

# Why Relations are Topologies

Bärbel M. R. Stadler

Max Planck Institute for Mathematics in the Sciences

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## Genotype Spaces

Given:

a set  $X$  of possible genotypes

a set  $A$  of realized genotypes

a fixed collection of genetic operators

[such as mutation, recombination, gene-rearrangement]

**define** the set  $A'$  of genotypes accessible from  $A$ .

### Properties

- (i) No spontaneous creation, i.e,  $\emptyset' = \emptyset$ .
- (ii) A more diverse population produces more diverse offsprings:  
 $A \subseteq B$  implies  $A' \subseteq B'$
- (iii) All parental genotypes are also accessible in the next time step  
 $A \subseteq A'$ .

In the case of mutation as the only source of diversity:

haploid populations, no sex, no recombination, etc

- (iv) Diversity of offsprings depends only on the parent:  
$$A' = \bigcup_{x \in A} \{x\}'$$

## Set-Valued Set-Functions

Let  $X$  be a set,  $\mathcal{P}(X)$  its power set (i.e., the set of all subsets of  $X$ )

Let  $\text{cl} : \mathcal{P}(X) \rightarrow \mathcal{P}(X)$  be an arbitrary function.

We call  $\text{cl}(A)$  the *closure* of the set  $A$ .

The dual of the closure function is the *interior function*

$\text{int} : \mathcal{P}(X) \rightarrow \mathcal{P}(X)$  defined by

$$\text{int}(A) = X \setminus \text{cl}(X \setminus A)$$

Given the interior function, we can recover the closure:

$$\text{cl}(A) = X \setminus (\text{int}(X \setminus A))$$

## Neighborhoods

Let  $\text{cl}$  and  $\text{int}$  be a closure function and its dual interior function on  $X$ . Then the *neighborhood function*  $\mathcal{N} : X \rightarrow \mathcal{P}(\mathcal{P}(X))$

$$\mathcal{N}(x) = \{N \in \mathcal{P}(X) \mid x \in \text{int}(N)\}$$

of its *neighborhoods*.

Closure and neighborhood are equivalent:

$$x \in \text{cl}(A) \iff (X \setminus A) \notin \mathcal{N}(x) \quad \text{and} \quad x \in \text{int}(A) \iff A \in \mathcal{N}(x)$$

## Axioms for Generalized Closure Spaces

	closure	interior	neighborhood
K0'	$\exists A : x \notin \text{cl}(A)$	$\exists A : x \in \text{int}(A)$	$\mathcal{N}(x) \neq \emptyset$
K0	$\text{cl}(\emptyset) = \emptyset$	$\text{int}(X) = X$	$X \in \mathcal{N}(x)$
K1 isotonic, monotone	$A \subseteq B \implies \text{cl}(A) \subseteq \text{cl}(B)$ $\text{cl}(A \cap B) \subseteq \text{cl}(A) \cap \text{cl}(B)$ $\text{cl}(A) \cup \text{cl}(B) \subseteq \text{cl}(A \cup B)$	$A \subseteq B \implies \text{int}(A) \subseteq \text{int}(B)$ $\text{int}(A) \cup \text{int}(B) \subseteq \text{int}(A \cup B)$ $\text{int}(A \cap B) \subseteq \text{int}(A) \cap \text{int}(B)$	$N \in \mathcal{N}(x)$ and $N \subseteq N'$ $\implies$ $N' \in \mathcal{N}(x)$
KA	$\text{cl}(X) = X$	$\text{int}(\emptyset) = \emptyset$	$\emptyset \notin \mathcal{N}(x)$
KB	$A \cup B = X \implies$ $\text{cl}(A) \cup \text{cl}(B) = X$	$A \cap B = \emptyset \implies$ $\text{int}(A) \cap \text{int}(B) = \emptyset$	$N', N'' \in \mathcal{N}(x) \implies$ $N' \cap N'' \neq \emptyset$
K2 expansive	$A \subseteq \text{cl}(A)$	$\text{int}(A) \subseteq A$	$N \in \mathcal{N}(x) \implies x \in N$
K3 sub-linear	$\text{cl}(A \cup B) \subseteq \text{cl}(A) \cup \text{cl}(B)$	$\text{int}(A) \cap \text{int}(B) \subseteq \text{int}(A \cup B)$	$N', N'' \in \mathcal{N}(x) \implies$ $N' \cap N'' \in \mathcal{N}(x)$
K4 idempotent	$\text{cl}(\text{cl}(A)) = \text{cl}(A)$	$\text{int}(\text{int}(A)) = \text{int}(A)$	$N \in \mathcal{N}(x) \iff$ $\text{int}(N) \in \mathcal{N}(x)$
K5 additive	$\bigcup_{i \in I} \text{cl}(A_i) = \text{cl}\left(\bigcup_{i \in I} A_i\right)$	$\bigcap_{i \in I} \text{int}(A_i) = \text{int}\left(\bigcap_{i \in I} A_i\right)$	$\mathcal{N}(x) = \emptyset$ or $\exists N(x) :$  $N \in \mathcal{N}(x)$  $\iff N(x) \subseteq N$

