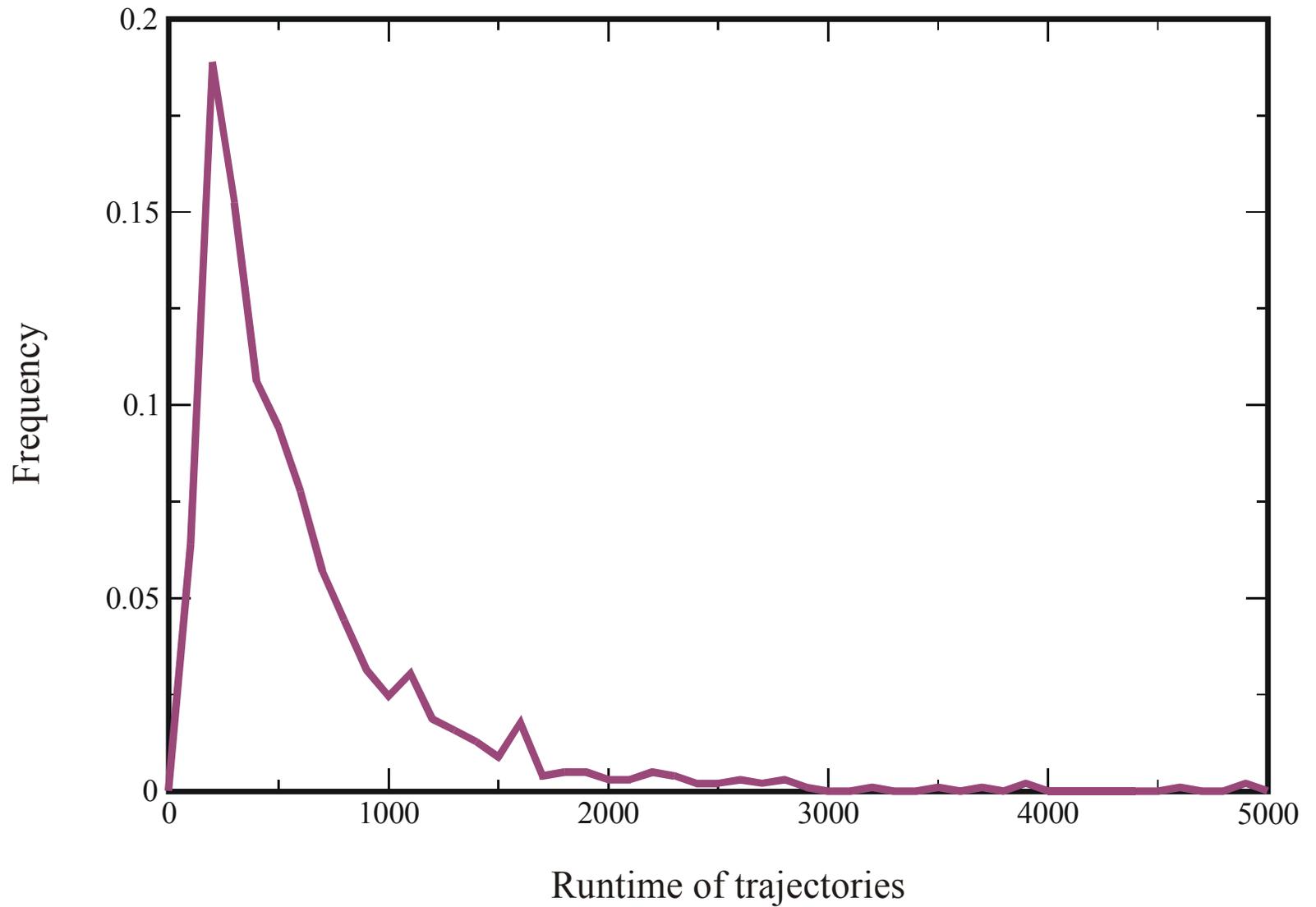


**AUGC**

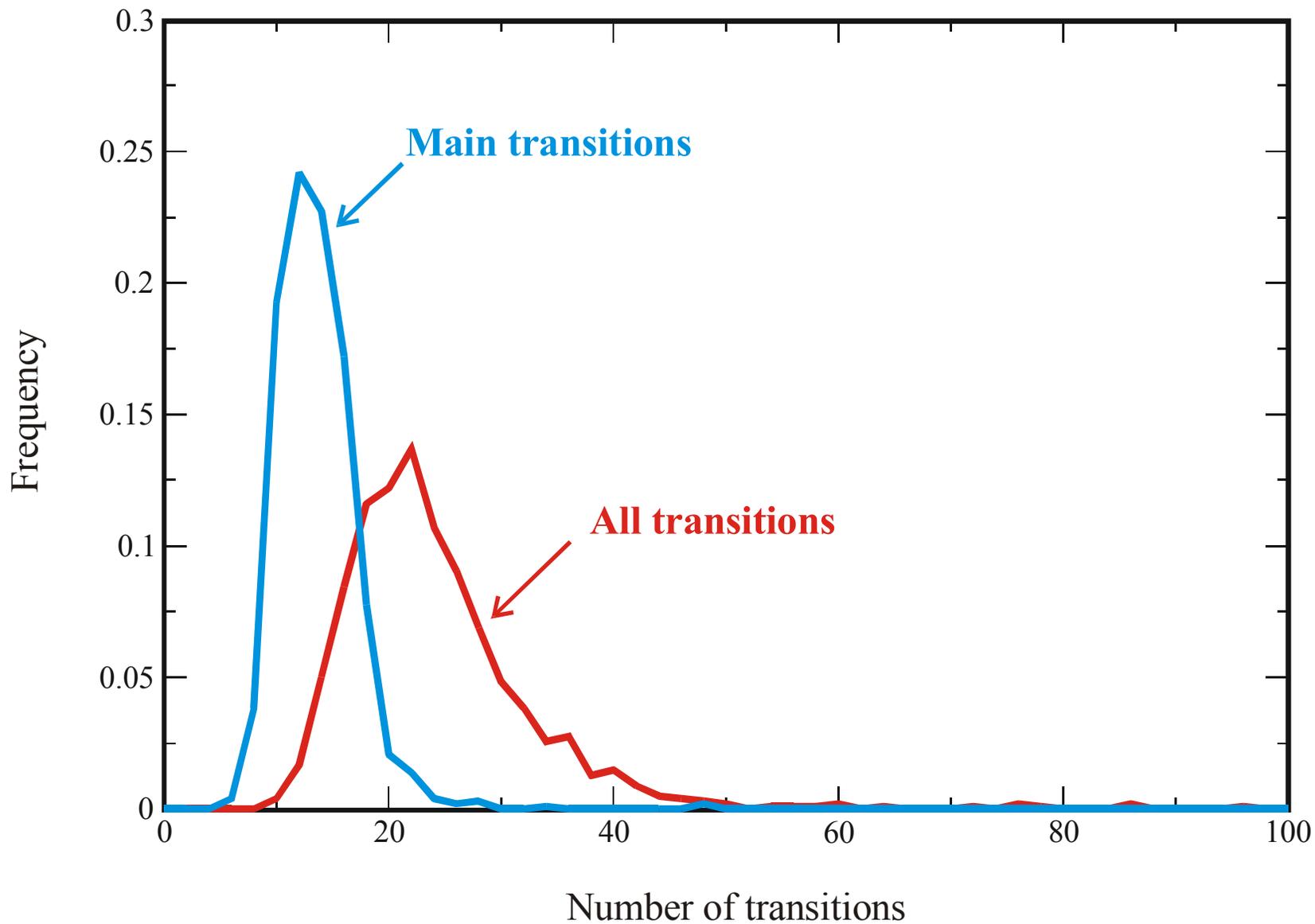


**GC**

Movies of optimization trajectories over the **AUGC** and the **GC** alphabet



Statistics of the lengths of trajectories from initial structure to target (**AUGC**-sequences)

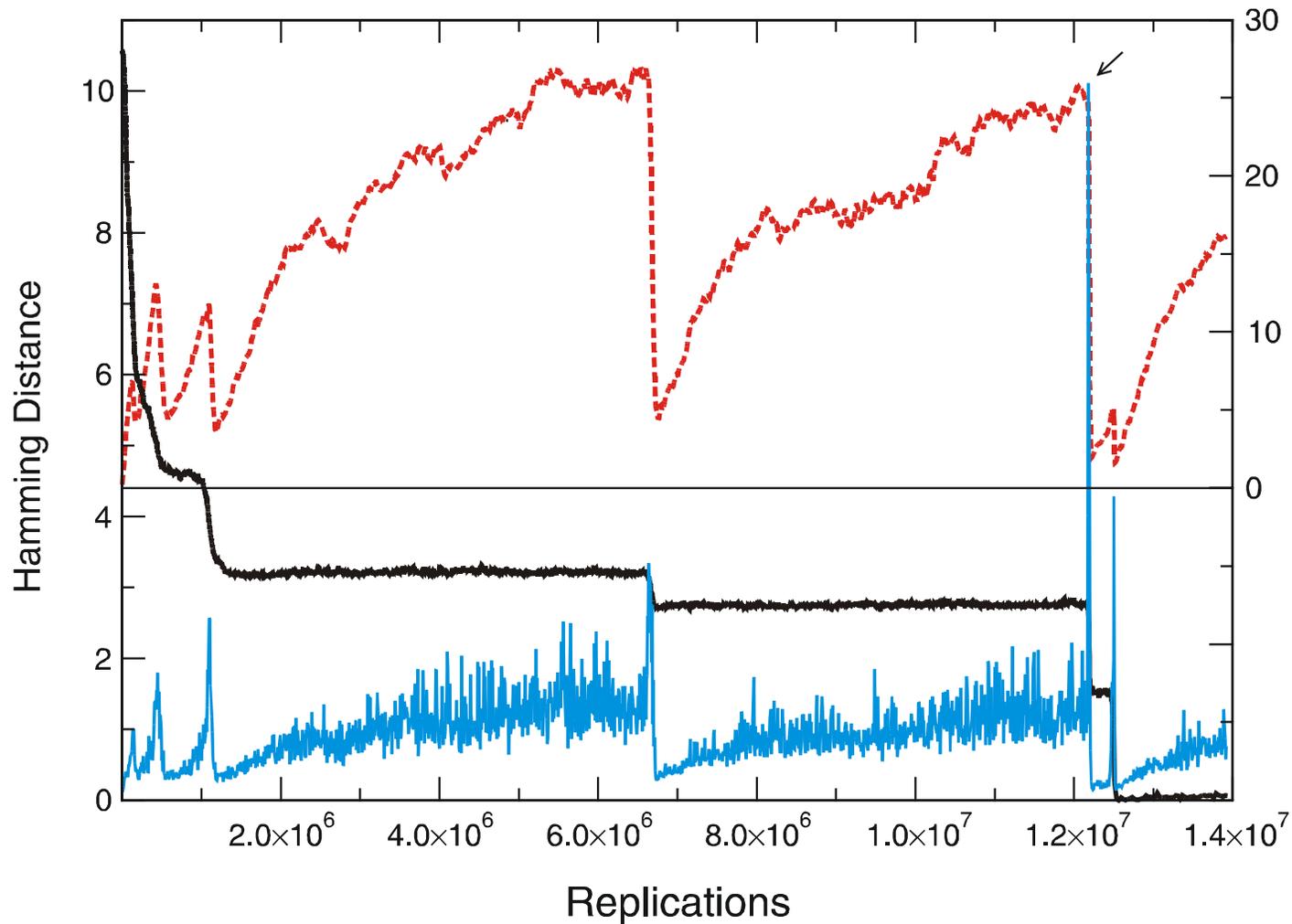


Statistics of the numbers of transitions from initial structure to target (**AUGC**-sequences)

Alphabet	Runtime	Transitions	Main transitions	No. of runs
<b>AUGC</b>	385.6	22.5	12.6	1017
<b>GUC</b>	448.9	30.5	16.5	611
<b>GC</b>	2188.3	40.0	20.6	107

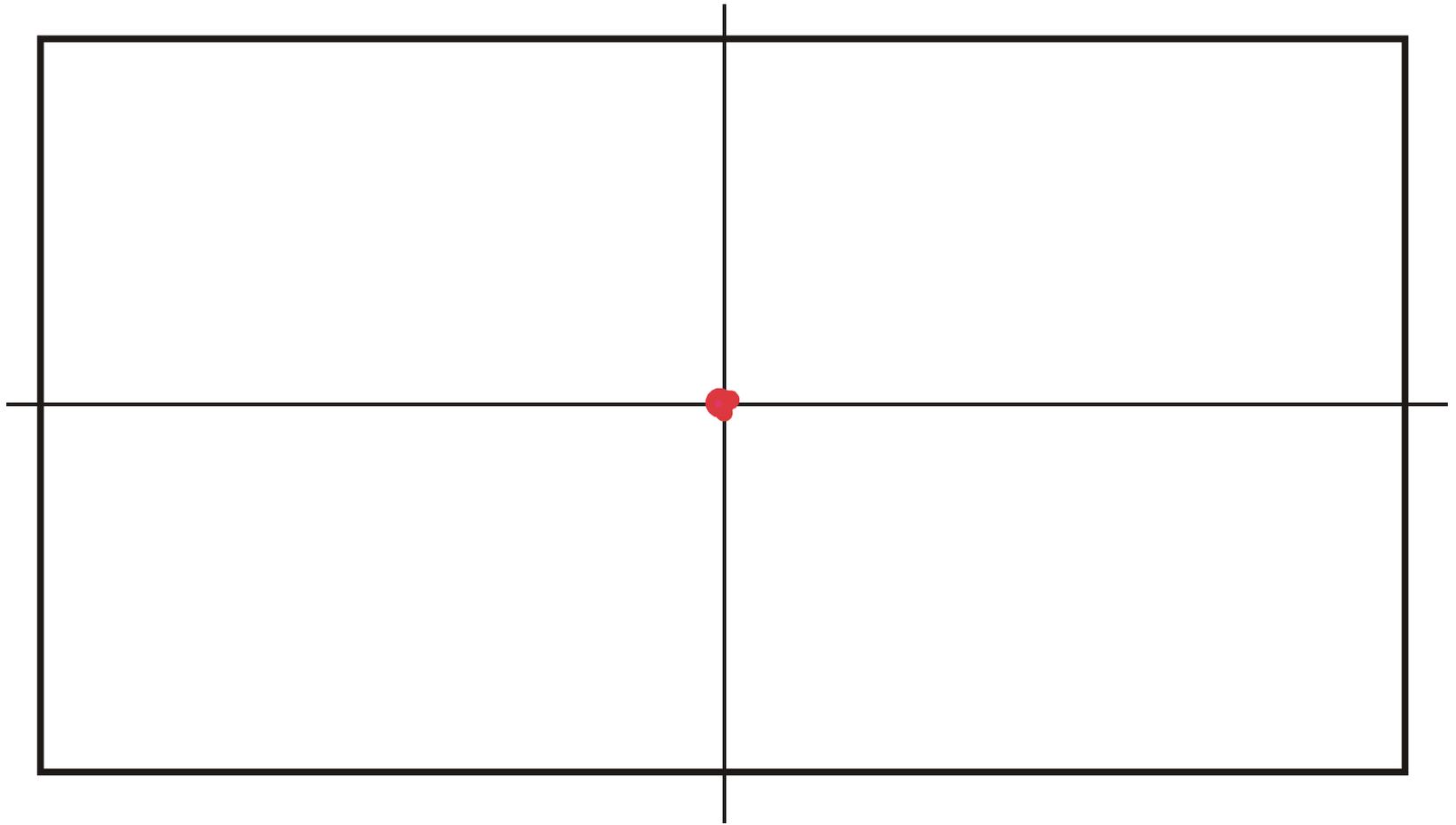
Statistics of trajectories and relay series (mean values of log-normal distributions)