## Isotonic Spaces

(K1)  $A \subseteq B$  implies  $\text{cl}(A) \subseteq \text{cl}(B)$  for all  $A, B \in \mathcal{P}(X)$ .

(K1')  $\text{cl}(A) \cup \text{cl}(B) \subseteq \text{cl}(A \cup B)$  for all  $A, B \in \mathcal{P}(X)$ .

(K1'')  $\text{cl}(A \cap B) \subseteq \text{cl}(A) \cap \text{cl}(B)$

A (not necessarily non-empty) collection  $\mathcal{F} \subseteq \mathcal{P}(X)$  is a *stack* if  $F \in \mathcal{F}$  and  $F \subseteq G$  implies  $G \in \mathcal{F}$ . The closure function  $\text{cl}$  is isotonic if and only if  $\mathcal{N}(x)$  is a stack for all  $x \in X$ .

Isotony (K1) is necessary and sufficient to express the closure in terms of neighborhoods *in the usual way*:

$$c(A) = \{x \in X \mid \forall N \in \mathcal{N}(x) : A \cap N \neq \emptyset\}$$

## Binary Relations

Let  $\mathfrak{R}$  be a binary relation on a (not necessarily finite) set  $X$ , i.e.,  $\mathfrak{R} \subseteq X \times X$ . We write  $x\mathfrak{R}y$  or  $(x, y) \in \mathfrak{R}$  to mean that  $x$  “is in relation  $\mathfrak{R}$  to  $y$ ”.

We define:

$$\mathfrak{R}_x = \{z \in X \mid z\mathfrak{R}x\}$$

$$x\mathfrak{R} = \{z \in X \mid x\mathfrak{R}z\}$$

Furthermore, we define:

$$\text{dom} [\mathfrak{R}] = \{x \in X \mid \exists z \in X : x\mathfrak{R}z\}$$

$$\text{img} [\mathfrak{R}] = \{y \in X \mid \exists z \in X : z\mathfrak{R}y\}$$

Then  $\text{dom} [\mathfrak{R}] = \bigcup_x \mathfrak{R}_x$  and  $\text{img} [\mathfrak{R}] = \bigcup_x x\mathfrak{R}$ .

## Topology of a Binary Relation

Let  $\mathfrak{R}$  be a relation of  $X$  and consider a subset  $A \subseteq X$ . A natural way of defining the interior of  $A$  is to consider all points  $x \in X$  that are *isolated* from the complement of  $A$  in the sense that there is no point  $y \in X \setminus A$  for which  $y\mathfrak{R}x$ . We have:

$$\text{int}(A) = \{x \in X \mid \nexists y \in X \setminus A : y\mathfrak{R}x\}$$

Equivalently,  $x \in X \setminus \text{int}(X \setminus A)$  if  $\exists y \in A : y\mathfrak{R}x$ , i.e.,

$$\text{cl}(A) = \bigcup_{y \in A} \{x \mid y\mathfrak{R}x\} = \bigcup_{x \in A} x\mathfrak{R}$$

It follows immediately that  $\text{cl}$  is additive and satisfies (K0).

## Binary Relation from Totally Additive Closures

Conversely, consider an additive closure function  $c$  satisfying (K0). Then there is a unique relation  $\mathfrak{R}_c$  defined by

$$x\mathfrak{R}_c y \iff y \in c(\{x\}).$$

Now construct the closure function  $\text{cl}_{\mathfrak{R}_c}$  associated with relation  $\mathfrak{R}_c$ . We see:

$c(\{x\}) = \text{cl}_{\mathfrak{R}_c}(\{x\})$  for all  $x \in X$ .