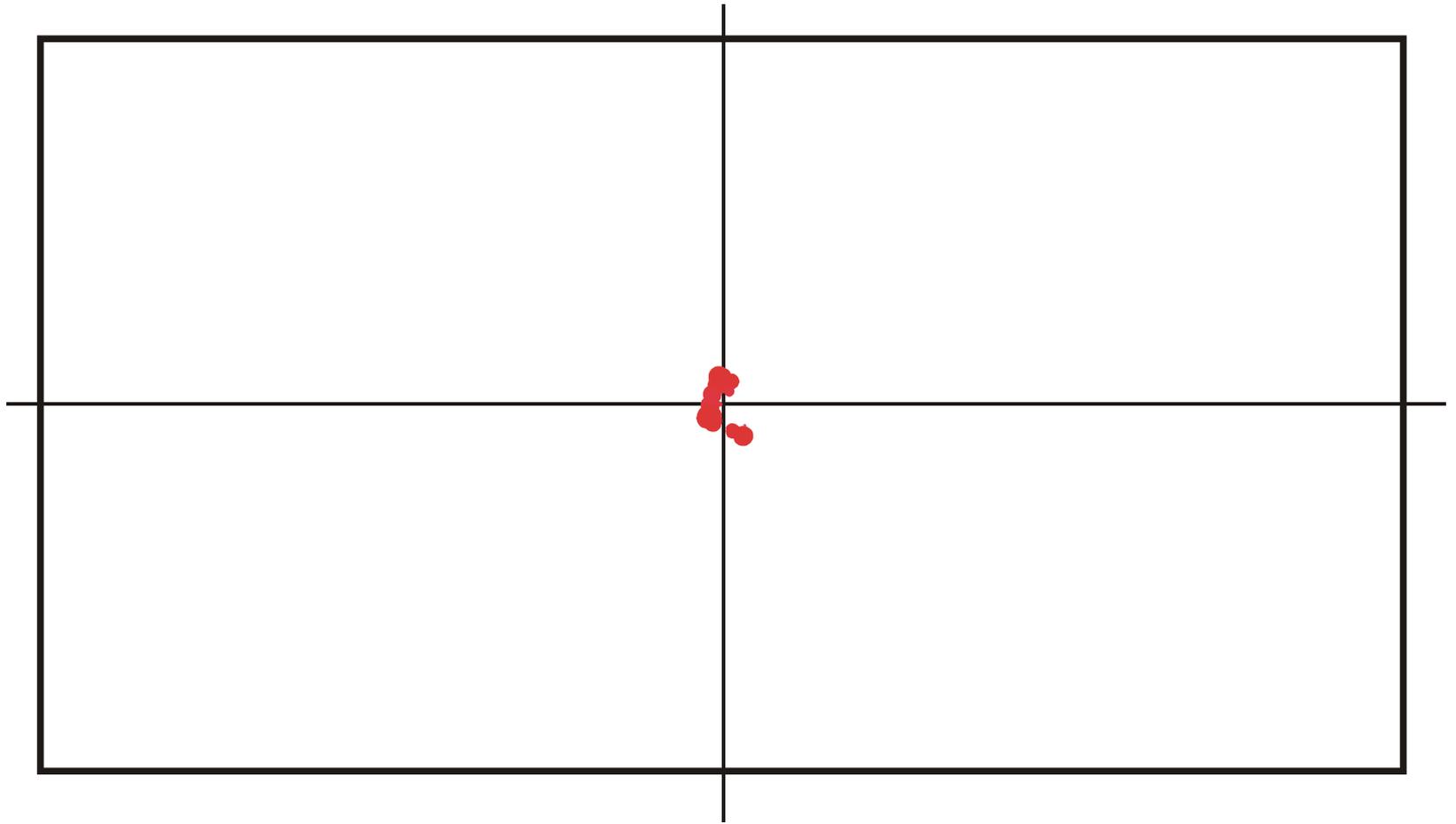


Variation in genotype space during optimization of phenotypes

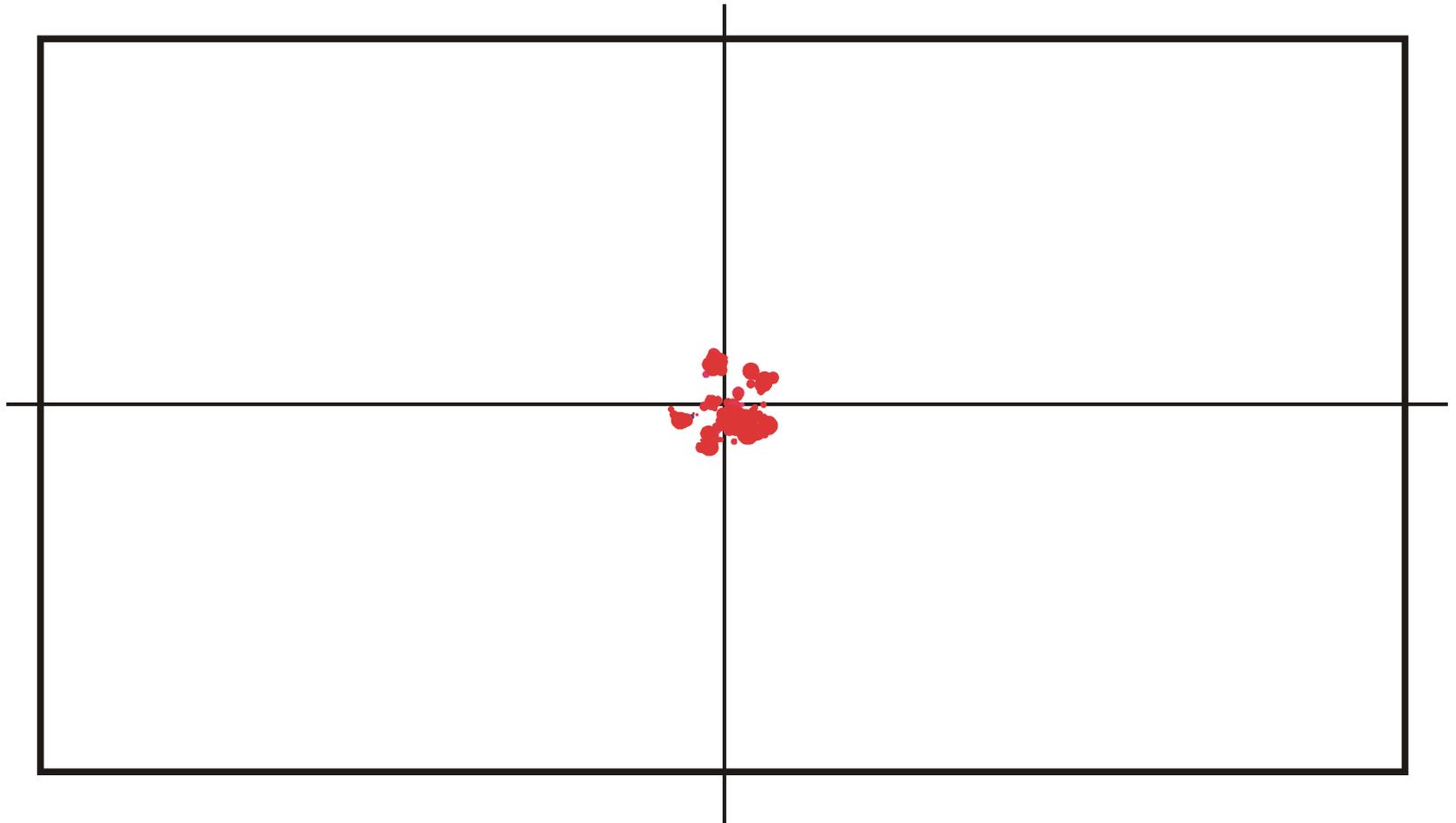
**Mean Hamming distance** within the population and **drift velocity of the population center** in sequence space.



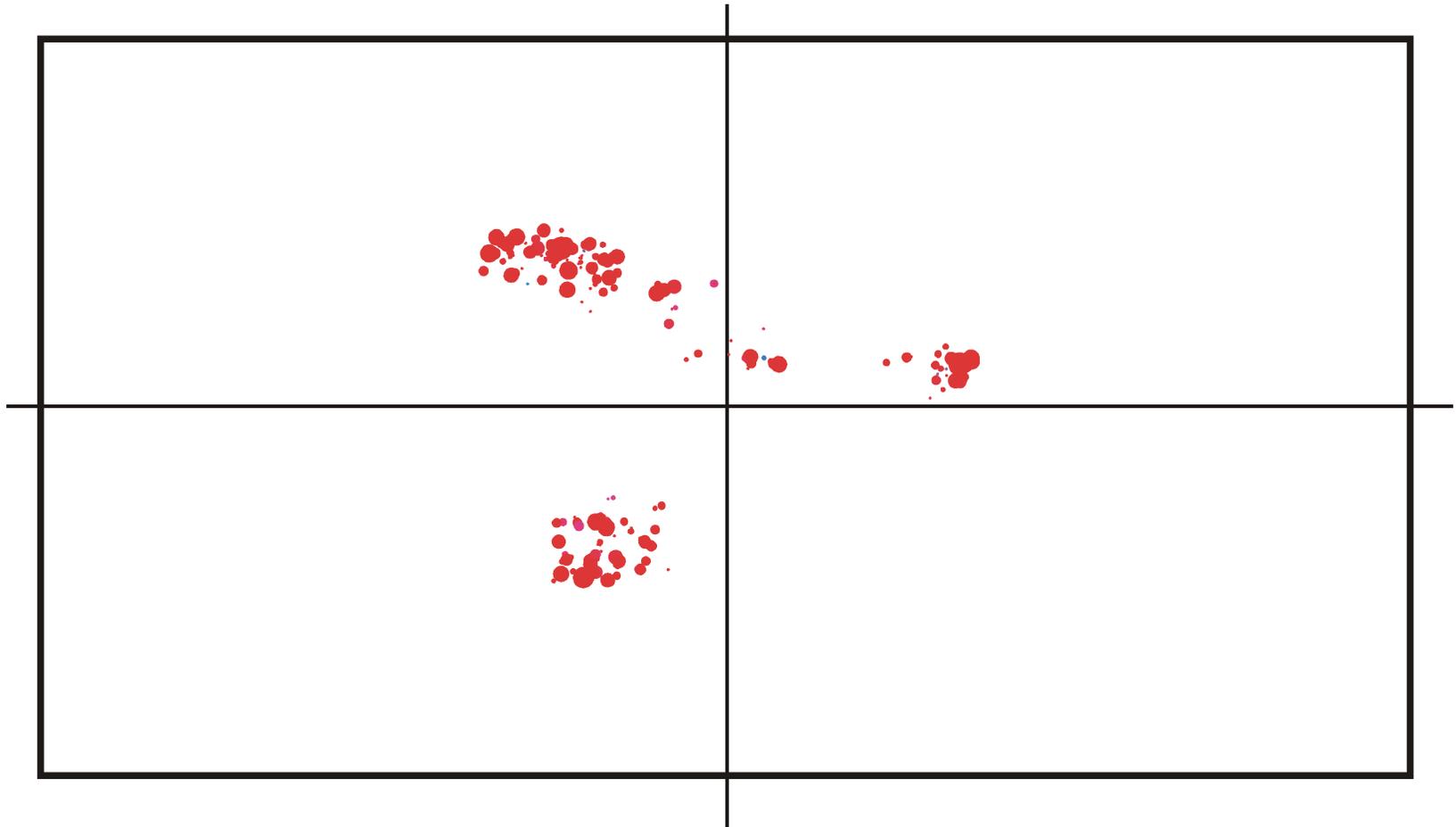
Spread of population in sequence space during a quasistationary epoch:  $t = 150$



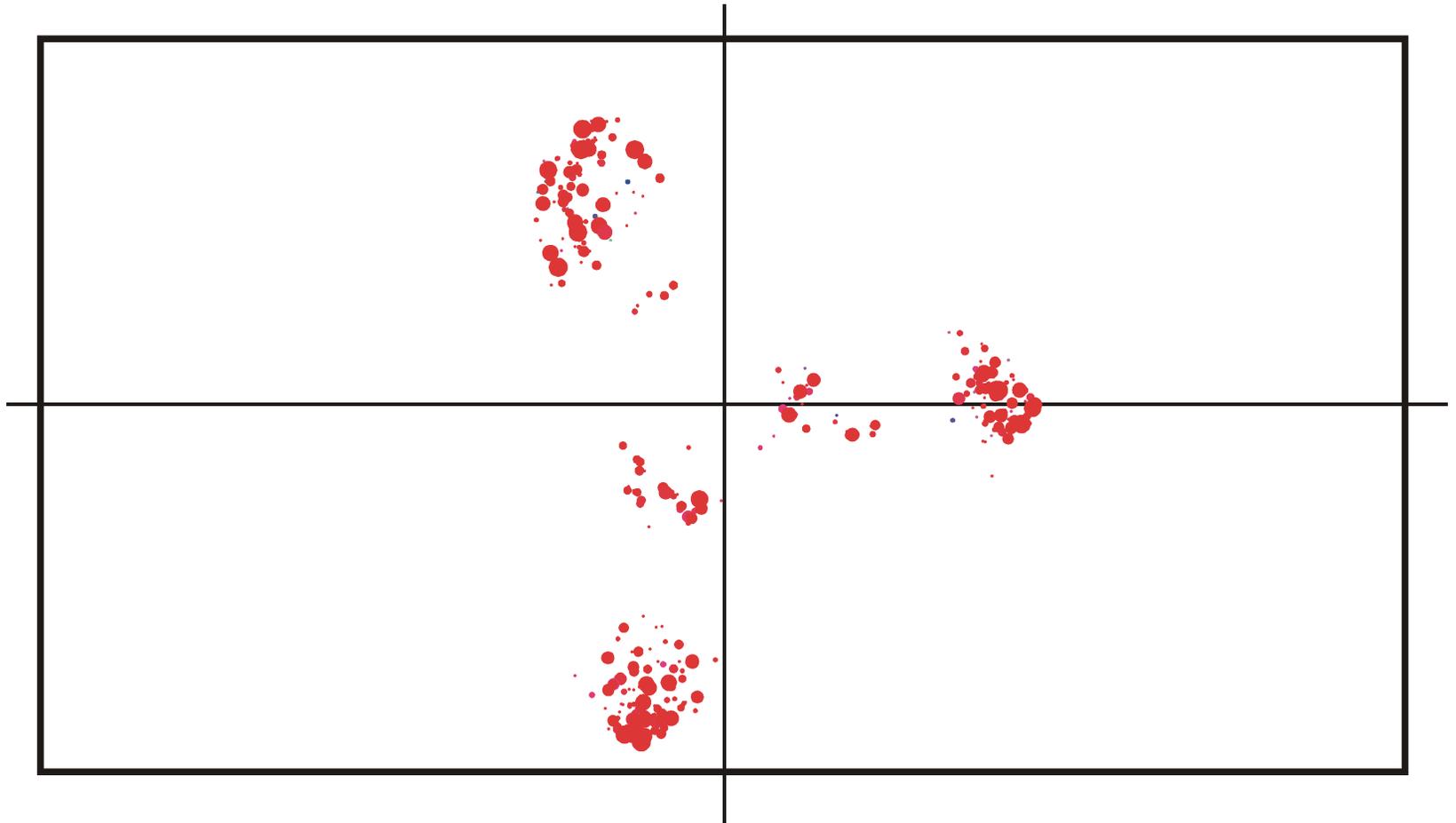
Spread of population in sequence space during a quasistationary epoch:  $t = 170$



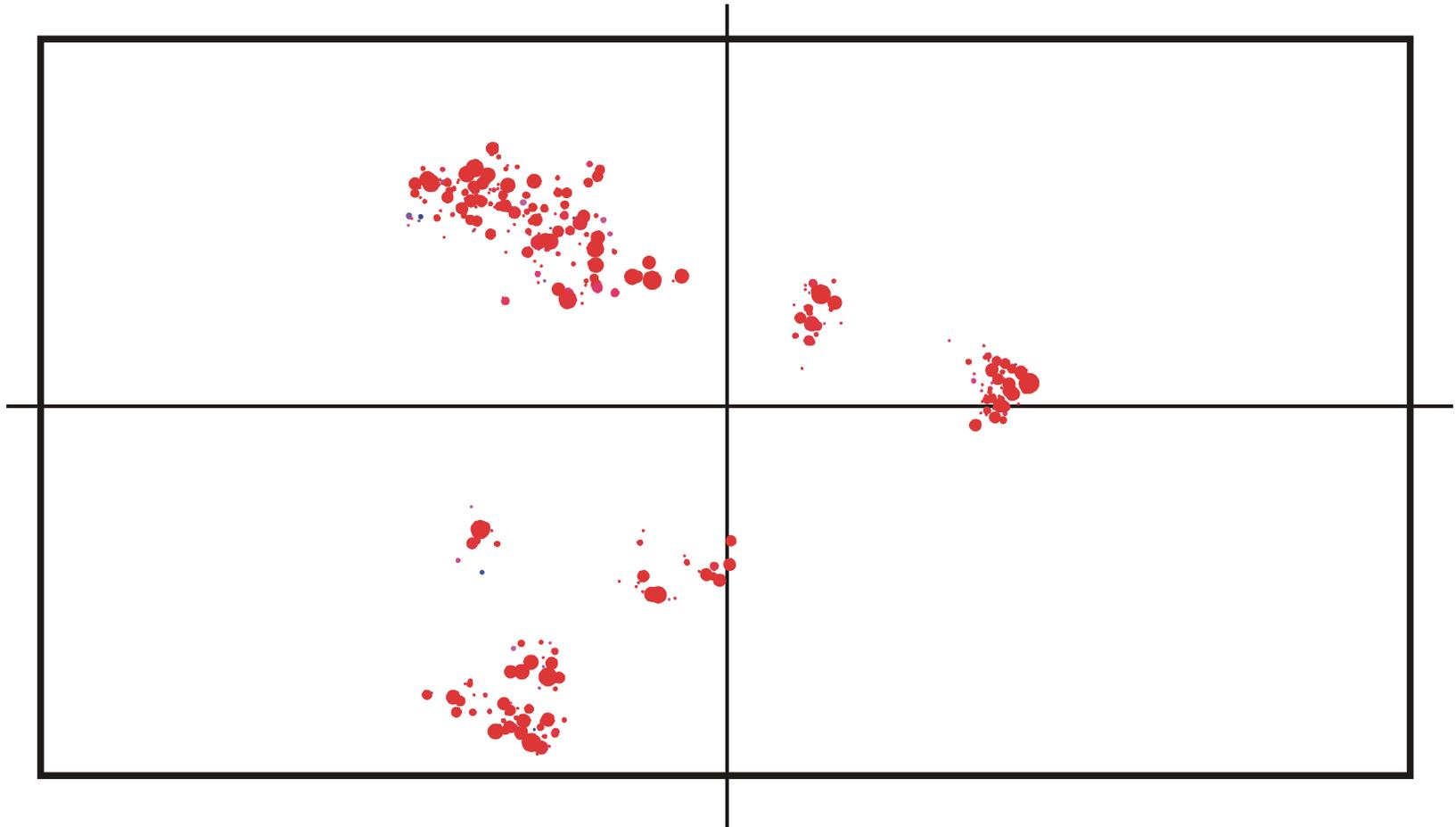
Spread of population in sequence space during a quasistationary epoch:  $t = 200$



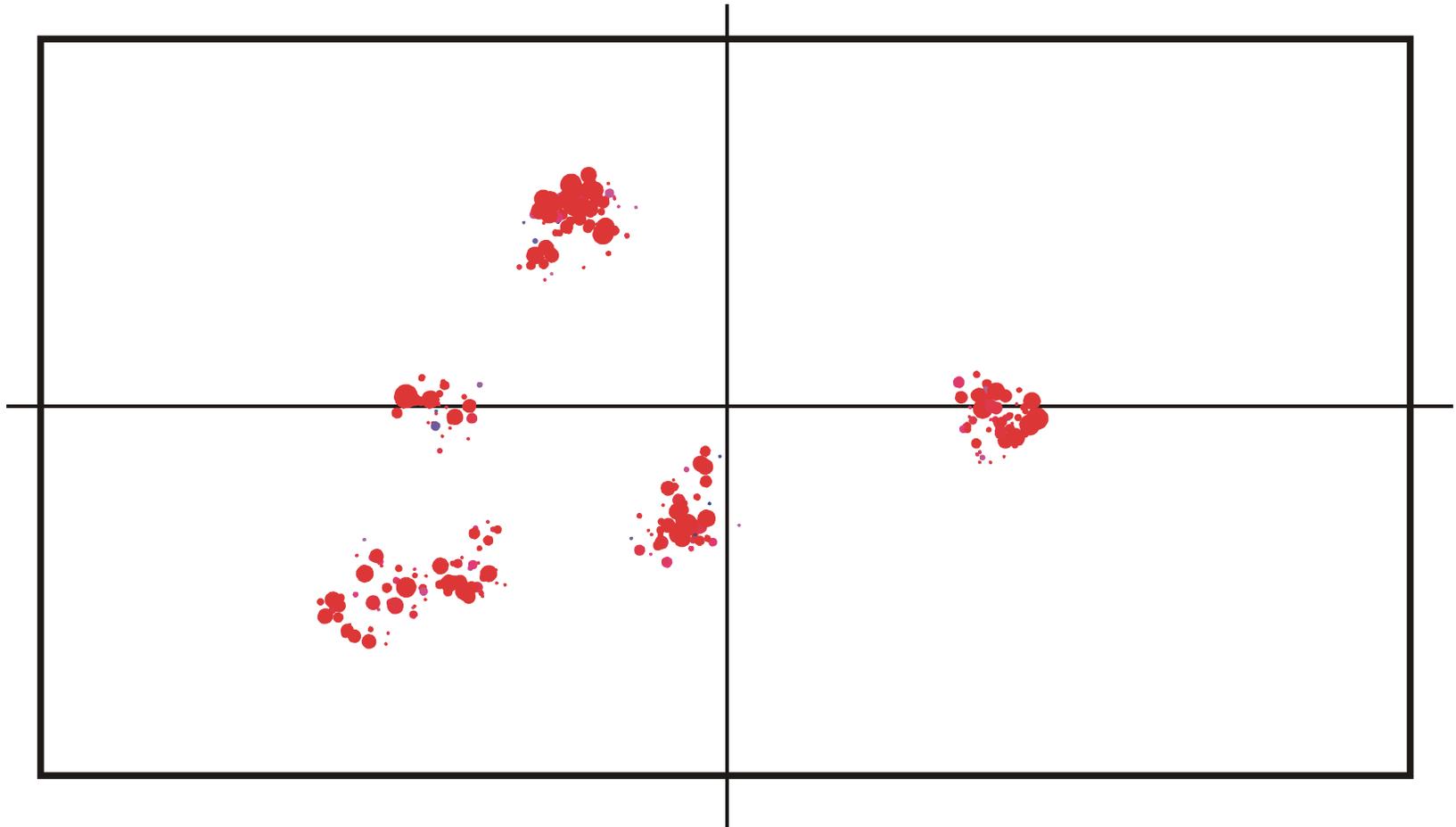
Spread of population in sequence space during a quasistationary epoch:  $t = 350$