Additivity of  $c$  now implies  $c(A) = \text{cl}_{\mathfrak{R}_c}(A)$  for all  $A \in \mathcal{P}(X)$ .

Hence additive closure spaces satisfying (K0) are equivalent to binary relations.

## Vicinity

The most important property of totally additive (K0) spaces is that there is a smallest neighborhood (*vicinity*) for each point:

$$vc(x) = \bigcap \{N \mid N \in \mathcal{N}(x)\} \in \mathcal{N}(x)$$

We have  $cl(x) = x\mathfrak{R}$  and  $vc(x) = \mathfrak{R}x$ , i.e., the vicinity is the closure of the transposed relation  $\mathfrak{R}^+$ .

Thus:  $x \in cl(y) \iff y \in vc(x)$

(R0)  $cl$  is symmetric if  $x \in vc(y) \iff y \in vc(x)$ .

Result:  $cl$  is symmetric  $\mathfrak{R}$  is symmetric.

## Separation Axioms

- ▶ (T0)  $\forall x, y \exists N' \in \mathcal{N}(x)$  or  $N'' \in \mathcal{N}(y)$  such that  $y \notin N'$  or  $x \notin N''$   
(K0+K5) spaces:  $x \neq y \implies x \notin \text{vc}(y)$  or  $y \notin \text{vc}(x)$ , i.e.,  $y \notin \text{cl}(x)$  or  $x \notin \text{cl}(y)$ .  
Equivalently: If  $x \neq y$  then  $x \mathfrak{R} y$  implies  $y \not\mathfrak{R} x$ .  
Thus (T0) is equivalent to antisymmetry of the relation.
- ▶ (T1)  $\forall x, y \exists N \in \mathcal{N}(x)$  such that  $y \notin N$   
(K0+K5) spaces:  $x \neq y \implies x \notin \text{vc}(y)$   
 $x \notin \text{cl}(y) \iff \text{cl}(x) \subseteq \{x\}$ , i.e., there are no “off-diagonal elements” in  $\mathfrak{R}$ .

Lemma For isotonic spaces holds (R0) and (T0)  $\iff$  (T1)

## Separation Axioms

- ▶ (T2)  $\forall x \neq y \exists N' \in \mathcal{N}(x)$  and  $N'' \in \mathcal{N}(y)$  such that  $N' \cap N'' = \emptyset$

(K0+K5) spaces:  $vc(x) \cap vc(y) = \emptyset$ , i.e.,

$z \in vc(x) \implies z \notin vc(y)$ , i.e.,  $x \in cl(z) \implies y \notin cl(z)$ , i.e.,  $|cl(z)| \leq 1$ .

A (K0+K5+T2) space corresponds to a **function** on  $X$ :

$$\psi : \text{dom}\mathfrak{A} \rightarrow \text{img}\mathfrak{A} : x \mapsto \psi(x) \quad \text{where} \quad cl(x) = \{\psi(x)\}$$

## Transitive Relations and Topologies

- ▶ Def.  $\mathfrak{R}$  is **reflexive** if  $x\mathfrak{R}x$  for all  $x \in X$ .  
This is equivalent to  $A \in \text{cl}(A)$  (enlarging, K2)
- ▶ Def.  $\mathfrak{R}$  is **transitive** if  $x\mathfrak{R}y$  and  $y\mathfrak{R}z$  implies  $x\mathfrak{R}z$   
 $x \in \text{cl}(y)$  and  $y \in \text{cl}(z)$  implies  $x \in \text{cl}(z)$ .  
This is equivalent to  $\text{cl}(\text{cl}(A)) \subseteq \text{cl}(A)$ .
- ▶ Pre-Order relation = reflexive and transitive.  
This implies:  $A \in \text{cl}(A)$  and  $\text{cl}(\text{cl}(A)) \subseteq \text{cl}(A)$ , i.e.,  
 $\text{cl}(\text{cl}(A)) = \text{cl}(A)$  (idempotent closure).
- ▶ Thm. (K0,K5)-space is **topological** if and only if the corresponding relation  $\mathfrak{R}$  is a pre-order.
- ▶ In particular, finite topologies and finite pre-order relations are the same thing.

So Long, and Thanks for all the fish!