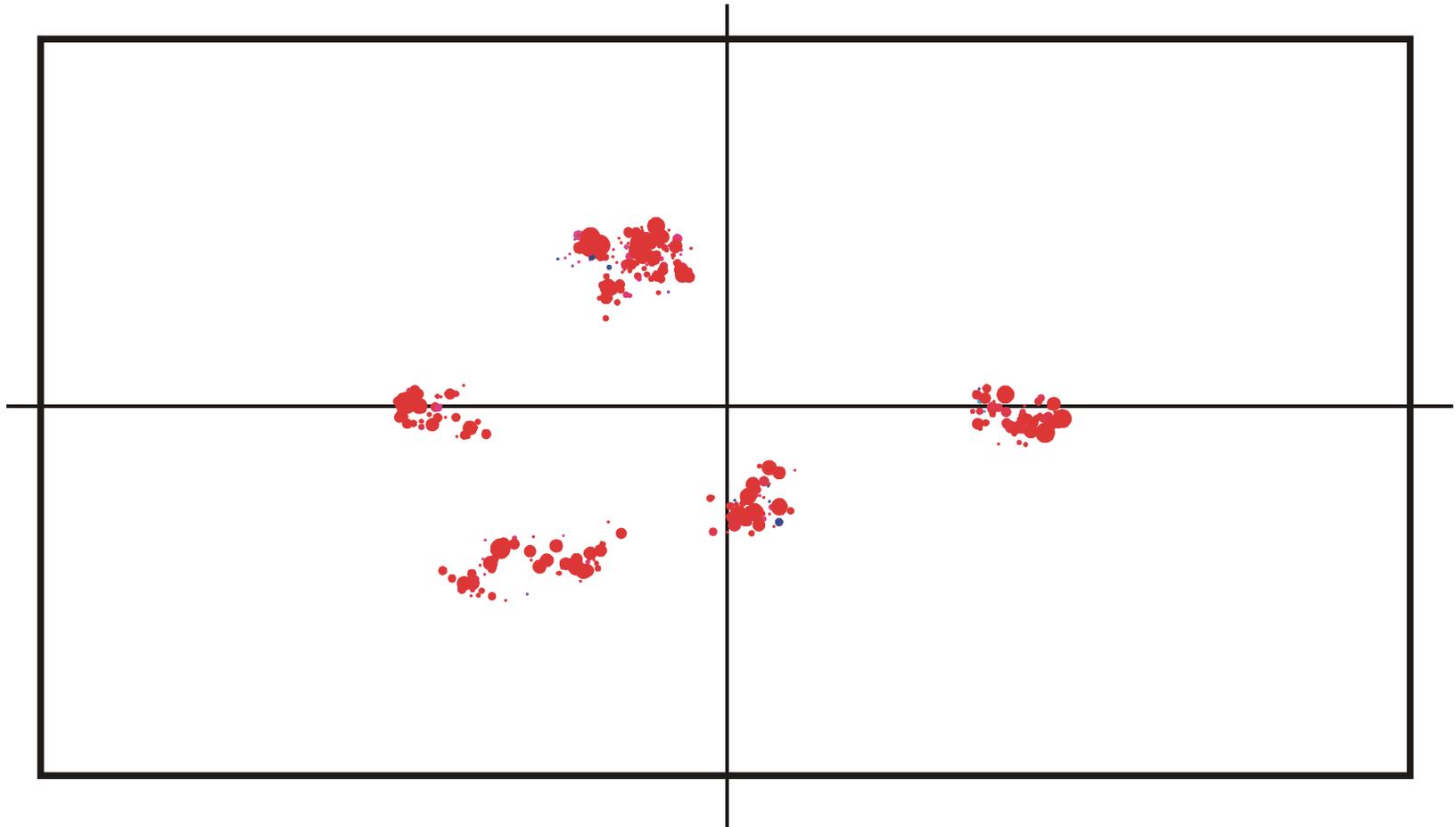
Spread of population in sequence space during a quasistationary epoch:  $t = 500$



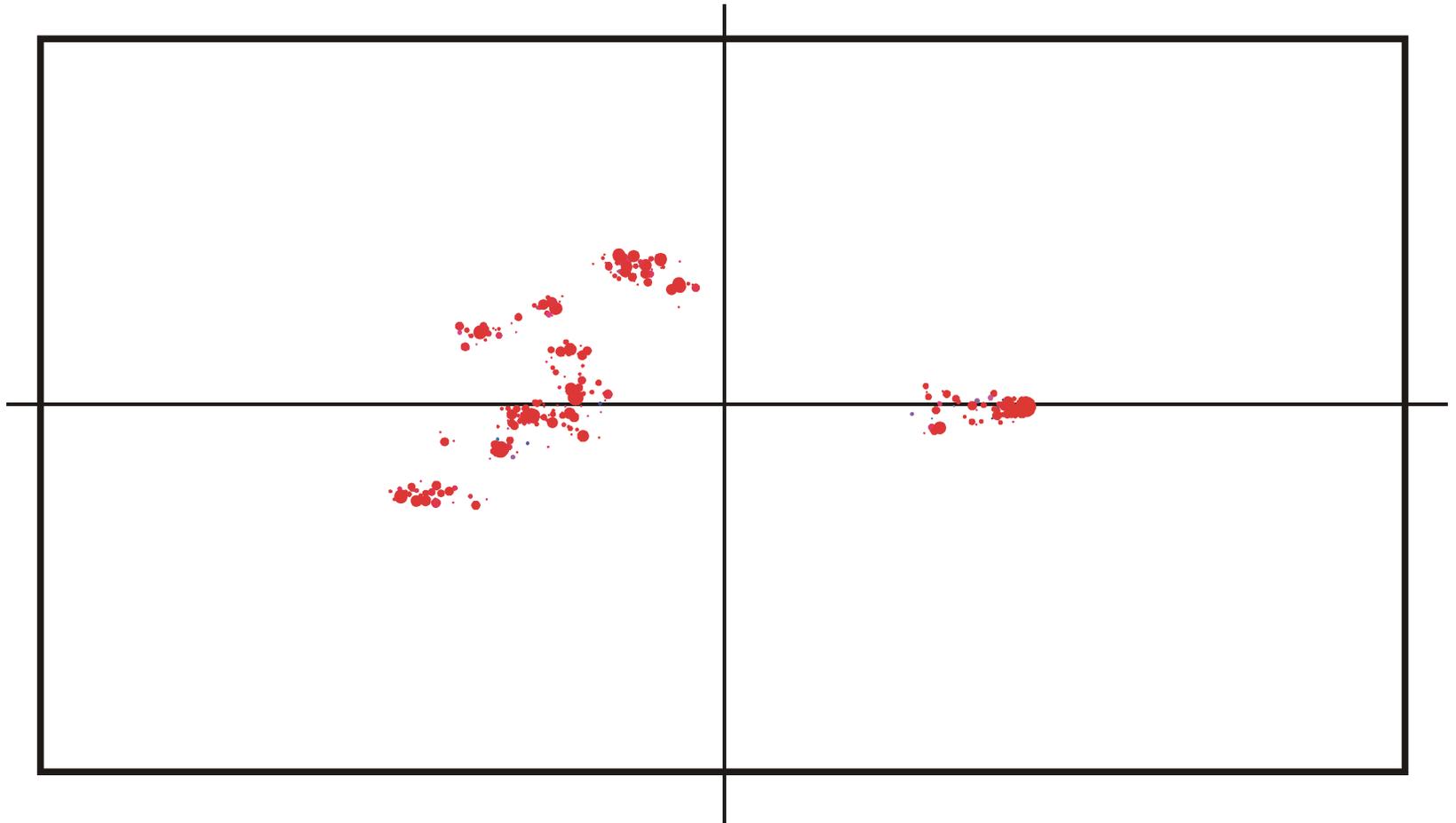
Spread of population in sequence space during a quasistationary epoch:  $t = 650$



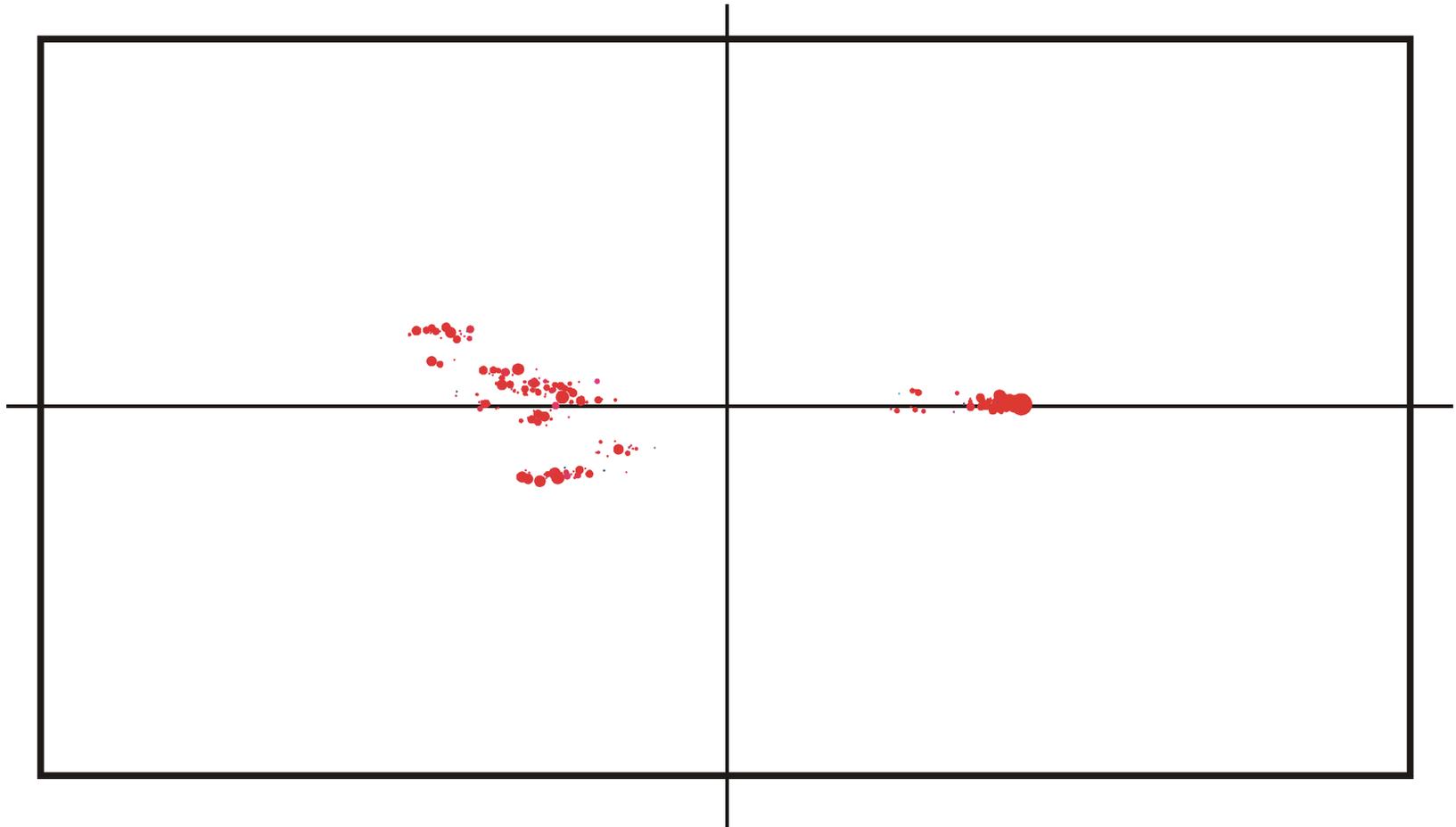
Spread of population in sequence space during a quasistationary epoch:  $t = 820$



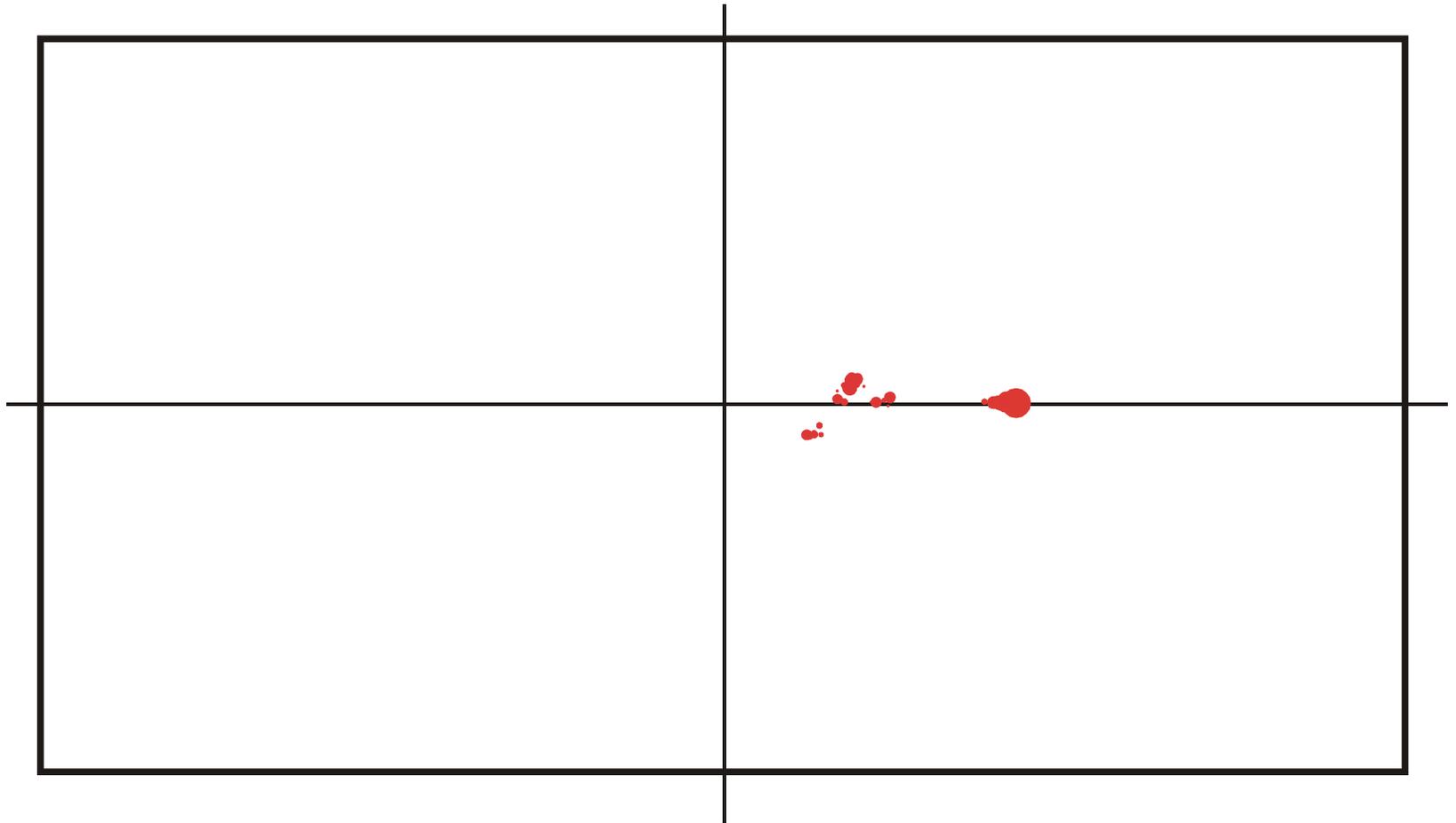
Spread of population in sequence space during a quasistationary epoch:  $t = 825$



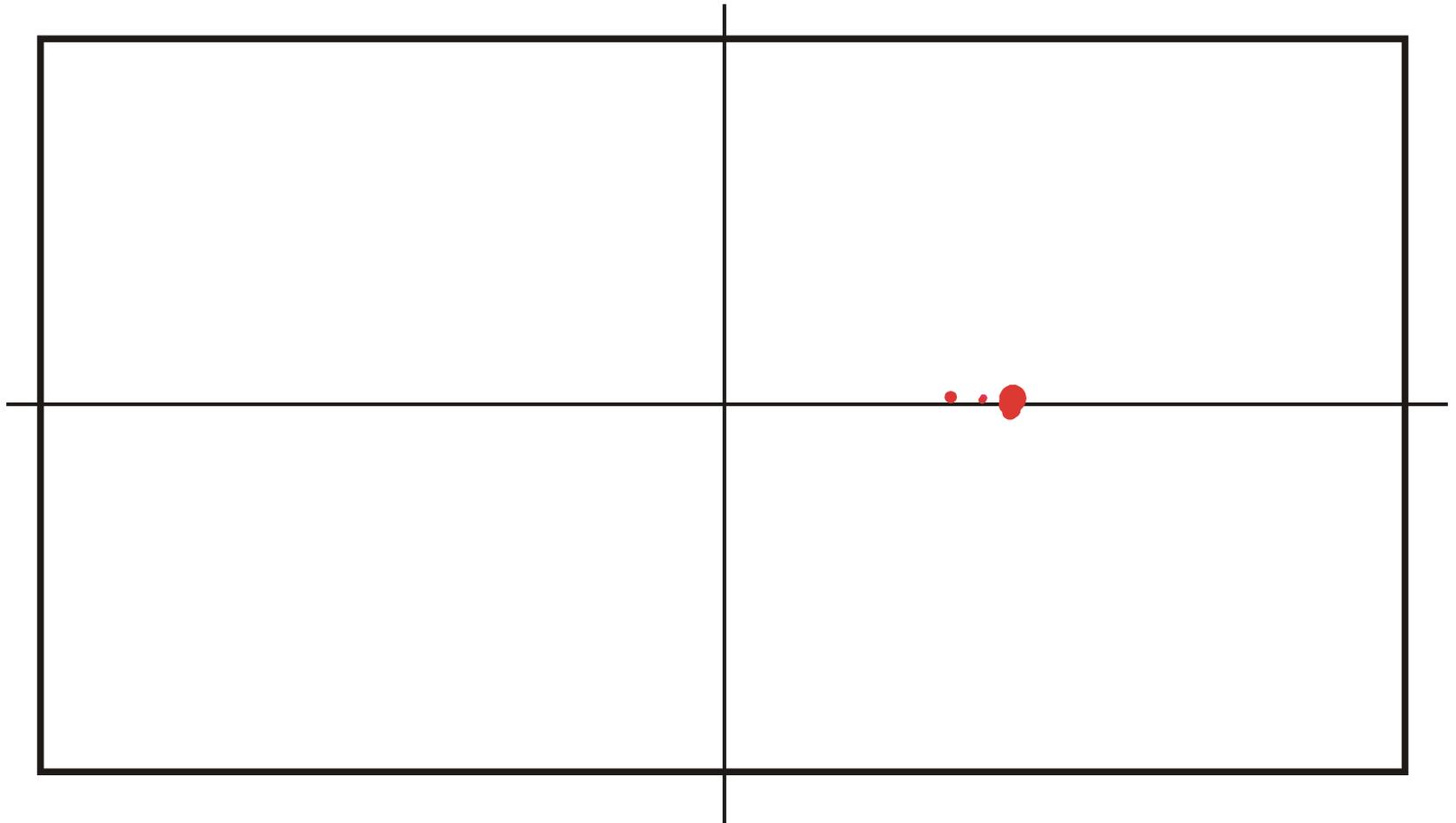
Spread of population in sequence space during a quasistationary epoch:  $t = 830$



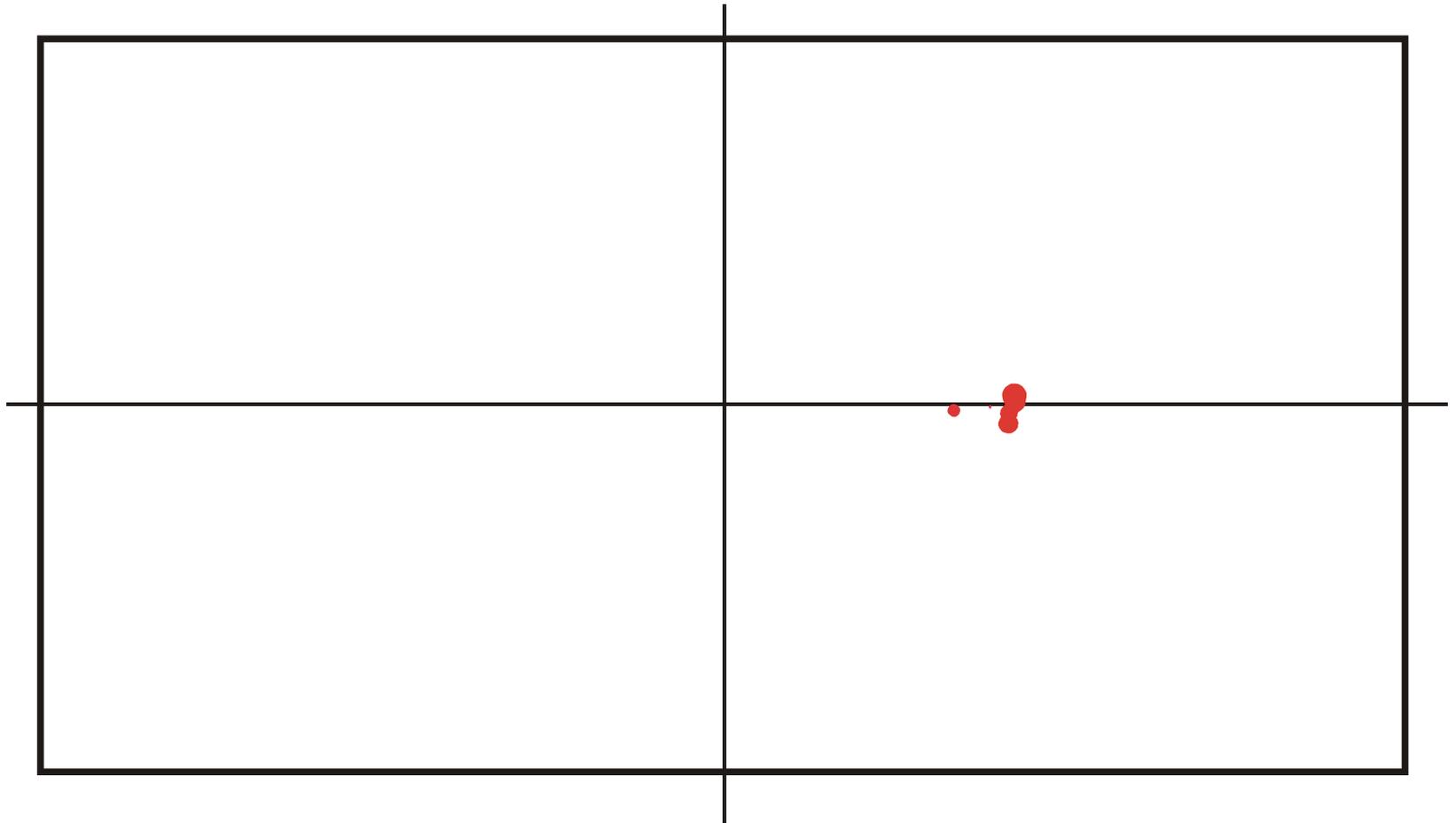
Spread of population in sequence space during a quasistationary epoch:  $t = 835$



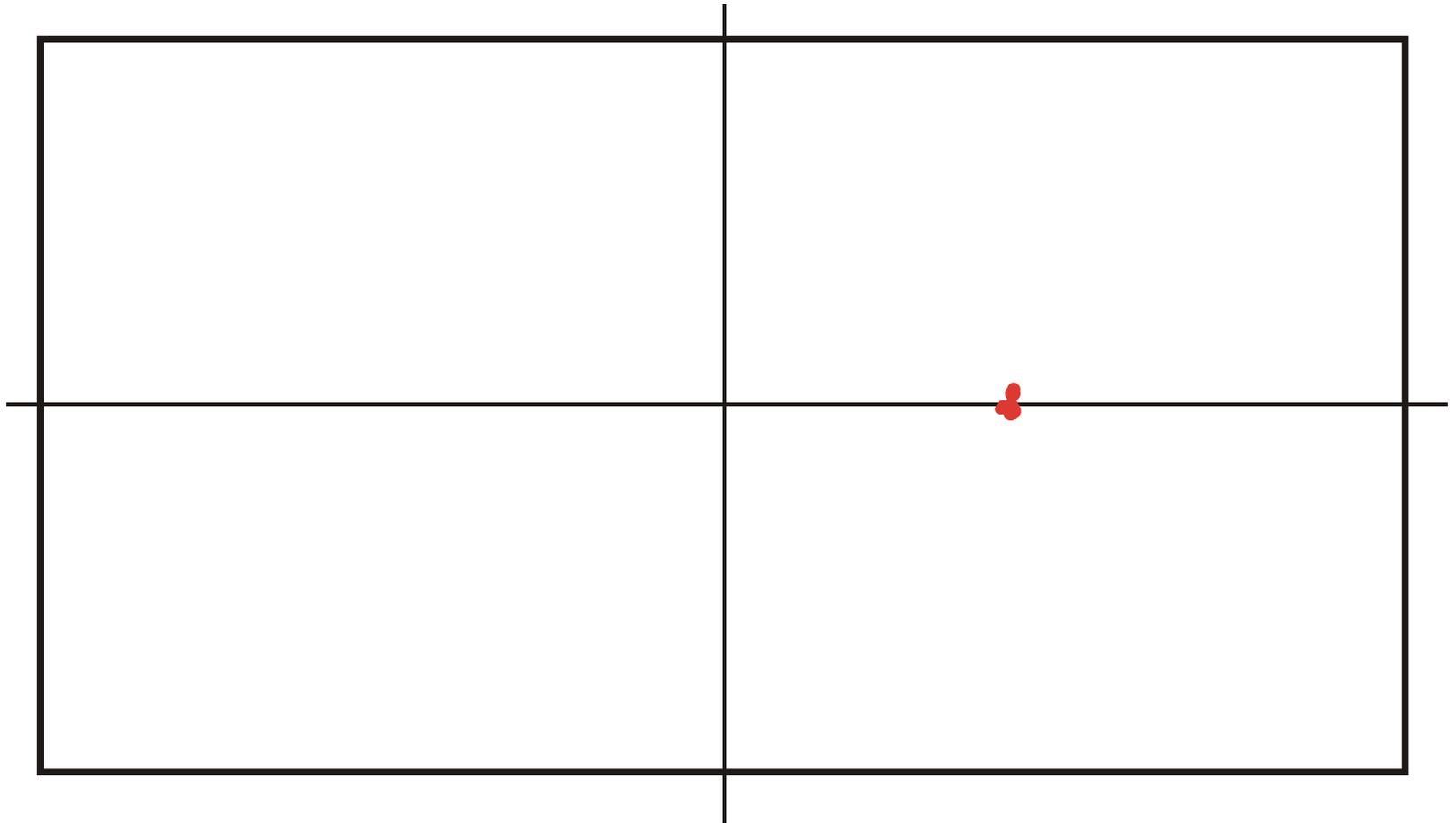
Spread of population in sequence space during a quasistationary epoch:  $t = 840$



Spread of population in sequence space during a quasistationary epoch:  $t = 845$



Spread of population in sequence space during a quasistationary epoch:  $t = 850$



Spread of population in sequence space during a quasistationary epoch:  $t = 855$



Massif Central

Examples of smooth landscapes on Earth



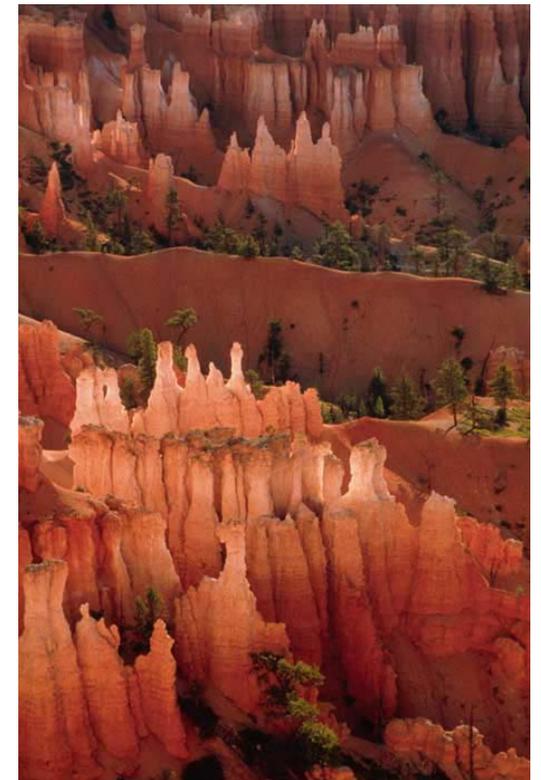
Mount Fuji



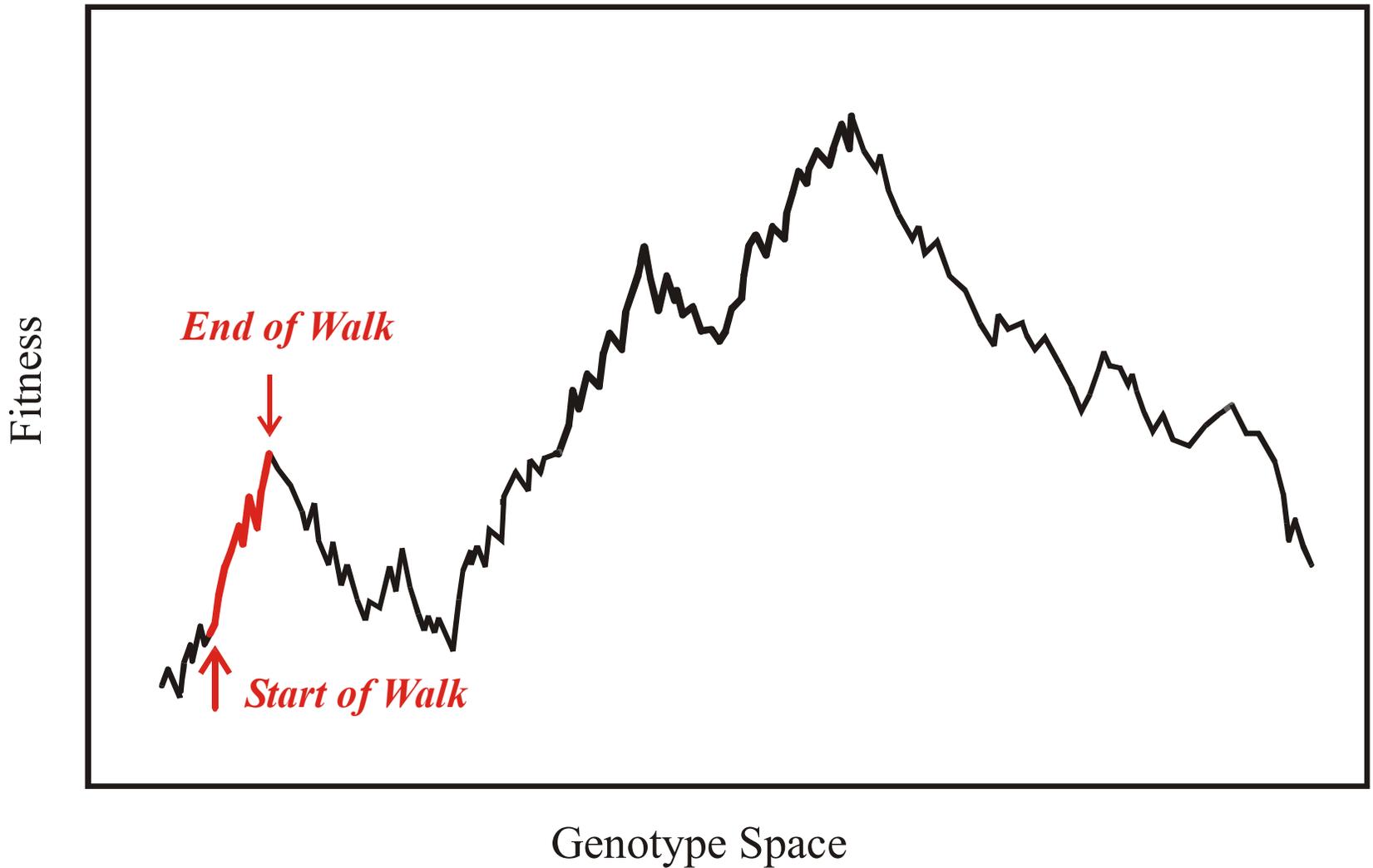


Dolomites

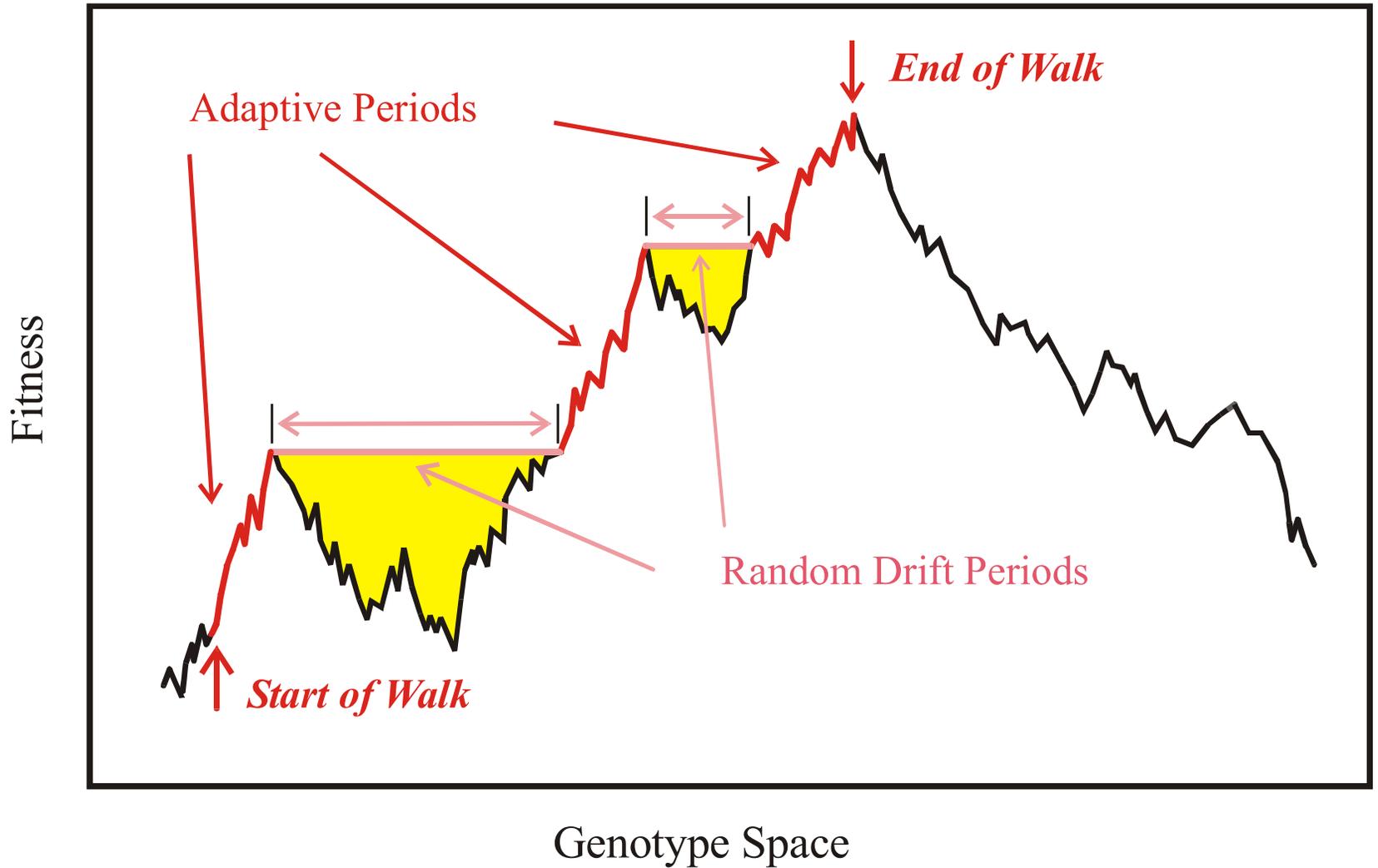
Examples of rugged landscapes on Earth



Bryce Canyon



Evolutionary optimization in absence of neutral paths in sequence space

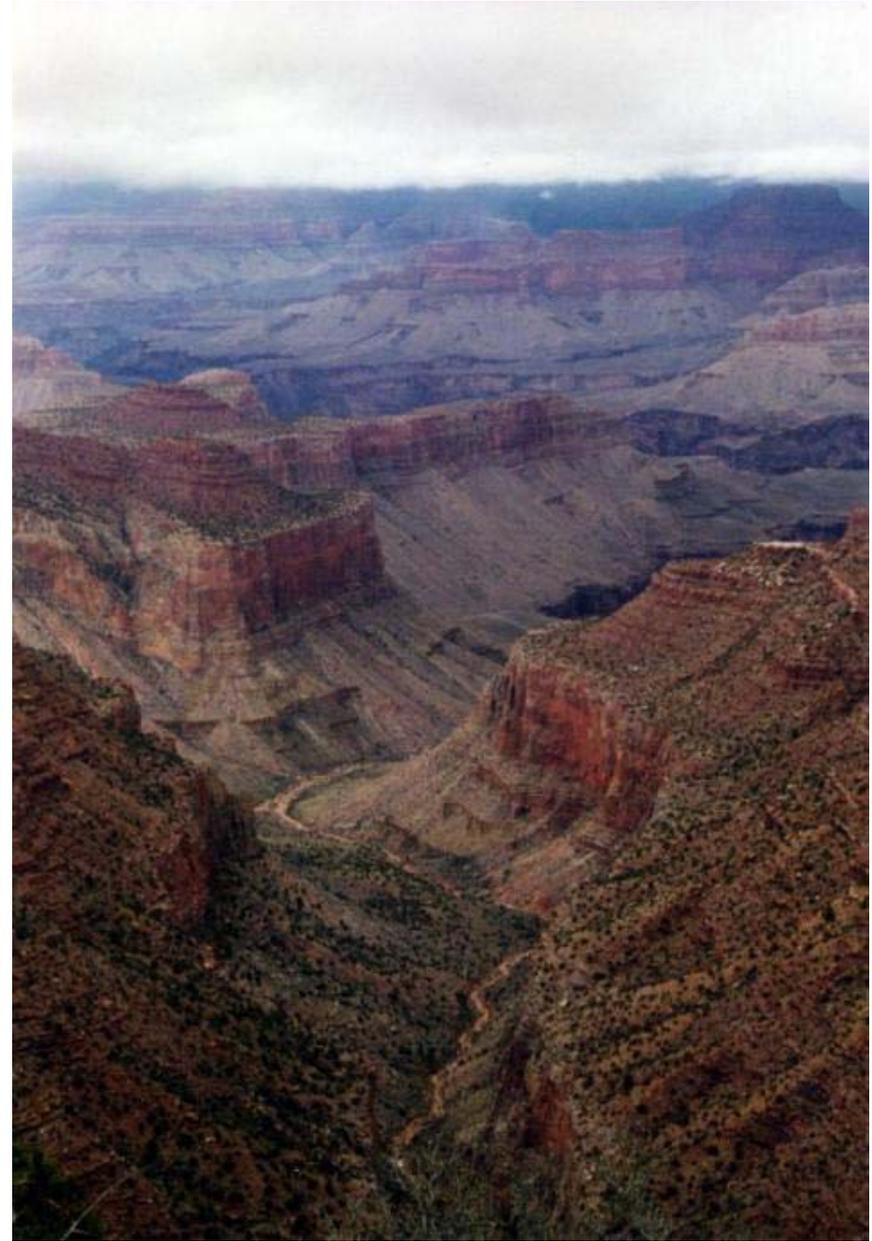


Evolutionary optimization including neutral paths in sequence space



Grand Canyon

Example of a landscape on Earth with 'neutral' ridges and plateaus





Neutral ridges and plateaus

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